

# Enumeration Weihrauch reducibility



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# Introduction: What

## Problems and Weihrauch reduction

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Weihrauch reduction is a way of comparing the computational strength of various “problems”, represented as partial multifunctions on  $\omega^\omega$ .

We may think of Weihrauch reduction  $f \leq_W g$  as a computation of values of  $f$ , given the ability to query  $g$  as an oracle *exactly once*.

### Definition

Let  $f$  and  $g$  be multifunctions on  $\omega^\omega$ . We say that  $f \leq_W g$  if there are Turing operators  $(\Phi, \Psi)$  such that

- 1  $\alpha \in \text{dom } f \Rightarrow \Phi(\alpha) \in \text{dom } g$
- 2 for any  $\alpha \in \text{dom } f$  and  $\beta \in g(\Phi(\alpha))$ , we have  $\Psi(\alpha \oplus \beta) \in f(\alpha)$ .

## Enumeration reducibility

Friedberg and Rogers introduced enumeration reducibility in 1959.

Informally: a set of natural numbers  $A$  is enumeration reducible to another set  $B$  if every enumeration of  $B$  uniformly computes an enumeration of  $A$ .

Here an enumeration of a set  $X$  is a function  $e_X \in \omega^\omega$  with  $\text{ran}(e_X) = X$ .

### Definition

$A \leq_e B$  if there is a c.e. set  $W$  such that

$$A = \{n : (\exists u) \langle n, u \rangle \in W \text{ and } D_u \subseteq B\},$$

where  $D_u$  is the  $u$ th finite set in a canonical enumeration.

The c.e. set  $W$  gives rise to an operator, which we call an *enumeration operator*.

## $eW$ -problems and $eW$ -reductions

An  $eW$ -problem is a partial multifunction from  $\mathcal{P}(\omega)$  to itself.

### Definition

Given problems  $f, g$ , we say that  $f \leq_{eW} g$  if there are enumeration operators  $\Gamma, \Delta$  such that

- 1 if  $A \in \text{dom } f$  then  $\Gamma(A) \in \text{dom } g$ ,
- 2 and for any  $A \in \text{dom } f$  and  $X \in g(\Gamma(A))$ ,  $\Delta(A \oplus X) \in f(A)$ .

In other words,  $eW$ -reduction is just Weihrauch reduction where the problems operate on  $\mathcal{P}(\omega)$ , and enumeration reducibility is our notion of computation.

# Introduction: Why

## Motivation for enumeration reducibility

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First, enumeration operators have a robust computational structure, and their use to study problems-as-multifunctions is intrinsically interesting.

Enumeration reducibility gives a notion of computation that works on *positive* information, and was introduced several times by various authors who wanted to extend Turing reducibility to partial functions.

Define  $\mathbb{P}$  to be  $\mathcal{P}(\mathbb{N})$  equipped with a binary operation (called *application*) given by

$$AB = \{n : \exists m(\langle n, m \rangle \in A \wedge D_m \subseteq B)\}.$$

We read application as left associative (e.g.  $ABC$  means  $(AB)C$ .)

The algebra  $\mathbb{P}_{\sharp}$  is the substructure of  $\mathbb{P}$  consisting of the c.e. sets (i.e. enumeration operators).

Dana Scott proved that both these algebras can interpret the untyped lambda calculus.

## Motivation from category theory

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Second, they are related to an under-studied *realizability topos*.

A topos is a category theoretic model of a kind of intuitionistic set theory. Realizability toposes are built from a model of computation (see van Oosten 2008 for an overview of the area).

Kihara wrote a paper “Lawvere-Tierney topologies for computability theorists”. In it he shows a strong relationship between a generalized form of Weihrauch reduction and the lattice of subtoposes of the effective topos. He uses computability to solve open problems about this lattice. For instance, he shows that there exists no minimal LT topology which is strictly above the identity topology on the effective topos.

There is a realizability topos where the underlying model of computation is enumeration reducibility—the topos  $\text{RT}(\mathbb{P}, \mathbb{P}_{\#})$ . It is not hard to see that there is a similar relationship between a generalized form of  $eW$ -reducibility and LT topologies on  $\text{RT}(\mathbb{P}, \mathbb{P}_{\#})$ .



## Why not just use Weihrauch reducibility?

Weihrauch reducibility was introduced to study functions on *represented spaces*: pairs  $(X, \delta_X)$  where  $\delta_X : \omega^\omega \rightarrow X$  is a partial surjection.

### Example

A set of natural numbers  $A$  can be represented by any enumeration  $e_A$  of  $A$ .

We can represent a (multi)function  $f$  on  $\mathcal{P}(\omega)$  by a function  $\hat{f}$  on  $\omega^\omega$  that maps enumerations of the set  $A$  to the set of enumerations of sets in  $f(A)$ .

We can say that  $f$  is reducible to  $g$  if  $\hat{f} \leq_W \hat{g}$ .

Indeed, if  $f \leq_{eW} g$  then  $\hat{f} \leq_W \hat{g}$ .

Problem: The converse need not be true! Different enumeration of  $A$  may be mapped to enumerations of different instances of  $g$ , which in turn produce different solutions to  $f(A)$ .

## Basic results about $eW$ -reduction

## The $eW$ degrees form a lattice

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By identifying problems that are reducible to each other we get the  $eW$  degrees.

### Proposition

The  $eW$  degrees form a distributive lattice with join and meet as in the Weihrauch degrees.

The least upper bound of  $f$  and  $g$  is  $f \cup g$  with instances  $\{0\} \times \text{dom } f \cup \{1\} \times \text{dom } g$  and  $(f \cup g)(0, X) = \{0\} \times f(X)$  and  $f \cup g(1, Y) = \{0\} \times g(Y)$ .

The greatest lower bound of  $f$  and  $g$  is  $f \cap g$  with instances  $\text{dom } f \times \text{dom } g$  and  $(f \cap g)(X, Y) = \{0\} \times f(X) \cup \{1\} \times g(Y)$ .

## The $eW$ degrees extend the Weihrauch

Turing reducibility can be captured using  $\leq_e$ : if  $\alpha, \beta \in \omega^\omega$  then  $\alpha \leq_T \beta$  if and only if  $G_\alpha \leq_e G_\beta$ .

We call sets (such as  $G_\alpha$ ) that can enumerate their complements *total*. Thus  $\mathcal{D}_T$  lives inside  $\mathcal{D}_e$  as the *total degrees*.

This extends to the Weihrauch setting:

### Proposition

There is an embedding of the Weihrauch degrees into the  $eW$  degrees.

- We map a function  $f$  on  $\omega^\omega$  to a function  $\tilde{f}$  on  $\mathcal{P}(\omega)$  by replacing every instance  $\alpha$  of  $f$  with  $G_\alpha$  and setting  $G_\beta \in \tilde{f}(G_\alpha)$  for every  $\beta \in f(\alpha)$ .
- It is straightforward to check that  $f \leq_W g$  if and only if  $\tilde{f} \leq_{eW} \tilde{g}$ .

## The $eW$ degrees extend the Weihrauch

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### Proposition

This mapping is not surjective.

- If  $X$  is 1-generic and  $G_\alpha \leq_e X$  for some  $\alpha \in \omega^\omega$  then  $\alpha$  is computable.
- Let  $g : \subseteq \mathbb{P} \rightrightarrows \mathbb{P}$  have domain consisting of a single 1-generic set  $X$ , to which every element of  $\mathbb{P}$  is a solution. Suppose that there is a Weihrauch problem  $f$  with  $g \equiv_{eW} \tilde{f}$ .
- Since every element in the domain of  $\tilde{f}$  is the graph of some function,  $\Gamma : \text{dom } g \rightarrow \text{dom } \tilde{f}$  occurring in a reduction  $g \leq_{eW} \tilde{f}$  must send  $X$  to a computable element.
- But now a reduction  $\tilde{f} \leq_{eW} g$  must send that computable element of  $\text{dom } \tilde{f}$  to  $X$ , requiring  $X$  to be a c.e. set, contradicting 1-genericity.

# Sample problems

## Choice problems

The *choice problem* for a represented topological space  $X$ ,  $C_X$ , maps a nonempty closed subset  $A$  of  $X$  to a member of  $A$ . We can represent such problems differently in the Weihrauch setting and in the enumeration Weihrauch setting.

### Example

Consider  $C_{2^\omega}$

- In the Weihrauch setting we represent a closed set  $F$  via an enumeration of a set  $U \subseteq 2^{<\omega}$  with  $F = 2^\omega \setminus [U]^\prec \neq \emptyset$ . A member of  $F$  can be represented by itself.
- In the enumeration Weihrauch case we represent  $F$  by  $U$ . A member of  $F$  can be represented by the set of its initial segments.

We can compare both of these problems in the eW setting by considering  $\widetilde{C}_{2^\omega}$  and  $C_{2^\omega}$ .

## $C_{\omega}$ and $\widetilde{C}_{\omega}$

The  $eW$  versions of choice problems tend to fall strictly above their Weihrauch counterparts.

### Proposition

$$\widetilde{C}_{2\omega} <_{eW} C_{2\omega}.$$

- The reduction is straightforward.
- Suppose  $C_{2\omega} \leq_{eW} \widetilde{C}_{2\omega}$  via the enumeration operators  $\Gamma$  and  $\Lambda$ .
- Miller and co-authors proved that there are sets  $U$  of nontotal enumeration degree such that: the set of total sets below  $U$  is a Scott set  $S$  and the closed set represented by  $U$  is nonempty and consists of total sets above  $U$ .
- Since all instances of  $\widetilde{C}_{2\omega}$  are total sets  $\Gamma(U) = \alpha$  is (the graph of) some total function in  $S$ .
- So  $\widetilde{C}_{2\omega}(\alpha)$  has a solution  $\beta$  in  $S$ .
- But then  $\Lambda(U \oplus \beta) \leq_e U$  and cannot be a member of the closed set coded by  $U$ .



## $C_N$ & $UC_N$

The first separation that develops in the  $eW$  setting concerns  $C_N$  and its restriction to singletons,  $UC_N$ .

**Fact.**  $\widetilde{C}_N \equiv_{eW} \widetilde{UC}_N$ .

### Proposition

$UC_N <_{eW} C_N$ .

- The reduction is immediate from the fact that unique choice is just a restriction of closed choice.
- If  $C_N \leq_{eW} UC_N$  witnessed by  $(\Gamma, \Lambda)$  then  $\Gamma(\emptyset) = \mathbb{N} \setminus \{k\}$  is the complement of a singleton.
- For any other  $A \in \text{dom } C_N$ ,  $\Gamma(A) \supseteq \Gamma(\emptyset)$  and is the complement of a singleton so  $\Gamma(A) = \Gamma(\emptyset)$ .
- But then  $\Lambda(\emptyset \oplus \{k\}) = \{n\}$  is a subset of any  $\Lambda(A \oplus \{k\})$  including those  $A$  that contain  $n$ .

## $C_{2^{\mathbb{N}}}$ and WKL

WKL takes as input an infinite binary tree  $T$  and produces a path in  $[T]$ .

Fact.  $\widetilde{C_{2^{\mathbb{N}}}} \equiv_{eW} \widetilde{WKL}$ .

### Proposition

$C_{2^{\mathbb{N}}} \mid_{eW} WKL$

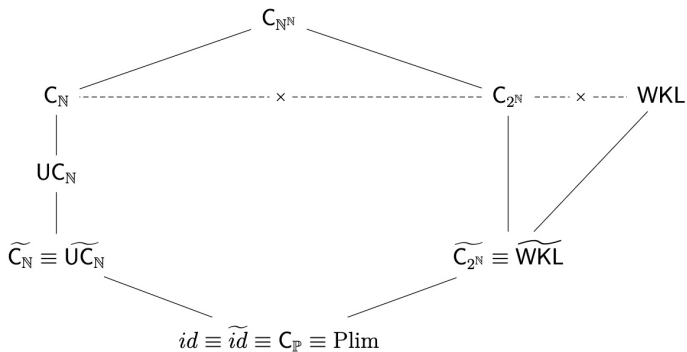
Suppose in each case below that  $(\Gamma, \Delta)$  witnesses the specified reduction.

- 1  $(C_{2^{\mathbb{N}}} \not\leq_{eW} WKL)$ . We have that  $\emptyset$  is an instance of  $C_{2^{\mathbb{N}}}$ . So  $\Gamma(\emptyset)$  is a c.e. tree that is a subtree of  $\Gamma(A)$  for any other instance  $A$ .  $\Gamma(\emptyset)$  has  $\Delta_3^0$  solutions which won't help sufficiently complicated  $A$  to enumerate a member.
- 2  $(WKL \not\leq_{eW} C_{2^{\mathbb{N}}})$ . Consider the full tree  $T = 2^{<\omega}$ , and the closed set represented by  $\Gamma(T)$ . If  $S$  is any other tree then  $\Gamma(S) \subseteq \Gamma(T)$  and so solutions to  $\Gamma(T)$  are solutions to  $\Gamma(S)$ .

In fact, for very similar reasons, we even have  $WKL \mid_{eW} C_{\mathbb{N}^{\mathbb{N}}}$ !

## A zoo in the making

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**Figure:** Reducibilities among choice problems and König's lemmas

## The problem *id*

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### Definition

The problem *id* is the identity function on  $\mathbb{P}$ .

- $id \leq_{eW} f$  if and only if  $f$  has a c.e. instance.
- $f \leq_{eW} id$  if and only if there is an enumeration operator  $\Gamma$  such that for all  $A \in \text{dom } f$ ,  $\Gamma(A) \in f(A)$ .
- So if  $f, g \leq_{eW} id$  then  $f \leq_{eW} g$  if and only if there is an enumeration operator  $\Gamma$  so that for every element  $A \in \text{dom } f$  we have  $\Gamma(A) \in \text{dom } g$ .

## The Dymant lattice

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The Dymant lattice was introduced by Dymant (later Skvortsova) in 1976 and studied by her and Andrea Sorbi. It is the enumeration analog of Medvedev reducibility.

### Definition

Let  $\mathcal{A}, \mathcal{B}$  be set of sets of natural numbers. We say that  $\mathcal{A} \leq_D \mathcal{B}$  (*Dymant reducible to*) if there is an enumeration operator  $\Gamma$  so that for every element  $B \in \mathcal{B}$  we have  $\Gamma(B) \in \mathcal{A}$ .

So the interval  $[\emptyset, id]$  in the  $eW$  degrees is isomorphic to the reverse ordering on the Dymant lattice.

(Echoing that the interval  $[\emptyset, id]$  in the  $W$  degrees is isomorphic to the reverse ordering on the Medvedev lattice.)

# The structure of the $eW$ degrees

# The $W / eW$ relationship

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## Question

Are the Weihrauch and  $eW$  degrees non-isomorphic? Is there a first order difference between them?

The answer to this turns out to be affirmative.

- 1 The Dymont lattice is not elementary equivalent to the Medvedev lattice.
- 2  $id$  is definable in the the Weihrauch degrees and in the  $eW$  degrees by the same formula.

## Definability in the Dymant lattice

In the Medvedev lattice we have a copy of the Turing degrees:  $\text{deg}_T(A)$  is mapped to  $\text{deg}_M(\{A\})$ . The degree of  $\{(\Psi, B) : \Psi^B = A \ \& \ B >_T A\}$  is a *strong maximal cover* of  $\text{deg}_M(\{A\})$ .

### Theorem (Dymant)

A Medvedev degree is Turing if and only if it has a strong maximal cover.

In the Dymant lattice we have a copy of the enumeration degrees  $\mathcal{D}_e$ :  $\text{deg}_e(A)$  is mapped to  $\text{deg}_D(\{A\})$ .

### Theorem (Dymant)

- 1 There are sets  $A$  and  $B$  such that  $A \leq_e B$  but  $\{A\} \not\leq_D \{A, B\}$ .
- 2 A Dymant degree is enumeration if and only if it has a strong maximal cover.

The e-degrees are downwards dense, but the Turing degrees are not.



## Definability of $id$

**Theorem (Lempp, Miller, Pauly, Soskova, and Valenti 2023)**

In the Weihrauch degrees  $id$  is the greatest degree that is a strong minimal cover.

We will see that the same definition works in the  $eW$  setting.

**Lemma 0.**  $id$  is a strong minimal cover of  $id$  restricted to non-c.e sets.

**Lemma 1.** If  $f$  is a problem whose  $eW$  degree is a strong minimal cover then it contains a problem with singleton domain.

**Lemma 2.** If  $f$  has singleton domain and is not below  $id$  then  $f$  is not a strong minimal cover.

## Lemma 1

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**Lemma 1.** If  $f$  is a problem whose  $eW$  degree is a strong minimal cover then it contains a problem with singleton domain.

- Suppose  $f$  is a strong minimal cover of  $g$ .
- First we build  $h$  with finite domain  $\{(n_0, X_0), \dots, (n_k, X_k)\}$  where  $X_i \in \text{dom } f$  and  $n_i \neq n_j$  and with  $h(n_i, X_i) = f(X_i)$ .
- At even stages  $s = 2e$  we check whether  $f \leq_{eW} h_s$  via  $(\Gamma_e, \Lambda_e)$  and if not we preserve the difference: If  $\Gamma_e(X) = (k, Y)$  that is not in the domain of  $h_s$  then we promise never to use  $k$  again.
- As odd stages  $s = 2e + 1$  we extend  $h_s$  to  $h_s^*$  allowing all nonforbidden  $(n, X)$  in the domain. Since  $h^*$  is equivalent to  $f$  it is not reducible to  $g$  via  $(\Gamma_e, \Lambda_e)$ . We preserve this difference by adding a problematic instance to the domain of  $h_s$ .
- We must stop at some even stage or else we contradict that  $f$  is a strong minimal cover of  $g$ .

## Lemma 1 cont.

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**Lemma 1.** If  $f$  is a problem whose  $eW$  degree is a strong minimal cover then it contains a problem with singleton domain.

- We have  $f \equiv_{eW} h$  where  $\text{dom } h = \{Y_0, \dots, Y_k\}$  where  $Y_i \cap Y_j = \emptyset$  for  $i \neq j$ .
- Since  $h \upharpoonright \{Y_0\} \cup h \upharpoonright \{Y_1, \dots, Y_k\} = h$  and  $h$  is a strong minimal cover one of the two sides must be equivalent to  $h$ .
- Inductively we reduce  $h$  to a problem with singleton domain.

## Lemma 2

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**Lemma 2.** If  $f$  has singleton domain  $\{A\}$  and is not below  $id$  then  $f$  is not a strong minimal cover.

- Suppose  $f$  is as above and  $g <_{eW} f$ .
- Build a set  $D$  so that  $f \cap \chi_D <_{eW} f$  and  $f \cap \chi_D \not\leq_{eW} g$ .
- Since  $f \not\leq_{eW} id$  we know that  $f(A) \not\leq_e A$ . Since every instance of  $f \cap \chi_D$  has a computable solution, we know that  $f \not\leq_{eW} f \cap \chi_D$ .
- Suppose  $(\Gamma, \Lambda)$  are a threat to making  $f \cap \chi_D \leq_{eW} g$ .
- If for some  $n$  we have that  $\Gamma(A, n) = Y$  is an instance of  $g$  and for every  $X$  to solution to  $g(Y)$  we have that  $\Lambda(A, n, X) = \{0\} \times f(A)$ . Then  $f \leq_{eW} g$  contradicting our choice of  $g$ .
- So fix  $n$  such that  $D(n)$  is not yet determined. If  $\Gamma(A, n) = Y$  is an instance of  $g$  then for some solution to  $g(Y)$ , say  $X$  we have that  $\Lambda(A, n, X) = \{1\} \times \{i\}$ . Set  $D(n) = 1 - i$ .

## One more consequence of the definability of id

Lewis-Pye, Nies, and Sorbi and independently Shafer proved that the theory of the Medvedev degrees is computably isomorphic to third order arithmetic.

The definability of the total degrees in the enumeration degrees and the enumeration degrees in the Dymont degrees allow us to transfer this result.

### Theorem

The theories of the Dymont degrees and of the  $eW$  degrees are each computably isomorphic to third order arithmetic.

## The End: Thank you!

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