
A Semiclassical Transport Model for Thin Quantum Barriers

Kyle Novak

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Problem

Model the dynamics of a particle in a largely classical potential field with local quantum discontinuities

Plasmas

Semiconductors

Nanotechnology

Quantum dots/films



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- Classical model misses key features — **wrong** solution



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- Numerical Schrödinger solution must resolve the de Broglie wavelength [Markowich, Pietra, Pohl '99; Bao, Jin, Markowich '02,'03] — **inefficient** over large domains/times



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 - Ben Abdallah, Gamba, Degond ['02] proposed a general classical-quantum coupling model — **difficult** to implement
- ! Consider a multiscale method for a thin quantum barrier



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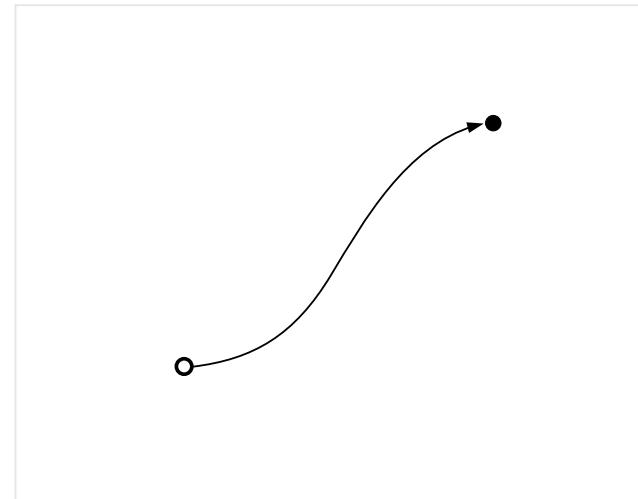
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Hamilton's equations

$$\frac{dx}{dt} = p = \nabla_p H(x, p), \quad \frac{dp}{dt} = -\nabla_x V = -\nabla_x H(x, p)$$

Conservation of energy

$$H(x, p) = \frac{1}{2}|p|^2 + V(x) = E$$





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Hamilton's equations

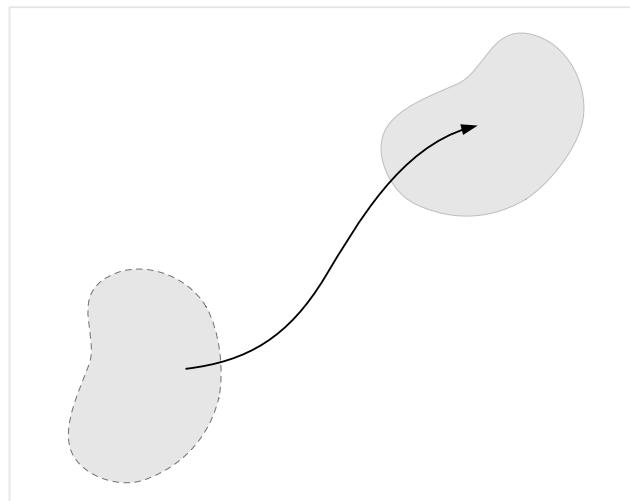
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Probability distribution $f(x, p, t)$

$$\frac{d}{dt}f = 0$$





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$$\frac{d}{dt}f = \frac{\partial}{\partial t}f + \frac{dx}{dt} \cdot \nabla_x f + \frac{dp}{dt} \cdot \nabla_p f = 0$$



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$$\frac{d}{dt}f = \frac{\partial}{\partial t}f + \frac{dx}{dt} \cdot \nabla_x f + \frac{dp}{dt} \cdot \nabla_p f = 0$$

Liouville equation

$$\frac{\partial}{\partial t}f + p \cdot \nabla_x f - \nabla_x V(x) \cdot \nabla_p f = 0$$



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Dirac quantization

$$x \rightarrow x, \quad p \rightarrow -i\hbar\nabla, \quad \text{and} \quad E \rightarrow i\hbar \frac{\partial}{\partial t}$$



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Conservation of energy

$$E = H(x, p) = \frac{1}{2}|p|^2 + V(x)$$



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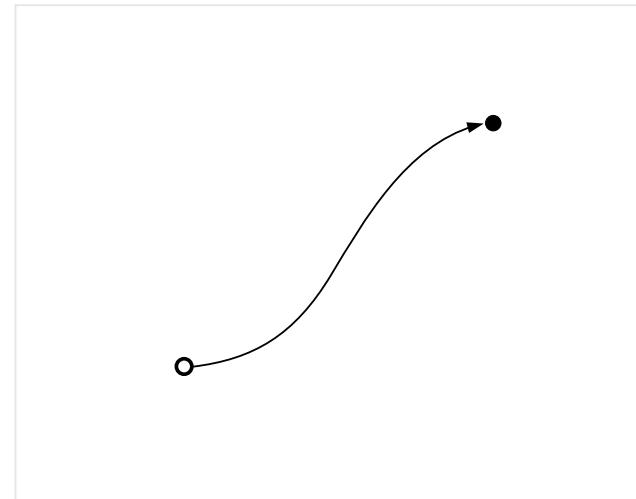
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Schrödinger equation

$$i\hbar \frac{\partial}{\partial t} \psi = \hat{H}\psi = \left(-\frac{1}{2}\hbar^2\Delta + V(x)\right)\psi$$





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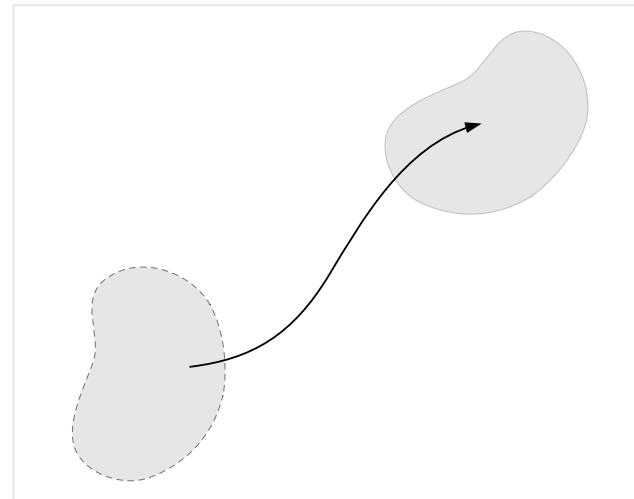
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Macroscopic distribution $\tilde{f}(x, p)$





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$$i\hbar\frac{\partial}{\partial t}\psi = \hat{H}\psi = \left(-\frac{1}{2}\hbar^2\Delta + V(x)\right)\psi$$

Density matrix

$$\hat{\rho}(x, x', t) = \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \tilde{f}(\tilde{x}, \tilde{p}) \psi(x, t; \tilde{x}, \tilde{p}) \overline{\psi}(x', t; \tilde{x}, \tilde{p}) d\tilde{x} d\tilde{p}$$



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Von Neumann equation

$$i\hbar \frac{\partial}{\partial t} \hat{\rho}(x, x', t) = \left(-\frac{1}{2}\hbar^2[\Delta_x - \Delta_{x'}] + V(x) - V(x')\right) \hat{\rho}(x, x', t)$$



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Liouville equation zeroth moment

$$\rho(x, t) = \int_{\mathbb{R}^d} f(x, p, t) dp$$

von Neumann equation diagonal of density matrix

$$\rho(x, t) = \hat{\rho}(x, x, t) = \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \tilde{f}(\tilde{x}, \tilde{p}) |\psi(x, t; \tilde{x}, \tilde{p})|^2 d\tilde{x} d\tilde{p}$$

Schrödinger $\tilde{f}(\tilde{x}, \tilde{p}) = \delta(\tilde{x} - x_0) \delta(\tilde{p} - p_0)$

$$\rho(x, t) = |\psi(x, t)|^2$$



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Characteristic length and time scale:

$L\delta x$ and $L\delta t$ (where $\delta x = \lambda = \hbar/p_0$)

Rescale x , x' , and t

$x \mapsto x/L\delta x$, $x' \mapsto x'/L\delta x$, $t \mapsto t/L\delta t$

then

$$i\varepsilon \frac{\partial}{\partial t} \hat{\rho}(x, x', t) = \left(-\frac{1}{2}\varepsilon^2 [\Delta_x - \Delta_{x'}] + V(x) - V(x') \right) \hat{\rho}(x, x', t)$$

where $\varepsilon = \hbar/[L(\delta x)^2/\delta t]$



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where $\varepsilon = \hbar/[L(\delta x)^2/\delta t]$

! What's the behavior of physical observables as $\varepsilon \rightarrow 0$?



Wigner Equation

von Neumann equation

$$i\varepsilon \frac{\partial}{\partial t} \hat{\rho} - \left(-\frac{1}{2}\varepsilon^2 [\Delta_x - \Delta_{x'}] + V(x) - V(x') \right) \hat{\rho} = 0$$

Wigner transform

$$W(x, p, t) = \frac{1}{(2\pi)^d} \int_{\mathbb{R}^d} \hat{\rho}(x + \frac{1}{2}\varepsilon y, x - \frac{1}{2}\varepsilon y, t) e^{-ip \cdot y} dy$$



Wigner Equation

von Neumann equation

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Wigner equation

$$\frac{\partial}{\partial t} W + p \cdot \nabla_x W - \Theta^\varepsilon W = 0$$

where

$$\Theta^\varepsilon W = \frac{1}{(2\pi)^d} \int_{\mathbb{R}^d} \frac{i}{\varepsilon} [V(x + \frac{1}{2}\varepsilon y) - V(x - \frac{1}{2}\varepsilon y)] \widehat{W}(x, y, t) e^{-ip \cdot y} dy$$



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If $V(x)$ is *sufficiently smooth*, [Lions and Paul '93; Gérard, Markowich, Mauser and Poupaud '97]

$$\Theta^\varepsilon W \rightarrow \nabla_x V \cdot \nabla_p W \text{ as } \varepsilon \rightarrow 0$$

Wigner equation ($\varepsilon \rightarrow 0$)

$$\frac{\partial}{\partial t} W + p \cdot \nabla_x W - \nabla_x V \cdot \nabla_p W = 0$$

Classical Liouville equation

$$\frac{\partial}{\partial t} f + p \cdot \nabla_x f - \nabla_x V \cdot \nabla_p f = 0$$



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What if $V(x)$ is only *piecewise* continuous (as $\varepsilon \rightarrow 0$)?



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Approach

- Classical–quantum coupling [Ben Abdallah, Degond, Gamba '02]
- Hamiltonian-preserving scheme [Jin and Wen '05]

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Approach

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Idea

1. Solve the Liouville equation locally.
2. Use the weak form of the conservation of energy ($H = \text{constant}$) to connect the local solutions together.
3. Use a physically relevant interface condition to choose correct solution.



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Idea

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3. Use a physically relevant interface condition to choose correct solution.

Assumptions

1. Barrier width $O(\varepsilon)$.
2. Distance between neighboring barriers is $O(1)$.
3. $\nabla V(x)$ is $O(1)$ except at barrier.
4. Barriers are mutually independent.



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A **local bicharacteristic** is an integral curve $\varphi(t) = (x(t), p(t))$ to

$$\frac{dx}{dt} = \nabla_p H(x, p), \quad \frac{dp}{dt} = -\nabla_x H(x, p)$$

where $H(x, p)$ is differentiable.



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- $\varphi(t)$ may not necessarily be defined for all time $t \in \mathbb{R}$
- $H(\varphi) = \frac{1}{2}|p|^2 + V(x) = \text{constant}$



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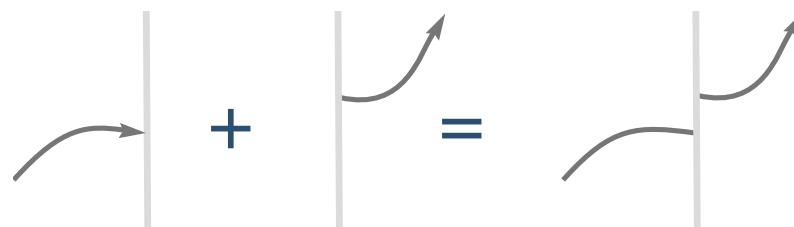
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Equivalence class $[\varphi] = \{ \varphi^* \mid H(\varphi^*) = H(\varphi) \}$



Call this a **global bicharacteristic**.



Interface Condition (One Dimensional)

Push

$$f(x^-, p^-, t^-) = R(p^+) f(x^+, p^+, t^+) + T(q^+) f(x^+, q^+, t^+)$$

$$p^+ = -p^-$$

$$q^+ = p^- \sqrt{1 + 2(V(x^-) - V(x^+)) / |p^-|^2}$$



Interface Condition (One Dimensional)

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$$\begin{aligned}f(x^-, p^-, t^-) &= R(p^+) f(x^+, p^+, t^+) + T(q^+) f(x^+, q^+, t^+) \\p^+ &= -p^- \\q^+ &= p^- \sqrt{1 + 2(V(x^-) - V(x^+)) / |p^-|^2}\end{aligned}$$

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■ Lagrangian

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■ Lagrangian

■ One-to-many function

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■ Eulerian

■ Many-to-one function



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- Liouville and Schrödinger equations are time reversible
- Semiclassical model is time irreversible and entropy increasing



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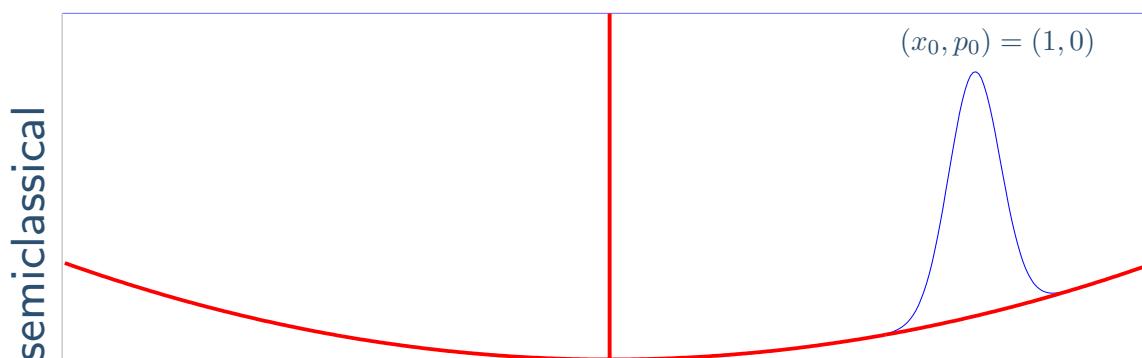
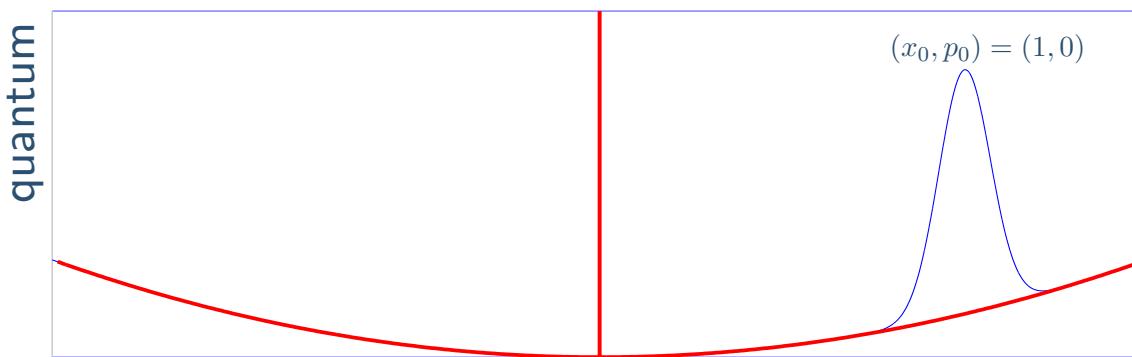
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- Liouville and Schrödinger equations are time reversible
- Semiclassical model is time irreversible and entropy increasing

Example: $V(x) = \frac{1}{2}x^2 + \varepsilon\sqrt{3}\delta(x)$





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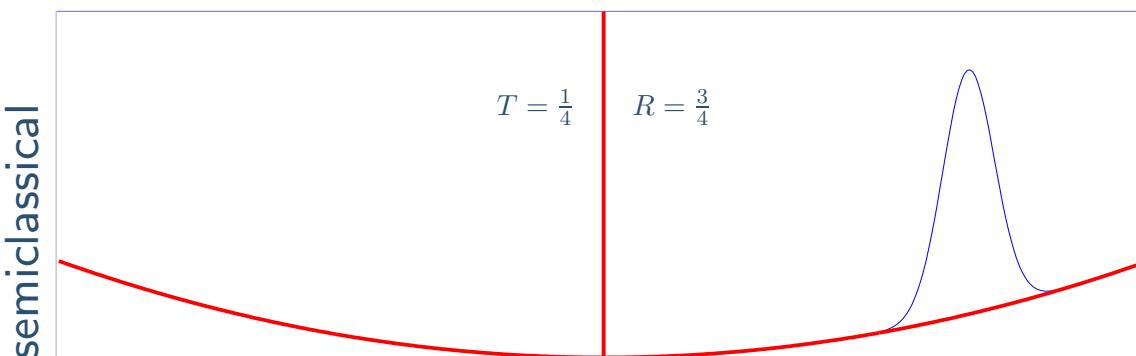
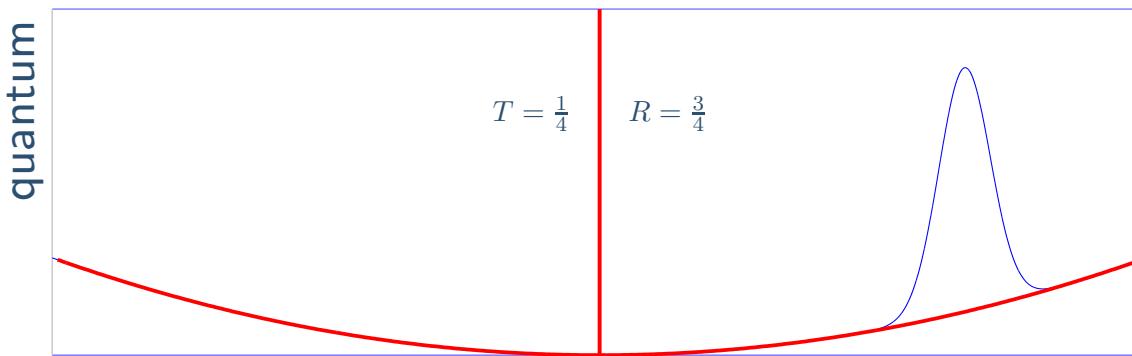
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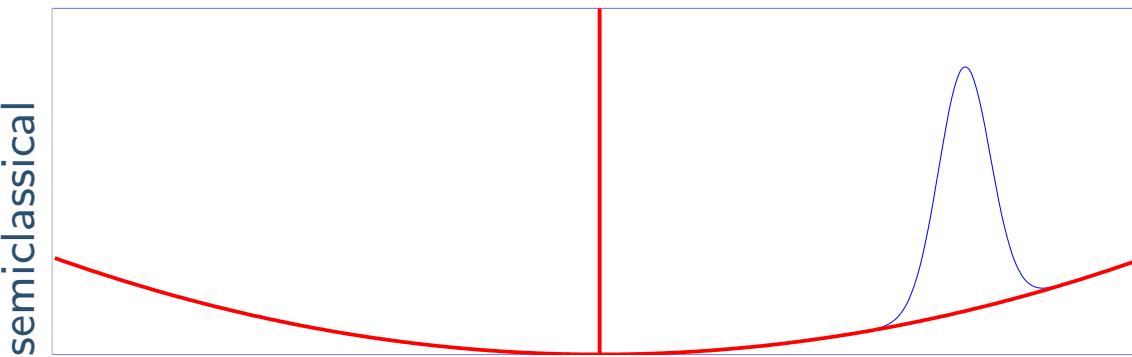
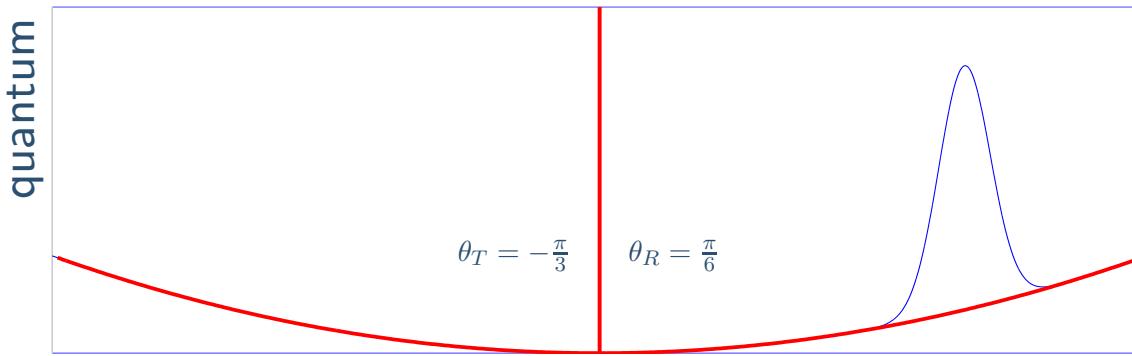
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quantum

semiclassical

- Liouville and Schrödinger equations are time reversible
- Semiclassical model is time irreversible and entropy increasing

$$\text{Example: } V(x) = \frac{1}{2}x^2 + \varepsilon\sqrt{3}\delta(x)$$



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■ Initialization

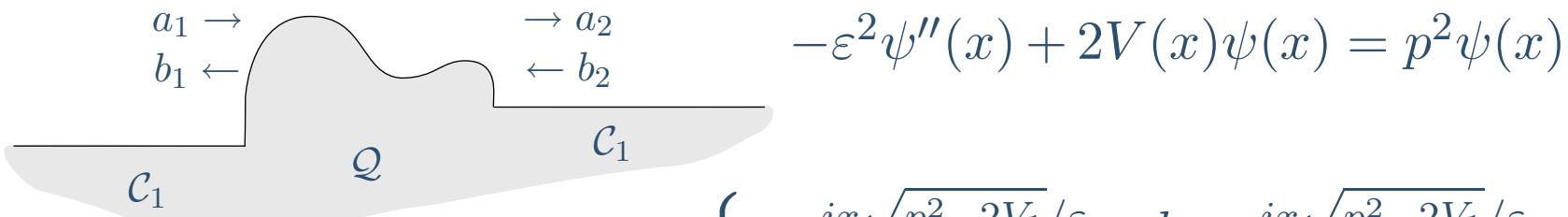
- ◆ Solve time-independent Schrödinger equation for $E = \frac{1}{2}p^2$ (using transfer matrix method)
- ◆ Calculate $T(p)$ and $R(p)$ to get interface condition

■ Liouville Solver

- ◆ Use finite volume method globally
- ◆ Incorporate interface condition at quantum barrier



Transfer Matrix

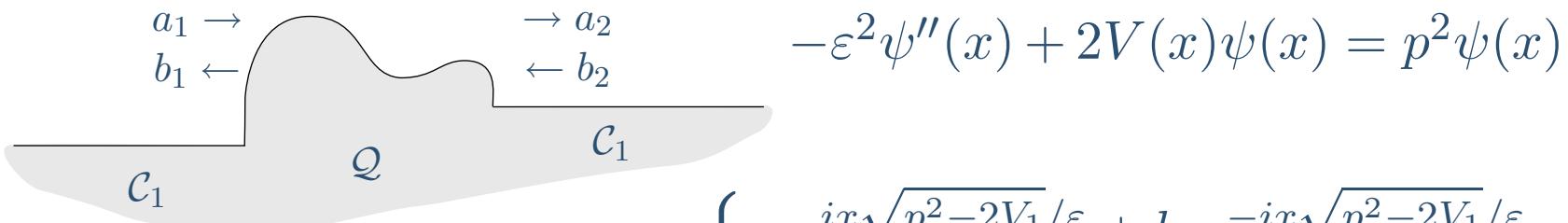


$$-\varepsilon^2 \psi''(x) + 2V(x)\psi(x) = p^2\psi(x)$$

$$\psi(x) = \begin{cases} a_1 e^{ix\sqrt{p^2 - 2V_1}/\varepsilon} + b_1 e^{-ix\sqrt{p^2 - 2V_1}/\varepsilon}, & x \in \mathcal{C}_1 \\ a_2 e^{ix\sqrt{p^2 - 2V_2}/\varepsilon} + b_2 e^{-ix\sqrt{p^2 - 2V_2}/\varepsilon}, & x \in \mathcal{C}_2 \end{cases}$$



Transfer Matrix



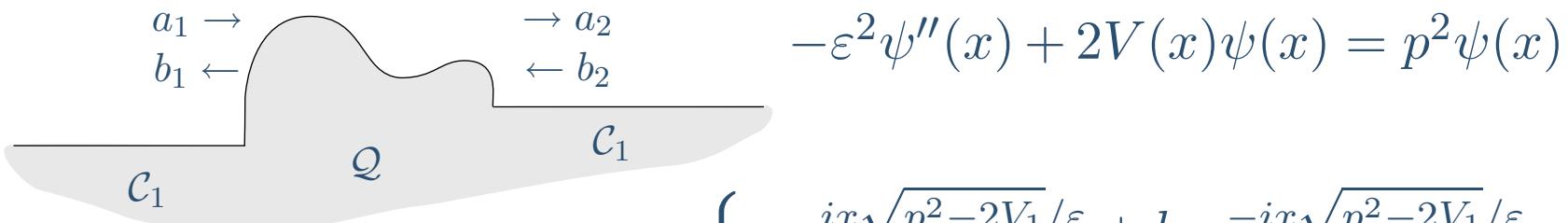
$$\psi(x) = \begin{cases} a_1 e^{ix\sqrt{p^2 - 2V_1}/\varepsilon} + b_1 e^{-ix\sqrt{p^2 - 2V_1}/\varepsilon}, & x \in \mathcal{C}_1 \\ a_2 e^{ix\sqrt{p^2 - 2V_2}/\varepsilon} + b_2 e^{-ix\sqrt{p^2 - 2V_2}/\varepsilon}, & x \in \mathcal{C}_2 \end{cases}$$

Transfer matrix M

$$\begin{pmatrix} a_2 \\ b_2 \end{pmatrix} = M \begin{pmatrix} a_1 \\ b_1 \end{pmatrix} = \begin{pmatrix} m_{11} & m_{12} \\ m_{21} & m_{22} \end{pmatrix} \begin{pmatrix} a_1 \\ b_1 \end{pmatrix}$$



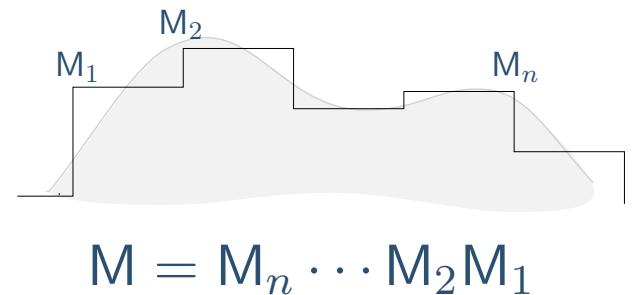
Transfer Matrix



$$\psi(x) = \begin{cases} a_1 e^{ix\sqrt{p^2 - 2V_1}/\varepsilon} + b_1 e^{-ix\sqrt{p^2 - 2V_1}/\varepsilon}, & x \in \mathcal{C}_1 \\ a_2 e^{ix\sqrt{p^2 - 2V_2}/\varepsilon} + b_2 e^{-ix\sqrt{p^2 - 2V_2}/\varepsilon}, & x \in \mathcal{C}_2 \end{cases}$$

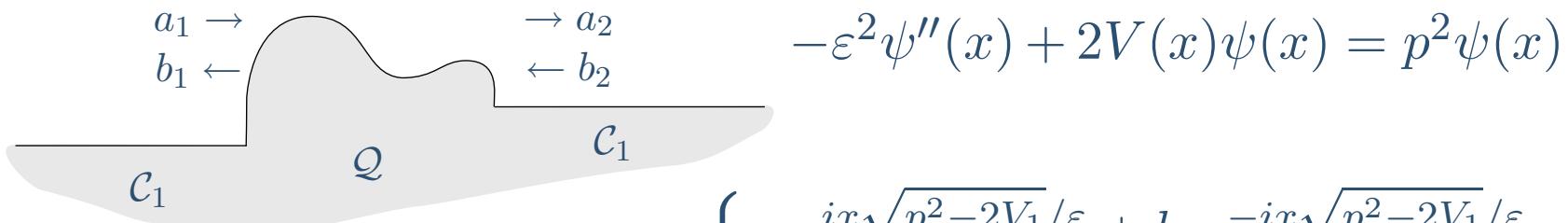
Transfer matrix M

$$\begin{pmatrix} a_2 \\ b_2 \end{pmatrix} = M \begin{pmatrix} a_1 \\ b_1 \end{pmatrix} = \begin{pmatrix} m_{11} & m_{12} \\ m_{21} & m_{22} \end{pmatrix} \begin{pmatrix} a_1 \\ b_1 \end{pmatrix}$$





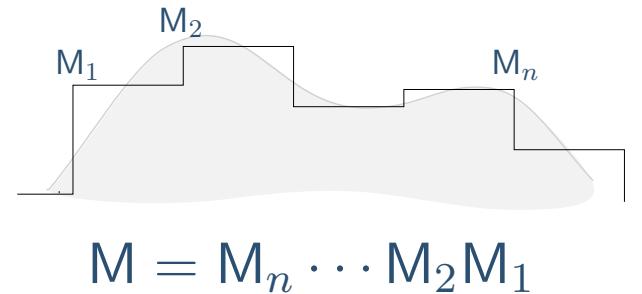
Transfer Matrix



$$\psi(x) = \begin{cases} a_1 e^{ix\sqrt{p^2 - 2V_1}/\varepsilon} + b_1 e^{-ix\sqrt{p^2 - 2V_1}/\varepsilon}, & x \in \mathcal{C}_1 \\ a_2 e^{ix\sqrt{p^2 - 2V_2}/\varepsilon} + b_2 e^{-ix\sqrt{p^2 - 2V_2}/\varepsilon}, & x \in \mathcal{C}_2 \end{cases}$$

Transfer matrix M

$$\begin{pmatrix} a_2 \\ b_2 \end{pmatrix} = M \begin{pmatrix} a_1 \\ b_1 \end{pmatrix} = \begin{pmatrix} m_{11} & m_{12} \\ m_{21} & m_{22} \end{pmatrix} \begin{pmatrix} a_1 \\ b_1 \end{pmatrix}$$



Scattering matrix S

$$\begin{pmatrix} b_1 \\ a_2 \end{pmatrix} = S \begin{pmatrix} a_1 \\ b_2 \end{pmatrix} = \begin{pmatrix} r_1 & t_2 \\ t_1 & r_2 \end{pmatrix} \begin{pmatrix} a_1 \\ b_2 \end{pmatrix} = \begin{pmatrix} -m_{21}/m_{22} & 1/m_{22} \\ \det M/m_{22} & m_{12}/m_{22} \end{pmatrix} \begin{pmatrix} a_1 \\ b_2 \end{pmatrix}$$



Scattering coefficients

Transmission and reflection coefficients

$$T = \frac{\text{transmitted current density}}{\text{incident current density}}$$

$$R = \frac{\text{reflected current density}}{\text{incident current density}}$$

Continuity equation

$$\frac{\partial}{\partial t} \rho + \nabla \cdot J = 0 \quad \text{where} \quad J(x) = \varepsilon \operatorname{Im} (\bar{\psi} \nabla \psi)$$



Scattering coefficients

Transmission and reflection coefficients

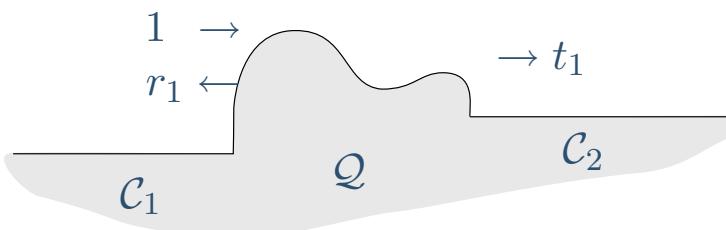
$$T = \frac{\text{transmitted current density}}{\text{incident current density}}$$

$$R = \frac{\text{reflected current density}}{\text{incident current density}}$$

Continuity equation

$$\frac{\partial}{\partial t} \rho + \nabla \cdot J = 0 \quad \text{where} \quad J(x) = \varepsilon \operatorname{Im} (\bar{\psi} \nabla \psi)$$

Wave incident from the left ($a_1 = 1, b_1 = r_1, a_2 = t_1$ and $b_2 = 0$)





Scattering coefficients

Transmission and reflection coefficients

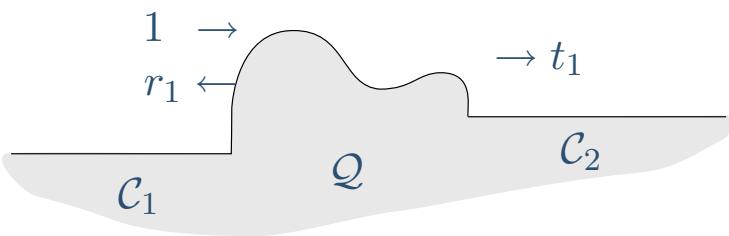
$$T = \frac{\text{transmitted current density}}{\text{incident current density}}$$

$$R = \frac{\text{reflected current density}}{\text{incident current density}}$$

Continuity equation

$$\frac{\partial}{\partial t} \rho + \nabla \cdot J = 0 \quad \text{where} \quad J(x) = \varepsilon \operatorname{Im} (\bar{\psi} \nabla \psi)$$

Wave incident from the left ($a_1 = 1, b_1 = r_1, a_2 = t_1$ and $b_2 = 0$)



$$J(x) = \begin{cases} \kappa_1 (1 - |r_1|^2), & x \in \mathcal{C}_1 \\ \kappa_2 (|t_1|^2), & x \in \mathcal{C}_2 \end{cases}$$

$$R = |r_1|^2 \quad \text{and} \quad T = \sqrt{\frac{p^2 - 2V_2}{p^2 - 2V_1}} |t_1|^2$$



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Liouville Equation

$$\frac{\partial f}{\partial t} = -p \frac{\partial f}{\partial x} + \frac{dV}{dx} \frac{\partial f}{\partial x}$$

Finite volume discretization of Liouville equation

$$\frac{f_{ij}^{n+1} - f_{ij}^n}{\Delta t} = -p_j \partial_x f_{ij}^n + \partial_x V_i \partial_p f_{ij}^n$$

where the cell average

$$f_{ij}^n = \frac{1}{\Delta x \Delta p} \iint_{C_{ij}} f(x, p, t_n) dx dp$$



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The discrete operators $\partial_x f_{ij}$, $\partial_p f_{ij}$ and $\partial_x V_i$ are

$$\partial_x f_{ij} = (f_{i+1/2,j}^- - f_{i-1/2,j}^+)/\Delta x,$$

$$\partial_p f_{ij} = (f_{i,j+1/2} - f_{i,j-1/2})/\Delta p,$$

$$\partial_x V_i = (V_{i+1/2}^- - V_{i-1/2}^+)/\Delta x$$

with

$$f_{i+1/2,j}^\pm = \lim_{x \rightarrow x_{i+1/2}^\pm} \frac{1}{\Delta p} \int_{p_{j-1/2}}^{p_{j+1/2}} f(x, p) dp,$$

$$f_{i,j+1/2} = \frac{1}{\Delta x} \int_{x_{i-1/2}}^{x_{i+1/2}} f(x, p_{j+1/2}) dx, \text{ and}$$

$$V_{i+1/2}^\pm = \lim_{x \rightarrow x_{i+1/2}^\pm} V(x).$$



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Pull interface condition

$$\begin{aligned} f_{Z+1/2,j}^+ &= R(q_j) f_{Z+1/2,-j}^+ + T(q_j) f(x_{Z+1/2}^-, q_j) && \text{for } j > 0 \\ f_{Z+1/2,j}^- &= R(q_j) f_{Z+1/2,-j}^- + T(q_j) f(x_{Z+1/2}^+, q_j) && \text{for } j < 0 \end{aligned}$$

where the incident $q_j = p_j \sqrt{1 + 2(V_{Z+1/2}^+ - V_{Z+1/2}^-)/|p_j|}$.

We define $f(x_{Z+1/2}^-, q_j)$ as the cell average

$$f(x_{Z+1/2}^-, q_j) = \frac{1}{p_j \Delta p} \int_{q_{j-1/2}}^{q_{j+1/2}} p f(x_{Z+1/2}^-, p) dp$$

where $q_{j\pm 1/2} = \sqrt{p_{j\pm 1/2}^2 + 2(V_{Z+1/2}^+ - V_{Z+1/2}^-)}$. The integral is approximated by a composite mid-point rule.



Example: Schrödinger solution for step potential

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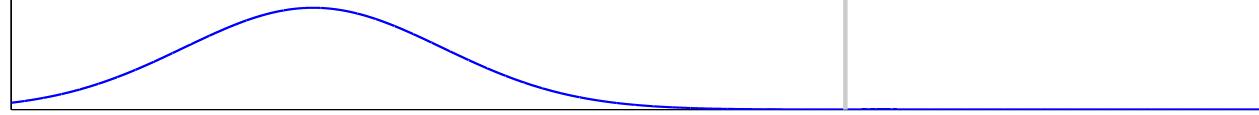
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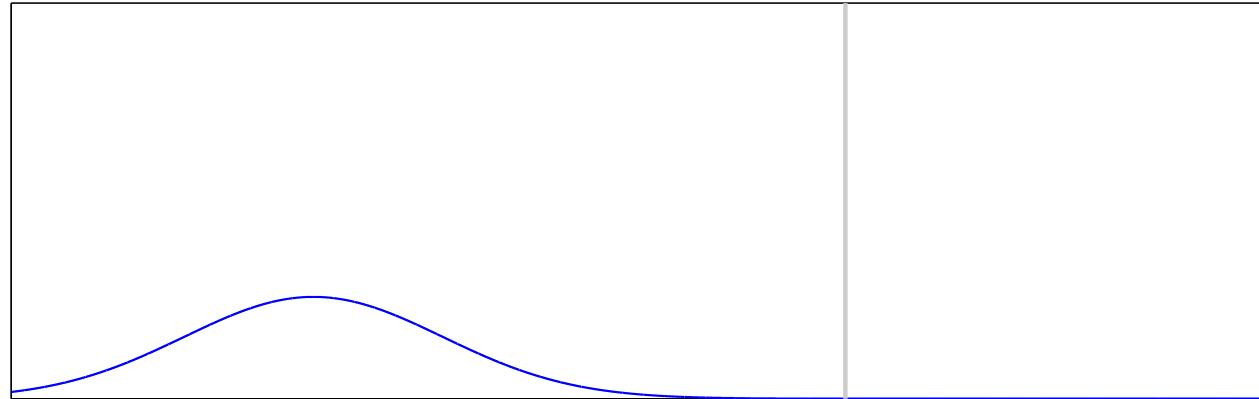
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quantum

$\psi(x, 0) = A(x)e^{iS(x)/\varepsilon}$ where
 $A(x)$ is $O(1)$ Gaussian and
 $S(x)$ is $O(\varepsilon^2)$ quadratic



semiclassical





Example: Schrödinger solution for step potential

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$O(\varepsilon)$ convergence of Schrödinger solution



Example: Resonant tunneling von Neumann solution

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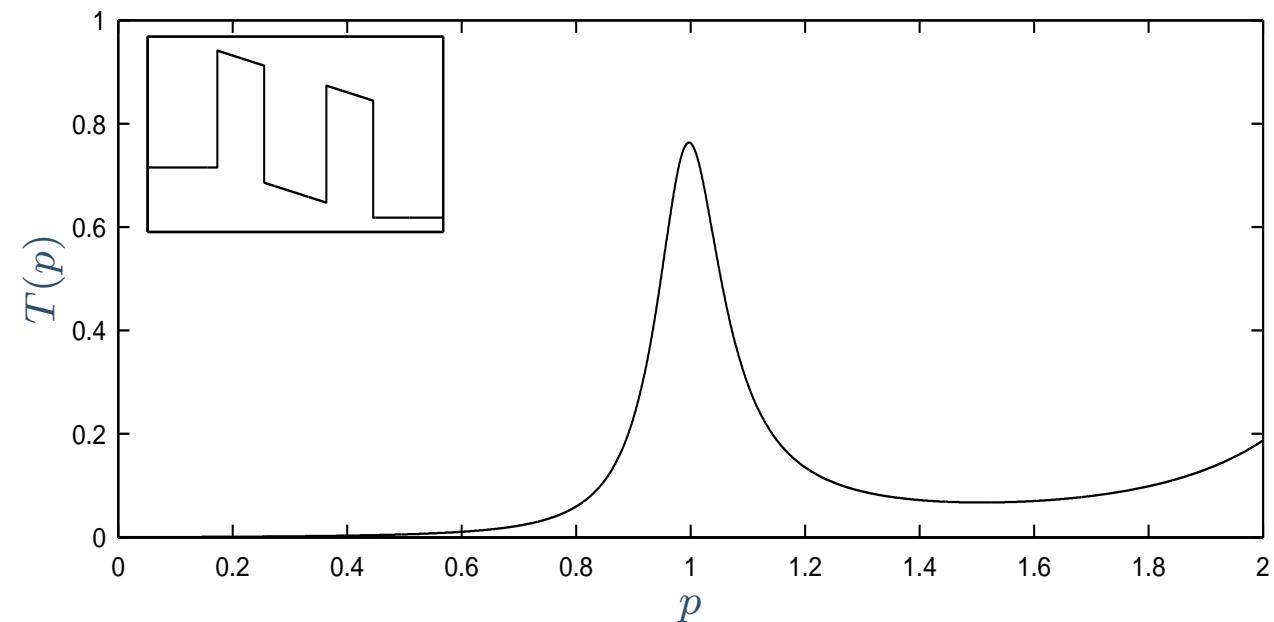
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Resonant Tunneling Diode (RTD): Double-barrier quantum well



- Convergence of von Neumann solution
- Convergence of numerical semiclassical solution



Example: Resonant tunneling von Neumann solution

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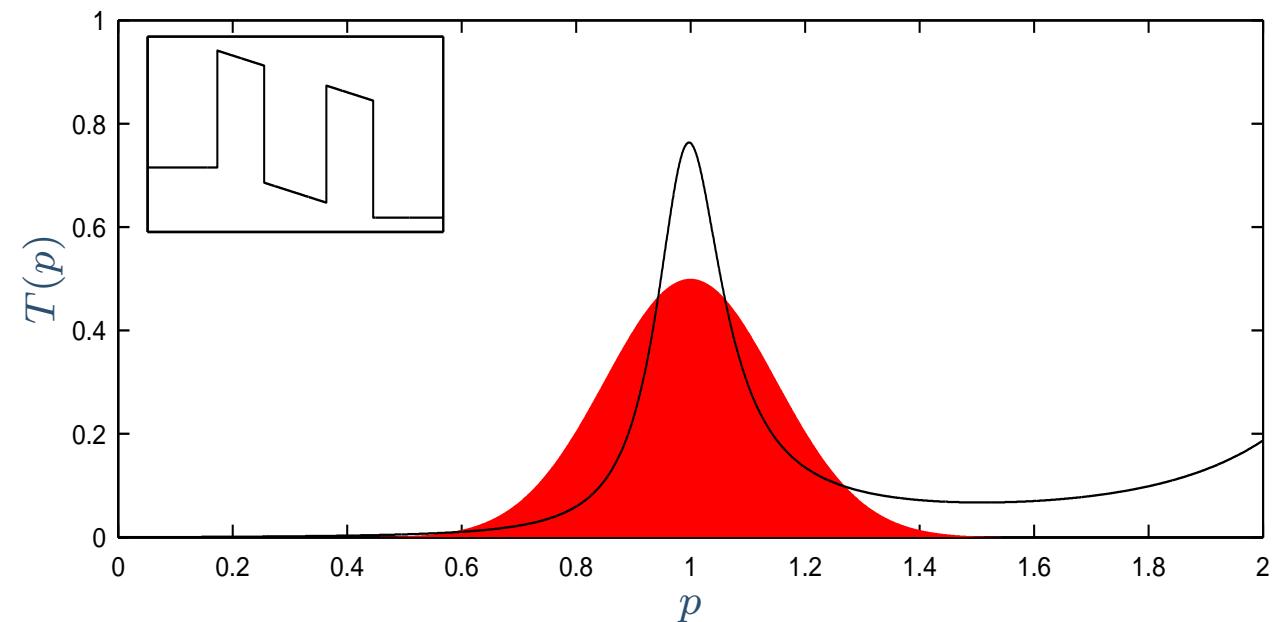
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Resonant Tunneling Diode (RTD): Double-barrier quantum well



- Convergence of von Neumann solution
- Convergence of numerical semiclassical solution



Example: Resonant tunneling von Neumann solution

$O(\varepsilon)$ convergence of von Neumann solution

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$O(\Delta x)$ convergence of numerical solution



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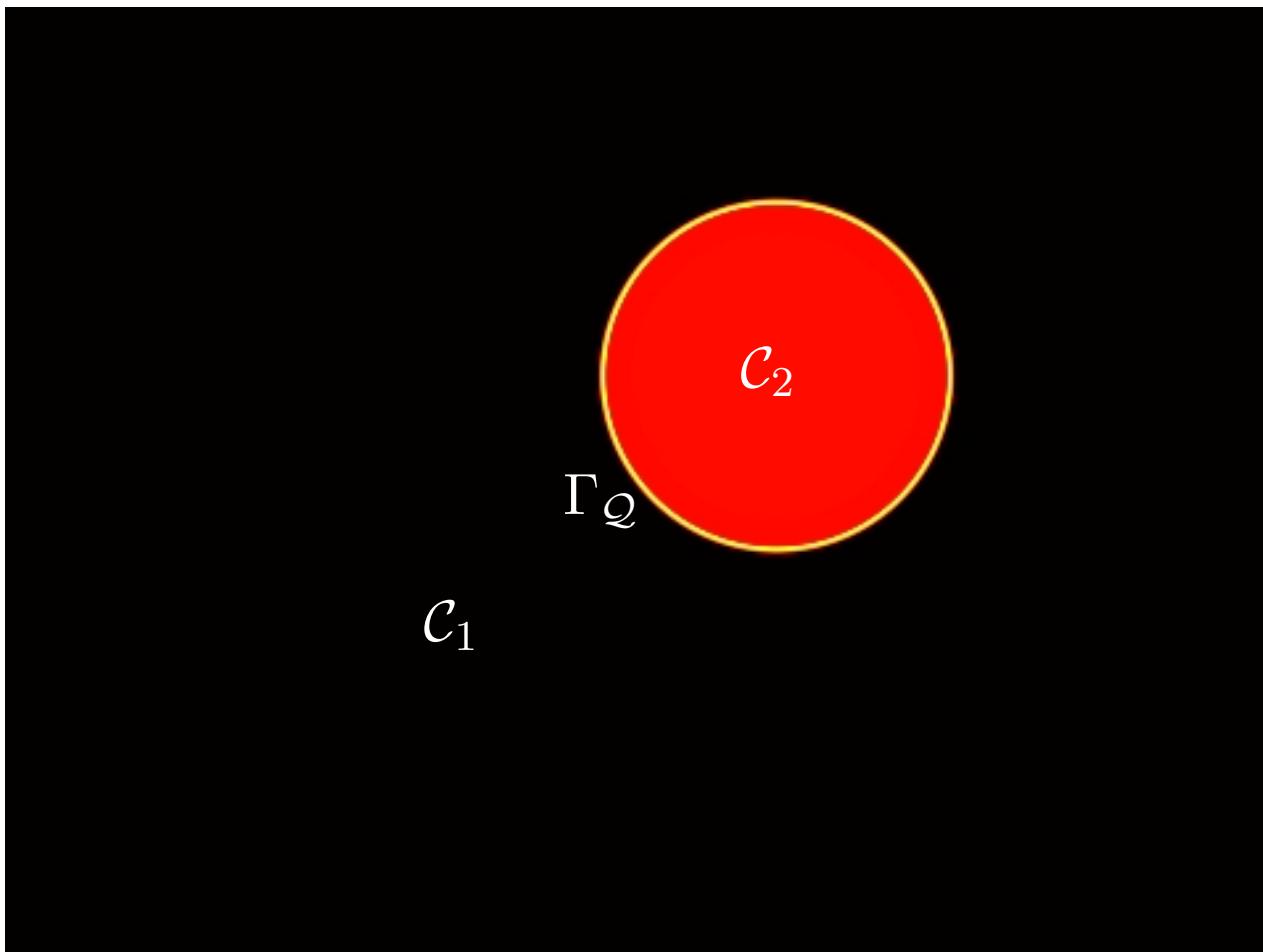
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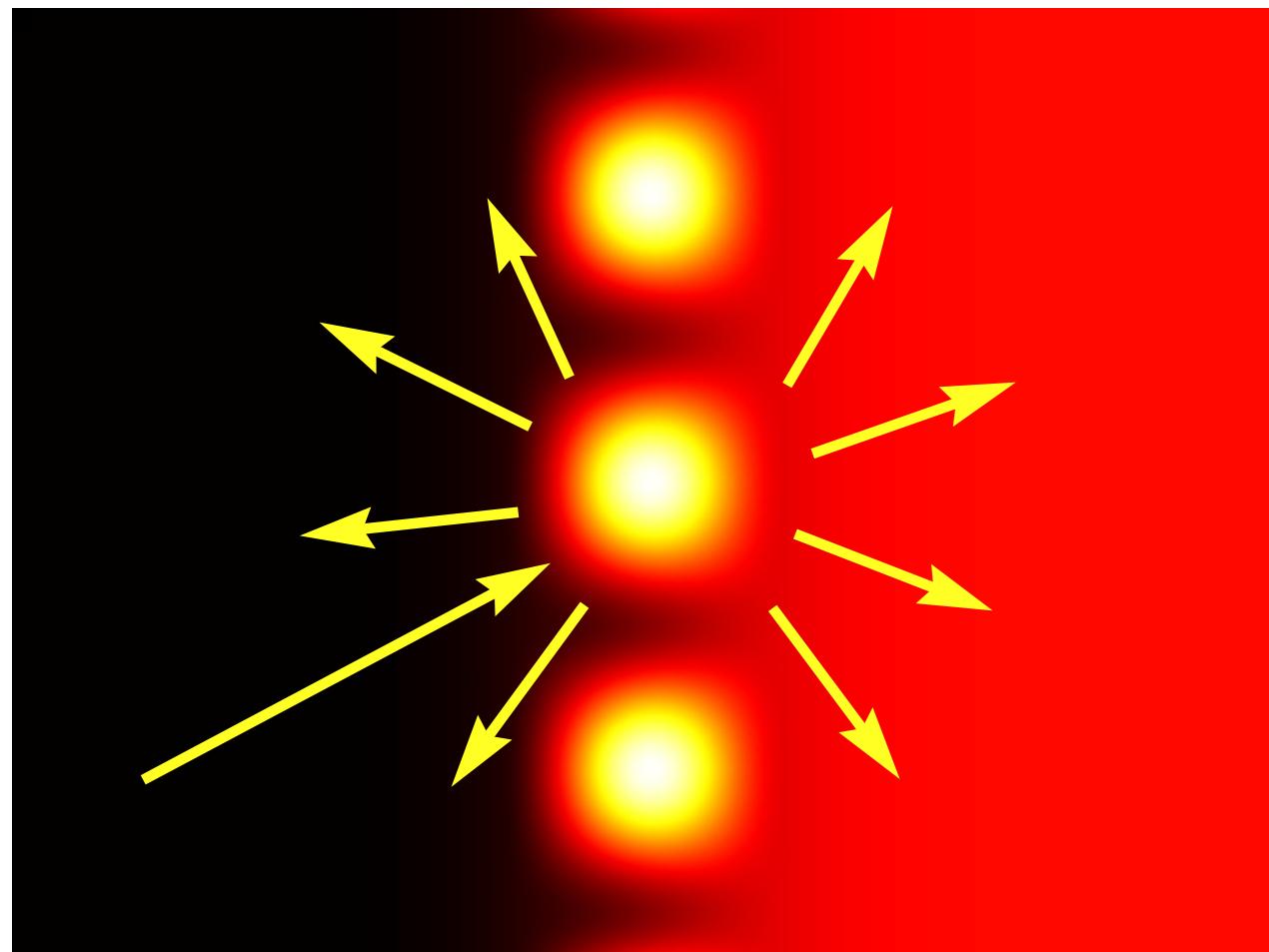
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2D interface condition

Pull interface condition

$$\begin{aligned} f(\mathbf{x}_{\text{out}}, p_{\text{out}}, \theta_{\text{out}}) = & \int_{-\pi/2}^{\pi/2} R(\theta_{\text{in}}; p_{\text{in}}, \theta_{\text{out}}) f(\mathbf{x}_{\text{in}}, p_{\text{in}}, \theta_{\text{in}}) d\theta_{\text{in}} \\ & + \int_{-\pi/2}^{\pi/2} T(\theta_{\text{in}}; q_{\text{in}}, \theta_{\text{out}}) f(\mathbf{x}_{\text{in}}, q_{\text{in}}, \theta_{\text{in}}) d\theta_{\text{in}} \end{aligned}$$

Push interface condition

$$\begin{aligned} f(\mathbf{x}_{\text{in}}, p_{\text{in}}, \theta_{\text{in}}) = & \int_{-\pi/2}^{\pi/2} R(\theta_{\text{out}}; p_{\text{out}}, \theta_{\text{in}}) f(\mathbf{x}_{\text{out}}, p_{\text{out}}, \theta_{\text{out}}) d\theta_{\text{out}} \\ & + \int_{-\pi/2}^{\pi/2} T(\theta_{\text{out}}; q_{\text{out}}, \theta_{\text{in}}) f(\mathbf{x}_{\text{out}}, q_{\text{out}}, \theta_{\text{out}}) d\theta_{\text{out}} \end{aligned}$$

(with $q^2 = p^2 + 2\Delta V$)



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! Computer memory: We need at least 100 mesh points to resolve each direction. 100^4 floating-point numbers = 380MB with an equal amount for a “swap” array

■ Initialization

- ◆ Solving time-independent Schrödinger equation for each $E = \frac{1}{2}p^2$ and θ_{in} .
- ◆ Calculate $T(\theta_{\text{out}}; p, \theta_{\text{in}})$ and $R(\theta_{\text{out}}; p, \theta_{\text{in}})$.

■ Liouville Solver:

- ◆ Particle method
- ◆ Push interface condition



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$$S(\theta; p, \theta_{\text{in}}) = \frac{\theta\text{-component to flux scattered across interface}}{\text{incident flux}}$$

Current density: $J(x, y) = \text{Im} (\bar{\psi}(x, y) \nabla \psi(x, y))$

Solution in \mathcal{C}_j for constant V_j

$$\psi_j(x, y) = \int_{-\pi}^{\pi} a_j(\theta) e^{ip_j(x \cos \theta + y \sin \theta)} d\theta, \quad j = 1, 2.$$

Flux

$$\int_{-\infty}^{\infty} J(x, y) dy = \int_{-\pi}^{\pi} p |a(\theta)|^2 (\cos \theta, \sin \theta) d\theta$$



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For particle incident from left at angle θ_{in} :

$$\psi_1(x, y) = e^{ip_1(x \cos \theta_{\text{in}} + y \sin \theta_{\text{in}})} + \int_{-\pi/2}^{\pi/2} r(\theta) e^{-ip_1(x \cos \theta + y \sin \theta)} d\theta$$

$$\psi_2(x, y) = \int_{-\pi/2}^{\pi/2} t(\theta) e^{ip_2(x \cos \theta + y \sin \theta)} d\theta$$

$$R(\theta; p_1, \theta_{\text{in}}) = |r(\theta)|^2 \frac{\cos \theta}{\cos \theta_{\text{in}}} \quad \text{and} \quad T(\theta; p_1, \theta_{\text{in}}) = |t(\theta)|^2 \frac{p_2 \cos \theta}{p_1 \cos \theta_{\text{in}}}$$

! Find $r(\theta)$ and $t(\theta)$ by solving Schrödinger equation in Q .



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Solve the Schrödinger equation

$$-\frac{\partial^2}{\partial x^2}\psi_{\mathcal{Q}}(x,y) - \frac{\partial^2}{\partial y^2}\psi_{\mathcal{Q}}(x,y) + 2V_{\mathcal{Q}}(x,y)\psi_{\mathcal{Q}}(x,y) = p^2$$

in \mathcal{Q} with matching conditions

$$\psi_{\mathcal{Q}}(x_j, y) = \psi_j(x_j, y)$$

$$\frac{\partial}{\partial x}\psi_{\mathcal{Q}}(x_j, y) = \frac{\partial}{\partial x}\psi_j(x_j, y), \quad j = 1, 2$$

!

We must eliminate unknowns $r(\theta)$ and $t(\theta)$ from boundary conditions. But $r(\theta)$ and $t(\theta)$ are coupled by the integral.



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Fourier transform of ψ into momentum space ($y \mapsto \xi$)

$$\frac{\partial^2}{\partial x^2} \hat{\psi}_{\mathcal{Q}}(x, \xi) + \eta_1^2(\xi) \hat{\psi}_{\mathcal{Q}}(x, \xi) - 2 \int_{-\infty}^{\infty} V_{\mathcal{Q}}(x, y) \psi(x, y) e^{-i\xi y} dy = 0$$

in \mathcal{Q} with matching conditions

$$\hat{\psi}_{\mathcal{Q}}(x_j, \xi) = \hat{\psi}_j(x_j, \xi)$$

$$\frac{\partial}{\partial x} \hat{\psi}_{\mathcal{Q}}(x_j, \xi) = \frac{\partial}{\partial x} \hat{\psi}_j(x_j, \xi), \quad j = 1, 2$$

where $\eta_1^2(\xi) = p^2 - \xi^2$



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In \mathcal{C}_1 and \mathcal{C}_2

$$\hat{\psi}_1(x, \xi) = \delta(\xi - \xi_{\text{in}})e^{i\eta_1(\xi)(x-x_1)} + s_1(\xi)e^{-i\eta_1(\xi)(x-x_1)}$$

$$\hat{\psi}_2(x, \xi) = s_2(\xi)e^{i\eta_2(\xi)(x-x_2)}$$

Eliminating the unknowns $s_1(\xi)$ and $s_2(\xi)$ gives the mixed boundary conditions

$$i\eta_1(\xi)\hat{\psi}_{\mathcal{Q}} + \frac{\partial}{\partial x}\hat{\psi}_{\mathcal{Q}} = 2i\eta_1(\xi)\delta(\xi - \xi_{\text{in}}) \quad \text{at } x = x_1$$

$$i\eta_2(\xi)\hat{\psi}_{\mathcal{Q}} - \frac{\partial}{\partial x}\hat{\psi}_{\mathcal{Q}} = 0 \quad \text{at } x = x_2$$



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After solving Schrödinger equation

$$r(\theta; p, \theta_{\text{in}}) = \hat{\psi}_{\mathcal{Q}}(x_1, p \sin \theta) - \mathbf{1}_{\theta=\theta_{\text{in}}}$$

$$t(\theta; p, \theta_{\text{in}}) = \hat{\psi}_{\mathcal{Q}}(x_2, p_2(p) \sin \theta)$$

! We need to do this for every incident p and θ_{in} .



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- Difficult to solve. Iterative solver:

$$Au^{(n+1)} = Bu^{(n)}$$

where u_{ij} discretization of $\hat{\psi}_Q(x_i, \xi_j)$

$$A = -\frac{1}{2\Delta x^2} \delta_{i+1,j} + \left(\frac{1}{\Delta x^2} + \eta_1^2(\xi_j)\right) \delta_{ij} - \frac{1}{2\Delta x^2} \delta_{i-1,j}$$

$$B = -2\mathcal{F}V_Q\mathcal{F}^{-1}$$

- If $V_Q(x, y)$ is independent in y , Schrödinger equation is separable and problem reduces to one-dimensional case.
- Otherwise, exploit geometry of the barrier.



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Initial conditions

$$f_0(r) = \int_{\Omega} f_0(\tilde{r}) \delta(r - \tilde{r}) d\tilde{r} \quad \rightarrow \quad f_0^h = \sum_{j=1}^N w_j \delta^h(r - r_j)$$

- Solve $\frac{dx}{dt} = p, \quad \frac{dp}{dt} = -\nabla_x V$
- Push interface condition is one-to-many
 - Monte Carlo** take a path randomly from $S(\theta_{\text{out}}; p, \theta_{\text{in}})$
 - Deterministic** take all paths (binary tree)
- Reconstruct density distribution with bicubic cutoff function



Example: Circular Barrier

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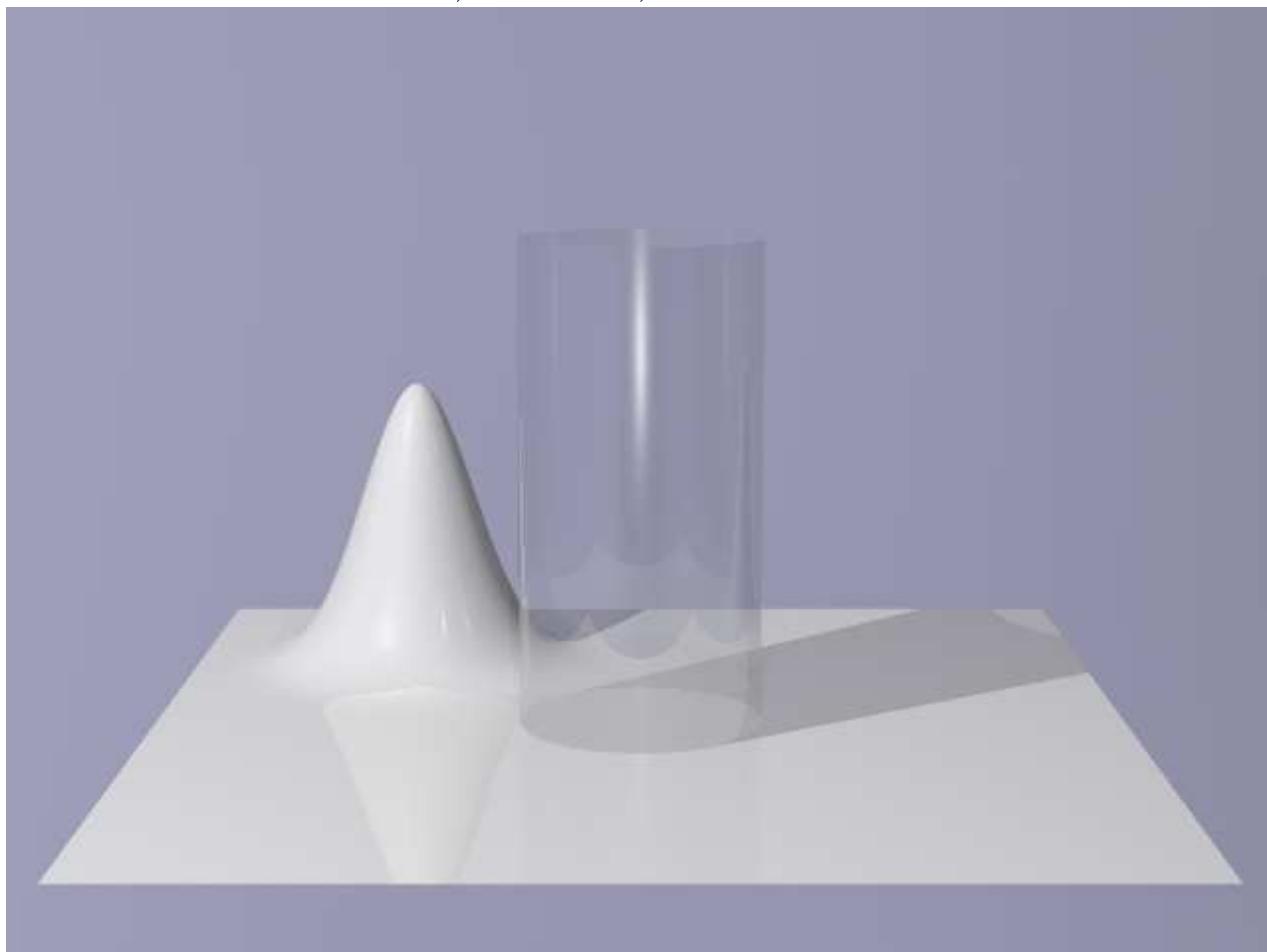
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$$\varepsilon = 50^{-1}, 100^{-1}, 200^{-1} \text{ and } 400^{-1}$$





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Schrödinger with $\varepsilon = 50^{-1}$



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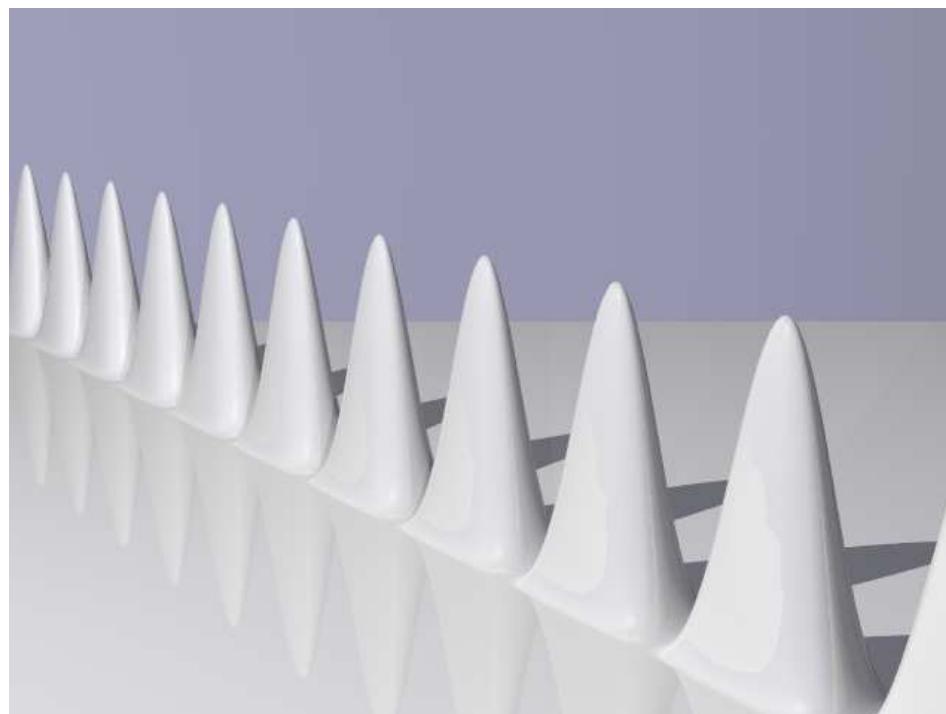
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$$V(x, y) = \begin{cases} 2 \cos^2(\pi x/2\varepsilon) \cos^2(y/4\varepsilon), & x \in (-\varepsilon, \varepsilon) \\ 0, & \text{otherwise} \end{cases}$$





Example 2: Diffraction Grating

$$\frac{\partial^2}{\partial x^2} \hat{\psi}_{\mathcal{Q}}(x, \xi) + \eta^2(\xi) \hat{\psi}_{\mathcal{Q}}(x, \xi) - 2 \int_{-\infty}^{\infty} V_{\mathcal{Q}}(x, y) \psi(x, y) e^{-i\xi y} dy = 0$$

with boundary conditions

$$i\eta(\xi) \hat{\psi}_{\mathcal{Q}} + \frac{\partial}{\partial x} \hat{\psi}_{\mathcal{Q}} = 2i\eta(\xi) \delta(\xi - \xi_{\text{in}}) \quad x = -1$$

$$i\eta(\xi) \hat{\psi}_{\mathcal{Q}} + \frac{\partial}{\partial x} \hat{\psi}_{\mathcal{Q}} = 0 \quad x = +1$$



Example 2: Diffraction Grating

$$\frac{\partial^2}{\partial x^2} \hat{\psi}_{\mathcal{Q}}(x, \xi) + \eta^2(\xi) \hat{\psi}_{\mathcal{Q}}(x, \xi) - 2 \int_{-\infty}^{\infty} \overbrace{V_{\mathcal{Q}}(x, y)}^{f(x) \cos^2(\alpha y/2)} \psi(x, y) e^{-i\xi y} dy = 0$$

with boundary conditions

$$i\eta(\xi) \hat{\psi}_{\mathcal{Q}} + \frac{\partial}{\partial x} \hat{\psi}_{\mathcal{Q}} = 2i\eta(\xi) \delta(\xi - \xi_{\text{in}}) \quad x = -1$$

$$i\eta(\xi) \hat{\psi}_{\mathcal{Q}} + \frac{\partial}{\partial x} \hat{\psi}_{\mathcal{Q}} = 0 \quad x = +1$$



Example 2: Diffraction Grating

$$\frac{\partial^2}{\partial x^2} \hat{\psi}_{\mathcal{Q}}(x, \xi) + \eta^2(\xi) \hat{\psi}_{\mathcal{Q}}(x, \xi) - 2 \underbrace{\int_{-\infty}^{\infty} V_{\mathcal{Q}}(x, y) \psi(x, y) e^{-i\xi y} dy}_{f(x) \left(\hat{\psi}_{\mathcal{Q}}(x, \xi + \alpha) + 2\hat{\psi}_{\mathcal{Q}}(x, \xi) + \hat{\psi}_{\mathcal{Q}}(x, \xi - \alpha) \right)} = 0$$

with boundary conditions

$$i\eta(\xi) \hat{\psi}_{\mathcal{Q}} + \frac{\partial}{\partial x} \hat{\psi}_{\mathcal{Q}} = 2i\eta(\xi) \delta(\xi - \xi_{\text{in}}) \quad x = -1$$

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Example 2: Diffraction Grating

$$\frac{\partial^2}{\partial x^2} \hat{\psi}_{\mathcal{Q}}(x, \xi) + \eta^2(\xi) \hat{\psi}_{\mathcal{Q}}(x, \xi) - 2 \underbrace{\int_{-\infty}^{\infty} V_{\mathcal{Q}}(x, y) \psi(x, y) e^{-i\xi y} dy}_{f(x) \left(\hat{\psi}_{\mathcal{Q}}(x, \xi + \alpha) + 2\hat{\psi}_{\mathcal{Q}}(x, \xi) + \hat{\psi}_{\mathcal{Q}}(x, \xi - \alpha) \right)} = 0$$

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$$i\eta(\xi) \hat{\psi}_{\mathcal{Q}} + \frac{\partial}{\partial x} \hat{\psi}_{\mathcal{Q}} = 2i\eta(\xi) \delta(\xi - \xi_{\text{in}}) \quad x = -1$$

$$i\eta(\xi) \hat{\psi}_{\mathcal{Q}} + \frac{\partial}{\partial x} \hat{\psi}_{\mathcal{Q}} = 0 \quad x = +1$$

- Linear system. Block tridiagonal matrix.
- Discrete scattering angles correspond to the Fraunhofer diffraction grating $m\lambda = (\sin \theta_{\text{in}} + \sin \theta_m)$



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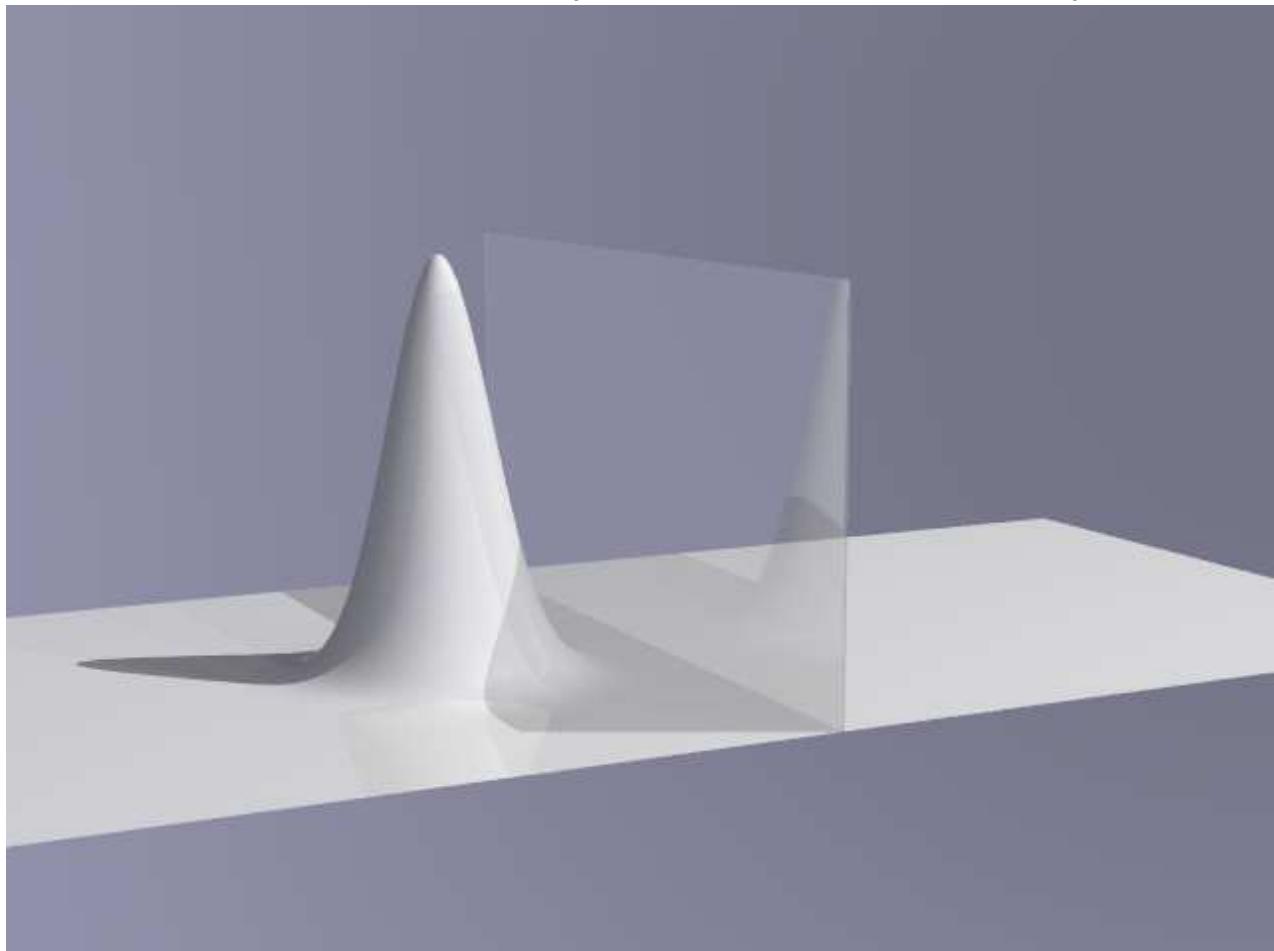
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Semiclassical ($p = 1$ and $\theta_{\text{in}} = 10^\circ$)





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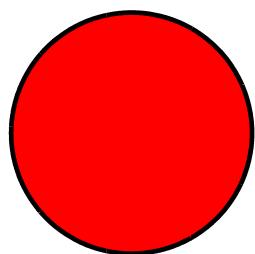
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$$\varepsilon = 100^{-1}, 200^{-1}, 400^{-1} \text{ and } 800^{-1}$$





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$$\varepsilon = 100^{-1}$$

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Where do we go from here?

- Mesoscopic barriers
- Periodic crystalline structures



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Where do we go from here?

- Mesoscopic barriers
- Periodic crystalline structures

Assumptions require that each barrier be independent.

We need to construct a **coherent** semiclassical model.



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Where do we go from here?

- Mesoscopic barriers
- Periodic crystalline structures

Assumptions require that each barrier be independent.

We need to construct a **coherent** semiclassical model.

Naive approach

$$\Phi(x, p, t) = \sqrt{f(x, p, t)} e^{i\theta(p)} \quad (f = |\Phi|^2)$$

$$\frac{\partial \Phi}{\partial t} + p \frac{\partial \Phi}{\partial x} - V(x) \frac{\partial \Phi}{\partial p} = 0$$

with the interface condition $\Phi^+ = r\Phi_1^- + t\Phi_2^-$



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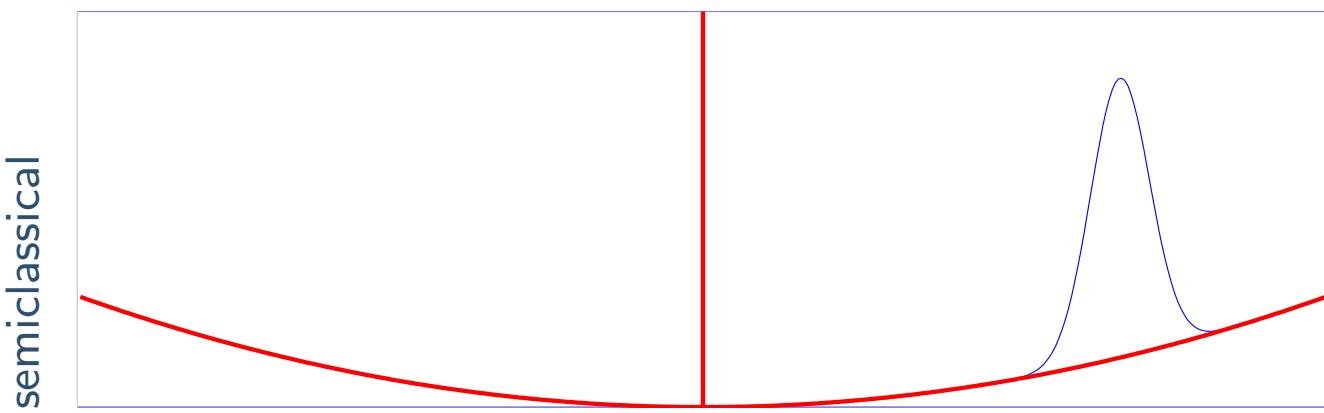
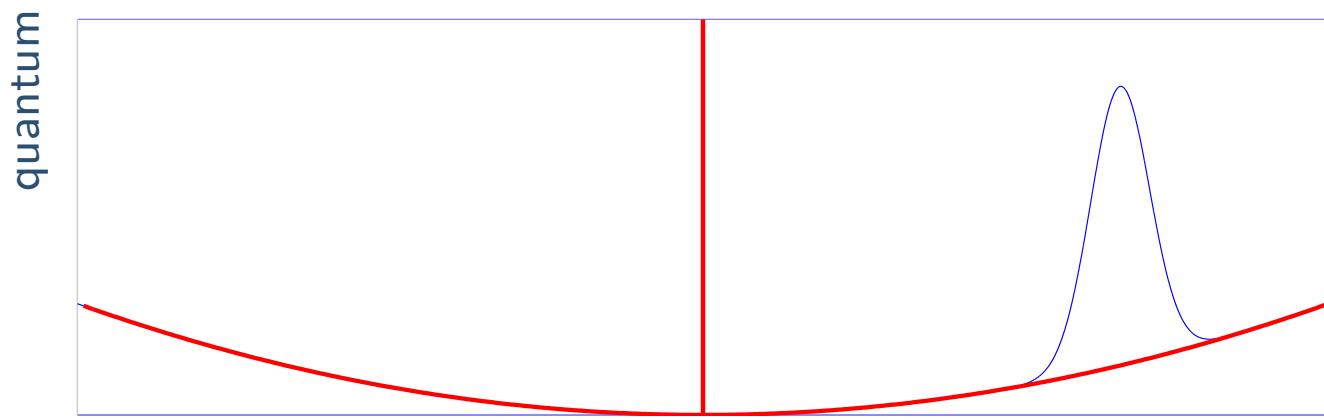
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quantum

semiclassical



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- $O(\varepsilon)$ semiclassical model captures a variety of quantum effects in both one dimension and two dimensions
 - ◆ partial reflection
 - ◆ partial transmission
 - ◆ tunneling
 - ◆ resonance
 - ◆ caustics
 - ◆ internal scattering
 - ◆ refraction
 - ◆ diffraction
 - ◆ time delay
- Open problem: extend the model to wider class of barriers



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- $O(\varepsilon)$ semiclassical model captures a variety of quantum effects in both one dimension and two dimensions
 - ◆ partial reflection
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Questions?