

# Supplemental Material for “Asymptotic Models for the Madden-Julian Oscillation and Tropical Geostrophic Balance”

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## Introduction

This Supporting Information describes details of the methods for deriving the asymptotic model. The text section is organized as follows:

Text S1. Derivation the asymptotic model (33)

Text S2. Derivation of the moist static energy evolution equation (33d)

Table S1. Table of the synoptic scalings used.

**Text S1.**

The system (33) is changed to the characteristic variables,  $r = \frac{u-\theta}{\sqrt{2}}$  and  $l = \frac{u+\theta}{\sqrt{2}}$ .

Using these variables it is advantageous to use the raising and lowering operators  $L_{\pm} =$

$\frac{1}{\sqrt{2}}(\partial_y \pm y)$  to denote derivatives in  $y$ . Thus system (33) is written as

$$-\frac{1}{\sqrt{2}}(L_+ - L_-)v - \frac{1}{\sqrt{2}}(\partial_x l - \partial_x r) = 0 \quad (1a)$$

$$L_+ r - L_- l = 0 \quad (1b)$$

$$\frac{\tilde{Q}_{low}}{\sqrt{2}}(\partial_x r + \partial_x l + (L_+ + L_-)v) = -\frac{1}{\tau_{low}}q_{low} \quad (1c)$$

$$\frac{\tilde{Q}_{mid}}{\sqrt{2}}(\partial_x r + \partial_x l + (L_+ + L_-)v) = -\frac{1}{\tau_{mid}}q_{mid} + b_{mid}\frac{1}{2}(L_+ + L_-)^2 q_{mid} \quad (1d)$$

$$\begin{aligned} \frac{1}{\sqrt{2}}\partial_t(l - r) - \frac{1}{\sqrt{2}}(1 - \tilde{Q}_{low} - \tilde{Q}_{mid})(\partial_x r + \partial_x l + (L_+ + L_-)v) &= -\frac{1}{\sqrt{2}\tau_{\theta}}(l - r) \quad (1e) \\ &+ b_{low}\frac{1}{2}(L_+ + L_-)^2 q_{low} + b_{mid}\frac{1}{2}(L_+ + L_-)^2 q_{mid}. \end{aligned}$$

The variables are expanded with parabolic cylinder function,  $\phi_m(y)$ ,

$$\begin{pmatrix} r \\ l \\ v \\ q_{low} \\ q_{mid} \end{pmatrix} = \sum_{m=0}^{\infty} \begin{pmatrix} r_m \\ l_m \\ v_m \\ q_{low,m} \\ q_{mid,m} \end{pmatrix} \phi_m. \quad (2)$$

The parabolic cylinder functions have the following property with the operators  $L_{\pm}$ ,

$$L_+ \phi_m = \sqrt{m} \phi_{m-1}, \quad L_- \phi_m = -\sqrt{m+1} \phi_{m+1}. \quad (3)$$

A truncation is applied to all variables  $r, l, v, q_{mid}, q_{mid}$  at the  $\phi_2$  parabolic cylinder function. Balance equations are derived by setting the coefficients of  $\phi_k$  equal to each other. The simplest equation to start with is (1b). It yields

$$L_+(r_0\phi_0 + r_1\phi_1 + r_2\phi_2) = L_-(l_0\phi_0 + l_1\phi_1 + l_2\phi_2). \quad (4)$$

Simplifying, this equation gives

$$r_1 = 0 \quad (5a)$$

$$\sqrt{2}r_2 = -l_0 \quad (5b)$$

$$-\sqrt{2}l_1 = 0 \quad (5c)$$

$$-\sqrt{3}l_2 = 0. \quad (5d)$$

for  $\phi_0, \phi_1, \phi_2$ , and  $\phi_3$  respectively. Using the above existing relations, equation (1a) yields the system

$$r_2 = -\sqrt{2}r_0 \quad (6a)$$

$$v_1 = \frac{1}{\sqrt{2}}\partial_x r_2 \quad (6b)$$

$$v_0 = 0 \quad (6c)$$

$$-\sqrt{3}v_2 = 0 \quad (6d)$$

Note that all  $r, l$ , and  $v$  variables are zero or related to  $r_0$  by,

$$r_1 = 0 \quad (7a)$$

$$r_2 = -\sqrt{2}r_0 \quad (7b)$$

$$v_0 = 0 \quad (7c)$$

$$v_1 = -\partial_x r_0 \quad (7d)$$

$$v_2 = 0 \quad (7e)$$

$$l_0 = 2r_0 \quad (7f)$$

$$l_1 = 0 \quad (7g)$$

$$l_2 = 0. \quad (7h)$$

Using these relations, equation (1c), yields the system

$$\tilde{Q}_{low}(2\partial_x r_0) = -\frac{\sqrt{2}}{\tau_{low}} q_{low,0} \quad (8a)$$

$$0 = -\frac{1}{\tau_{low}} q_{low,1} \quad (8b)$$

$$0 = -\frac{1}{\tau_{low}} q_{low,2} \quad (8c)$$

where  $q_{low,1}$  is zero because  $l_1 = r_1 = v_0 = v_2 = 0$  and  $q_{low,2} = 0$  because of cancellation between  $r_2, l_2$ , and  $v_1$ .

Equation (1d) yields the system

$$q_{mid,2} = \left(\frac{\sqrt{2}}{\tau_{mid}} + \frac{5}{2}b_{mid}\right)^{-1} \frac{\sqrt{2}}{2} b_{mid} q_{mid,0} \quad (9a)$$

$$q_{mid,0} = 2\tilde{Q}_{mid} \left[ -\frac{\sqrt{2}}{\tau_{mid}} - \frac{\sqrt{2}}{2}b_{mid} + \frac{b_{mid}}{2} \left( \frac{\sqrt{2}}{\tau_{mid}} + \frac{5}{2}b_{mid} \right)^{-1} \frac{\sqrt{2}}{2} b_{mid} \right]^{-1} \partial_x r_0. \quad (9b)$$

This system is solved for one of the variables  $r_0$ . Using this system, the resulting equation is

$$\begin{aligned} \frac{1}{\sqrt{2}} \partial_t(r_0) - \frac{1}{\sqrt{2}}(1 - \tilde{Q}_{low} - \tilde{Q}_{mid})(2\partial_x r_0) &= -\frac{1}{\sqrt{2}\tau_\theta}(r_0) + b_{low} \frac{\tau_{low}\tilde{Q}_{low}}{\sqrt{2}} \partial_x r_0 \quad (10) \\ + \frac{b_{mid}}{2}(-2\tilde{Q}_{mid} &\left[ -\frac{\sqrt{2}}{\tau_{mid}} - \frac{\sqrt{2}}{2}b_{mid} + \sqrt{2} \left( \frac{\sqrt{2}}{\tau_{mid}} + \frac{5}{2}b_{mid} \right)^{-1} \frac{\sqrt{2}}{2} b_{mid} \right]^{-1} \partial_x r_0 \\ + \sqrt{2} \left( \frac{\sqrt{2}}{\tau_{mid}} + \frac{5}{2}b_{mid} \right)^{-1} &\frac{\sqrt{2}}{2} b_{mid} 2\tilde{Q}_{mid} \\ \cdot \left[ -\frac{\sqrt{2}}{\tau_{mid}} - \frac{\sqrt{2}}{2}b_{mid} + \sqrt{2} \left( \frac{\sqrt{2}}{\tau_{mid}} + \frac{5}{2}b_{mid} \right)^{-1} &\frac{\sqrt{2}}{2} b_{mid} \right]^{-1} \partial_x r_0. \end{aligned}$$

If zonal diffusion is retained, the evolution equation (33d) is now changed to

$$\frac{\partial \theta}{\partial t} - (1 - \tilde{Q}_{low} - \tilde{Q}_{mid}) \nabla \cdot \mathbf{u} = -\frac{1}{\tau_\theta} \theta + b_{low} \partial_y^2 q_{low} + b_{mid} \partial_y^2 q_{mid} + b_{mid} \partial_x^2 q_{mid}. \quad (11)$$

Through a similar analysis as above, this leads to a change in the asymptotic equation

$$\begin{aligned}
& \frac{1}{\sqrt{2}}\partial_t(r_0) - \frac{1}{\sqrt{2}}(1 - \tilde{Q}_{low} - \tilde{Q}_{mid})(2\partial_x r_0) = -\frac{1}{\sqrt{2}\tau_\theta}(r_0) + b_{low}\frac{\tau_{low}\tilde{Q}_{low}}{\sqrt{2}}\partial_x r_0 \quad (12) \\
& + \frac{b_{mid}}{2}(-2\tilde{Q}_{mid} \left[ -\frac{\sqrt{2}}{\tau_{mid}} - \frac{\sqrt{2}}{2}b_{mid} + \sqrt{2} \left( \frac{\sqrt{2}}{\tau_{mid}} + \frac{5}{2}b_{mid} \right)^{-1} \frac{\sqrt{2}}{2}b_{mid} \right]^{-1} \partial_x r_0 \\
& + \sqrt{2} \left( \frac{\sqrt{2}}{\tau_{mid}} + \frac{5}{2}b_{mid} \right)^{-1} \frac{\sqrt{2}}{2}b_{mid} 2\tilde{Q}_{mid} \\
& \cdot \left[ -\frac{\sqrt{2}}{\tau_{mid}} - \frac{\sqrt{2}}{2}b_{mid} + \sqrt{2} \left( \frac{\sqrt{2}}{\tau_{mid}} + \frac{5}{2}b_{mid} \right)^{-1} \frac{\sqrt{2}}{2}b_{mid} \right]^{-1} \partial_x r_0) \\
& + b_{mid} 2\tilde{Q}_{mid} \left[ -\frac{\sqrt{2}}{\tau_{mid}} - \frac{\sqrt{2}}{2}b_{mid} + \sqrt{2} \left( \frac{\sqrt{2}}{\tau_{mid}} + \frac{5}{2}b_{mid} \right)^{-1} \frac{\sqrt{2}}{2}b_{mid} \right]^{-1} \partial_x^3 r_0.
\end{aligned}$$

Note from (9b) that  $q_{mid,0} = c\partial_x r_0$ . This gives the evolutions equation for the asymptotic model

$$\frac{\partial}{\partial t}q_{mid,0} + A\frac{\partial}{\partial x}q_{mid,0} + B\frac{\partial^3}{\partial x^3}q_{mid,0} = -Cq_{mid,0}. \quad (13)$$

Substituting the parameters of Table 1 into equation (13) above, gives  $A = 0.2909$ ,  $B = 1.0132$ ,  $C = 0.04$ .

**Text S2.** In terms of these rescaled variables, the full model in (4) becomes

$$\epsilon^2 \frac{\partial u}{\partial t'} - \epsilon y v' - \epsilon \frac{\partial \theta}{\partial x'} = -\epsilon^2 \frac{1}{\tau} u, \quad (32a)$$

$$\epsilon^3 \frac{\partial v'}{\partial t'} + y u - \frac{\partial \theta}{\partial y} = -\epsilon^3 \frac{1}{\tau} v', \quad (32b)$$

$$\epsilon^2 \frac{\partial \theta}{\partial t'} - \epsilon \nabla' \cdot \mathbf{u}' = \epsilon \frac{1}{\tau_l} q_l + \epsilon^2 \frac{1}{\tau_m} q_m - \epsilon^2 \frac{1}{\tau} \theta, \quad (32c)$$

$$\epsilon^3 \frac{\partial q_l}{\partial t'} + \epsilon \tilde{Q}_l \nabla' \cdot \mathbf{u}' = -\epsilon \frac{1}{\tau_l} q_l + \epsilon^4 b_l \partial_{x'}^2 q_l + \epsilon^2 b_l \partial_y^2 q_l, \quad (32d)$$

$$\epsilon^3 \frac{\partial q_m}{\partial t'} + \epsilon^2 \tilde{Q}_m \nabla' \cdot \mathbf{u}' = -\epsilon^2 \frac{1}{\tau_m} q_m + \epsilon^4 b_m \partial_{x'}^2 q_m + \epsilon^2 b_m \partial_y^2 q_m, \quad (32e)$$

To obtain the evolution of moist static energy,  $h = \theta + q_l + q_m$  equations (32c), (32d), and (32e) are added together to give,

$$\begin{aligned} & \epsilon^2 \frac{\partial \theta}{\partial t'} + \epsilon^3 \left( \frac{\partial q_l}{\partial t'} + \frac{\partial q_m}{\partial t'} \right) - \epsilon (1 - \tilde{Q}_l - \epsilon \tilde{Q}_m) \nabla' \cdot \mathbf{u}' \\ & = -\epsilon^2 \frac{1}{\tau} \theta + \epsilon^4 b_l \partial_{x'}^2 q_l + \epsilon^2 b_l \partial_y^2 q_l + \epsilon^4 b_m \partial_{x'}^2 q_m + \epsilon^2 b_m \partial_y^2 q_m. \end{aligned}$$

Note that  $(1 - \tilde{Q}_l) = O(\epsilon)$ , thus retaining only the order  $\epsilon^2$  terms yields,

$$\begin{aligned} & \frac{\partial \theta}{\partial t} - (1 - \tilde{Q}_{low} - \tilde{Q}_{mid}) \nabla \cdot \mathbf{u} \\ & = -\frac{1}{\tau_\theta} \theta + b_{low} \partial_y^2 q_{low} + b_{mid} \partial_y^2 q_{mid}, \end{aligned} \quad (33d)$$

which arises as the leading-order equation for the dynamics of  $h = \theta + q_{low} + q_{mid} \approx \theta$ .

**Table S1.**

A table of the synoptic scalings used to non-dimensionalize equation (4) is given below.

**Table S1.** Physical constants, reference scales, and model parameters.

Parameter	Derivation	Value	Description
$\beta$		$2.28 \times 10^{-11} \text{ m}^{-1} \text{ s}^{-1}$	Variation of Coriolis parameter with latitude
$g$		$9.8 \text{ m s}^{-2}$	Gravitational acceleration
$c_p$		$1006 \text{ J kg}^{-1} \text{ K}^{-1}$	Specific heat of dry air at constant pressure
$L_v$		$2.5 \times 10^6 \text{ J kg}^{-1}$	Latent heat of vaporization
$\theta_0$		$300 \text{ K}$	Potential temperature at surface
$P_e$		$40,000 \text{ km}$	Circumference of Earth at the equator
$H$		$16 \text{ km}$	Tropopause height
$N^2$	$(g/\theta_0)d\bar{\theta}/dz$	$10^{-4} \text{ s}^{-2}$	Buoyancy frequency squared
$c$	$NH/\pi$	$50.9 \text{ m s}^{-1}$	Velocity scale
$L$	$\sqrt{c/\beta}$	$1490 \text{ km}$	Equatorial length scale
$T$	$L/c$	$8.15 \text{ hrs}$	Equatorial time scale
$\tilde{\alpha}$	$HN^2\theta_0/(\pi g)$	$15.6 \text{ K}$	Potential temperature scale
	$H/\pi$	$5.09 \text{ km}$	Vertical length scale
	$H/(\pi T)$	$0.174 \text{ m s}^{-1}$	Vertical velocity scale
	$c^2$	$2590 \text{ m}^2 \text{ s}^{-2}$	(Density-scaled) Pressure anomaly scale
	$c^2/g$	$265 \text{ m}$	Geopotential height scale
	$c_p\tilde{\alpha}/L_v$	$6.27 \text{ g kg}^{-1}$	Water vapor scale