

Introducing a New Characterization of  
the  $Low_n$  Degrees  
A look inside Kenneth Harris' PhD Thesis  
Part 1

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## Definition

Let  $n \geq 1$ . A set  $A$  is  $low_n$  if  $A^{(n)} \equiv_T \emptyset^{(n)}$ . A Turing degree  $d$  is  $low_n$  if it contains a  $low_n$  set.

- ▶ Aim: Find some property that characterizes the  $low_n$  degrees, which is easier to work with.

## Definition

1.  $(\exists^\infty x)P \Leftrightarrow (\forall y \exists x > y)P$  - for infinitely many  $x$ .
2.  $(\forall^\infty x)P \Leftrightarrow (\exists y \forall x > y)P$  - for almost all (all but finitely many)  $x$ .

▶  $\forall \Rightarrow \forall^\infty \Rightarrow \exists^\infty \Rightarrow \exists$

# Dart Vader vs Luke Skywalker

- ▶ For  $f, g$ - total functions,  $f$  dominates  $g$  if

$$(\forall^\infty x)[f(x) > g(x)].$$

- ▶ For  $f, g$ - total functions,  $g$  escapes  $f$

$$(\exists^\infty x)[f(x) \leq g(x)].$$

## Theorem

*Martin's High Domination Theorem.*

*A Turing degree  $a$  is high iff*

$$(\exists f \leq a)(\forall g \leq 0)[f \text{ dominates } g].$$

## Corollary

*A Turing degree  $a$  is not high iff*

$$(\forall f \leq a)(\exists g \leq 0)[g \text{ escapes } f].$$

- ▶ Can we use this to characterize the  $low_n$  degrees?
- ▶ What additional properties should the escape functions have?

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# The starting Point

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# A closer look at the Arithmetical Hierarchy

We will fix some standard effective coding of all finite tuples.

- ▶ E.g suppose we have some pairing function  $\pi : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ . Then we will code  $n_1, \dots, n_k$  by  $\langle n_1, \dots, n_k \rangle = \pi(k, \pi(n_1, \dots, \pi(n_{k-1}, n_k)))$ .
- ▶ This gives us the means to consider only 1-ary relations. Any any  $k$ -ary relation  $P(x_1, \dots, x_k)$  will be represented by the relation  $P'(n) \Leftrightarrow n = \langle n_1, \dots, n_k \rangle \wedge P(n_1, \dots, n_k)$ .



## Definition

Let  $P$  be any relation.

1.  $P$  is  $\Sigma_0$  ( $\Pi_0$ ) if it is computable.
2.  $P$  is  $\Sigma_{n+1}$  if there is a  $\Pi_n$  relation  $Q$  such that:

$$P(x) \Leftrightarrow \exists \bar{y} Q(\langle x, \bar{y} \rangle)$$

3.  $P$  is  $\Pi_{n+1}$  if there is a  $\Sigma_n$  relation  $Q$  such that:

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4.  $P$  is  $\Delta_{n+1}$  iff  $P$  is  $\Sigma_{n+1}$  and  $\Pi_{n+1}$

## Definition

Let  $P$  be any relation and  $A$  be any set.

1.  $P$  is  $\Sigma_0^A$  ( $\Pi_0^A$ ) if it is  $A$ -computable.
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# A closer look at the Arithmetical Hierarchy

- ▶ Connect with a set  $A$  the relation  $A(x) \Leftrightarrow x \in A$ .
- ▶ Note that  $A$  is c.e. iff the relation  $A(x)$  is  $\Sigma_1$ .
- ▶ If  $A$  is a  $\Sigma_{2n+1}$  set, then there is a c.e. set  $W_e$ , s.t.

$$A(x) \Leftrightarrow (\exists y_{2n-1})(\forall y_{2n-2})(\exists y_1)(\forall z)[\langle x, z, y_1, \dots, y_{2n+1} \rangle \in W_e]$$

We will say that  $A$  has  $\Sigma_{2n+1}$  index  $e$ .

If  $B \in \Pi_{2n+1}$  then a  $\Pi_{2n+1}$  index for  $B$  is any  $\Sigma_{2n+1}$  index for  $\bar{B}$ .

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- ▶ Post's Theorem: For every  $n \geq 1$  and every  $A$ 
  1.  $A^{(n)}$  is  $\Sigma_n^A$ -complete.
  2.  $X \in \Delta_{n+1}^A$  iff  $X \leq_T A^{(n)}$ .
- ▶ Theorem. The following are equivalent
  1.  $A$  is  $low_n$ .
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  3.  $\Pi_n^A \subset \Sigma_{n+1}$ .

Proof:  $1 \Rightarrow 2$ .  $A$  is  $low_n$ , hence  $A^{(n)} \leq_T 0^{(n)}$ . Then  $A$  is  $\Delta_{n+1}$ . If  $B \in \Sigma_n^A$ , then  $B \leq_m A$ , hence  $B$  is  $\Delta_{n+1} \subset \Pi_{n+1}$ .

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## Definition

A set  $A$  has the uniform escape property (UEP) if there is a partial computable function  $h(e, x)$  such that whenever  $\phi_e^A$  is total, then  $h(e, x)$  is total and escapes domination from  $\phi_e^A$ , i.e.:

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*A is low if and only if A has UEP.*

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There is a computable function  $g$  such that for any  $\Pi_2$  set  $A$  with  $\Pi_2$  index  $e$ :

1.  $A(x) \Leftrightarrow W_{g(e,x)} = \omega$
2.  $\neg A(x) \Leftrightarrow W_{g(e,x)}$  is finite.

## Proof.

- ▶  $A \in \Pi_2$  with index  $e$ , hence  $A(x) \Leftrightarrow (\forall z)[\langle x, z \rangle \in W_e]$
- ▶ Use the  $S_n^m$ -Theorem:  $A(x) \Leftrightarrow (\forall z)[z \in W_{h(e,x)}]$
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# Every low set has UEP

## Basic Tools

- ▶ The Recursion Theorem

Let  $s$  be a total computable function. Then there is an  $e$  such that  $W_e = W_{s(e)}$ .

- ▶ Settling functions.

Let  $W_e$  be any c.e. set with standard approximation  $W_{e,s}$ . The settling function for  $W_e$  is denoted by  $m_e$  and defined by

$$m_e(x) = (\mu s)[x \in W_{e,s}].$$

- ▶  $m_e$  is a partial computable function, uniformly in  $e$ .
- ▶  $m_e$  is total if and only if  $W_e = \omega$

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Let  $A$  be a low set. We will show that there is a total computable function  $k$ , such that if  $\phi_e^A$  is total, then  $W_{k(e)} = \omega$  and the settling function  $m_{k(e)}$  escapes domination from  $\phi_e^A$ . Then we will define  $h(e, x) = m_{k(e)}(x)$ .

Will define  $k(e)$  so that for all  $e$  if  $\phi_e^A$  is total then  $k(e)$  has the following properties:

**Esc**  $(\exists^\infty x)(\exists s)[\phi_{e,s}^A(x) \downarrow < s \wedge x \notin W_{k(e),s}]$

**Tot**  $W_{k(e)} = \omega$ .

# Every low set has UEP

The main idea

**Esc**  $(\exists^\infty x)(\exists s)[\phi_{e,s}^A(x) < s \downarrow \wedge x \notin W_{k(e),s}]$

**Tot**  $W_{k(e)} = \omega$ .

- ▶ (Esc) is a  $\Pi_2^A$  predicate  $V^A(e)$
- ▶  $A$  is  $low_1$ , hence  $\Sigma_2^A \subset \Pi_2$ . It follows that  $\Pi_2^A \subset \Pi_2$ .
- ▶ Use the strong quantifier normal form theorem.

$$V^A(e) \Leftrightarrow W_{g(u,e)} = \omega$$

# Every low set has UEP

Let  $V^A(e, i)$  be the  $A$ -predicate expressing  $Esc$ :

$$V^A(e, i) \Leftrightarrow (\exists^\infty x)(\exists s)[\phi_{e,s}^A(x) \downarrow < s \wedge x \notin W_{g(i,e),s}]$$

- ▶  $V^A(e, i)$  is  $\Pi_2^A$ . But  $A$  is low, hence  $\Sigma_1^A \subseteq \Pi_2$ , and  $\Pi_2^A \subseteq \Pi_2$ .
- ▶ Let  $V^A(e, i)$  have  $\Pi_2$ -index  $v$ :

$$V^A(e, i) \Leftrightarrow (\forall z)[\langle i, e, z \rangle \in W_v]$$

- ▶ Apply the  $S_n^m$  Theorem:

$$V^A(e, i) \Leftrightarrow (\forall z)[\langle e, z \rangle \in W_{s(v,i)}]$$

- ▶ Apply the recursion theorem  $\Rightarrow$  there is some  $u$ , such that  $W_{s(v,u)} = W_u$
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$$V^A(e, i) \Leftrightarrow (\exists^\infty x)(\exists s)[\phi_{e,s}^A(x) \downarrow < s \wedge x \notin W_{g(i,e),s}]$$

- ▶  $V^A(e, i)$  is  $\Pi_2^A$ . But  $A$  is low, hence  $\Sigma_1^A \subseteq \Pi_2$ , and  $\Pi_2^A \subseteq \Pi_2$ .
- ▶ Let  $V^A(e, i)$  have  $\Pi_2$ -index  $v$ :

$$V^A(e, i) \Leftrightarrow (\forall z)[\langle i, e, z \rangle \in W_v]$$

- ▶ Apply the  $S_n^m$  Theorem:

$$V^A(e, i) \Leftrightarrow (\forall z)[\langle e, z \rangle \in W_{s(v,i)}]$$

- ▶ Apply the recursion theorem  $\Rightarrow$  there is some  $u$ , such that  $W_{s(v,u)} = W_u$
- ▶  $V^A(e, u)$  has  $\Pi_2$ -index  $u$ .

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# Every low set has UEP

Now let  $V^A(e) = V^A(e, u)$ .

- ▶ By definition

$$V^A(e) \Leftrightarrow (\exists^\infty x)(\exists s)[\phi_{e,s}^A(x) \downarrow < s \wedge x \notin W_{g(u,e),s}].$$

- ▶ By the strong quantifier normal form theorem:

$$V^A(e) \Leftrightarrow W_{g(u,e)} = \omega.$$

# Every low set has UEP

## Lemma

*If  $\phi_e^A$  is total, then  $V^A(e)$ .*

## Proof.

Suppose not. Let  $e$  be such that  $\phi_e^A$  is total and  $\neg V^A(e)$ .

- ▶ By (TSQNF)  $W_{g(u,e)}$  is finite.
- ▶ Let  $M = \max(W_{g(u,e)})$ . Let  $x > M$ . Then by the totality of  $\phi_e^A$  there is a stage  $s$ , such that  $\phi_{e,s}^A(x) \downarrow < s$  and  $x \notin W_{g(u,e),s}$ .
- ▶  $(\exists^\infty x)(\exists s)[\phi_{e,s}^A(x) \downarrow < s \wedge x \notin W_{g(u,e),s}]$ , hence  $V_e^A$ .

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# Every low set has UEP

Define  $h(e, x) = m_{g(u,e)}(x)$ .

## Corollary

*If  $\phi_e^A$  is total. Then  $h(e, x)$  is total and escapes domination from  $\phi_e^A$ .*

## Proof.

- ▶  $\phi_e^A$  is total  $\Rightarrow V^A(e)$
- ▶  $V^A(e) \Rightarrow W_{g(u,e)} = \omega$ , hence  $h(e, x)$  is total.
- ▶  $V^A(e)$  and  $h(e, x)$  is total  $\Rightarrow h(e, x)$  escapes domination from  $\phi_e^A$ .

□

## Definition

$Tot = \{e \mid \phi_e \text{ is total}\}$ .

- ▶  $Tot$  is  $\Pi_2$ :

$$Tot(e) \Leftrightarrow (\forall x)(\exists s)[\phi_{e,s}(x) \downarrow].$$

- ▶  $Tot$  is  $\Pi_2$ -complete.

Let  $A$  be a  $\Pi_2$ -set with  $\Pi_2$  index  $e$ , then from the (SQNF):

$$A(x) \Leftrightarrow W_{g(e,x)} = \omega \Leftrightarrow g(e, x) \in Tot.$$

## Definition

$Fin = \{e \mid \text{dom}(\phi_e) \text{ is finite}\}$ .

- ▶  $Fin$  is  $\Sigma_2$ :

$$Fin(e) \Leftrightarrow (\exists x)(\forall y > x)(\forall s)[\phi_{e,s}(y) \uparrow].$$

- ▶  $Fin$  is  $\Sigma_2$  - complete.

Let  $A$  be a  $\Sigma_2$ - set with  $\Sigma_2$  index  $e$ , hence  $\bar{A}$  has  $\Pi_2$  index  $e$  then from the (SQNF):

$$A(x) \Leftrightarrow \neg \bar{A}(x) \Leftrightarrow$$

$$W_{g(e,x)} \text{ is finite} \Leftrightarrow g(e,x) \in Fin.$$