

The enumeration degrees: Local and global structural interactions

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10th Panhellenic Logic Symposium

¹Supported by a Marie Curie International Outgoing Fellowship STRIDE (298471) and Sofia University Science Fund project 81/2015.

The spectrum of relative definability

If a set of natural numbers A can be *defined* using as parameter a set of natural numbers B , then A is reducible to B .

- 1 There is a total computable function f , such that $x \in A$ if and only if $f(x) \in B$: many-one reducibility ($A \leq_m B$).
- 2 There is an algorithm to determine whether $x \in A$ using finitely many facts about membership in B : Turing reducibility ($A \leq_T B$).
- 3 There is an algorithm that allows us to enumerate A using any enumeration of B : enumeration reducibility ($A \leq_e B$).
- 4 There is an arithmetical formula with parameter B that determines whether $x \in A$: arithmetical reducibility ($A \leq_a B$).
- 5 B can compute a complete description of A in terms of the Borel hierarchy: hyperarithmetical reducibility ($A \leq_h B$).

Degree structures

Definition

- $A \equiv B$ if $A \leq B$ and $B \leq A$.
- $d(A) = \{B \mid A \equiv B\}$.
- $d(A) \leq d(B)$ if and only if $A \leq B$.
- There is a least upper bound operation \vee .
- There is a jump operation $'$.



The many-one degrees

Theorem (Ershov, Paliutin)

The partial ordering of the many-one degrees is the unique partial order P such that the following conditions hold.

- 1 P is a distributive upper-semi-lattice with least element.
- 2 Every element of P has at most countably many predecessors.
- 3 P has cardinality the continuum.
- 4 Given any distributive upper-semi-lattice L with least element and of cardinality less than the continuum with the countable predecessor property and given an isomorphism π between an ideal I in L and an ideal $\pi(I)$ in P , there is an extension π^* of π to an isomorphism between L and $\pi^*(L)$ such that $\pi^*(L)$ is an ideal in P .

The automorphism group of \mathcal{D}_m has cardinality 2^{2^ω} and every element of \mathcal{D}_m other than its least one, $\mathbf{0}_m$, has a nontrivial orbit.

The hyperarithmetical degrees

Theorem (Slaman and Woodin: Biinterpretability)

The partial ordering of the hyperarithmetical degrees is *biinterpretable* with the structure of second-order arithmetic. There is a way within the ordering \mathcal{D}_h to represent the standard model of arithmetic $\langle \mathbb{N}, +, *, <, 0, 1 \rangle$ and each set of natural numbers X so that the relation

\vec{p} represents the set X and \mathbf{x} is the hyper-arithmetical degree of X .

can be defined in \mathcal{D}_h as a property of \vec{p} and \mathbf{x} .

- There are no nontrivial automorphisms of \mathcal{D}_h .
- A relation on degrees is definable in \mathcal{D}_h if and only if the corresponding relation on sets is definable in second order arithmetic.

Understanding the middle of the spectrum

Theorem (Simpson)

The first order theory of \mathcal{D}_T is computably isomorphic to the theory of second order arithmetic.

Theorem (Slaman, Woodin: Biinterpretability with parameters)

There is a way within \mathcal{D}_T to represent the standard model of arithmetic $\langle \mathbb{N}, +, *, <, 0, 1 \rangle$ and each set of natural numbers X so that the relation

\vec{p} represents the set X and \mathbf{x} is the Turing degree of X .

can be defined using a parameter \mathbf{g} in \mathcal{D}_T as a property of \vec{p} and \mathbf{x} .

- There are at most countably many automorphisms of \mathcal{D}_T .
- Relations on degrees induced by a relations on sets definable in second order arithmetic are definable with parameters in \mathcal{D}_T .
- The degrees below $\mathbf{0}^{(5)}$ form an automorphism base.
- Rigidity is equivalent to full biinterpretability.

Understanding the middle of the spectrum

Theorem (Slaman, Woodin)

The first order theory of \mathcal{D}_e is computably isomorphic to the theory of second order arithmetic.

Theorem (S: Biinterpretability with parameters)

There is a way within \mathcal{D}_e to represent the standard model of arithmetic $\langle \mathbb{N}, +, *, <, 0, 1 \rangle$ and each set of natural numbers X so that the relation

\vec{p} represents the set X and \mathbf{x} is the enumeration degree of X .

can be defined using a parameter \mathbf{g} in \mathcal{D}_e as a property of \vec{p} and \mathbf{x} .

- There are at most countably many automorphisms of \mathcal{D}_e .
- Relations on degrees induced by a relations on sets definable in second order arithmetic are definable with parameters in \mathcal{D}_e .
- The degrees below $\mathbf{0}_e^{(8)}$ form an automorphism base.
- Rigidity is equivalent to full biinterpretability.

Local structures

Definition

\mathcal{R} is the substructure consisting of all Turing degrees that contain c.e. sets.

$\mathcal{D}_T(\leq \mathbf{0}')$ is the substructure consisting of all Turing degrees that are bounded by $\mathbf{0}'_T$.

$\mathcal{D}_e(\leq \mathbf{0}'_e)$ is the substructure consisting of all enumeration degrees that are bounded by $\mathbf{0}'_e$.

Theorem (Harrington, Slaman; Shore; Ganchev, S)

The theory of each local structure is computably isomorphic to first order arithmetic.

Theorem (Slaman, S)

The local structure of the Turing degrees, $\mathcal{D}_T(\leq \mathbf{0}')$, is biinterpretable with first order arithmetic modulo the use of finitely many parameters.

Reducibilities

Reducibility	Oracle set B	Reduced set A
$A \leq_T B$	Complete information	Complete information
A c.e. in B	Complete information	Positive information
$A \leq_e B$	Positive information	Positive information

Definition

- ① $A \leq_e B$ if there is a c.e. set W , such that

$$A = W(B) = \{x \mid \exists D(\langle x, D \rangle \in W \ \& \ D \subseteq B)\}.$$

- ② A c.e. in B if there is a c.e. set W , such that

$$A = W^B = \{x \mid \exists D_1, D_2(\langle x, D_1, D_2 \rangle \in W \ \& \ D_1 \subseteq B \ \& \ D_2 \subseteq \overline{B})\}.$$

- ③ $A \leq_T B$ if A c.e. in B and \overline{A} c.e. in B .

What connects \mathcal{D}_T and \mathcal{D}_e

Proposition

$$A \leq_T B \Leftrightarrow A \oplus \bar{A} \text{ is c.e. in } B \Leftrightarrow A \oplus \bar{A} \leq_e B \oplus \bar{B}.$$

The embedding $\iota : \mathcal{D}_T \rightarrow \mathcal{D}_e$, defined by $\iota(d_T(A)) = d_e(A \oplus \bar{A})$, preserves the order, the least upper bound and the jump operation.

$\mathcal{TOT} = \iota(\mathcal{D}_T)$ is the set of total enumeration degrees.

$$(\mathcal{D}_T, \leq_T, \vee, ', \mathbf{0}_T) \cong (\mathcal{TOT}, \leq_e, \vee, ', \mathbf{0}_e) \subseteq (\mathcal{D}_e, \leq_e, \vee, ', \mathbf{0}_e)$$

Theorem (Selman)

A is enumeration reducible to B if and only if

$$\{\mathbf{x} \in \mathcal{TOT} \mid d_e(A) \leq \mathbf{x}\} \supseteq \{\mathbf{x} \in \mathcal{TOT} \mid d_e(B) \leq \mathbf{x}\}.$$

\mathcal{TOT} is an automorphism base for \mathcal{D}_e .

Definability in \mathcal{D}_T and the local structures

Theorem (Shore, Slaman)

The Turing jump is first order definable in \mathcal{D}_T .

- A degree \mathbf{a} is Low_n if $\mathbf{a}^{(n)} = \mathbf{0}_T^{(n)}$.
- A degree \mathbf{a} is High_n if $\mathbf{a}^{(n)} = \mathbf{0}_T^{(n+1)}$.

Theorem (Nies, Shore, Slaman)

All jump classes apart from Low_1 are first order definable in \mathcal{R} and in $\mathcal{D}_T(\leq \mathbf{0}')$.

Method: “Involves explicit translation of automorphism facts in definability facts via a coding of second order arithmetic.”

Semi-computable sets

Definition (Jockusch)

A is semi-computable if there is a total computable function s_A , such that $s_A(x, y) \in \{x, y\}$ and if $\{x, y\} \cap A \neq \emptyset$ then $s_A(x, y) \in A$.

Example:

- A *left cut* in a computable linear ordering is a semi-computable set.
- Every nonzero Turing degree contains a semi-computable set that is not c.e. or co-c.e.

Theorem (Arslanov, Cooper, Kalimullin)

If A is a semi-computable set then for every X :

$$(d_e(X) \vee d_e(A)) \wedge (d_e(X) \vee d_e(\overline{A})) = d_e(X).$$

Kalimullin pairs

Definition (Kalimullin)

A pair of sets A, B are called a \mathcal{K} -pair if there is a c.e. set W , such that $A \times B \subseteq W$ and $\overline{A} \times \overline{B} \subseteq \overline{W}$.

Example:

- 1 A trivial example is $\{A, U\}$, where U is c.e: $W = \mathbb{N} \times U$.
- 2 If A is a semi-computable set, then $\{A, \overline{A}\}$ is a \mathcal{K} -pair:
 $W = \{(m, n) \mid s_A(m, n) = m\}$.

Theorem (Kalimullin)

A pair of sets A, B is a \mathcal{K} -pair if and only if their enumeration degrees \mathbf{a} and \mathbf{b} satisfy:

$$\mathcal{K}(\mathbf{a}, \mathbf{b}) \Leftrightarrow (\forall \mathbf{x} \in \mathcal{D}_e)((\mathbf{a} \vee \mathbf{x}) \wedge (\mathbf{b} \vee \mathbf{x}) = \mathbf{x}).$$

Definability of the enumeration jump

Theorem (Kalimullin)

$\mathbf{0}'_e$ is the largest degree which can be represented as the least upper bound of a triple $\mathbf{a}, \mathbf{b}, \mathbf{c}$, such that $\mathcal{K}(\mathbf{a}, \mathbf{b})$, $\mathcal{K}(\mathbf{b}, \mathbf{c})$ and $\mathcal{K}(\mathbf{c}, \mathbf{a})$.

Corollary (Kalimullin)

The enumeration jump is first order definable in \mathcal{D}_e .

Definability in the local structure of the enumeration degrees

Theorem (Ganchev, S)

The class of \mathcal{K} -pairs below $\mathbf{0}'_e$ is first order definable in $\mathcal{D}_e(\leq \mathbf{0}'_e)$...

Theorem (Cai, Lempp, Miller, S)

...by the same formula as in \mathcal{D}_e .

Theorem (Ganchev, S)

The low enumeration degrees are first order definable in $\mathcal{D}_e(\leq \mathbf{0}'_e)$: \mathbf{a} is low if and only if every $\mathbf{b} \leq \mathbf{a}$ bounds a half of a \mathcal{K} -pair.

Maximal \mathcal{K} -pairs

Definition

A \mathcal{K} -pair $\{\mathbf{a}, \mathbf{b}\}$ is maximal if for every \mathcal{K} -pair $\{\mathbf{c}, \mathbf{d}\}$ with $\mathbf{a} \leq \mathbf{c}$ and $\mathbf{b} \leq \mathbf{d}$, we have that $\mathbf{a} = \mathbf{c}$ and $\mathbf{b} = \mathbf{d}$.

Example: A semi-computable pair is a maximal \mathcal{K} -pair.
Total enumeration degrees are joins of maximal \mathcal{K} -pairs.

Theorem (Ganchev, S)

In $\mathcal{D}_e(\leq \mathbf{0}'_e)$ a nonzero degree is total if and only if it is the least upper bound of a maximal \mathcal{K} -pair.

The main definability question

Question (Rogers 1967)

Are the total enumeration degrees first order definable in \mathcal{D}_e ?

- 1 The total degrees above $0'_e$ are definable as the range of the jump operator.
- 2 The total degrees below $0'_e$ are definable as joins of maximal \mathcal{K} -pairs.
- 3 The total degrees are definable with parameters in \mathcal{D}_e .

Every total degree is the join of a maximal \mathcal{K} -pair.

Question (Ganchev, S)

Is the the join of every maximal \mathcal{K} -pair total?

Defining totality in \mathcal{D}_e

Theorem (Cai, Ganchev, Lempp, Miller, S)

If $\{A, B\}$ is a nontrivial \mathcal{K} -pair in \mathcal{D}_e then there is a semi-computable set C , such that $A \leq_e C$ and $B \leq_e \overline{C}$.

Proof flavor: Let W be a c.e. set witnessing that a pair of sets $\{A, B\}$ forms a nontrivial \mathcal{K} -pair.

- 1 The countable component: we use W to construct an effective labeling of the computable linear ordering \mathbb{Q} .
- 2 The uncountable component: C will be a left cut in this ordering.

Theorem (Cai, Ganchev, Lempp, Miller, S)

The set of total enumeration degrees is first order definable in \mathcal{D}_e .

The relation *c.e. in*

Definition

A Turing degree \mathbf{a} is *c.e. in* a Turing degree \mathbf{x} if some $A \in \mathbf{a}$ is c.e. in some $X \in \mathbf{x}$.

Recall that ι is the standard embedding of \mathcal{D}_T into \mathcal{D}_e .

Theorem (Cai, Ganchev, Lempp, Miller, S)

The set $\{\langle \iota(\mathbf{a}), \iota(\mathbf{x}) \rangle \mid \mathbf{a} \text{ is c.e. in } \mathbf{x}\}$ is first order definable in \mathcal{D}_e .

- 1 Ganchev, S had observed that if \mathcal{TOT} is definable by maximal \mathcal{K} -pairs then the image of the relation ‘c.e. in’ is definable for non-c.e. degrees.
- 2 A result by Cai and Shore allowed us to complete this definition.

The total degrees as an automorphism base

Theorem (Selman)

A is enumeration reducible to B if and only if

$$\{\mathbf{x} \in \mathcal{TOT} \mid d_e(A) \leq \mathbf{x}\} \supseteq \{\mathbf{x} \in \mathcal{TOT} \mid d_e(B) \leq \mathbf{x}\}.$$

Corollary

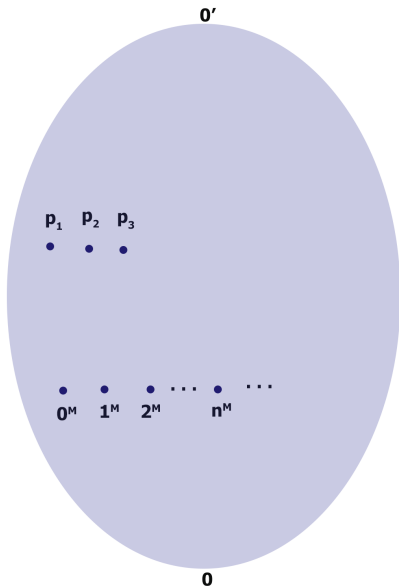
The total enumeration degrees form a definable automorphism base of the enumeration degrees.

- If \mathcal{D}_T is rigid then \mathcal{D}_e is rigid.
- The automorphism analysis for the enumeration degrees follows.
- The total degrees below $\mathbf{0}_e^{(5)}$ are an automorphism base of \mathcal{D}_e .

Question

Can we improve this bound further?

The local coding theorem of Slaman and Woodin



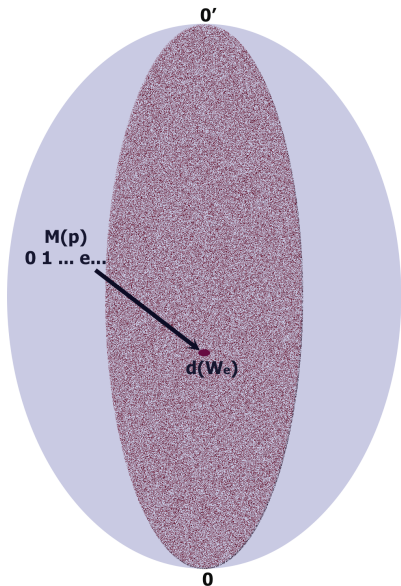
Using parameters we can code a model of arithmetic $\mathcal{M} = (\mathbb{N}^{\mathcal{M}}, 0^{\mathcal{M}}, s^{\mathcal{M}}, +^{\mathcal{M}}, \times^{\mathcal{M}}, \leq^{\mathcal{M}})$.

- 1 The set $\mathbb{N}^{\mathcal{M}}$ is definable with parameters \vec{p} .
- 2 The graphs of s , $+$, \times and the relation \leq are definable with parameters \vec{p} .
- 3 $\mathbb{N} \models \varphi$ iff $\mathcal{D}_T(\leq \mathbf{0}') \models \varphi_T(\vec{p})$

An indexing of the c.e. degrees

Theorem (Slaman, Woodin)

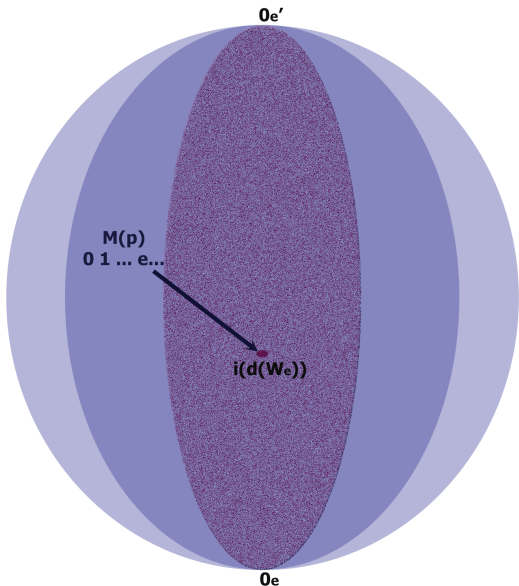
There are finitely many Δ_2^0 parameters which code a model of arithmetic \mathcal{M} and an indexing of the c.e. degrees: a function $\psi : \mathbb{N}^{\mathcal{M}} \rightarrow \mathcal{D}_T(\leq \mathbf{0}')$ such that $\psi(e^{\mathcal{M}}) = d_T(W_e)$.



Towards a better automorphism base of \mathcal{D}_e

Theorem (Slaman, Woodin)

There are total Δ_2^0 parameters that code a model of arithmetic \mathcal{M} and an indexing of the image of the c.e. Turing degrees.

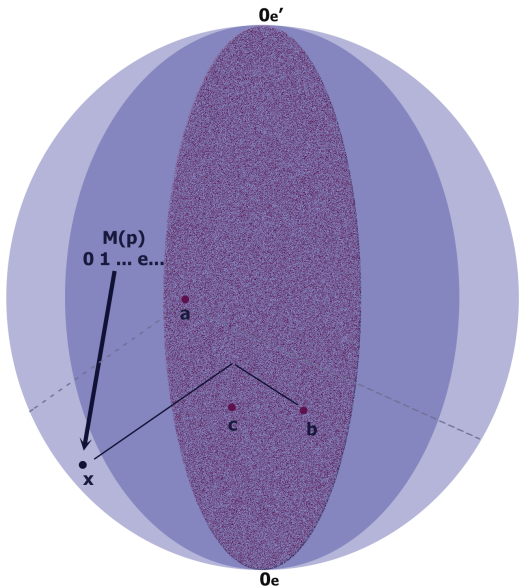


Towards a better automorphism base of \mathcal{D}_e

Theorem (Slaman, Woodin)

There are total Δ_2^0 parameters that code a model of arithmetic \mathcal{M} and an indexing of the image of the c.e. Turing degrees.

Idea: Can we extend this indexing to capture more elements in \mathcal{D}_e ?



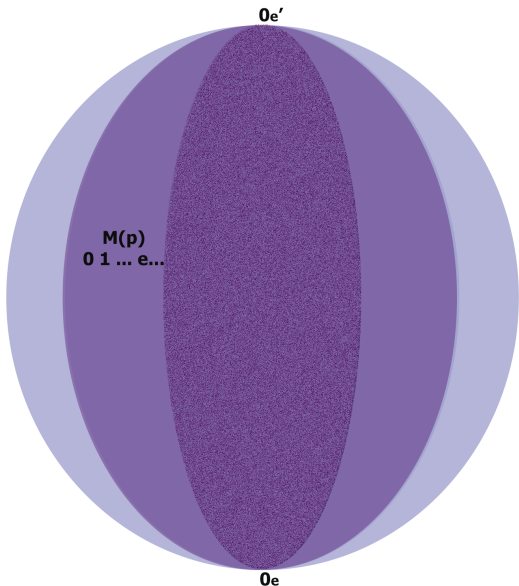
Towards a better automorphism base of \mathcal{D}_e

Theorem (Slaman, S)

If \vec{p} defines a model of arithmetic \mathcal{M} and an indexing of the image of the c.e. Turing degrees then \vec{p} defines an indexing of the total Δ_2^0 enumeration degrees.

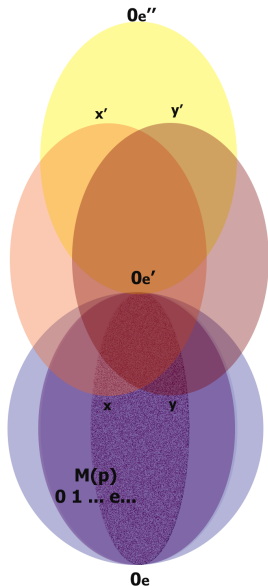
Proof flavour:

- The image of the c.e. degrees
- The low co-d.c.e. e-degrees
- The low Δ_2^0 e-degrees
- The total Δ_2^0 e-degrees



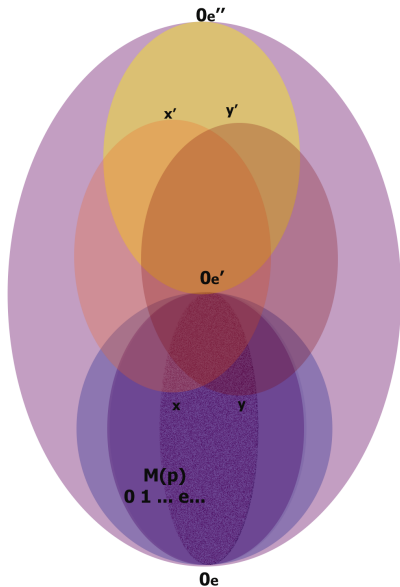
Moving outside the local structure

- 1 Extend to an indexing of all total degrees that are “c.e. in” and above some total Δ_2^0 enumeration degree.
 - ▶ The jump is definable.
 - ▶ The image of the relation “c.e. in” is definable.
- 2 Relativizing the previous theorem extend to an indexing of $\bigcup_{\mathbf{x} \leq \mathbf{0}'} \iota([\mathbf{x}, \mathbf{x}'])$.

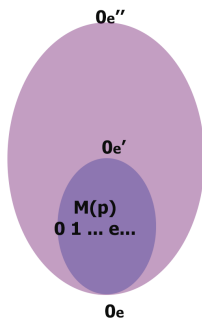


Moving outside the local structure

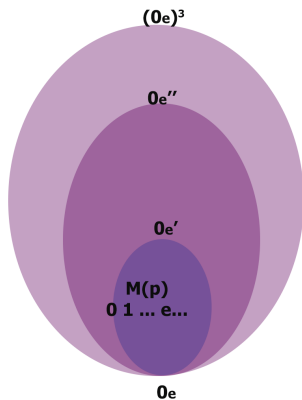
- 3 Extend to an indexing of all total degrees below $0_e''$.



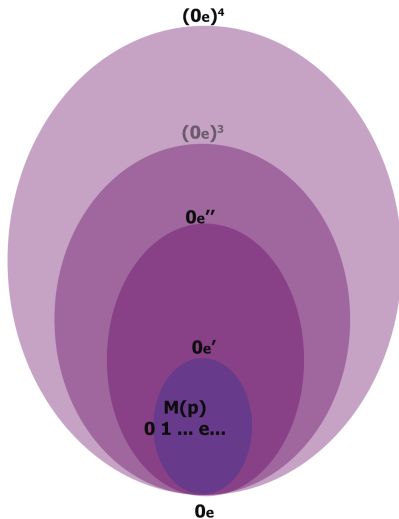
And now we iterate



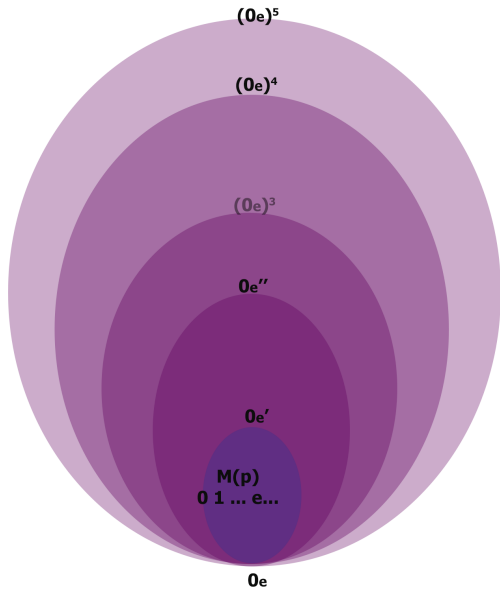
And now we iterate



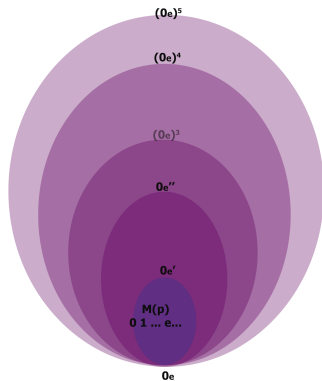
And now we iterate



And now we iterate



And now we iterate



Theorem (Slaman, S)

Let n be a natural number and \vec{p} be parameters that index the image of the c.e. Turing degrees. There is a definable from \vec{p} indexing of the total Δ_{n+1}^0 degrees.

Consequences

Theorem (Slaman, S)

- 1 The enumeration degrees below $\mathbf{0}'_e$ are an automorphism base for \mathcal{D}_e .
- 2 The image of the c.e. Turing degrees is an automorphism base for \mathcal{D}_e .
- 3 If the structure of the c.e. Turing degrees is rigid then so is the structure of the enumeration degrees.

Question

- 1 Can we show that there is a similar interaction between the local and global structures of the Turing degrees?
- 2 Can we show that the local structure of the enumeration degrees is biinterpretable with first order arithmetic (with or without parameters)?



Thank you!