
A Mixed Classical/Quantum Transport Model

Kyle Novak

28 Sept 2005



Overview

Background

Motivation
Schrödinger
Equation
Gaussian Wave
Packet
Scale

Semi-classical Limit

Mixed Model

Schrödinger Solution

Liouville Solution

Examples

Conclusions

Background

Semi-classical Limit

Mixed Model

Schrödinger Solution

Liouville Solution

Examples

Conclusions



Motivation

[Background](#)

[Motivation](#)

[Schrödinger](#)

[Equation](#)

[Gaussian Wave](#)

[Packet](#)

[Scale](#)

[Semi-classical Limit](#)

[Mixed Model](#)

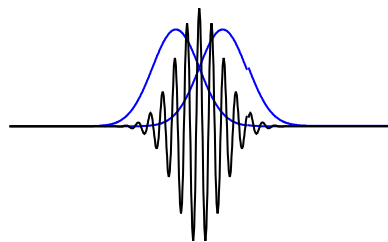
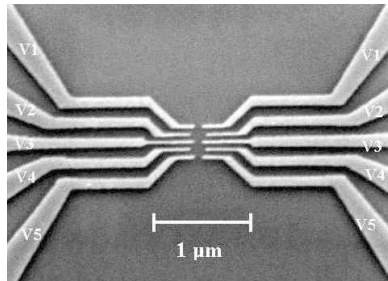
[Schrödinger Solution](#)

[Liouville Solution](#)

[Examples](#)

[Conclusions](#)

We want to study quantum scale phenomena using a largely classical scale model.



- Nanotechnology
 - Electron transport in semiconductors
 - Tunneling diodes
 - Quantum dot structures
 - Quantum computing
-
- Multi-scale problems
 - High frequency limit, geometric optics, fluids
 - Numerical PDEs



Schrödinger Equation

[Background](#)

[Motivation](#)

[Schrödinger Equation](#)

[Gaussian Wave Packet](#)

[Scale](#)

[Scale](#)

[Semi-classical Limit](#)

[Mixed Model](#)

[Schrödinger Solution](#)

[Liouville Solution](#)

[Examples](#)

[Conclusions](#)

$$i\hbar \frac{\partial}{\partial t} \Psi(x, t) = -\frac{\hbar^2}{2m} \Delta \Psi(x, t) + V(x) \Psi(x, t)$$

Physical interpretation

- $|\Psi|^2$: Position probability density $\rho(x, t)$
- $|\hat{\Psi}|^2$: Momentum probability density
- Wavepacket = particle

Schrödinger Equation

Background

Motivation

Schrödinger
Equation

Gaussian Wave

Packet

Scale

Semi-classical Limit

Mixed Model

Schrödinger Solution

Liouville Solution

Examples

Conclusions

$$i\hbar \frac{\partial}{\partial t} \Psi(x, t) = -\frac{\hbar^2}{2m} \Delta \Psi(x, t) + V(x) \Psi(x, t)$$

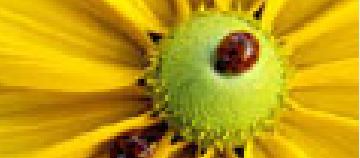
Physical interpretation

- $|\Psi|^2$: Position probability density $\rho(x, t)$
- $|\hat{\Psi}|^2$: Momentum probability density
- Wavepacket = particle

Wave packet solution

$$\Psi(x, t; p_0) = \int_{-\infty}^{\infty} \phi(p - p_0) \psi_E(x, t) e^{iEt/\hbar} dp$$

where ψ_E solves $-\frac{\hbar^2}{2m} \Delta \psi + V(x) \psi = E \psi$ with Hamiltonian $E = p^2/2m - V(x)$ along particle trajectory



Gaussian Wave Packet

[Background](#)

[Motivation](#)
[Schrödinger](#)
[Equation](#)

[Gaussian Wave](#)
[Packet](#)

[Scale](#)

[Semi-classical Limit](#)

[Mixed Model](#)

[Schrödinger Solution](#)

[Liouville Solution](#)

[Examples](#)

[Conclusions](#)

For symmetry in position and momentum ($\sigma_x \sim \sigma_p = \sqrt{eps/2}$), we take

$$\phi(p - p_0) = \exp(-(p - p_0)^2 / 2\varepsilon) / (\pi\varepsilon)^{1/4}$$

Simplified behavior of wave packet

- Away from quantum region acts like a particle
- Near a quantum region acts like a wave





Scale

- [Background](#)
- [Motivation](#)
- [Schrödinger Equation](#)
- [Gaussian Wave Packet](#)
- [Scale](#)**
- [Semi-classical Limit](#)
- [Mixed Model](#)
- [Schrödinger Solution](#)
- [Liouville Solution](#)
- [Examples](#)
- [Conclusions](#)

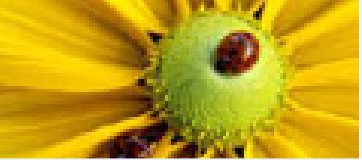
Consider characteristic length and time:

$$L\delta x \text{ and } L\delta t \text{ (where } \delta x = \lambda = \hbar/p)$$

Rescale x and t :

$$i\varepsilon \frac{\partial}{\partial t} \Psi_t = \left(-\frac{\varepsilon^2}{2m} \Delta + V(x) \right) \Psi \quad \text{where } \varepsilon = \hbar/L$$

What happens when $L \rightarrow \infty$ and $\varepsilon \rightarrow 0$?



Scale

- [Background](#)
- [Motivation](#)
- [Schrödinger Equation](#)
- [Gaussian Wave Packet](#)
- [Scale](#)**
- [Semi-classical Limit](#)
- [Mixed Model](#)
- [Schrödinger Solution](#)
- [Liouville Solution](#)
- [Examples](#)
- [Conclusions](#)

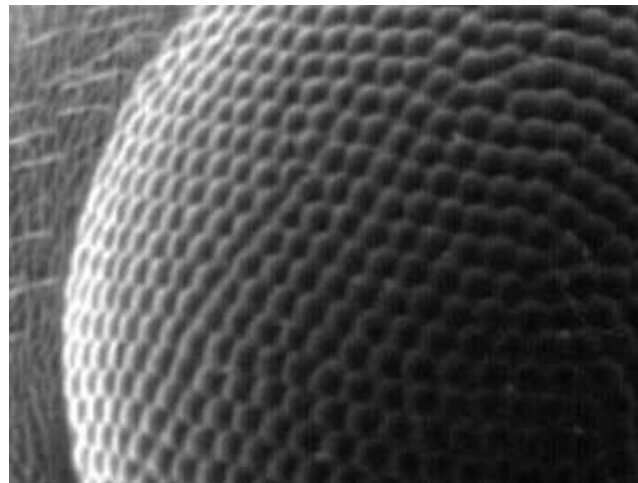
Consider characteristic length and time:

$$L\delta x \text{ and } L\delta t \text{ (where } \delta x = \lambda = \hbar/p)$$

Rescale x and t :

$$i\varepsilon \frac{\partial}{\partial t} \Psi_t = \left(-\frac{\varepsilon^2}{2m} \Delta + V(x) \right) \Psi \quad \text{where } \varepsilon = \hbar/L$$

What happens when $L \rightarrow \infty$ and $\varepsilon \rightarrow 0$?





Scale

- Background
- Motivation
- Schrödinger Equation
- Gaussian Wave Packet
- Scale**
- Semi-classical Limit
- Mixed Model
- Schrödinger Solution
- Liouville Solution
- Examples
- Conclusions

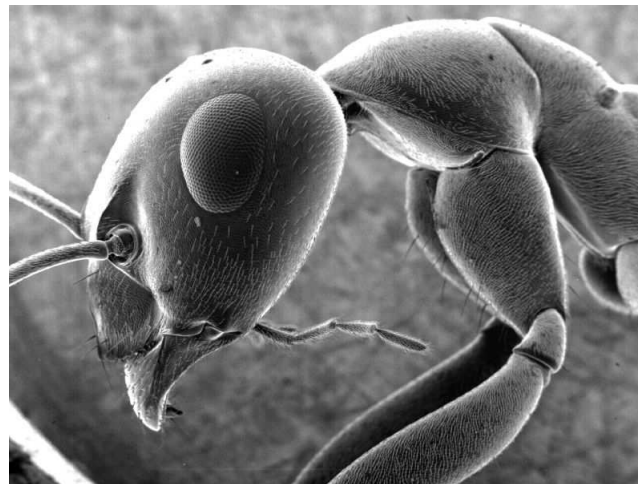
Consider characteristic length and time:

$$L\delta x \text{ and } L\delta t \text{ (where } \delta x = \lambda = \hbar/p)$$

Rescale x and t :

$$i\varepsilon \frac{\partial}{\partial t} \Psi_t = \left(-\frac{\varepsilon^2}{2m} \Delta + V(x) \right) \Psi \quad \text{where} \quad \varepsilon = \hbar/L$$

What happens when $L \rightarrow \infty$ and $\varepsilon \rightarrow 0$?





Scale

- Background
- Motivation
- Schrödinger Equation
- Gaussian Wave Packet
- Scale**
- Semi-classical Limit
- Mixed Model
- Schrödinger Solution
- Liouville Solution
- Examples
- Conclusions

Consider characteristic length and time:

$$L\delta x \text{ and } L\delta t \text{ (where } \delta x = \lambda = \hbar/p)$$

Rescale x and t :

$$i\varepsilon \frac{\partial}{\partial t} \Psi_t = \left(-\frac{\varepsilon^2}{2m} \Delta + V(x) \right) \Psi \quad \text{where } \varepsilon = \hbar/L$$

What happens when $L \rightarrow \infty$ and $\varepsilon \rightarrow 0$?





Wigner Equation

[Background](#)

[Semi-classical Limit](#)

[Wigner Equation](#)

[Semiclassical limit
Why not use the
Schrödinger
Equation?](#)

[Mixed Model](#)

[Schrödinger Solution](#)

[Liouville Solution](#)

[Examples](#)

[Conclusions](#)

Schrödinger equation

$$\mathcal{S}\Psi(x, t) = \frac{\partial}{\partial t}\Psi(x, t) + \frac{\varepsilon}{2i}\Delta\Psi(x, t) - \frac{1}{\varepsilon i}V(x)\Psi(x, t) = 0$$

Wigner distribution function

$$f(x, p, t) = \mathcal{W}[\Psi, \Psi] = \int_{-\infty}^{\infty} \bar{\Psi}\left(x - \frac{1}{2}\varepsilon y, t\right)\Psi\left(x + \frac{1}{2}\varepsilon y, t\right)e^{ipy} dy$$

Wigner equation: $\mathcal{W}[\mathcal{S}\Psi, \Psi] + \mathcal{W}[\Psi, \mathcal{S}\Psi] = 0$



Wigner Equation

Background

Semi-classical Limit

Wigner Equation

Semiclassical limit
Why not use the
Schrödinger
Equation?

Mixed Model

Schrödinger Solution

Liouville Solution

Examples

Conclusions

Schrödinger equation

$$\mathcal{S}\Psi(x, t) = \frac{\partial}{\partial t}\Psi(x, t) + \frac{\varepsilon}{2i}\Delta\Psi(x, t) - \frac{1}{\varepsilon i}V(x)\Psi(x, t) = 0$$

Wigner distribution function

$$f(x, p, t) = \mathcal{W}[\Psi, \Psi] = \int_{-\infty}^{\infty} \bar{\Psi}(x - \frac{1}{2}\varepsilon y, t)\Psi(x + \frac{1}{2}\varepsilon y, t)e^{ipy} dy$$

Wigner equation: $\mathcal{W}[\mathcal{S}\Psi, \Psi] + \mathcal{W}[\Psi, \mathcal{S}\Psi] = 0$

$$\frac{\partial}{\partial t}f + p\nabla f + \Theta = 0 \quad \text{where}$$

$$\Theta = -\frac{1}{\varepsilon i} \int [V(x + \frac{1}{2}\varepsilon y) - V(x - \frac{1}{2}\varepsilon y)] \hat{f}(x, y, t)e^{-ipy} dy$$

Semiclassical limit



Background

Semi-classical Limit

Wigner Equation

Semiclassical limit

Why not use the
Schrödinger
Equation?

Mixed Model

Schrödinger Solution

Liouville Solution

Examples

Conclusions

$$\Theta = \nabla_x V \cdot \nabla_p f - \frac{1}{\varepsilon i} \sum_{n=1}^{\infty} \frac{(-1)^n \left(\frac{\varepsilon}{2}\right)^{2n}}{(2n+1)!} \nabla_x^{2n+1} V \nabla_p^{2n+1} f$$

Semiclassical limit

Background

Semi-classical Limit

Wigner Equation

Semiclassical limit

Why not use the Schrödinger Equation?

Mixed Model

Schrödinger Solution

Liouville Solution

Examples

Conclusions

$$\Theta = \nabla_x V \cdot \nabla_p f - \frac{1}{\varepsilon i} \sum_{n=1}^{\infty} \frac{(-1)^n \left(\frac{\varepsilon}{2}\right)^{2n}}{(2n+1)!} \nabla_x^{2n+1} V \nabla_p^{2n+1} f$$

For $V(x)$ smooth, when $\varepsilon \rightarrow 0$

$$\Theta \rightarrow \nabla_x V \cdot \nabla_p f(x, p, t)$$

Liouville equation:

$$\frac{d}{dt} f = \frac{\partial}{\partial t} f + \frac{p}{m} \nabla_x f - \nabla_x V \cdot \nabla_p f = 0$$

Semiclassical limit

[Background](#)

[Semi-classical Limit](#)

[Wigner Equation](#)

Semiclassical limit

[Why not use the Schrödinger Equation?](#)

[Mixed Model](#)

[Schrödinger Solution](#)

[Liouville Solution](#)

[Examples](#)

[Conclusions](#)

$$\Theta = \nabla_x V \cdot \nabla_p f - \frac{1}{\varepsilon i} \sum_{n=1}^{\infty} \frac{(-1)^n \left(\frac{\varepsilon}{2}\right)^{2n}}{(2n+1)!} \nabla_x^{2n+1} V \nabla_p^{2n+1} f$$

For $V(x)$ smooth, when $\varepsilon \rightarrow 0$

$$\Theta \rightarrow \nabla_x V \cdot \nabla_p f(x, p, t)$$

Liouville equation:

$$\frac{d}{dt} f = \frac{\partial}{\partial t} f + \frac{p}{m} \nabla_x f - \nabla_x V \cdot \nabla_p f = 0$$

Characteristics \equiv Hamiltonian system:

$$\dot{\mathbf{x}} = \frac{\mathbf{p}}{m}$$

$$\dot{\mathbf{p}} = -\nabla_x V(x) = \mathbf{F}$$



Why not use the Schrödinger Equation?

Background

Semi-classical Limit

Wigner Equation

Semiclassical limit

Why not use the Schrödinger Equation?

Mixed Model

Schrödinger Solution

Liouville Solution

Examples

Conclusions

Liouville equation

- Arbitrary particle distribution, *but*
- No wave phenomena: tunneling, resonance, partial transmission/reflection, interference



Why not use the Schrödinger Equation?

Background

Semi-classical Limit

Wigner Equation

Semiclassical limit

Why not use the
Schrödinger
Equation?

Mixed Model

Schrödinger Solution

Liouville Solution

Examples

Conclusions

Liouville equation

- Arbitrary particle distribution, *but*
- No wave phenomena: tunneling, resonance, partial transmission/reflection, interference

Schrödinger equation

- Accurately models particle at any scale, *but*
- Single particle (x and p distribution are not independent)
- Numerically, we must resolve the de Broglie wavelength. Typically, $\Delta x = O(\varepsilon/p)$ or $\Delta x = o(\varepsilon/p)$
- Numerically, difficult to implement boundary conditions



Why not use the Schrödinger Equation?

Background

Semi-classical Limit

Wigner Equation

Semiclassical limit

Why not use the
Schrödinger
Equation?

Mixed Model

Schrödinger Solution

Liouville Solution

Examples

Conclusions

Liouville equation

- Arbitrary particle distribution, *but*
- No wave phenomena: tunneling, resonance, partial transmission/reflection, interference

Schrödinger equation

- Accurately models particle at any scale, *but*
- Single particle (x and p distribution are not independent)
- Numerically, we must resolve the de Broglie wavelength. Typically, $\Delta x = O(\varepsilon/p)$ or $\Delta x = o(\varepsilon/p)$
- Numerically, difficult to implement boundary conditions

Idea!

Use Liouville equation globally.

Use Schrödinger equation locally.



How do we do it?

Background

Semi-classical Limit

Mixed Model

How do we do it?

Schrödinger Solution

Liouville Solution

Examples

Conclusions

Coupling a quantum barrier with a Liouville

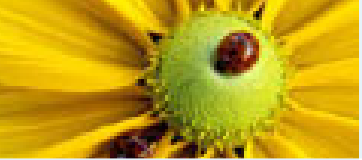
- Solve the time-independent Schrödinger equation for the a local barrier/well
- Use the solution to determine scattering information
- Solve the Liouville equation everywhere else
- Use scattering information to connect across the barrier

Previous research

- N. Ben Abdallah, P. Degond and I.M. Gamba (2002)
- S. Jin and X. Wen (2005)

Simplifying assumptions

- We work in 1-d
- Particle moves instantaneously across the barrier
- Barrier is sufficiently local
- Particle has no phase information (no long range interaction)



Transfer Matrix

[Background](#)

[Semi-classical Limit](#)

[Mixed Model](#)

[Schrödinger Solution](#)

[Transfer Matrix](#)

[Scattering Matrix](#)

[Current Density](#)

[Scattering](#)

[Coefficients](#)

[Resonance and](#)

[Tunneling](#)

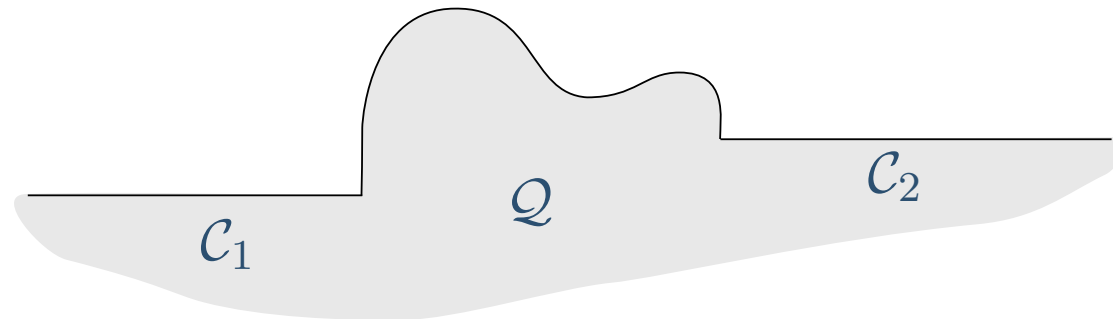
[Liouville Solution](#)

[Examples](#)

[Conclusions](#)

Solve $\frac{\epsilon^2}{2m} \Delta \Psi - V(x) \Psi = E \Psi$ where

$$V(x) = \begin{cases} V_1, & x \in \mathcal{C}_1 \\ V_Q(x), & x \in Q \\ V_2, & x \in \mathcal{C}_2 \end{cases}$$





Transfer Matrix

[Background](#)

[Semi-classical Limit](#)

[Mixed Model](#)

[Schrödinger Solution](#)

[Transfer Matrix](#)

[Scattering Matrix](#)

[Current Density](#)

[Scattering](#)

[Coefficients](#)

[Resonance and](#)

[Tunneling](#)

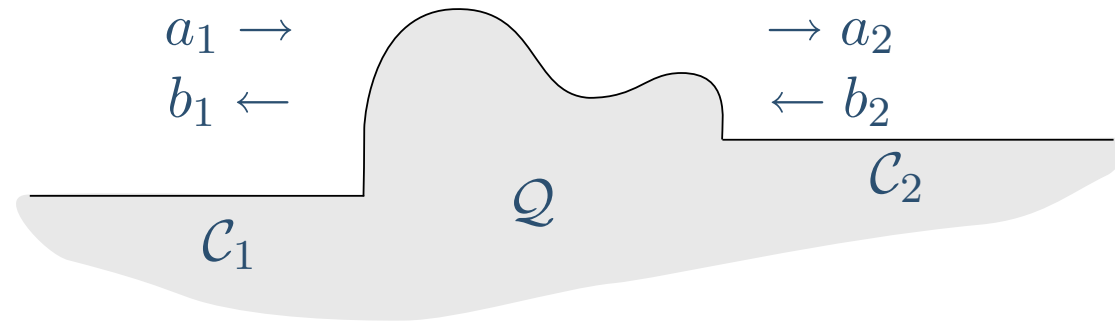
[Liouville Solution](#)

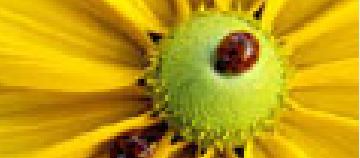
[Examples](#)

[Conclusions](#)

$$\text{In } \mathcal{C} : \Psi(x) = \begin{cases} a_1 e^{i\kappa_1 x} + b_1 e^{-i\kappa_1 x}, & x \in \mathcal{C}_1 \\ b_2 e^{i\kappa_2 x} + b_2 e^{-i\kappa_2 x}, & x \in \mathcal{C}_2 \end{cases}$$

$$\text{where } \kappa_j = \sqrt{p^2 - 2mV_j/\varepsilon}$$



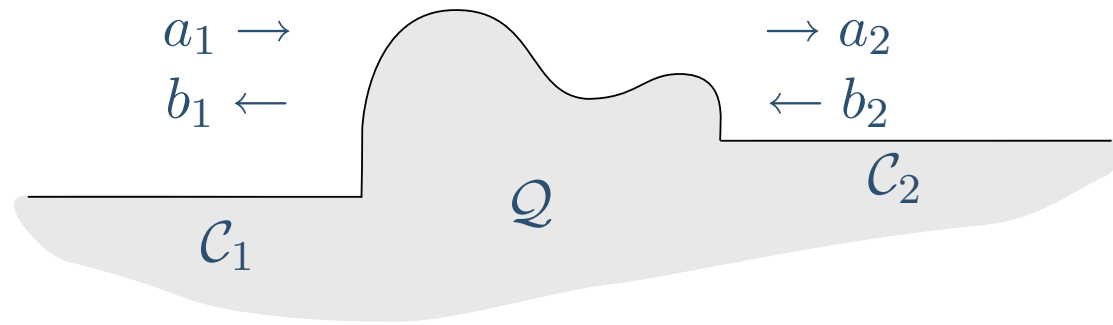


Transfer Matrix

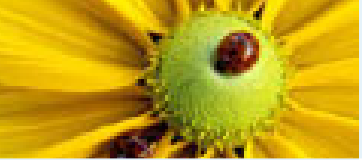
- Background
- Semi-classical Limit
- Mixed Model
- Schrödinger Solution
- Transfer Matrix**
- Scattering Matrix
- Current Density
- Scattering Coefficients
- Resonance and Tunneling
- Liouville Solution
- Examples
- Conclusions

$$\text{In } \mathcal{C} : \Psi(x) = \begin{cases} a_1 e^{i\kappa_1 x} + b_1 e^{-i\kappa_1 x}, & x \in \mathcal{C}_1 \\ b_2 e^{i\kappa_2 x} + b_2 e^{-i\kappa_2 x}, & x \in \mathcal{C}_2 \end{cases}$$

$$\text{where } \kappa_j = \sqrt{p^2 - 2mV_j/\epsilon}$$



$$\text{In } \mathcal{Q}: \text{ linear, 2nd-order BVP, so } \begin{pmatrix} a_2 \\ b_2 \end{pmatrix} = M \begin{pmatrix} a_1 \\ b_1 \end{pmatrix}$$



Transfer Matrix

[Background](#)

[Semi-classical Limit](#)

[Mixed Model](#)

[Schrödinger Solution](#)

[Transfer Matrix](#)

[Scattering Matrix](#)

[Current Density](#)

[Scattering](#)

[Coefficients](#)

[Resonance and](#)

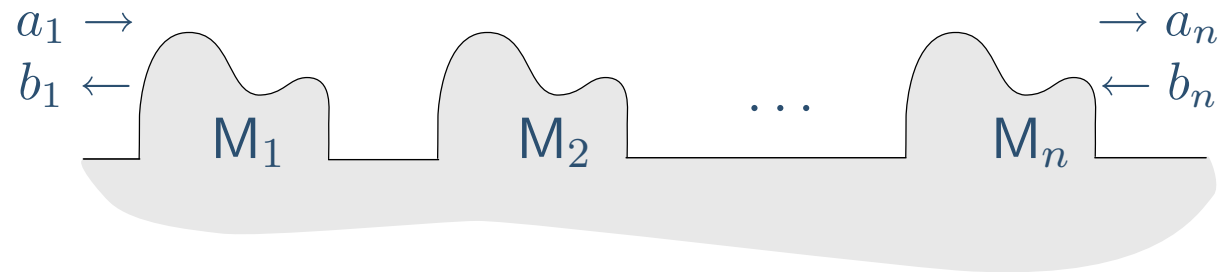
[Tunneling](#)

[Liouville Solution](#)

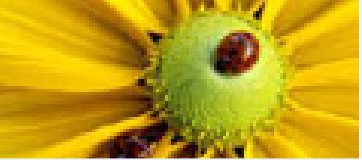
[Examples](#)

[Conclusions](#)

Multiple barriers



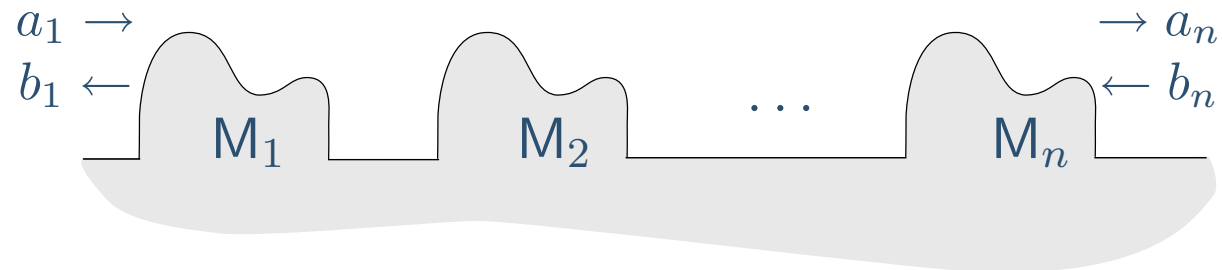
$$\begin{pmatrix} a_n \\ b_n \end{pmatrix} = \underbrace{M_n M_{n-1} \cdots M_1}_M \begin{pmatrix} a_1 \\ b_1 \end{pmatrix}$$



Transfer Matrix

- [Background](#)
- [Semi-classical Limit](#)
- [Mixed Model](#)
- [Schrödinger Solution](#)
- [Transfer Matrix](#)**
- [Scattering Matrix](#)
- [Current Density](#)
- [Scattering Coefficients](#)
- [Resonance and Tunneling](#)
- [Liouville Solution](#)
- [Examples](#)
- [Conclusions](#)

Multiple barriers

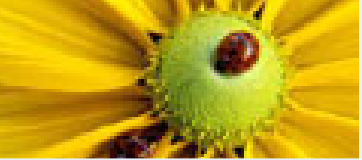


$$\begin{pmatrix} a_n \\ b_n \end{pmatrix} = \underbrace{M_n M_{n-1} \cdots M_1}_M \begin{pmatrix} a_1 \\ b_1 \end{pmatrix}$$

Two simple barriers

- Step (D)
- Translation (P)

Transfer Matrix



[Background](#)

[Semi-classical Limit](#)

[Mixed Model](#)

[Schrödinger Solution](#)

[Transfer Matrix](#)

[Scattering Matrix](#)

[Current Density](#)

[Scattering](#)

[Coefficients](#)

[Resonance and](#)

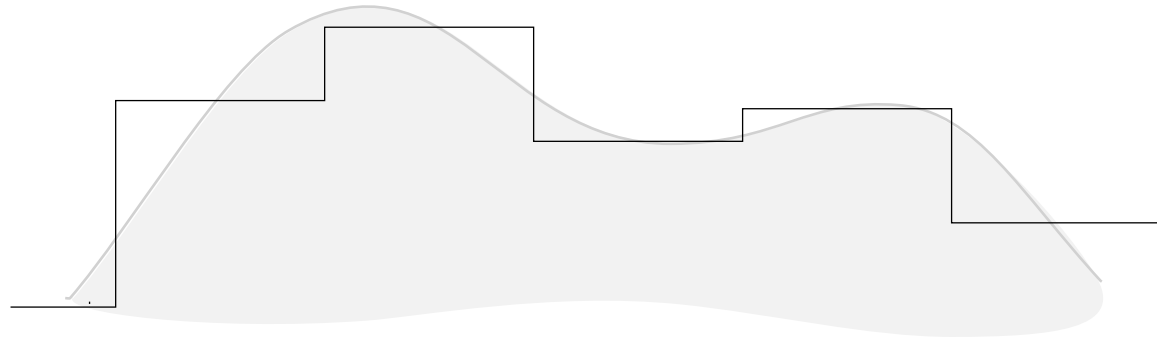
[Tunneling](#)

[Liouville Solution](#)

[Examples](#)

[Conclusions](#)

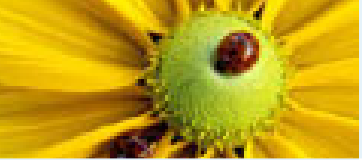
Arbitrary barrier



$$M_j = P_{j+1}^{1/2} D_j P_j^{1/2}$$

$$D_j = \frac{1}{2} \begin{pmatrix} 1 + \kappa_{j-1}/\kappa_j & 1 - \kappa_{j-1}/\kappa_j \\ 1 - \kappa_{j-1}/\kappa_j & 1 + \kappa_{j-1}/\kappa_j \end{pmatrix}$$

$$P_j = \begin{pmatrix} \exp(i\Delta x \kappa_j) & 0 \\ 0 & \exp(-i\Delta x \kappa_j) \end{pmatrix}$$



Scattering Matrix

[Background](#)

[Semi-classical Limit](#)

[Mixed Model](#)

[Schrödinger Solution](#)

[Transfer Matrix](#)

[Scattering Matrix](#)

[Current Density](#)

[Scattering](#)

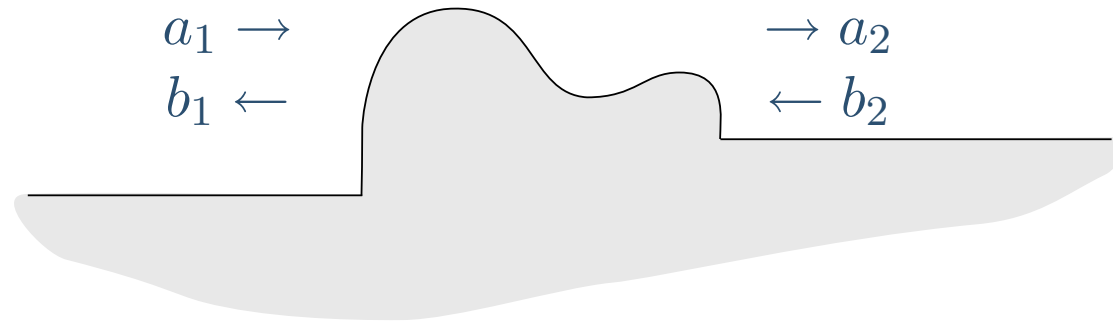
[Coefficients](#)

[Resonance and
Tunneling](#)

[Liouville Solution](#)

[Examples](#)

[Conclusions](#)



Transfer matrix

$$\begin{pmatrix} a_2 \\ b_2 \end{pmatrix} = M \begin{pmatrix} a_1 \\ b_1 \end{pmatrix}$$

Scattering matrix

$$\begin{pmatrix} b_1 \\ a_2 \end{pmatrix} = S \begin{pmatrix} a_1 \\ b_2 \end{pmatrix}$$

$$M = \begin{pmatrix} m_{11} & m_{12} \\ m_{21} & m_{22} \end{pmatrix}$$

$$S = \begin{pmatrix} r_1 & t_2 \\ t_1 & r_2 \end{pmatrix} = \begin{pmatrix} -m_{21}/m_{22} & 1/m_{22} \\ \det M/m_{22} & m_{12}/m_{22} \end{pmatrix}$$



Current Density

[Background](#)

[Semi-classical Limit](#)

[Mixed Model](#)

[Schrödinger Solution](#)

[Transfer Matrix](#)

[Scattering Matrix](#)

[Current Density](#)

[Scattering](#)

[Coefficients](#)

[Resonance and](#)

[Tunneling](#)

[Liouville Solution](#)

[Examples](#)

[Conclusions](#)

Schrödinger equation

$$\mathcal{S}\Psi(x, t) = \frac{\partial}{\partial t}\Psi(x, t) + \frac{\varepsilon}{2i}\varepsilon\Delta\Psi(x, t) - \frac{1}{\varepsilon i}V(x)\Psi(x, t) = 0$$

Consider:

$$2\text{Re} [\bar{\Psi}\mathcal{S}\Psi] = \bar{\Psi}\mathcal{S}\Psi + \Psi\overline{\mathcal{S}\Psi} = 0$$



Current Density

Background

Semi-classical Limit

Mixed Model

Schrödinger Solution

Transfer Matrix

Scattering Matrix

Current Density

Scattering

Coefficients

Resonance and

Tunneling

Liouville Solution

Examples

Conclusions

Schrödinger equation

$$\mathcal{S}\Psi(x, t) = \frac{\partial}{\partial t}\Psi(x, t) + \frac{\varepsilon}{2i}\varepsilon\Delta\Psi(x, t) - \frac{1}{\varepsilon i}V(x)\Psi(x, t) = 0$$

Consider:

$$2\text{Re}[\bar{\Psi}\mathcal{S}\Psi] = \bar{\Psi}\mathcal{S}\Psi + \Psi\overline{\mathcal{S}\Psi} = 0$$

Continuity equation

$$\frac{\partial}{\partial t}\rho(x, t) + \nabla \cdot J = 0$$

where probability current density $J = \varepsilon\text{Im}[\bar{\Psi}\nabla\Psi]$



Scattering Coefficients

Background

Semi-classical Limit

Mixed Model

Schrödinger Solution

Transfer Matrix

Scattering Matrix

Current Density

**Scattering
Coefficients**

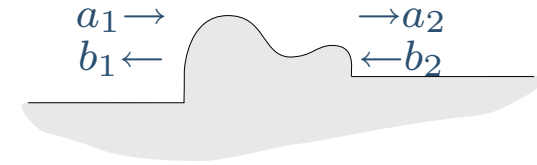
Resonance and
Tunneling

Liouville Solution

Examples

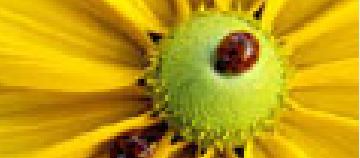
Conclusions

$$\Psi = \begin{cases} a_1 e^{i\kappa_1 x} + b_1 e^{-i\kappa_1 x}, & x \in \mathcal{C}_1 \\ a_2 e^{i\kappa_2 x} + b_2 e^{-i\kappa_2 x}, & x \in \mathcal{C}_2 \end{cases}$$



So,

$$J(x) = \varepsilon \text{Im} [\bar{\Psi} \nabla \Psi] = \begin{cases} \kappa_1 (|a_1|^2 - |b_1|^2), & x \in \mathcal{C}_1 \\ \kappa_2 (|a_2|^2 - |b_2|^2), & x \in \mathcal{C}_2 \end{cases}$$



Scattering Coefficients

Background

Semi-classical Limit

Mixed Model

Schrödinger Solution

Transfer Matrix

Scattering Matrix

Current Density

Scattering
Coefficients

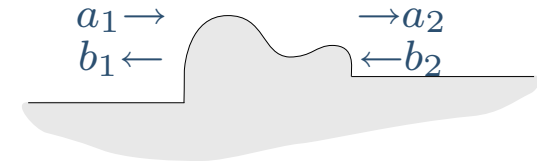
Resonance and
Tunneling

Liouville Solution

Examples

Conclusions

$$\Psi = \begin{cases} a_1 e^{i\kappa_1 x} + b_1 e^{-i\kappa_1 x}, & x \in \mathcal{C}_1 \\ a_2 e^{i\kappa_2 x} + b_2 e^{-i\kappa_2 x}, & x \in \mathcal{C}_2 \end{cases}$$



So,

$$J(x) = \varepsilon \text{Im} [\bar{\Psi} \nabla \Psi] = \begin{cases} \kappa_1 (|a_1|^2 - |b_1|^2), & x \in \mathcal{C}_1 \\ \kappa_2 (|a_2|^2 - |b_2|^2), & x \in \mathcal{C}_2 \end{cases}$$

Particle incident from left: $b_2 = 0$ then $a_2 = t_1 a_1$ and $b_1 = r_1 a_1$

$$J(x) = \begin{cases} \kappa_1 |a_1|^2 (1 - |r_1|^2), & x \in \mathcal{C}_1 \\ \kappa_2 |a_2|^2 |t_1|^2, & x \in \mathcal{C}_2 \end{cases}$$



Scattering Coefficients

- Background
- Semi-classical Limit
- Mixed Model
- Schrödinger Solution
- Transfer Matrix
- Scattering Matrix
- Current Density
- Scattering Coefficients**
- Resonance and Tunneling
- Liouville Solution
- Examples
- Conclusions

$$\Psi = \begin{cases} a_1 e^{i\kappa_1 x} + b_1 e^{-i\kappa_1 x}, & x \in \mathcal{C}_1 \\ a_2 e^{i\kappa_2 x} + b_2 e^{-i\kappa_2 x}, & x \in \mathcal{C}_2 \end{cases}$$



So,

$$J(x) = \varepsilon \text{Im} [\bar{\Psi} \nabla \Psi] = \begin{cases} \kappa_1 (|a_1|^2 - |b_1|^2), & x \in \mathcal{C}_1 \\ \kappa_2 (|a_2|^2 - |b_2|^2), & x \in \mathcal{C}_2 \end{cases}$$

Particle incident from left: $b_2 = 0$ then $a_2 = t_1 a_1$ and $b_1 = r_1 a_1$

$$J(x) = \begin{cases} \kappa_1 |a_1|^2 (1 - |r_1|^2), & x \in \mathcal{C}_1 \\ \kappa_2 |a_2|^2 |t_1|^2, & x \in \mathcal{C}_2 \end{cases}$$

Reflection probability	$R_1 = r_1 ^2$
Transmission probability	$T_1 = (\kappa_2 / \kappa_1) t_1 ^2$



Resonance and Tunneling

[Background](#)

[Semi-classical Limit](#)

[Mixed Model](#)

[Schrödinger Solution](#)

[Transfer Matrix](#)

[Scattering Matrix](#)

[Current Density](#)

[Scattering](#)

[Coefficients](#)

[Resonance and Tunneling](#)

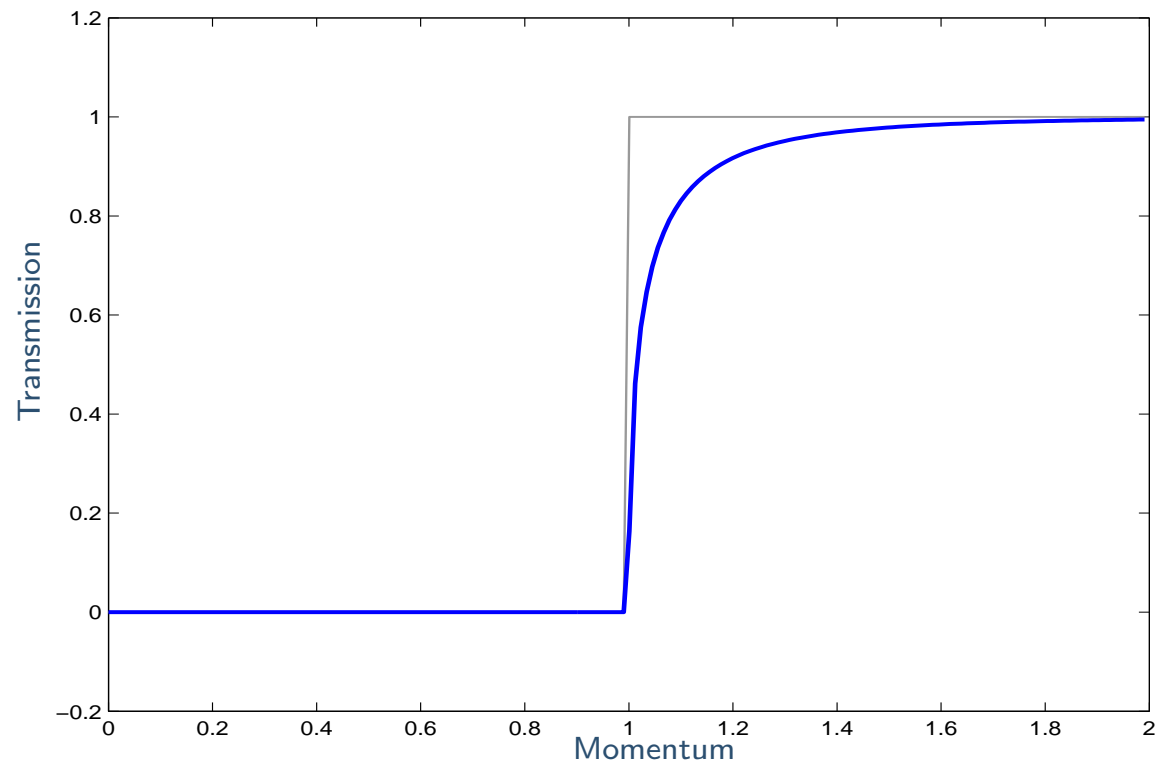
[Liouville Solution](#)

[Examples](#)

[Conclusions](#)

Rectangular potential with height = $1/2$ and width 2ϵ

Step up





Resonance and Tunneling

[Background](#)

[Semi-classical Limit](#)

[Mixed Model](#)

[Schrödinger Solution](#)

[Transfer Matrix](#)

[Scattering Matrix](#)

[Current Density](#)

[Scattering](#)

[Coefficients](#)

[Resonance and Tunneling](#)

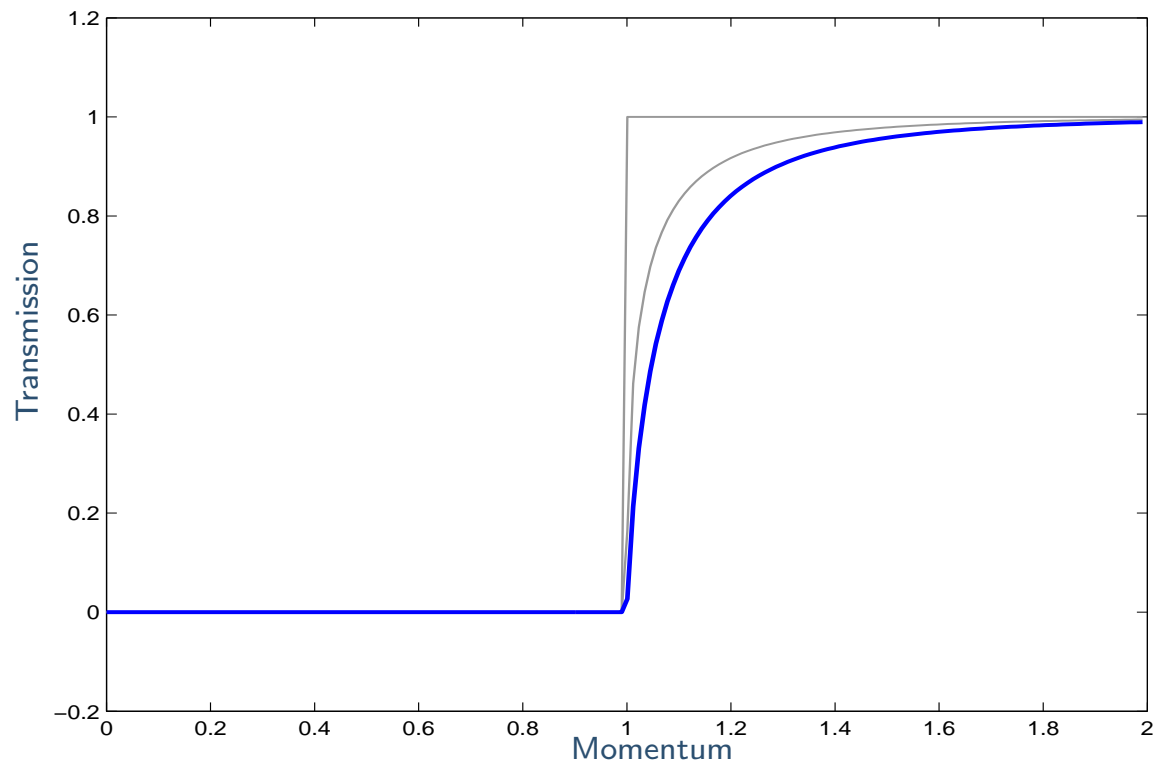
[Liouville Solution](#)

[Examples](#)

[Conclusions](#)

Rectangular potential with height = $1/2$ and width 2ε

Step up + step down **independently**





Resonance and Tunneling

[Background](#)

[Semi-classical Limit](#)

[Mixed Model](#)

[Schrödinger Solution](#)

[Transfer Matrix](#)

[Scattering Matrix](#)

[Current Density](#)

[Scattering](#)

[Coefficients](#)

[Resonance and Tunneling](#)

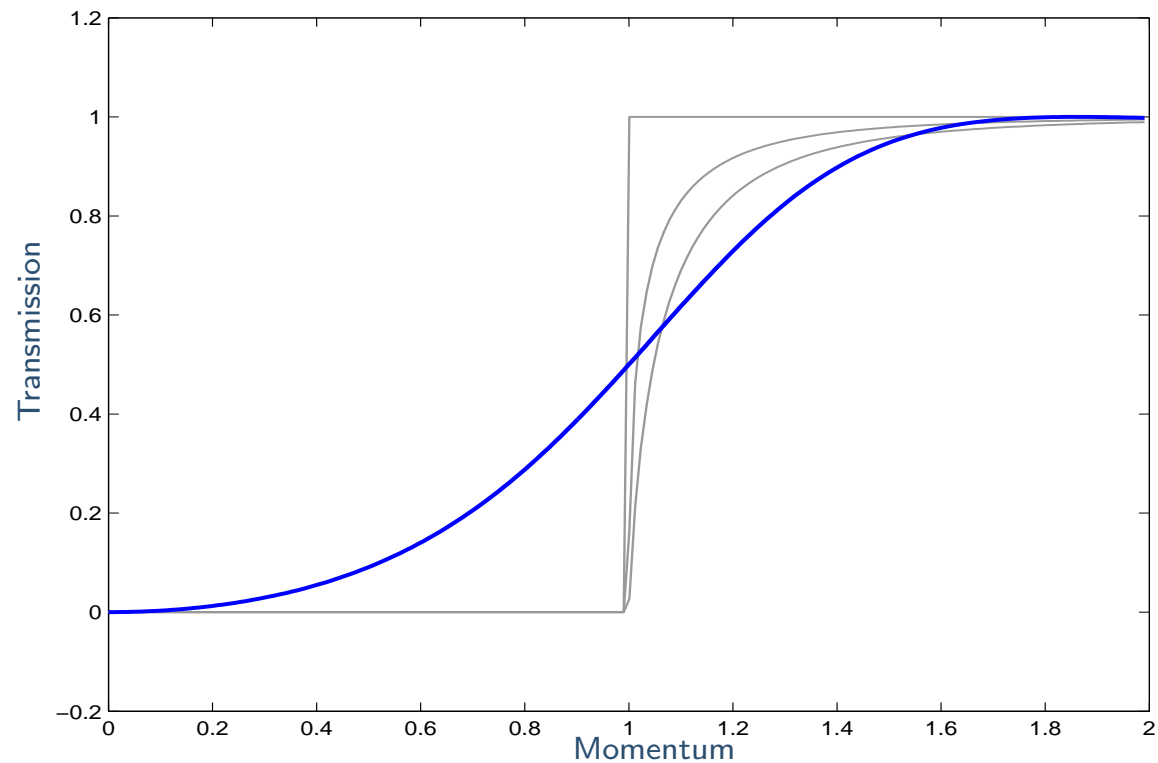
[Liouville Solution](#)

[Examples](#)

[Conclusions](#)

Rectangular potential with height = $1/2$ and width 2ε

Step up + step down **combined**





Resonance and Tunneling

[Background](#)

[Semi-classical Limit](#)

[Mixed Model](#)

[Schrödinger Solution](#)

[Transfer Matrix](#)

[Scattering Matrix](#)

[Current Density](#)

[Scattering](#)

[Coefficients](#)

[Resonance and Tunneling](#)

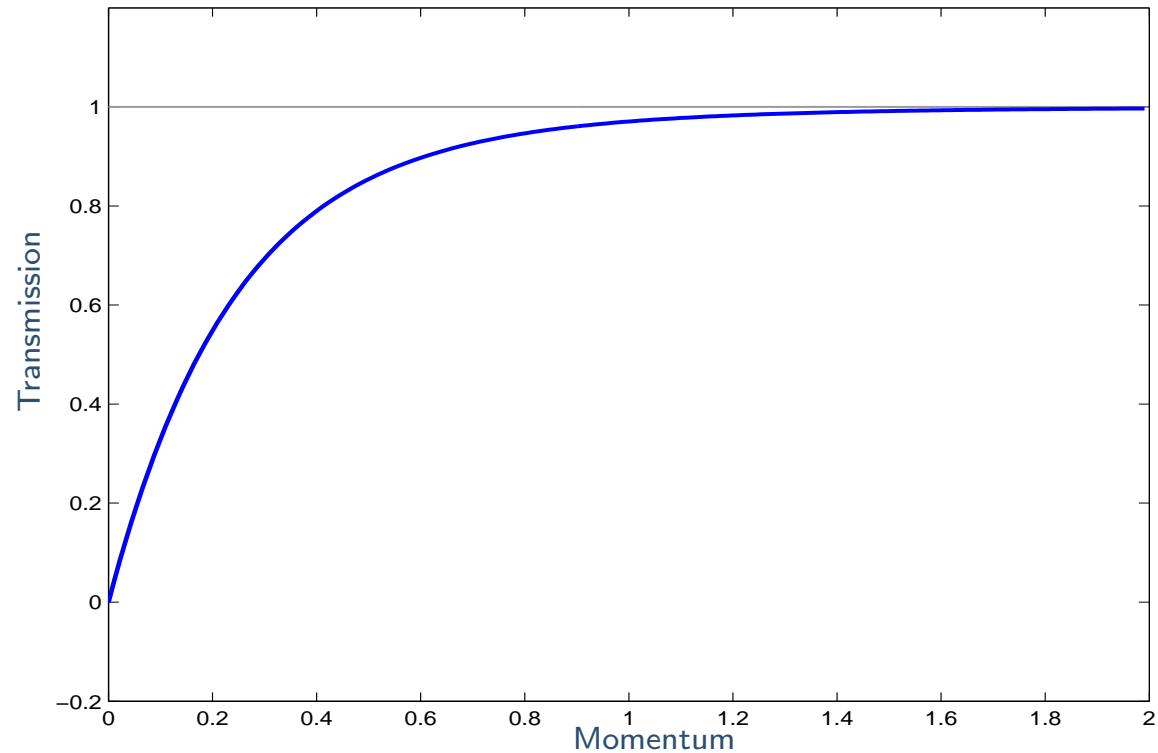
[Liouville Solution](#)

[Examples](#)

[Conclusions](#)

Rectangular potential with height = $-1/2$ and width 8ε

Step down





Resonance and Tunneling

[Background](#)

[Semi-classical Limit](#)

[Mixed Model](#)

[Schrödinger Solution](#)

[Transfer Matrix](#)

[Scattering Matrix](#)

[Current Density](#)

[Scattering](#)

[Coefficients](#)

[Resonance and Tunneling](#)

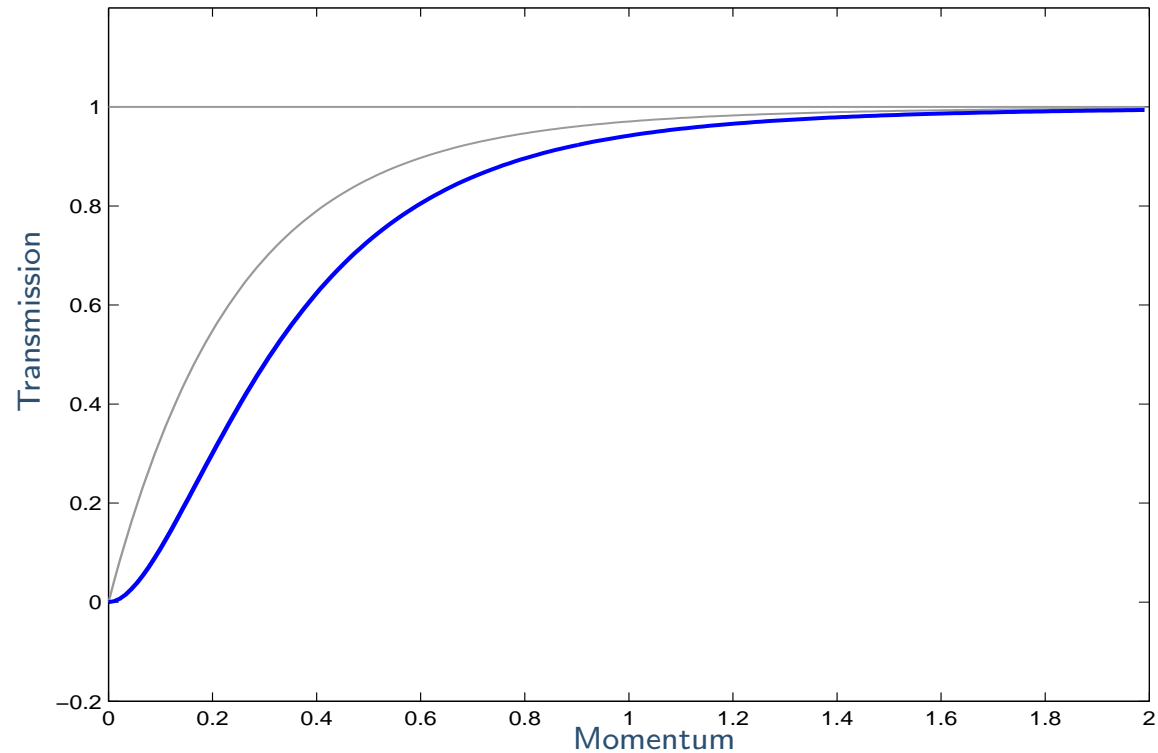
[Liouville Solution](#)

[Examples](#)

[Conclusions](#)

Rectangular potential with height = $-1/2$ and width 8ε

Step down + step up **independently**





Resonance and Tunneling

[Background](#)

[Semi-classical Limit](#)

[Mixed Model](#)

[Schrödinger Solution](#)

[Transfer Matrix](#)

[Scattering Matrix](#)

[Current Density](#)

[Scattering](#)

[Coefficients](#)

[Resonance and Tunneling](#)

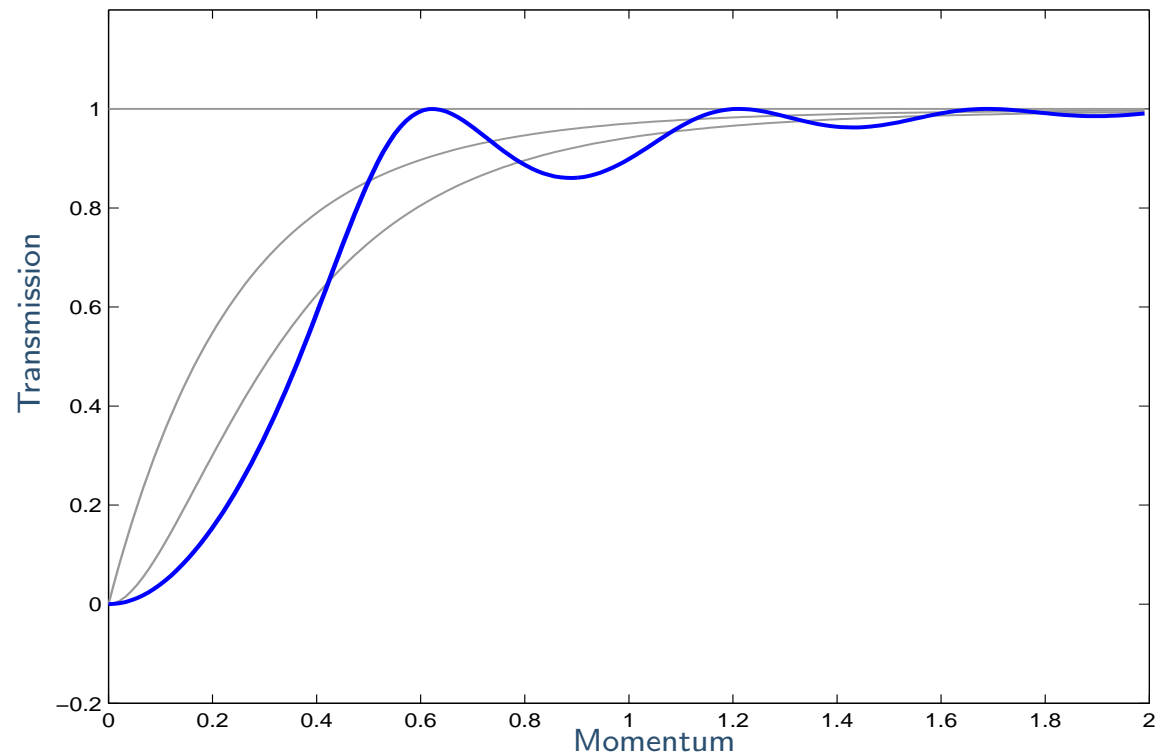
[Liouville Solution](#)

[Examples](#)

[Conclusions](#)

Rectangular potential with height = $-1/2$ and width 8ε

Step down + step up **combined**



Semi-classical Liouville Equation



Background

Semi-classical Limit

Mixed Model

Schrödinger Solution

Liouville Solution

**Semi-classical
Liouville Equation**

Finite Difference
Scheme

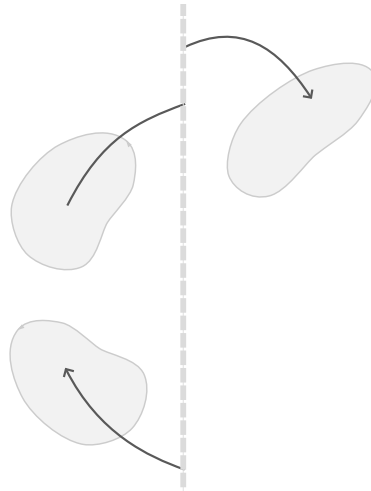
Barrier Interface

2nd order method

Ghost fluid

Examples

Conclusions



Bicharacteristics:

- Classical particle is either transmitted **or** reflected
 - Quantum particle is generally both transmitted **and** reflected
-
- Hamiltonian $p^2/2m - V(x)$ constant along characteristics
 - Particle density $f(x, p, t)$ carried along bicharacteristics

Finite Difference Scheme

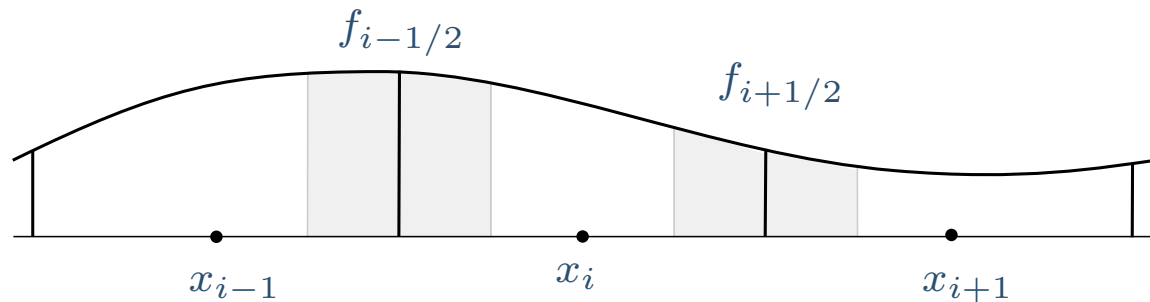
- Background
- Semi-classical Limit
- Mixed Model
- Schrödinger Solution
- Liouville Solution
- Semi-classical Liouville Equation
- Finite Difference Scheme**
- Barrier Interface
- 2nd order method
- Ghost fluid
- Examples
- Conclusions

$$f_t + v f_x - V_x f_v = 0$$

Grid points at (x_i, v_j) . Barrier at $x_{Z+1/2}$.

$$\partial_t f_{ij} + v_j \cdot \partial_x f_{ij} - \partial_x V_i \cdot \partial_v f_{ij} = 0$$

where $\partial_x f_{ij} = (f_{i+1/2,j} - f_{i-1/2,j}) / \Delta x$

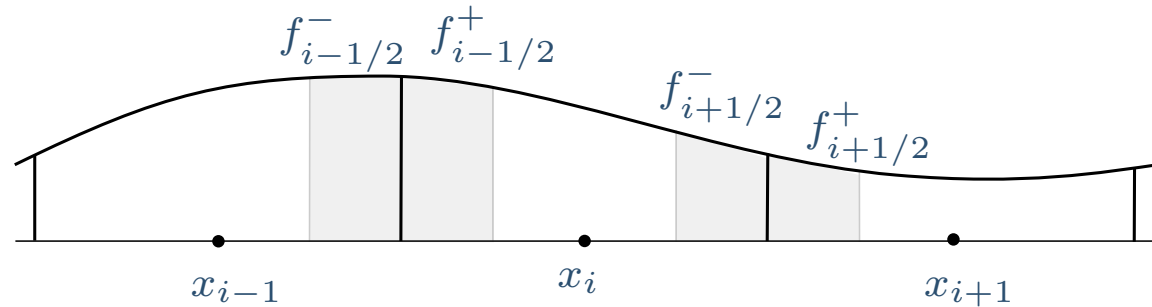


Stability requires upwinding to approximate $f_{i\pm 1/2}$



Finite Difference Scheme

- Background
- Semi-classical Limit
- Mixed Model
- Schrödinger Solution
- Liouville Solution
- Semi-classical Liouville Equation
- Finite Difference Scheme**
- Barrier Interface
- 2nd order method
- Ghost fluid
- Examples
- Conclusions



Where $f_{i\pm 1/2}$ is continuous, $f_{i\pm 1/2}^- = f_{i\pm 1/2}^+$

$$\partial_x f_{ij} = \frac{f_{i+1/2,j}^- - f_{i-1/2,j}^-}{\Delta x} \quad \text{if } v_j > 0$$
$$\partial_x f_{ij} = \frac{f_{i+1/2,j}^+ - f_{i-1/2,j}^+}{\Delta x} \quad \text{if } v_j < 0$$



Barrier Interface

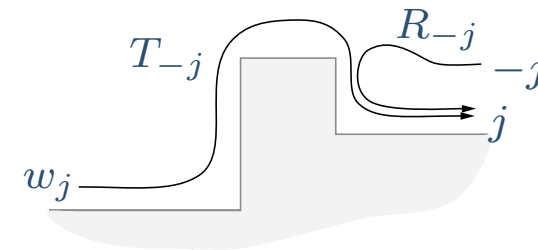
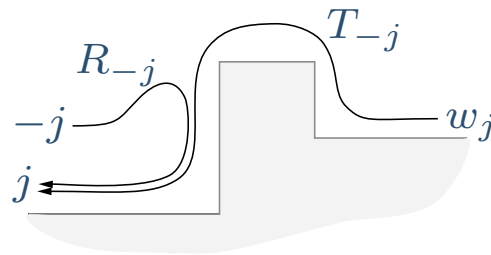
- [Background](#)
- [Semi-classical Limit](#)
- [Mixed Model](#)
- [Schrödinger Solution](#)
- [Liouville Solution](#)
- [Semi-classical Liouville Equation](#)
- [Finite Difference Scheme](#)
- [Barrier Interface](#)**
- [2nd order method](#)
- [Ghost fluid](#)
- [Examples](#)
- [Conclusions](#)

At the quantum barrier $x_{Z+1/2}$, we need to incorporate information from two bicharacteristics.

Barrier interface condition

$$f_{Z+1/2,j}^+ = R_{-j} f_{Z+1/2,-j}^+ + T_{-j} f_{Z+1/2,w(j)}^-$$

$$f_{Z+1/2,j}^- = R_{-j} f_{Z+1/2,-j}^- + T_{-j} f_{Z+1/2,w(j)}^+$$





Barrier Interface

[Background](#)

[Semi-classical Limit](#)

[Mixed Model](#)

[Schrödinger Solution](#)

[Liouville Solution](#)

[Semi-classical](#)

[Liouville Equation](#)

[Finite Difference](#)

[Scheme](#)

[Barrier Interface](#)

[2nd order method](#)

[Ghost fluid](#)

[Examples](#)

[Conclusions](#)

At the quantum barrier $x_{Z+1/2}$, we need to incorporate information from two bicharacteristics.

Barrier interface condition

$$f_{Z+1/2,j}^+ = R_{-j} f_{Z+1/2,-j}^+ + T_{-j} f_{Z+1/2,w(j)}^-$$

$$f_{Z+1/2,j}^- = R_{-j} f_{Z+1/2,-j}^- + T_{-j} f_{Z+1/2,w(j)}^+$$

We use the approximation

$$T_{-j} f_{Z+1/2,w(j)}^+ = \frac{1}{v_j \Delta v} \int_{w(v_{j-1/2})}^{w(v_{j+1/2})} T(v) v f^- dv$$

where we use Hamiltonian to determine w

$$w(v_{\pm|j|}) = \pm \sqrt{v_j^2 \pm 2(V_{Z+1/2}^+ - V_{Z+1/2}^-)}$$

2nd order method

[Background](#)

[Semi-classical Limit](#)

[Mixed Model](#)

[Schrödinger Solution](#)

[Liouville Solution](#)

[Semi-classical](#)

[Liouville Equation](#)

[Finite Difference
Scheme](#)

[Barrier Interface](#)

[2nd order method](#)

[Ghost fluid](#)

[Examples](#)

[Conclusions](#)

Piecewise linear:

$$f_{i-1/2,j}^+ = f_{i,j} - \frac{1}{2} (1 - \lambda_j) \Delta x \sigma_{ij}^x$$

$$f_{i+1/2,j}^- = f_{i,j} + \frac{1}{2} (1 - \lambda_j) \Delta x \sigma_{ij}^x$$

with the slope σ_{ij}^x calculated using the Van Leer slope limiter

$$\sigma_{ij}^x = \left(\frac{f_{ij} - f_{i-1,j}}{\Delta x} \right) \phi \left(\frac{f_{i+1,j} - f_{ij}}{f_{ij} - f_{i-1,j}} \right) \quad \text{where} \quad \phi(\theta) = \frac{\theta + |\theta|}{1 + |\theta|}$$

and the Courant number $\lambda_j = |v_j| \Delta t / \Delta x$

We can't do this directly across at the barrier!



Ghost fluid

Background

Semi-classical Limit

Mixed Model

Schrödinger Solution

Liouville Solution

Semi-classical

Liouville Equation

Finite Difference

Scheme

Barrier Interface

2nd order method

Ghost fluid

Examples

Conclusions

Across the barrier, we need to reconstruct “unmixed” flux.

For $j > 0$,

$$f_{Z+1,-w(-j)} = T_j \tilde{f}_{Z+1,j} + R_j \tilde{f}_{Z,w(-j)}$$

$$f_{Z,-j} = R_j \tilde{f}_{Z+1,j} + T_j \tilde{f}_{Z,w(-j)}$$

with a similar system for $j < 0$. By inverting this system of equations, we have the unmixed state downwind of the barrier

$$\tilde{f}_{Z+1,j} = \frac{T_j f_{Z+1,-w(-j)} - R_j f_{Z,-j}}{T_j - R_j} \quad \text{when } j > 0$$

$$\tilde{f}_{Z,j} = \frac{T_j f_{Z,-w(-j)} - R_j f_{Z+1,-j}}{T_j - R_j} \quad \text{when } j < 0$$



Step Potential

[Background](#)

[Semi-classical Limit](#)

[Mixed Model](#)

[Schrödinger Solution](#)

[Liouville Solution](#)

[Examples](#)

Step Potential

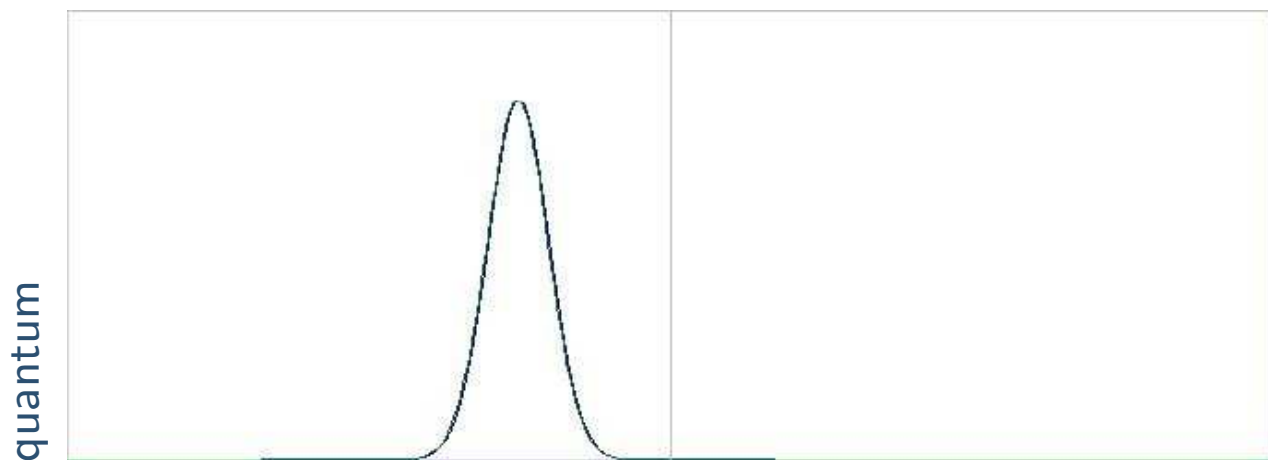
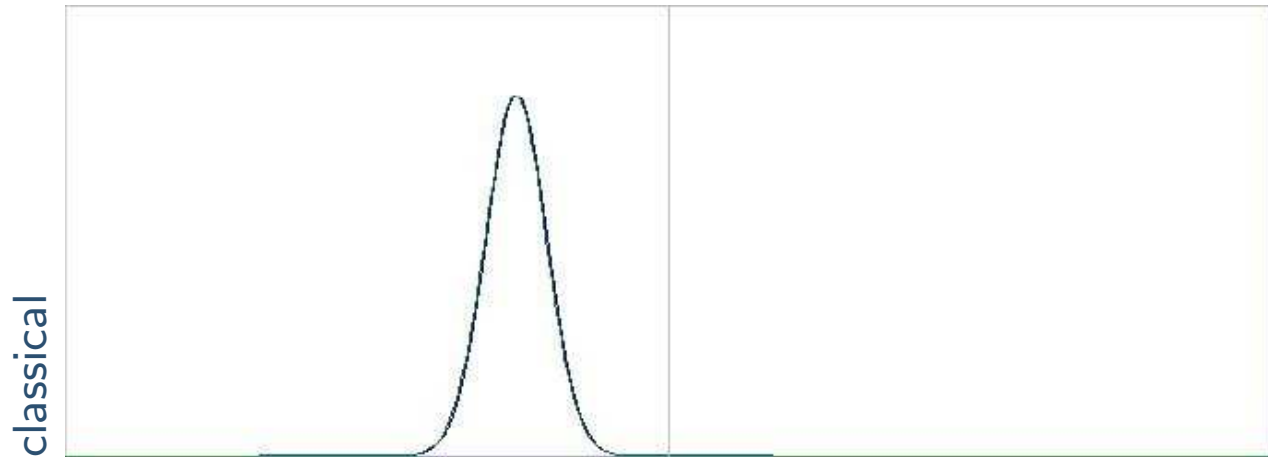
[Eckart Potential](#)

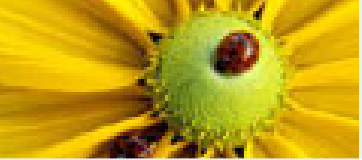
[Tunneling Diode](#)

[Rectangular
Potential](#)

[Conclusions](#)

$$V(x) = 0 \text{ if } x < 0 \text{ and } V(x) = -\frac{1}{2} \text{ if } x > 0, v_0 = \frac{1}{4}, \varepsilon = .005$$





Eckart Potential

[Background](#)

[Semi-classical Limit](#)

[Mixed Model](#)

[Schrödinger Solution](#)

[Liouville Solution](#)

[Examples](#)

[Step Potential](#)

[Eckart Potential](#)

[Tunneling Diode](#)

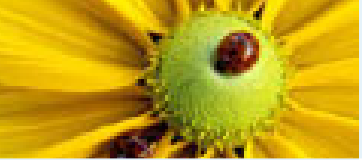
[Rectangular](#)

[Potential](#)

[Conclusions](#)

$$V(x) = -2 \operatorname{sech}^2(4x/\varepsilon) \text{ with } \varepsilon = .005$$





Tunneling Diode

[Background](#)

[Semi-classical Limit](#)

[Mixed Model](#)

[Schrödinger Solution](#)

[Liouville Solution](#)

[Examples](#)

[Step Potential](#)

[Eckart Potential](#)

[Tunneling Diode](#)

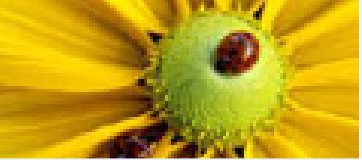
[Rectangular Potential](#)

[Potential](#)

[Conclusions](#)

$$V(x) = -x + \text{Rect}[-\varepsilon/2, \varepsilon/2](x) \text{ with } \varepsilon = .005$$





Rectangular Potential

[Background](#)

[Semi-classical Limit](#)

[Mixed Model](#)

[Schrödinger Solution](#)

[Liouville Solution](#)

[Examples](#)

[Step Potential](#)

[Eckart Potential](#)

[Tunneling Diode](#)

[Rectangular Potential](#)

[Conclusions](#)

$$V = \frac{1}{2}, \text{ width} = 25\varepsilon, v_0 = 0, \varepsilon = 0.005$$





Research Directions

Background

Semi-classical Limit

Mixed Model

Schrödinger Solution

Liouville Solution

Examples

Conclusions

Research Directions

Thank you

Simplifying assumptions

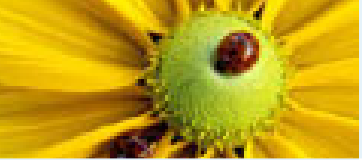
- Particle moves instantaneously across the barrier
- Barrier is sufficiently local
- Particle has no phase information (no long range interaction)

Incorrect/inaccurate for

- Larger quantum structures
- Smaller domains (nonvanishing ε)
- Periodic crystalline structures
- Highly resonant barriers

Extension of model

- Introduce time delay
- Introduce phase information
- Reconstruct solution inside the quantum barrier



Thank you

Background

Semi-classical Limit

Mixed Model

Schrödinger Solution

Liouville Solution

Examples

Conclusions

Research Directions

Thank you

Questions?