# The Structure of the $\omega$ -enumeration degrees

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 $\omega$ -Enumeration Degrees

# Background from enumeration reducibility

### Definition (Friedberg and Rogers, 1959)

We say that  $\Psi: 2^{\omega} \to 2^{\omega}$  is an *enumeration operator* (or e-operator) iff for some c.e. set *W* 

$$\Psi(B) = \{x | (\exists u) [ \langle x, u \rangle \in W \& D_u \subseteq B] \}$$

for each  $B \subseteq \omega$ .

A is *enumeration reducible to B*, written  $A \leq_e B$ , by  $A = \Psi(B)$  for some e-operator  $\Psi$ .

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## Enumeration reducibility and the relation "c.e. in"

### Theorem (Selman, 1971)

Let A and B be sets of natural numbers.

 $A \leq_e B \iff (\forall X)[B \text{ is c.e. in } X \Rightarrow A \text{ is c.e. in } X].$ 

#### Theorem (Case, 1974)

For any sets A and B,

 $A \leq_{e} \emptyset^{n} \oplus B \iff (\forall X)[B \text{ is } \Sigma_{n+1}^{X} \Rightarrow A \text{ is } \Sigma_{n+1}^{X}].$ 

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## Ash's generalizations

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Let  $\mathcal{A} = \{A_n\}_{n < \omega}$  and  $\mathcal{B} = \{B_n\}_{n < \omega}$  be two sequences of sets.

### Definition

 $\mathcal{A} \leq_{\omega} \mathcal{B}$  ( $\mathcal{A}$  is *uniformly* reducible to  $\mathcal{B}$ ) iff

$$(\forall X \subseteq 2^{\omega})[(\forall n)(B_n \in \Sigma_{n+1}^X \text{ uniformly in } n) \Rightarrow (\forall n)(A_n \in \Sigma_{n+1}^X \text{ uniformly in } n)].$$

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## Uniform enumeration reducibility

Let  $\ensuremath{\mathcal{S}}$  be the set of all sequences of sets of natural numbers.

### Definition

Let  $\mathcal{A} = {\{A_n\}}_{n < \omega} \in S$  and  $\Psi$  be an e-operator.  $\Psi(\mathcal{A})$ , is the sequence:

 $\{\Psi[n](A_n)\}_{n<\omega}.$ 

We say that  $\Psi(\mathcal{A})$  is enumeration reducible  $(\leq_e)$  to the sequence  $\mathcal{A}$ .

So  $\mathcal{A} \leq_{e} \mathcal{B}$  is a combination of two notions:

- Enumeration reducibility: for every *n* we have that A<sub>n</sub> ≤<sub>e</sub> B<sub>n</sub> via, say, Ψ<sub>n</sub>.
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# The jump sequence

With every member  $A \in S$  we connect a *jump sequence* P(A).

### Definition

The *jump sequence* of the sequence A, denoted by P(A) is the sequence  $\{P_n(A)\}_{n < \omega}$  defined inductively as follows:

- $P_0(\mathcal{A}) = A_0$ .
- *P*<sub>n+1</sub>(*A*) = *A*<sub>n+1</sub> ⊕ *P*'<sub>n</sub>(*A*), where *P*'<sub>n</sub>(*A*) denotes the enumeration jump of the set *P*<sub>n</sub>(*A*).

### Example

Let  $A \subseteq \mathbb{N}$ . Consider the sequence  $A \uparrow \omega = (A, \emptyset, \dots, \emptyset, \dots)$ . Then

$$(P_0(\mathcal{A}), P_1(\mathcal{A}), \dots, P_n(\mathcal{A}), \dots) \equiv_e (\mathcal{A}, \mathcal{A}', \dots, \mathcal{A}^n, \dots)$$

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# Ash's generalizations in terms of e-reducibility

Let  $\mathcal{A}, \mathcal{B} \in \mathcal{S}$ .

Theorem (Soskov, Kovachev)

Let  $\mathcal{A}$  and  $\mathcal{B}$  be sequences of sets.

$$\mathcal{A} \leq_{\omega} \mathcal{B} \iff (\forall n) [\mathcal{A}_n \leq_e \mathcal{P}_n(\mathcal{B}) \text{ uniformly in } n],$$

$$\iff \mathcal{A} \leq_{\boldsymbol{e}} \boldsymbol{P}(\mathcal{B}).$$

Remark: Uses a technique called Uniform Regular Enumerations.

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- ② If  $\mathcal{B}$  ≤<sub>*e*</sub>  $P(\mathcal{C})$  then  $P(\mathcal{B})$  ≤<sub>*e*</sub>  $P(\mathcal{C})$ .
- ③ If  $A \leq_{e} P(B)$  and  $B \leq_{e} P(C)$  then  $A \leq_{e} P(C)$ .

Conclusion: " $\leq_{\omega}$ " is a pre-order on the sequences of sets of natural numbers.

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Let  $\mathcal{A} = {\{A_n\}_{n < \omega}}$  be given. We define the *jump class*  $\mathcal{J}_{\mathcal{A}}$  of  $\mathcal{A}$  by

$$\mathcal{J}_{\mathcal{A}} = \{ d_{\mathcal{T}}(X) | (\forall n) (A_n \text{ is c.e. in } X^n \text{ uniformly in } n) \} \\ = \{ d_{\mathcal{T}}(X) | (\forall n) (A_n \in \Sigma_{n+1}^X \text{ uniformly in } n) \}.$$

An alternative way to phrase the definition of  $\leq_{\omega}$  is:

$$\mathcal{A} \leq_{\omega} \mathcal{B} \iff \mathcal{J}_{\mathcal{B}} \subseteq \mathcal{J}_{\mathcal{A}}.$$

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Let  $\mathcal{A} \oplus \mathcal{B} = \{A_n \oplus B_n\}_{k < \omega}$ .

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We would like to define a jump operation ' so that:

$$\mathcal{J}_{\mathcal{A}'} = \{ d_T(X)' \mid d_T(X) \in \mathcal{J}_{\mathcal{A}} \}.$$

#### Definition

Let  $\mathcal{A}' = \{ \mathcal{P}_{n+1}(\mathcal{A}) \}_{n < \omega}.$ 

 $\mathcal{D}_{\omega}$  is an upper semi-lattice with least element and jump operation.

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### Where does $\mathcal{D}_{\omega}$ stand?

 $\langle \mathcal{D}_e, \leq_e, \lor, ' \rangle$  can be embedded in  $\langle \mathcal{D}_\omega, \leq_\omega, \lor, ' \rangle$  via the embedding  $\kappa$  defined as follows:

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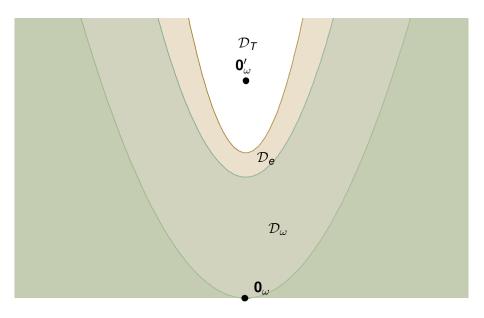
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Mariya I. Soskova (FMI)

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 $\mathcal{J}_{\mathcal{A}} = \{ d_{\mathcal{T}}(X) \mid (\forall n) (A_n \text{ is c.e. in } X^n \text{ uniformly in } n) \}$ 

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Mariya I. Soskova (FMI)

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A good approximation to a sequence of sets is a sequence of good approximations with synchronized good stages.

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If  $\mathbf{a} <_{\omega} \mathbf{b}$  and  $\mathbf{b}$  has a member with a good approximation, then every countable partial ordering can be embedded in the interval  $(\mathbf{a}, \mathbf{b})$ .

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There is no minimal  $\omega$ -enumeration degree.

Suppose  $(P_0(\mathcal{A}), P_1(\mathcal{A}) \dots) <_{\omega} (P_0(\mathcal{B}), P_1(\mathcal{B}), \dots)$ . Case 1. For some  $n, P_n(\mathcal{A}) <_e P_n(\mathcal{B})$ : then the theorem follows from the corresponding one for  $\mathcal{D}_e$ . Case 2. For all  $n, P_n(\mathcal{A}) \equiv_e P_n(\mathcal{B})$ , but not uniformly: then we need a new construction.

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#### Definition

Let  $\mathbf{a}, \mathbf{b} \in \mathcal{D}_{\omega}$ . Then  $\mathbf{a}$  is *almost*  $\mathbf{b}$  if there are members  $\mathcal{A} \in \mathbf{a}$  and  $\mathcal{B} \in \mathbf{b}$ , such that

 $(\forall n)(P_n(\mathcal{A}) \equiv_n P_n(\mathcal{B})).$ 

• If **a** is almost- then for every  $A \in$  **a** and  $B \in$  **b**,

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The class of almost-b degrees is closed under least upper bound.
If b ∈ D<sub>1</sub> then b is the least almost-b ω-enumeration degree.

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## Are there almost degrees?

### Theorem (Soskov,S)

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 $(\exists)(\forall m \geq n)[\mathbf{b}^m <_{\omega} \mathbf{a}^m].$ 

In particular when  $\mathbf{b} = \mathbf{0}_{\omega}$  and  $\mathbf{a} = \mathbf{0}'_{\omega}$ : there exists a nontrivial *almost-zero* degree below  $\mathbf{0}'_{\omega}$ .

Let  $L = {\mathbf{a} \mid \exists n (\mathbf{0}^n = \mathbf{a}^n)} = Low_1 \cup Low_2 \cdots \cup Low_n \cup \ldots$ 

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The degree of (Ø, P₁(A), ;Pn(A)...) is the least degree, whose jump is equal to d<sub>ω</sub>(A').

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Let  $n \in \mathbb{N}$  and  $\mathcal{A} \in \mathcal{S}$ .

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If  $A \in \mathbf{a}$ , then  $I_n(\mathbf{a}) = d_{\omega}(I_n(A))$ . If  $\mathbf{0}_{\omega}^n \leq_{\omega} \mathbf{a}$  then  $I_n(\mathbf{a})$  is the least degree **x**, such that  $\mathbf{x}^{(n)} = \mathbf{a}$ .

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$$(P_0(\mathcal{A}), P_1(\mathcal{A}), \ldots, P_n(\mathcal{A}), \ldots)' = (P_1(\mathcal{A}), \ldots, P_n(\mathcal{A}), \ldots).$$

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- The degree of (Ø, P<sub>1</sub>(A), P<sub>n</sub>(A)...) is the least degree, whose jump is equal to d<sub>ω</sub>(A').

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## Relativized jump inversion

#### Definition

Let  $n \in \mathbb{N}$  and  $\mathcal{A}, \mathcal{B} \in \mathcal{S}$ .

$$I_n^{\mathcal{B}}(\mathcal{A}) = (B_0, B_1, \ldots, B_{n-1}, P_0(\mathcal{A}), P_1(\mathcal{A}), \ldots)$$

If  $\mathcal{A} \in \mathbf{a}$  and  $\mathbf{b} \in \mathcal{B}$ , then  $I_n^{\mathbf{b}}(\mathbf{a}) = d_{\omega}(I_n^{\mathcal{B}}(\mathcal{A}))$ .

If  $\mathbf{b}^n \leq_{\omega} \mathbf{a}$  then  $I_n^{\mathbf{b}}(\mathbf{a})$  is the least degree  $\mathbf{x}$ , such that  $\mathbf{b} \leq_{\omega} \mathbf{x}$  and  $\mathbf{x}^{(n)} = \mathbf{a}$ .

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### Main idea: Consider a sequence $\mathcal{A}$ of the form

 $(A, \emptyset, \emptyset, \dots).$ 

Out of all sequences  $\mathcal{X}$  with  $X_0 \equiv_e A$ , the sequence  $\mathcal{A}$  is the least one. Let  $\mathcal{I}_{\mathbf{a}} = \{I_{\mathbf{a}}^1(\mathbf{x}) \mid \mathbf{a}' \leq_{\omega} \mathbf{x}\}.$ 

Theorem (Ganchev, Soskov) Let  $\mathbf{a}, \mathbf{b} \in \mathcal{D}_{\omega}$ . Then:  $\mathcal{I}_{\mathbf{b}} \subseteq \mathcal{I}_{\mathbf{a}} \iff \mathbf{a} \leq_{\omega} \mathbf{b} \& A_0 \equiv_e B_0$ .

So  $\mathbf{a} \in D_1$  if and only if for every **b**:

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## Some consequences

#### Corollary

The structure  $D_1$  is first order definable in  $D'_{\omega}$ , the structure of the  $\omega$ -enumeration degrees, augmented by the jump operation.

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## Every automorphism of $\mathcal{D}_e$ is the identity on the cone above $\mathbf{0}_e^{(4)}$ .

Consider an automorphism  $\varphi$  of  $\mathcal{D}_e$  and define  $\Phi$  working as follows:  $\Phi(d_\omega(\mathcal{A})) = d_\omega(\mathcal{B})$ , where  $\mathcal{B}$  is defined as

 $B_0 \in \varphi(d_e(A_0)), \ldots, B_3 \in \varphi(d_e(A_3))$  and for  $n \ge 4$ ,  $B_n = P_n(\mathcal{A})$ .

#### Theorem (Soskov, Ganchev)

 $\operatorname{Aut}(\mathcal{D}'_{\omega})\cong\operatorname{Aut}(\mathcal{D}_{e}).$ 

#### Question

Is the  $\omega$ -enumeration jump first order definable in  $\mathcal{D}_{\omega}$ ?

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## The Local structure $\mathcal{G}_{\omega}$

Consider the structure  $\mathcal{G}_{\omega}$  consisting of all degrees reducible to  $\mathbf{0}'_{\omega} = d_{\omega}((\emptyset', \emptyset'', \emptyset'', \dots))$  also called the  $\Sigma_2^0 \omega$ -enumeration degrees.

#### Definition

Let  $\mathbf{a} \in \mathcal{G}_{\omega}$ .

- **a** is  $low_n$  if  $\mathbf{a}^n = \mathbf{0}^n_{\omega}$ . The class of all  $low_n$  degrees is denoted by  $L_n$ .  $\bigcup_n L_n = L$
- **2 a** is  $high_n$  if  $\mathbf{a}^n = \mathbf{0}^{n+1}_{\omega}$ . The class of all  $high_n$  degrees is denoted by  $H_n$ .  $\bigcup_n H_n = H$

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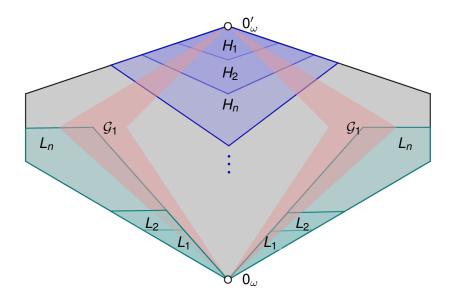
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### Definition

For every *n* let 
$$\mathbf{o}_n = I^n(\mathbf{0}_{\omega}^{n+1}) = d_{\omega}((\underbrace{\emptyset,\ldots,\emptyset}_n, \emptyset^{n+1}, \emptyset^{n+2}, \ldots)).$$

### Proposition

Let  $\mathbf{a} \in \mathcal{G}_{\omega}$ .

- **()**  $\mathbf{a} \in H_n$  if and only if  $\mathbf{a} \ge \mathbf{o}_n$
- **2**  $\mathbf{a} \in L_n$  if and only if  $\mathbf{a} \wedge \mathbf{o}_n = \mathbf{0}_{\omega}$

*Proof:* 1. **o**<sub>*n*</sub> is the least *n*-th jump invert of  $\emptyset^{n+1}$ , hence  $\mathbf{a}^n \ge \mathbf{o}_n^n = \emptyset^{n+1}$  if and only if  $\mathbf{a} \in H_n$ .

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*Proof:* 2. For every  $\mathcal{A} \equiv_{e} \mathcal{P}(\mathcal{A}) \in \mathbf{a}$ :

$$(A_0, A_1, \dots, A_n, A_{n+1}, \dots) \land (\underbrace{\emptyset, \dots, \emptyset}_n, \emptyset^{n+1}, \emptyset^{n+2}, \dots)$$
$$= (\underbrace{\emptyset, \dots, \emptyset}_n, A_n, A_{n+1}, \dots) = I^n(\mathcal{A}^n)$$

Hence  $\mathbf{a} \wedge \mathbf{o}_n = \mathbf{0}_{\omega}$  if and only if  $I^n(\mathbf{a}^n) = \mathbf{0}_{\omega}$ , if and only if  $\mathbf{a}^n = \mathbf{0}_{\omega}^n$ .

### Definition

An  $\omega$ -enumeration degree **a** called almost zero (a.z.) if for  $\mathcal{A} \in \mathbf{a}$  and every n,  $P_n(\mathcal{A}) \equiv_e \emptyset^n$ .

- The a.z. degrees form an ideal.
- If  $\mathbf{a} \in \mathcal{G}_{\omega}$  then  $\mathbf{a}$  is a.z. if and only if  $\mathbf{a} < \mathbf{o}_n$  for all n.
- Nonzero a.z. degrees exist.

### Theorem (Ganchev, Soskov)

- $\mathbf{a} \in L = \bigcup_n L_n$  if and only if  $\mathbf{a}$  does not bound any nonzero a.z degree.
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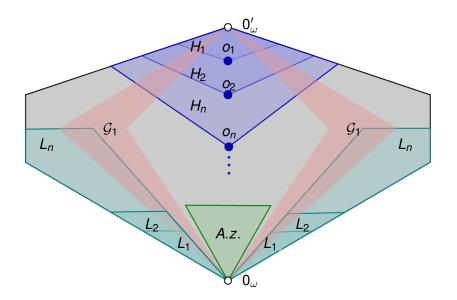
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 $\omega$ -Enumeration Degrees

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# $\mathcal{K}$ -pairs in $\mathcal{G}_{\omega}$

#### Definition

A pair of degrees  $\mathbf{a}, \mathbf{b} \in \mathcal{D}_{\omega}$  is called a  $\mathcal{K}$ -pair if

$$\mathcal{K}(\mathsf{a},\mathsf{b}) \leftrightarrows (\forall \mathsf{x} \in \mathcal{D}_\omega)[(\mathsf{a} \lor \mathsf{x}) \land (\mathsf{b} \lor \mathsf{x}) = \mathsf{x}]$$

#### Theorem (Ganchev)

If  $d_{\omega}(\mathcal{A})$  and  $d_{\omega}(\mathcal{B})$  form a nontrivial  $\mathcal{K}$ -pair in  $\mathcal{G}_{\omega}$  then both  $\mathcal{A}$  and  $\mathcal{B}$  are a.z. or for some *n* there exists a  $\mathcal{K}$ -pair over  $\emptyset^n$  in  $\mathcal{D}_e \{A, B\}$ , such that  $\emptyset^n < A, B, A' = B' = \emptyset^{n+1}$  and:

$$\mathcal{A} \equiv_{\omega} \{ \underbrace{\emptyset, \dots, \emptyset}_{n}, A, \emptyset, \dots, \emptyset, \dots \} \text{ and}$$
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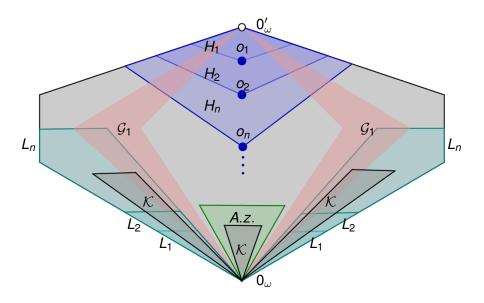
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# Distinguishing $\mathcal{K}$ -pairs at different levels

### Theorem (Ganchev, S)

Let  $\mathbf{a}, \mathbf{b} \in \mathcal{G}_{\omega}$  form a nontrivial  $\mathcal{K}$ -pair. Then for every natural number n

$$\forall \mathbf{x} \lneq_{\omega} \mathbf{o}_n [\mathbf{a} \lor \mathbf{x} \lneq_{\omega} \mathbf{o}_n] \iff \mathbf{a}, \mathbf{b} \leq_{\omega} \mathbf{o}_{n+1}.$$

Proof Sketch:

Suppose that  $\mathbf{a}, \mathbf{b} \leq_{\omega} \mathbf{o}_n$  and  $\mathbf{a}, \mathbf{b} \nleq_{\omega} \mathbf{o}_{n+1}$ . Then

$$\mathbf{a} = d_{\omega}(\{\underbrace{\emptyset, \dots, \emptyset}_{n}, \mathbf{A}, \emptyset, \dots, \emptyset, \dots\})$$

and  $\emptyset^n < A < \emptyset^{n+1}$  and  $A' = \emptyset^{n+1}$ .

#### Theorem (S, Wu)

For every non-c.e.  $\Delta_2^0$  set A there is a low<sub>1</sub> X, such that  $A \oplus X \equiv_e \emptyset'$ .

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# Distinguishing $\mathcal{K}$ -pairs at different levels

Theorem (Ganchev, S)

Let  $\mathbf{a}, \mathbf{b} \in \mathcal{G}_{\omega}$  form a nontrivial  $\mathcal{K}$ -pair. Then for every natural number n:

$$\forall \mathbf{x} \lneq_{\omega} \mathbf{o}_n [\mathbf{a} \lor \mathbf{x} \lneq_{\omega} \mathbf{o}_n] \iff \mathbf{a}, \mathbf{b} \leq_{\omega} \mathbf{o}_{n+1}.$$

Proof Sketch:

Relativizing it follows that there is an X,  $\emptyset^n <_e X <_e \emptyset^{n+1}$ , such that  $X' = \emptyset^{n+1}$  and  $X \lor A \equiv_e \emptyset^{n+1}$ , hence

$$d_{\omega}(\{\underbrace{\emptyset,\ldots,\emptyset}_{n},A,\ldots\})\vee d_{\omega}(\{\underbrace{\emptyset,\ldots,\emptyset}_{n},X,\emptyset,\ldots\})=\mathbf{o}_{n}.$$

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# Definability of **o**<sub>n</sub>

### Theorem (Ganchev, S)

For every  $n \{ \mathbf{o}_n \}$  is first order definable in  $\mathcal{G}_{\omega}$ .

*Proof Sketch:* Fix  $n \ge 0$ . Then  $\mathbf{o}_{n+1}$  is the greatest degree which is the least upper bound of a nontrivial  $\mathcal{K}$ -pair  $\{\mathbf{a}, \mathbf{b}\}$  in  $\mathcal{G}_{\omega}$ , such that

 $\forall \mathbf{x} \lneq \mathbf{o}_n [\mathbf{a} \lor \mathbf{x} \lneq_\omega \mathbf{o}_n].$ 

#### Corollary

For all n the classes  $H_n$  and  $L_n$  are first order definable in  $\mathcal{G}_\omega$ .

#### Corollary

Every automorphism of  $\mathcal{D}'_\omega$  is the identity on the ideal below  $\mathbf{o}_4$ .

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 $d_{\omega}(\mathcal{A}) \vee \mathbf{o}_1 = d_{\omega}(\{A_0, \emptyset'', \emptyset''', \dots\}).$ 

If  $\mathcal{A}^e \in \mathcal{G}_1$  and  $\mathcal{A}^e = \{A_0, \emptyset, \emptyset, \dots\}$  then  $d_{\omega}(\mathcal{A}^e) \vee \mathbf{o}_1 = d_{\omega}(\mathcal{A}) \vee \mathbf{o}_1$  and  $d_{\omega}(\mathcal{A}^e) \leq_{\omega} d_{\omega}(\mathcal{A})$ .

Theorem (Ganchev, S)

 $\mathcal{G}_1$  is first order definable in  $\mathcal{G}_{\omega}$  by:

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What is the strength of the theory of  $\mathcal{G}_{\omega}$ ?

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