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## A Topological Theory of Rod Stirring

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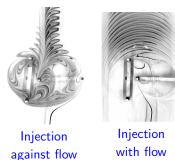
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# Channel flow: Injection into mixing region



- Four-rod stirring device, used in industry;
- Channel flow is upwards;
- Direction of rotation matters a lot!
- This is because it changes the injection point.
- Flow breaks symmetry.

Goals:

- Connect features to topology of rod motion: stretching rate, injection point, mixing region;
- Use topology to optimise stirring devices.

Experiments by E. Gouillart and O. Dauchot (CEA Saclay).

[movie 1] [movie 2] [movie 3]

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#### Mathematical description

Focus on closed systems.

Periodic stirring protocols in two dimensions can be described by a homeomorphism  $\varphi : S \to S$ , where S is a surface.

For instance, in a closed circular container,

- $\varphi$  describes the mapping of fluid elements after one full period of stirring, obtained from solving the Stokes equation;
- S is the disc with holes in it, corresponding to the stirring rods and distinguished periodic orbits.

Task: Categorise all possible  $\varphi$ .

 $\varphi$  and  $\psi$  are isotopic if  $\psi$  can be continuously 'reached' from  $\varphi$  without moving the rods. Write  $\varphi \simeq \psi.$ 

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### Thurston–Nielsen classification theorem

 $\varphi$  is isotopic to a homeomorphism  $\varphi',$  where  $\varphi'$  is in one of the following three categories:

- 1. finite-order: for some integer k > 0,  ${\varphi'}^k \simeq$  identity;
- 2. reducible:  $\varphi'$  leaves invariant a disjoint union of essential simple closed curves, called *reducing curves*;
- 3. pseudo-Anosov:  $\varphi'$  leaves invariant a pair of transverse measured singular foliations,  $\mathfrak{F}^{\mathrm{u}}$  and  $\mathfrak{F}^{\mathrm{s}}$ , such that  $\varphi'(\mathfrak{F}^{\mathrm{u}}, \mu^{\mathrm{u}}) = (\mathfrak{F}^{\mathrm{u}}, \lambda \, \mu^{\mathrm{u}})$  and  $\varphi'(\mathfrak{F}^{\mathrm{s}}, \mu^{\mathrm{s}}) = (\mathfrak{F}^{\mathrm{s}}, \lambda^{-1} \mu^{\mathrm{s}})$ , for dilatation  $\lambda \in \mathbb{R}_{+}$ ,  $\lambda > 1$ .

The three categories characterise the isotopy class of  $\varphi$ .

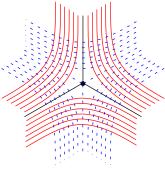
Number 3 is the one we want for good mixing

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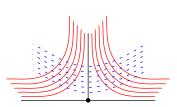
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## A singular foliation

The 'pseudo' in pseudo-Anosov refers to the fact that the foliations can have a finite number of pronged singularities.



3-pronged singularity



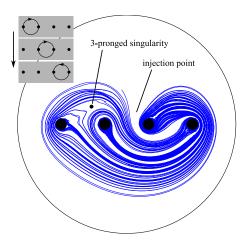
Boundary singularity

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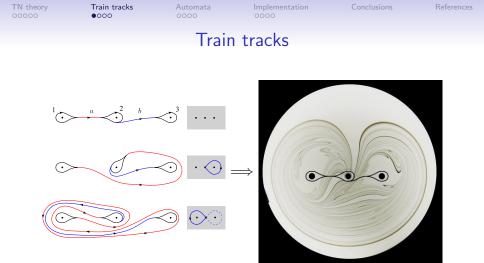
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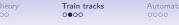
### Visualising a singular foliation



- A four-rod stirring protocol;
- Material lines trace out leaves of the unstable foliation;
- Each rod has a 1-pronged singularity.
- One 3-pronged singularity in the bulk.
- One injection point (top): corresponds to boundary singularity;



Thurston introduced train tracks as a way of characterising the measured foliation. The name stems from the 'cusps' that look like train switches.



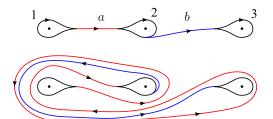
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#### Train track map for figure-eight



 $a \mapsto a \overline{2} \overline{a} \overline{1} a b \overline{3} \overline{b} \overline{a} 1 a, \qquad b \mapsto \overline{2} \overline{a} \overline{1} a b$ 

Easy to show that this map is efficient: under repeated iteration, cancellations of the type  $a\bar{a}$  or  $b\bar{b}$  never occur.

There are algorithms, such as Bestvina & Handel (1992), to find efficient train tracks. (Toby Hall has an implementation in C++.)

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## **Topological Entropy**

As the TT map is iterated, the number of symbols grows exponentially, at a rate given by the topological entropy,  $\log \lambda$ . This is a lower bound on the minimal length of a material line caught on the rods.

Find from the TT map by Abelianising: count the number of occurences of *a* and *b*, and write as matrix:

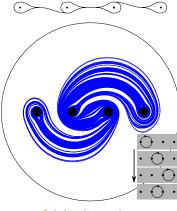
$$\begin{pmatrix} \mathsf{a} \\ \mathsf{b} \end{pmatrix} \mapsto \begin{pmatrix} \mathsf{5} & \mathsf{2} \\ \mathsf{2} & \mathsf{1} \end{pmatrix} \begin{pmatrix} \mathsf{a} \\ \mathsf{b} \end{pmatrix}$$

The largest eigenvalue of the matrix is  $\lambda = 1 + \sqrt{2} \simeq 2.41$ . Hence, asymptotically, the length of the 'blob' is multiplied by 2.41 for each full stirring period.

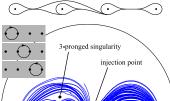
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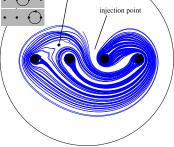
References

#### Two types of stirring protocols for 4 rods



2 injection points





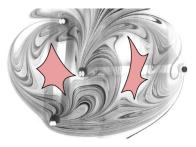
1 injection pt, 1 3-prong sing.

Topological index formulas allow us to classify train tracks, and thus stirring protocols.

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### Back to the experiment



- Two 5-pronged singularities clearly visible;
- Created by the "slicing" of the rods;
- Only one injection point, at the top.
- Each 5-prong rotates unidirectionally;
- They are never interchanged with each other;
- Hence, the experimental picture greatly limits the possible pseudo-Anosovs that can occur.

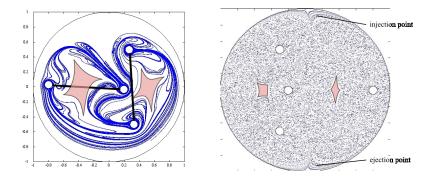
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## Two 4-pronged singularities

Same protocol, but in a closed container.



Varying the geometry changes the number of prongs: the pronged singularities rotate but lag behind the rods. Smaller rods will increase this lag, and thus the prongness.

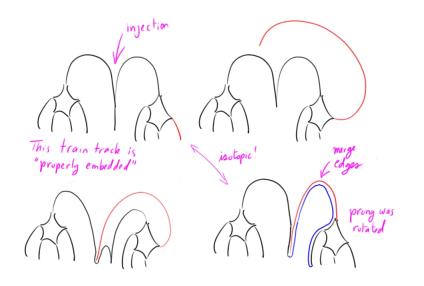
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#### A train track folding automaton



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## Train track automata (continued)

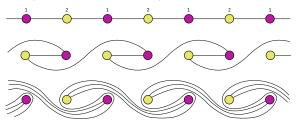
- Train track automata are a rigorous way of generating all pseudo-Anosovs associated with a train track.
- We know the train track type for our 4-rod experiment, just from watching the movie.
- The tiny automaton we built uniquely incorporates the constraints.
- Obtain a train track map by examining how edges are transformed and merged.
- For two *k*-prongs, the dilatation  $\lambda$  is the largest root of  $x^{2k} x^{2k-1} 4x^k x + 1$ .
- Decreases with *k*, which indicates that smaller rods have less effect (shocking!).

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### Periodic Array of Rods

- Consider periodic lattice of rods.
- Move all the rods such that they execute σ<sub>1</sub> σ<sub>2</sub><sup>-1</sup> with their neighbor (Boyland et al., 2000).



- The entropy per 'switch' is log  $\chi,$  where  $\chi=1+\sqrt{2}$  is the Silver Ratio!
- This is optimal for a periodic lattice of two rods (Follows from D'Alessandro et al. (1999)).
- Work with postdoc M. D. Finn (now in Adelaide).

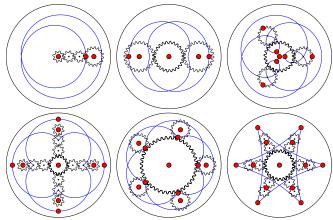
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#### Silver Mixers!

- The designs with entropy given by the silver ratio can be realised with simple gears.
- All the rods move at once: very efficient.



[movie 4]

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#### Four Rods





[movie 5] [movie 6]

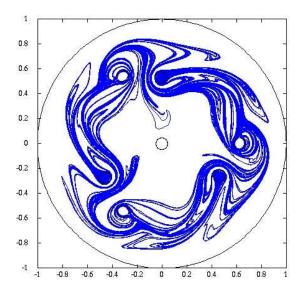
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#### Six Rods



[movie 7]

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## Conclusions

- Having rods undergo 'braiding' motion guarantees a minimal amound of entropy (stretching of material lines).
- Topology also predicts injection into the mixing region, important for open flows.
- Classify all rod motions and periodic orbits according to their topological properties.
- Train track automata allow exploration of possible pseudo-Anosovs, and can be used for rigorous proofs.
- We have an optimal design, the silver mixers.
- Need to also optimise other mixing measures, such as variance decay rate.
- Holy grail: Three dimensions! (though current work applies to many 3D situations...)

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