

Characterizing the strength of the local theory of the enumeration degrees

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SEALS

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Preliminaries: The enumeration degrees

Definition

- $A \leq_e B$ iff there is a c.e. set W , such that $A = W(B) = \{x \mid \exists u(\langle x, u \rangle \in W \wedge D_u \subseteq B)\}$.
- $A \equiv_e B$ iff $A \leq_e B$ and $B \leq_e A$.
- $d_e(A) = [A]_{\equiv_e}$ and $D_e = \{d_e(A) \mid A \subseteq \mathbb{N}\}$.
- $d_e(A) \leq d_e(B)$ iff $A \leq_e B$.
- $\mathbf{0}_e = d_e(\emptyset) = \{W \mid W \text{ is c.e.}\}$.
- $d_e(A) \vee d_e(B) = d_e(A \oplus B)$.
- $d_e(A)' = d_e(A')$, where $A' = L_A \oplus \overline{L_A}$ and $L_A = \{x \mid x \in W_x(A)\}$.
- $\mathcal{D}_e = \langle D_e, \leq, \vee, ', \mathbf{0}_e \rangle$ is an upper semi-lattice with jump operation and least element.

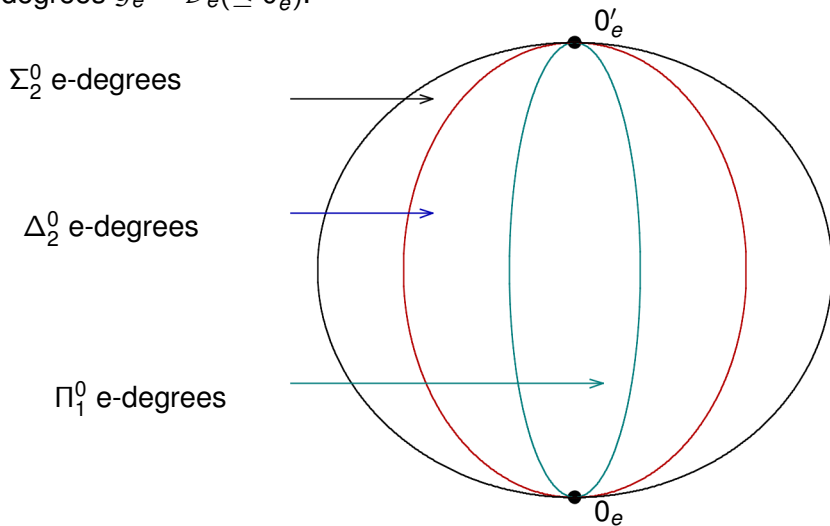
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Preliminaries: The local structure

The jump operation gives rise to the local structure of the enumeration degrees $\mathcal{G}_e = \mathcal{D}_e(\leq 0'_e)$.



Preliminaries: Previous results

Theorem (Slaman and Woodin)

The theory of \mathcal{D}_e is computably isomorphic to the theory of second order arithmetic.

The theory of \mathcal{G}_e is undecidable.

Theorem (Kent)

The theory of the Δ_2^0 enumeration degrees is computably isomorphic to the theory of first order arithmetic.

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Is the theory of \mathcal{G}_e computably isomorphic to first order arithmetic?

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The general plan: Coding standard models of arithmetic

Given a sentence in the language of true arithmetic φ we want to be able to computably translate it into a sentence φ_e in the language of the \mathcal{G}_e so that:

$$\langle \mathbb{N}, +, * \rangle \models \varphi \text{ iff } \mathcal{G}_e \models \varphi_e$$

- I Represent $\langle \mathbb{N}, +, * \rangle$ as a partial order (PO).
- II Embed this partial order in \mathcal{G}_e and code it with a finite number of parameters.
- III Find a first order condition on the parameters, which ensures that they code a SMA.

The general plan: Coding standard models of arithmetic

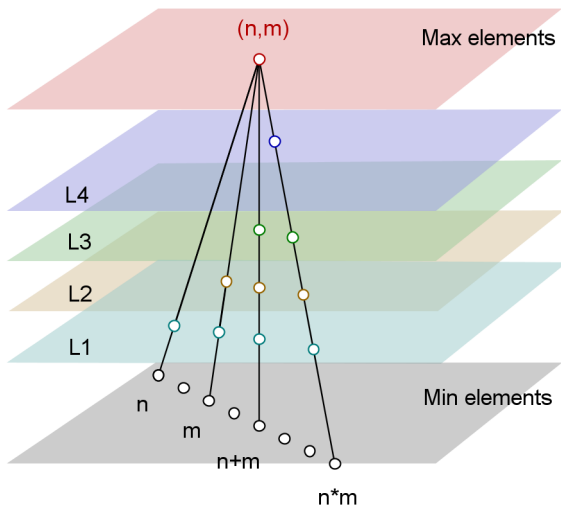
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A special type of partial order

We can represent an SMA $\langle \mathbb{N}, +, * \rangle$ as follows:



First tool: Coding antichains

$$\varphi_{SW}(\mathbf{x}, \mathbf{a}, \mathbf{p}, \mathbf{q}) \iff \mathbf{x} \leq \mathbf{a} \text{ is a minimal solution to } \mathbf{x} \neq (\mathbf{x} \vee \mathbf{p}) \wedge (\mathbf{x} \vee \mathbf{q}).$$

Theorem (Slaman, Woodin)

Let $\{X_i \mid i \in \mathbb{N}\}$ be a system of incomparable sets uniformly enumeration reducible to a low set A with degree \mathbf{a} . There are Σ_2^0 e -degrees \mathbf{p} and \mathbf{q} , such that for arbitrary Σ_2^0 degree \mathbf{x}

$$\mathcal{G}_e \models \varphi_{SW}(\mathbf{x}, \mathbf{a}, \mathbf{p}, \mathbf{q}) \iff \exists i[X_i \in \mathbf{x}].$$

Goal: Embed the PO so that each level is *well presented*.

Second tool: \mathcal{K} -pairs

Iskander Kalimullin: Definability of the jump operator in the enumeration degrees

Journal of Mathematical Logic (2003)

Definition

Let A and B be non-c.e. sets of a natural numbers. The pair (A, B) is a \mathcal{K} -pair (e-ideal) if there exists a c.e. set W , such that $A \times B \subseteq W$ and $\overline{A} \times \overline{B} \subseteq \overline{W}$.

Theorem (Kalimullin)

(A, B) is a \mathcal{K} -pair if and only if the degrees $\mathbf{a} = d_e(A)$ and $\mathbf{b} = d_e(B)$ have the following property:

$$\mathcal{K}(\mathbf{a}, \mathbf{b}) \Leftrightarrow \mathbf{a}, \mathbf{b} > \mathbf{0}_e \&$$

$$(\forall \mathbf{x})((\mathbf{a} \vee \mathbf{x}) \wedge (\mathbf{b} \vee \mathbf{x}) = \mathbf{x})$$

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Properties of \mathcal{K} -pairs

Theorem (Kallimulin)

- 1 If (\mathbf{a}, \mathbf{b}) are a Σ_2^0 \mathcal{K} -pair then \mathbf{a} and \mathbf{b} are low.
- 2 Every \mathcal{K} -pair is a minimal pair.
- 3 Every nonzero Δ_2^0 enumeration degree bounds a \mathcal{K} -pair.
- 4 The set of degrees \mathbf{b} which form a \mathcal{K} -pair with a fixed degree \mathbf{a} is an ideal.

\mathcal{K} -systems

Definition

We shall say that a system of degrees $\{\mathbf{a}_i \mid i \in I\}$ ($|I| \geq 2$) is a \mathcal{K} -system, if $\mathcal{K}(\mathbf{a}_i, \mathbf{a}_j)$ for each $i, j \in I$, such that $i \neq j$.

- Every \mathcal{K} -system is an antichain.
- If $\{\mathbf{a}_i \mid i \in I\}$ is a \mathcal{K} -system and $i_1 \neq i_2 \in I$ then $\{\mathbf{a}_{i_1} \vee \mathbf{a}_{i_2}\} \cup \{\mathbf{a}_i \mid i \in I, i \neq i_1, i_2\}$ is a \mathcal{K} -system.

Theorem

Let A be a Δ_2^0 non-c.e. set. There is a sequence $\{A_i\}_{i < \omega}$ uniformly enumeration reducible to A such that $\{d_e(A_i)\}_{i < \omega}$ is a \mathcal{K} -system.

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Coding an SMA below any half of a \mathcal{K} -pair

Construction:

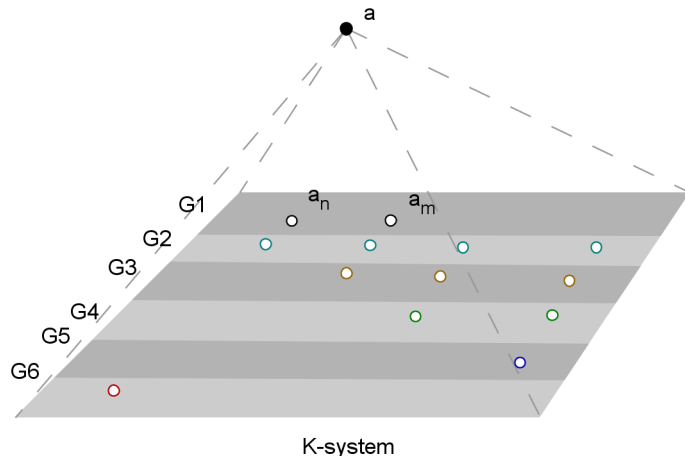
Let $\mathbf{a} = d_e(A)$ be half of a \mathcal{K} -pair. (Hence a low nonzero Δ_2^0 enumeration degree.)

Let $\{A_i\}_{i < \omega}$ be the uniformly reducible to A sequence whose degrees $\{\mathbf{a}_i\}_{i < \omega}$ form a \mathcal{K} -system. This is a *well presented system*.

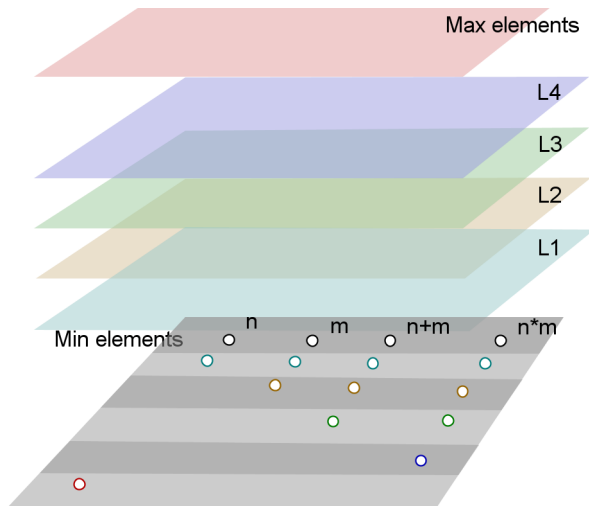
We computably divide the system $\{\mathbf{a}_i\}_{i < \omega}$ into six infinite groups.

Coding an SMA below any half of a \mathcal{K} -pair

To every pair of elements from G_1 we assign 4 unique elements of G_2 , 3 of G_3 , 2 of G_4 and 1 of each G_5 and G_6 .

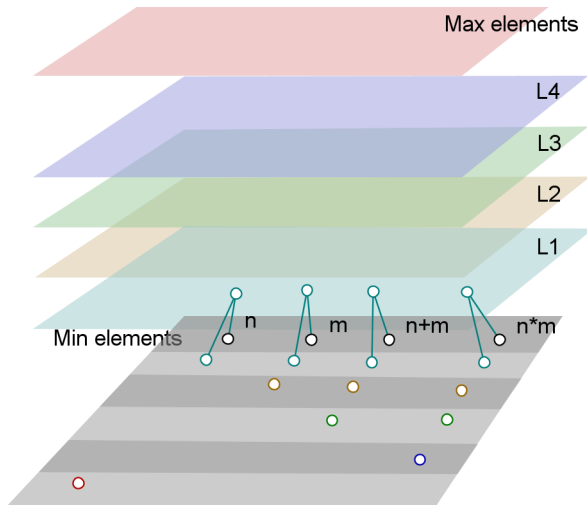


Coding an SMA below any half of a \mathcal{K} -pair



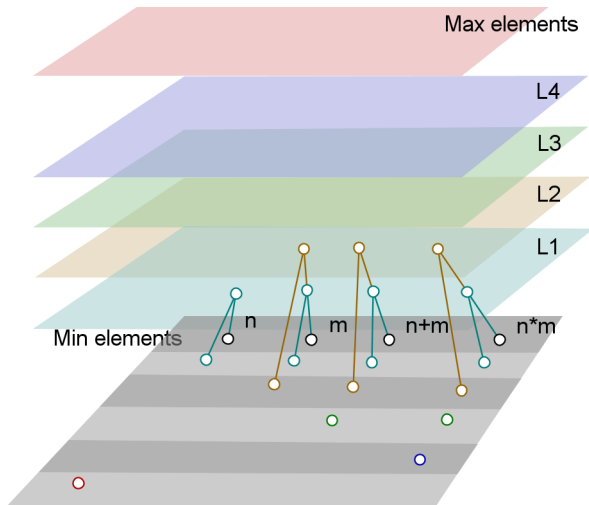
The elements of $G1$ will represent the natural numbers. There are parameters \mathbf{p}_0 and \mathbf{q}_0 such that $\varphi_{SW}(\mathbf{x}, \mathbf{a}, \mathbf{p}_0, \mathbf{q}_0)$ defines them.

Coding an SMA below any half of a \mathcal{K} -pair



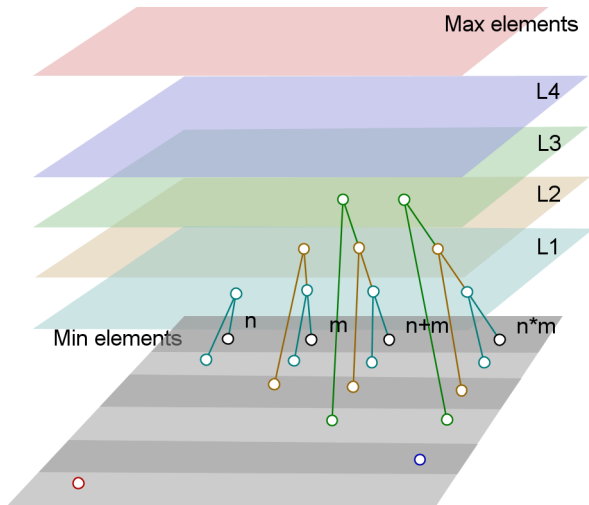
L1 is constructed from lub's of elements from G1 and G2. There are parameters \mathbf{p}_1 and \mathbf{q}_1 such that $\varphi_{SW}(\mathbf{x}, \mathbf{a}, \mathbf{p}_1, \mathbf{q}_1)$ defines them.

Coding an SMA below any half of a \mathcal{K} -pair



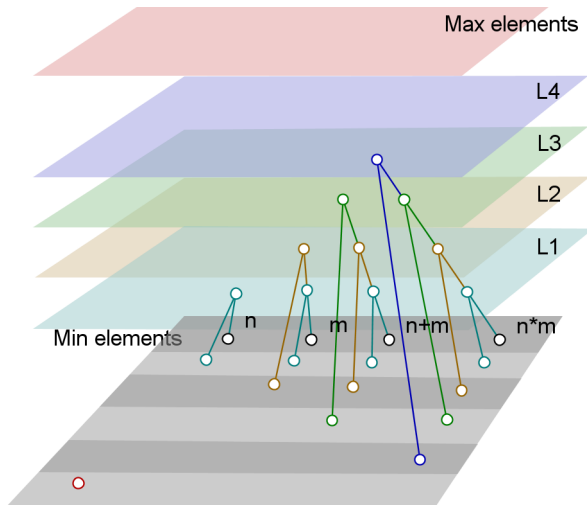
L2 is constructed from lub's of elements from L1 and G3. There are parameters \mathbf{p}_2 and \mathbf{q}_2 such that $\varphi_{SW}(\mathbf{x}, \mathbf{a}, \mathbf{p}_2, \mathbf{q}_2)$ defines them.

Coding an SMA below any half of a \mathcal{K} -pair



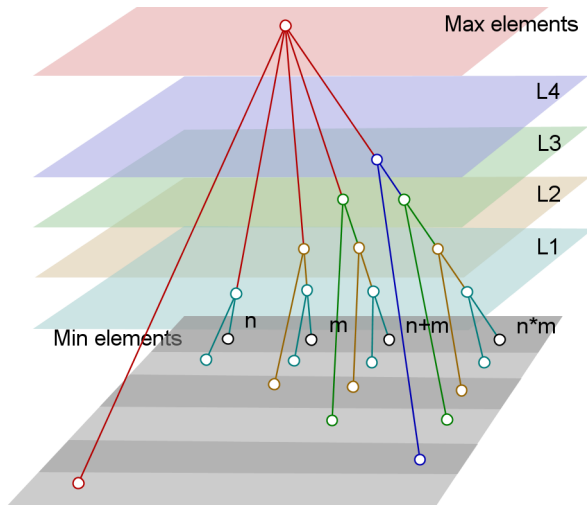
L3 is constructed from lub's of elements from L2 and G4. There are parameters \mathbf{p}_3 and \mathbf{q}_3 such that $\varphi_{SW}(\mathbf{x}, \mathbf{a}, \mathbf{p}_3, \mathbf{q}_3)$ defines them.

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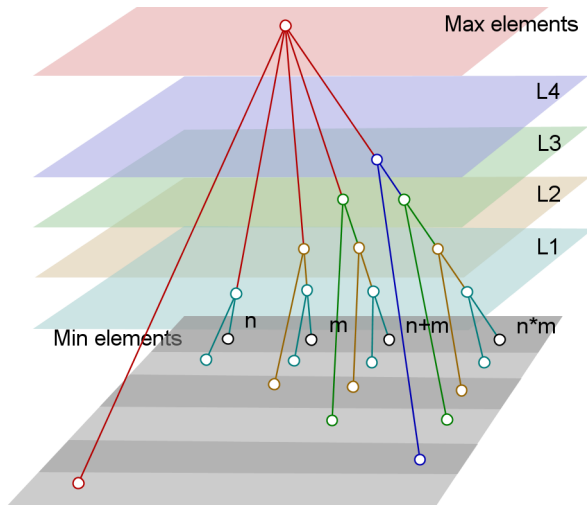
L4 is constructed from lub's of elements from L3 and G5. There are parameters \mathbf{p}_4 and \mathbf{q}_4 such that $\varphi_{SW}(\mathbf{x}, \mathbf{a}, \mathbf{p}_4, \mathbf{q}_4)$ defines them.

Coding an SMA below any half of a \mathcal{K} -pair



Finally the maximal elements are constructed from lub's of elements from L1, L2, L3, L4 and G6. $\varphi_{SW}(\mathbf{x}, \mathbf{a}, \mathbf{p}_5, \mathbf{q}_5)$ defines them.

Coding an SMA below any half of a \mathcal{K} -pair



So the parameters \mathbf{a} , \mathbf{p}_0 , \mathbf{p}_1 , \mathbf{p}_2 , \mathbf{p}_3 , \mathbf{p}_4 , \mathbf{p}_5 , \mathbf{q}_0 , \mathbf{q}_1 , \mathbf{q}_2 , \mathbf{q}_3 , \mathbf{q}_4 , \mathbf{q}_5 code a partial order, which represents a standard model of arithmetic $\mathfrak{A}(\mathbf{a}, \bar{\mathbf{p}}, \bar{\mathbf{q}})$.

The other direction

Given parameters \mathbf{a} , \mathbf{p}_0 , \mathbf{p}_1 , \mathbf{p}_2 , \mathbf{p}_3 , \mathbf{p}_4 , \mathbf{p}_5 , \mathbf{q}_0 , \mathbf{q}_1 , \mathbf{q}_2 , \mathbf{q}_3 , \mathbf{q}_4 , \mathbf{q}_5 , let $PO = \{\mathbf{x} \mid \varphi_{SW}(\mathbf{x}, \mathbf{a}, \mathbf{p}_i, \mathbf{q}_i) \text{ for some } i = 0, 1, 2, 3, 4, 5\}$.

We can define a first order condition $ST_0(\mathbf{a}, \bar{\mathbf{p}}, \bar{\mathbf{q}})$ so that the partial order (PO, \leq) satisfies:

- (M1) Every element is either minimal, maximal or in an interval with endpoints a minimal and a maximal element.
- (M2) For every pair of minimal elements there exists a unique maximal element at distance 1 from the first and distance 2 from the second.
- (M3) For every maximal element m there exists a unique quadruple of minimal elements below it such that the first one is at distance 1 from m , the second is at distance 2, the third at distance 3 and the fourth at distance 4 from m .

The other direction

Given parameters $\mathbf{a}, \mathbf{p}_0, \mathbf{p}_1, \mathbf{p}_2, \mathbf{p}_3, \mathbf{p}_4, \mathbf{p}_5, \mathbf{q}_0, \mathbf{q}_1, \mathbf{q}_2, \mathbf{q}_3, \mathbf{q}_4, \mathbf{q}_5$, let $PO = \{\mathbf{x} \mid \varphi_{SW}(\mathbf{x}, \mathbf{a}, \mathbf{p}_i, \mathbf{q}_i) \text{ for some } i = 0, 1, 2, 3, 4, 5\}$.

We can define a first order condition $ST_0(\mathbf{a}, \bar{\mathbf{p}}, \bar{\mathbf{q}})$ on the parameters $\mathbf{a}, \bar{\mathbf{p}}, \bar{\mathbf{q}}$ so that the partial order (PO, \leq) satisfies:

R_+ The relation

$R_+(x, y, z) =_{def} \min(x) \& \min(y) \& \min(z) \& \exists m (\max(m) \& x <_1 m \& y <_2 m \& z <_3 m)$ defines an operation $+$;

R_* The relation

$R_*(x, y, z) =_{def} \min(x) \& \min(y) \& \min(z) \& \exists m (\max(m) \& x <_1 m \& y <_2 m \& z <_4 m)$ defines an operation $*$;

PA^- The structure $\mathfrak{A}(\mathbf{a}, \bar{\mathbf{p}}, \bar{\mathbf{q}}) = \langle \{x \in PO \mid \min(x)\}, +, * \rangle$ is a model of arithmetic which contains a standard part.

Isolating parameters which code SMA'a

We will ask that $ST_0(\mathbf{a}, \bar{\mathbf{p}}, \bar{\mathbf{q}})$ ensures also:

- \mathbf{a} is half of \mathcal{K} -pair
- The minimal elements in PO form a \mathcal{K} -system.

Let \mathbf{b} be such that \mathbf{a} and \mathbf{b} are a \mathcal{K} -pair. There are parameters $\bar{\mathbf{p}}'$ and $\bar{\mathbf{q}}'$ such that $ST_0(\mathbf{b}, \bar{\mathbf{p}}', \bar{\mathbf{q}}')$ and $\mathfrak{A}(\mathbf{b}, \bar{\mathbf{p}}', \bar{\mathbf{q}}')$ is a standard model of arithmetic.

It will be enough to require that $\mathfrak{A}(\mathbf{a}, \bar{\mathbf{p}}, \bar{\mathbf{q}})$ can be embedded into $\mathfrak{A}(\mathbf{b}, \bar{\mathbf{p}}', \bar{\mathbf{q}}')$.

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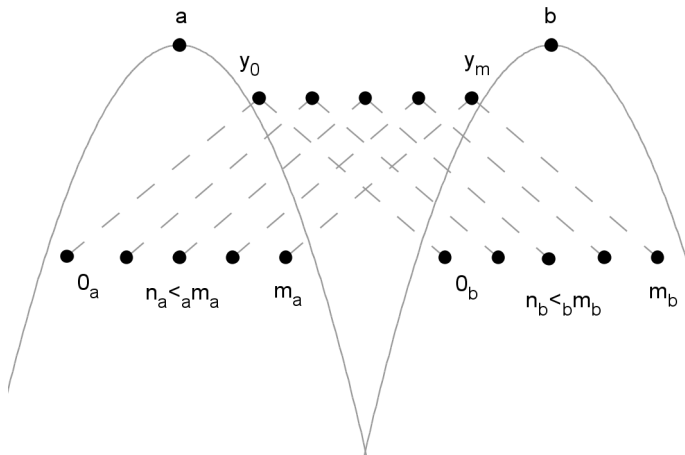
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Comparison maps

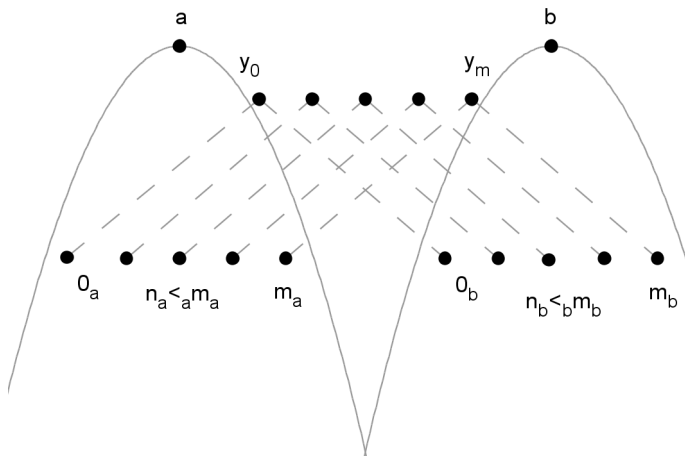
We will additionally ask that for every element $m_a \in \mathfrak{A}(\mathbf{a}, \bar{\mathbf{p}}, \bar{\mathbf{q}})$ there is an element $m_b \in \mathfrak{A}(\mathbf{b}, \bar{\mathbf{p}}', \bar{\mathbf{q}}')$ and an antichain (y_0, y_1, \dots, y_m) coded by parameters \mathbf{c}, \mathbf{p}'' and \mathbf{q}'' such that:



Comparison maps

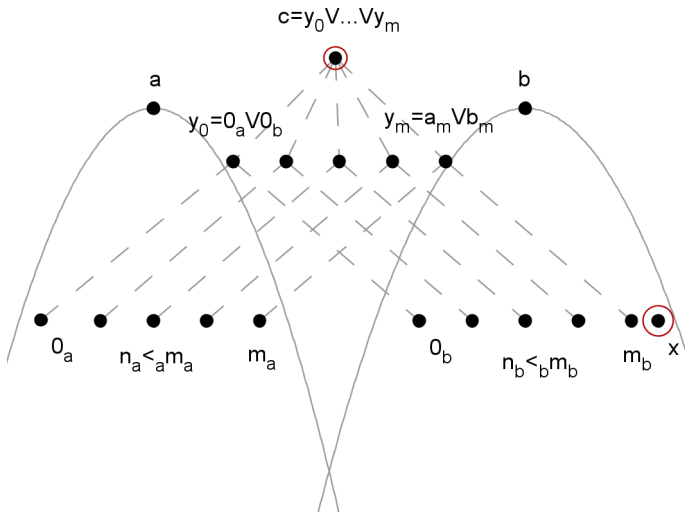
Denote this requirement by $\mathcal{M}(\mathbf{a}, \bar{\mathbf{p}}, \bar{\mathbf{q}}, \mathbf{b}, \bar{\mathbf{p}}', \bar{\mathbf{q}}')$

- If for all $\bar{\mathbf{p}}', \bar{\mathbf{q}}'$ such that $ST_0(\mathbf{b}, \bar{\mathbf{p}}', \bar{\mathbf{q}}')$ we have $\mathcal{M}(\mathbf{a}, \bar{\mathbf{p}}, \bar{\mathbf{q}}, \mathbf{b}, \bar{\mathbf{p}}', \bar{\mathbf{q}}')$ then $\mathfrak{A}(\mathbf{a}, \bar{\mathbf{p}}, \bar{\mathbf{q}})$ is an SMA.



Comparison maps

- If $\mathfrak{A}(\mathbf{a}, \bar{\mathbf{p}}, \bar{\mathbf{q}})$ is an SMA then this condition is true.



SMA condition

If $\mathbf{a}, \bar{\mathbf{p}}, \bar{\mathbf{q}}$ satisfy:

SMA

$$ST_0(\mathbf{a}, \bar{\mathbf{p}}, \bar{\mathbf{q}})$$

and

$$\exists \mathbf{b}(\mathcal{K}(\mathbf{a}, \mathbf{b})) \ \& \ \forall \bar{\mathbf{p}}', \forall \bar{\mathbf{q}}'[ST_0(\mathbf{b}, \bar{\mathbf{p}}', \bar{\mathbf{q}}') \implies \mathcal{M}(\mathbf{a}, \bar{\mathbf{p}}, \bar{\mathbf{q}}, \mathbf{b}, \bar{\mathbf{p}}', \bar{\mathbf{q}}')]$$

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Of course, all this relies on the assumption that being a \mathcal{K} -pair is a property definable in \mathcal{G}_e !

An order theoretic characterization of \mathcal{K} -pairs

Theorem (Kalimullin)

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Is it enough to check that:

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Definability of \mathcal{K} -pairs

Theorem (Kalimullin)

If (A, B) is not a \mathcal{K} -pair then there is a witness C computable from $A \oplus B \oplus K$ such that:

$$(d_e(A) \vee d_e(C)) \wedge (d_e(B) \vee d_e(C)) \neq d_e(C)$$

- If \mathbf{a} and \mathbf{b} are Δ_2^0 then C is also Δ_2^0 and $\mathcal{K}(\mathbf{a}, \mathbf{b})$ ensures “ \mathbf{a} and \mathbf{b} are a true \mathcal{K} -pair”.
- If \mathbf{a} and \mathbf{b} are properly Σ_2^0 then C is Δ_3^0 . So it is possible that there is a fake \mathcal{K} -pair \mathbf{a} and \mathbf{b} such that

$$\mathcal{G}_e \models \mathcal{K}(\mathbf{a}, \mathbf{b}), \text{ but } \mathcal{D}_e \models \neg \mathcal{K}(\mathbf{a}, \mathbf{b})$$

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- If \mathbf{a} and \mathbf{b} are Δ_2^0 then C is also Δ_2^0 and $\mathcal{K}(\mathbf{a}, \mathbf{b})$ ensures “ \mathbf{a} and \mathbf{b} are a true \mathcal{K} -pair”.
- If \mathbf{a} and \mathbf{b} are properly Σ_2^0 then C is Δ_3^0 . So it is possible that there is a fake \mathcal{K} -pair \mathbf{a} and \mathbf{b} such that

$$\mathcal{G}_e \models \mathcal{K}(\mathbf{a}, \mathbf{b}), \text{ but } \mathcal{D}_e \models \neg \mathcal{K}(\mathbf{a}, \mathbf{b})$$

Definability of \mathcal{K} -pairs

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Cupping properties

Definition

A Σ_2^0 enumeration degree \mathbf{a} is called *cuppable* if there is an incomplete Σ_2^0 e-degree \mathbf{b} , such that $\mathbf{a} \vee \mathbf{b} = \mathbf{0}'_e$.

If furthermore \mathbf{b} is low, then \mathbf{a} will be called *low cuppable*.

Theorem (S,Wu)

Every nonzero Δ_2^0 enumeration degree \mathbf{a} is low cuppable, i.e. there is a low \mathbf{b} such that $\mathbf{a} \vee \mathbf{b} = \mathbf{0}'_e$.

Theorem (Cooper, Sorbi, Yi)

There are non-cuppable nonzero Σ_2^0 enumeration degrees.

Question

Are all cuppable degrees also low cuppable?

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Are all cuppable degrees also low cuppable?

A locally definable set of low degrees

Theorem

If \mathbf{u} and \mathbf{v} are Σ_2^0 enumeration degrees such that $\mathbf{u} \vee \mathbf{v} = \mathbf{0}'_e$ then \mathbf{u} is low cuppable or \mathbf{v} is low cuppable.

Proof:

Uses a construction very similar to the construction of a non-splitting enumeration degree.

A locally definable set of low degrees

Corollary

The formula $\mathcal{L}(\mathbf{a}) \Leftrightarrow (\exists \mathbf{b})(\mathcal{K}(\mathbf{a}, \mathbf{b}) \wedge (\mathbf{a} \vee \mathbf{b} = \mathbf{0}'_e))$ defines in \mathcal{G}_e a nonempty set of true halves of \mathcal{K} -pairs.

Proof:

Kallimulin has proved that there is a Δ_2^0 \mathcal{K} -pair which splits $\mathbf{0}'_e$ so the set $\{\mathbf{a} \mid \mathcal{G}_e \models \mathcal{L}(\mathbf{a})\} \neq \emptyset$.

Let \mathbf{a} be a Σ_2^0 degree such that $\mathcal{G}_e \models \mathcal{L}(\mathbf{a})$. Let \mathbf{b} a witness such that $\mathcal{K}(\mathbf{a}, \mathbf{b}) \wedge (\mathbf{a} \vee \mathbf{b} = \mathbf{0}'_e)$.

Then \mathbf{a} is low cuppable or \mathbf{b} is low cuppable.

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Proof:

If \mathbf{b} is low cuppable then let \mathbf{c} be a low Δ_2^0 e-degree which cups \mathbf{b} .

$$(\mathbf{a} \vee \mathbf{c}) \wedge \underbrace{(\mathbf{b} \vee \mathbf{c})}_{= \mathbf{c}} = \mathbf{c}$$

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So $\mathbf{a} \leq \mathbf{c}$ and $\mathbf{a}' \leq \mathbf{c}' = \mathbf{0}'_e$ and \mathbf{a} is low, hence Δ_2^0 and hence low-cuppable.

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If \mathbf{a} is low cuppable then let \mathbf{d} be a low Δ_2^0 e-degree which cups \mathbf{a} .

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So $\mathbf{b} \leq \mathbf{d}$ and hence \mathbf{b} is low, Δ_2^0 and low cuppable.

In either case both \mathbf{a} and \mathbf{b} are Δ_2^0 and hence $\mathcal{K}(\mathbf{a}, \mathbf{b})$ ensures that they form a true \mathcal{K} -pair.

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The formula $\mathcal{L}(\mathbf{a}) \Leftrightarrow (\exists \mathbf{b})(\mathcal{K}(\mathbf{a}, \mathbf{b}) \& (\mathbf{a} \vee \mathbf{b} = \mathbf{0}'_e))$ defines in \mathcal{G}_e a nonempty set of true halves of \mathcal{K} -pairs.

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The final condition SMA

Finally if $\mathbf{a}, \bar{\mathbf{p}}, \bar{\mathbf{q}}$ satisfy:

SMA

$$ST_0(\mathbf{a}, \bar{\mathbf{p}}, \bar{\mathbf{q}}) \& \mathcal{L}(\mathbf{a})$$

and

$$\exists \mathbf{b}(\mathcal{K}(\mathbf{a}, \mathbf{b})) \ \& \ \forall \bar{\mathbf{p}}', \forall \bar{\mathbf{q}}' [ST_0(\mathbf{b}, \bar{\mathbf{p}}', \bar{\mathbf{q}}') \implies \mathcal{M}(\mathbf{a}, \bar{\mathbf{p}}, \bar{\mathbf{q}}, \mathbf{b}, \bar{\mathbf{p}}', \bar{\mathbf{q}}')]$$

then $\mathfrak{A}(\mathbf{a}, \bar{\mathbf{p}}, \bar{\mathbf{q}})$ is a standard model of arithmetic.

The end

Thank you!