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# Nonlinear dynamics of phase separation in thin films

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## Phase separation in thin layers

- Many practical reasons for studying phase separation in thin layers.
- Thin polymer films are used in the fabrication of semiconductor devices.
- Paints and coatings, which are typically mixtures of polymers.
- Self-assembly: molecules respond to an energy-minimisation requirement by spontaneously forming large-scale structures.
- Main reason: it's a nice problem.



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### Cahn-Hilliard Equation

The celebrated Cahn-Hilliard equation:

$$\frac{\partial c}{\partial t} = D\nabla^2 (c^3 - c - \gamma \nabla^2 c)$$

- $c = \pm 1$  indicates total segregation (phase separation)
- Natural evolution is to phase separate into domains or bubbles
- D a diffusion coefficient
- $\sqrt{\gamma}$  gives the typical width of interface



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# **NSCH Equations**

The Navier-Stokes Cahn-Hilliard equations:

$$\begin{aligned} \frac{\partial \mathbf{v}}{\partial t} + \mathbf{v} \cdot \nabla \mathbf{v} &= \nabla \cdot T - \frac{1}{\rho} \nabla \phi, \qquad \nabla \cdot \mathbf{v} = 0, \\ \frac{\partial c}{\partial t} + \mathbf{v} \cdot \nabla c &= D \nabla^2 (c^3 - c - \gamma \nabla^2 c) \\ T_{ij} &= -\frac{p}{\rho} \delta_{ij} + \nu \left( \frac{\partial v_i}{\partial x_i} + \frac{\partial v_j}{\partial x_i} \right) - \beta \gamma \frac{\partial c}{\partial x_i} \frac{\partial c}{\partial x_i} \end{aligned}$$

- The concentration *c* is dragged by the fluid, but also dynamically feeds back on the fluid motion by exerting a stress, due to its tendency to phase separate.
- $\phi$  is a body-force potential
- This is of course a tough set of equations to solve...
- See for instance Ding et al. (2007).

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#### Long-wavelength expansion

To get a simple model that includes the dynamical feedback, make a long-wave expansion for a thin film with a free surface.

Assume he scale of lateral variations  $\ell$  is large compared with the scale of vertical variations  $h_0$ . The parameter  $\delta = h_0/\ell$  is small.



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Thin-film NSCH equations

$$\begin{split} \frac{\partial h}{\partial t} &+ \frac{\partial J}{\partial x} = 0\\ \frac{\partial}{\partial t} \left( ch \right) + \frac{\partial}{\partial x} \left( Jc \right) = \frac{\partial}{\partial x} \left( h \frac{\partial \mu}{\partial x} \right)\\ J &\coloneqq -\frac{1}{3} h^3 \left\{ \frac{\partial}{\partial x} \left( -\frac{1}{C} \frac{\partial^2 h}{\partial x^2} + \phi \right) + \frac{r}{h} \frac{\partial}{\partial x} \left[ h \left( \frac{\partial c}{\partial x} \right)^2 \right] \right\}\\ \mu &\coloneqq c^3 - c - C_n^2 \frac{1}{h} \frac{\partial}{\partial x} \left( h \frac{\partial c}{\partial x} \right)\\ r &\coloneqq \frac{\delta^2 \beta \gamma}{D \nu}, \qquad C_n \coloneqq \frac{\delta \sqrt{\gamma}}{h_0}, \qquad C \coloneqq \frac{\nu \rho D}{h_0 \sigma \delta^2} \end{split}$$



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### Numerical solution

Typical run: an initial c(x, 0) with several domains coarsens into two large domains:



Coalescence is faster with a larger backreaction constant r (right).  $\implies h$  drives c into an equilibrium.

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#### Proposition (Existence of a decreasing functional)

Given a smooth solution (h, c) to the thin-film NSCH equations, positive in the sense that h(x, t) > 0, and a continuous potential function  $\phi$ , then the functional

$$\mathcal{F}[h,c] = \int_0^L dx \, \left[ \frac{1}{2C} \left( \frac{\partial h}{\partial x} \right)^2 + \int^h \phi(s) \, ds \right] \\ + \frac{r}{C_n^2} \int_0^L dx \, h \left[ \frac{1}{4} \left( c^2 - 1 \right)^2 + \frac{C_n^2}{2} \left( \frac{\partial c}{\partial x} \right)^2 \right]$$

is non-increasing,  $\dot{\mathfrak{F}} \leq 0.$ 

 $\implies$  Existence of a positive Lyapunov functional.

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#### Proposition (Hölder continuity of $h(x, \cdot)$ )

If (h, c) is a smooth, positive solution to the thin-film NSCH equations, in the sense that h(x, t) > 0, and if the potential function  $\phi$  has a positive anti-derivative, then  $h(x, \cdot)$  is Hölder continuous, with time-independent Hölder constant  $k_H$ .

#### Proposition (An upper bound on the height field)

If (h, c) is a smooth, positive solution, in the sense that h(x, t) > 0, and if the potential function  $\phi$  has a positive anti-derivative, then  $h(x, \cdot)$  is bounded above.



Specific choice for the potential (repulsive Van der Waals):

$$\phi = -\frac{G}{2s^3}\,, \qquad G > 0.$$

Proposition (No-rupture condition for the potential) If (h, c) is a smooth, positive solution to the thin-film NSCH equations equations, in the sense that h(x, t) > 0, and if the potential function  $\phi$  has the form above then there is an a priori, time-independent lower bound on h.

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#### Film rupture

In the absence of a regularizing potential, can apparently get film rupture in finite time.



### Rupture (cont'd)





- Long-wave expansion for Navier–Stokes Cahn Hilliard equations;
- Can prove some regularity properties;
- Regularizing potential can be proved to prevent rupture;
- Without a potential, rupture may happen. Does it?
- Mechanism for rupture is not clear;
- Any experimental evidence for this rupturing tendency? Need guidance.

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