

# Cupping $\Delta_2^0$ enumeration degrees to $\mathbf{0}'_e$

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**Abstract.** In this paper we prove that every nonzero  $\Delta_2^0$   $e$ -degree is cuppable to  $\mathbf{0}'_e$  by a 1-generic  $\Delta_2^0$   $e$ -degree (so low and nontotal) and that every nonzero  $\omega$ -c.e.  $e$ -degree is cuppable to  $\mathbf{0}'_e$  by an incomplete 3-c.e.  $e$ -degree.

## 1 Introduction

Intuitively, we say that a set  $A$  is *enumeration reducible* to a set  $B$ , denoted as  $A \leq_e B$ , if there is an effective procedure to enumerate  $A$ , given any enumeration of  $B$ . More formally,  $A \leq_e B$  if there is a computably enumerable set  $W$  such that

$$A = \{x : (\exists u)[\langle x, u \rangle \in W \ \& \ D_u \subseteq B]\}$$

where  $D_u$  is the finite set with canonical index  $u$ .

Let  $\equiv_e$  denote the equivalence relation generated by  $\leq_e$  and let  $[A]_e$  be the equivalence class of  $A$  — the *enumeration degree* ( $e$ -degree) of  $A$ . The degree structure  $\langle \mathcal{D}_e, \leq \rangle$  is defined by setting  $\mathcal{D}_e = \{[A]_e : A \subseteq \omega\}$  and setting  $[A]_e \leq [B]_e$  if and only if  $A \leq_e B$ . The operation of least upper bound is given by  $[A]_e \vee [B]_e = [A \oplus B]_e$  where  $A \oplus B = \{2x : x \in A\} \cup \{2x + 1 : x \in B\}$ . The structure  $\mathcal{D}_e$  is an upper semilattice with least element  $\mathbf{0}_e$ , the collection of computably enumerable sets. Gutteridge [9] proved that  $\mathcal{D}_e$  does not have minimal degrees (see Cooper [1]).

An important substructure of  $\mathcal{D}_e$  is given by the  $\Sigma_2^0$   $e$ -degrees i.e. the  $e$ -degrees of  $\Sigma_2^0$  sets. Cooper [2] proved that  $\Sigma_2^0$   $e$ -degrees are the  $e$ -degrees below  $\mathbf{0}'_e$ , the  $e$ -degree of  $\overline{K}$ . An  $e$ -degree is  $\Delta_2^0$  if it contains a  $\Delta_2^0$  set, a set  $A$  with a computable approximation  $f$  such that for every element  $x$ ,  $f(x, 0) = 0$  and  $\lim_s f(x, s)$  exists and equals to  $A(x)$ . Cooper and Copstake [5] proved that

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below  $\mathbf{0}'_e$  there are  $e$ -degrees that are not  $\Delta_2^0$ . These  $e$ -degrees are called *properly*  $\Sigma_2^0$   $e$ -degrees.

In this paper we are mainly concerned with the cupping property of  $\Delta_2^0$   $e$ -degrees. An  $e$ -degree  $\mathbf{a}$  is cuppable if there is an incomplete  $e$ -degree  $\mathbf{c}$  such that  $\mathbf{a} \cup \mathbf{c} = \mathbf{0}'_e$ . In [6], Cooper, Sorbi and Yi proved that all nonzero  $\Delta_2^0$   $e$ -degrees are cuppable and that there are noncuppable  $\Sigma_2^0$   $e$ -degrees.

**Theorem 1.** (Cooper, Sorbi and Yi [6]) *Given a nonzero  $\Delta_2^0$   $e$ -degree  $\mathbf{a}$ , there is a total  $\Delta_2^0$   $e$ -degree  $\mathbf{c}$  such that  $\mathbf{a} \cup \mathbf{c} = \mathbf{0}'_e$ , where an  $e$ -degree is total if it contains the graph of a total function. Meanwhile, noncuppable  $e$ -degrees exist.*

In this paper we first prove that each nonzero  $\Delta_2^0$   $e$ -degree  $\mathbf{a}$  is cuppable to  $\mathbf{0}'_e$  by a non-total  $\Delta_2^0$   $e$ -degree.

**Theorem 2.** *Given a nonzero  $\Delta_2^0$   $e$ -degree  $\mathbf{a}$ , there is a 1-generic  $\Delta_2^0$   $e$ -degree  $\mathbf{b}$  such that  $\mathbf{a} \cup \mathbf{b} = \mathbf{0}'_e$ . Since 1-generic  $e$ -degrees are quasi-minimal and 1-generic  $\Delta_2^0$   $e$ -degrees are low,  $\mathbf{b}$  is nontotal and low.*

Here a set  $A$  is *1-generic* if for every computably enumerable set  $S$  of  $\{0, 1\}$ -valued strings there is some initial segment  $\sigma$  of  $A$  such that either  $S$  contains  $\sigma$  or  $S$  contains no extension of  $\sigma$ . An enumeration degree is 1-generic if it contains a 1-generic set. Obviously, no nonzero  $e$ -degree below a 1-generic  $e$ -degree contains a total function and hence 1-generic  $e$ -degrees are quasi-minimal. Copstake proved that a 1-generic  $e$ -degree is low if and only if it is  $\Delta_2^0$  (see [7]).

Our second result is concerned with cupping  $\omega$ -c.e.  $e$ -degrees to  $\mathbf{0}'_e$ . A set  $A$  is  $n$ -c.e. if there is an effective function  $f$  such that for each  $x$ ,  $f(x, 0) = 0$ ,  $|\{s + 1 \mid f(x, s) \neq f(x, s + 1)\}| \leq n$  and  $A(x) = \lim_s f(x, s)$ .  $A$  is  $\omega$ -c.e. if there are two computable functions  $f(x, s), g(x)$  such that for all  $x$ ,  $f(x, 0) = 0$ ,  $|\{s + 1 \mid f(x, s) \neq f(x, s + 1)\}| \leq g(x)$  and  $\lim_s f(x, s) \downarrow = A(x)$ .

An enumeration degree is  $n$ -c.e. ( $\omega$ -c.e.) if it contains an  $n$ -c.e. ( $\omega$ -c.e.) set. It's easy to see that the 2-c.e.  $e$ -degrees are all total and coincide with the  $\Pi_1$   $e$ -degrees, see [3]. Cooper also proved the existence of a 3-c.e. nontotal  $e$ -degree. As the construction presented in [6] actually proves that any nonzero  $n$ -c.e.  $e$ -degree can be cupped to  $\mathbf{0}'_e$  by an  $(n + 1)$ -c.e.  $e$ -degree, we will prove that any nonzero  $\omega$ -c.e.  $e$ -degree is cuppable to  $\mathbf{0}'_e$  by a 3-c.e.  $e$ -degree.

**Theorem 3.** *Given a nonzero  $\omega$ -c.e.  $e$ -degree  $\mathbf{a}$ , there is a 3-c.e.  $e$ -degree  $\mathbf{b}$  such that  $\mathbf{a} \cup \mathbf{b} = \mathbf{0}'_e$ .*

This is the strongest possible result. We explain it as follows. Consider the standard embedding  $\iota$  of  $\mathcal{D}_T$  to  $\mathcal{D}_e$  given by:  $\iota(\text{deg}_T(A)) = \text{deg}_e(\chi_A)$  where  $\chi_A$  denotes the graph of the characteristic function of  $A$ . It is well-known that  $\iota$  is an order-preserving mapping and that the  $\Pi_1$  enumeration degrees are exactly the images of the Turing c.e. degrees under  $\iota$ . Consider a noncuppable c.e. degree  $\mathbf{a}$ .  $\iota(\mathbf{a})$  is  $\Pi_1$ , hence  $\omega$ -c.e., and  $\iota(\mathbf{a})$  is not cuppable by any  $\Pi_1$   $e$ -degree, as  $\iota$  preserves the least upper bounds. Therefore, no 2-c.e.  $e$ -degree cups  $\iota(\mathbf{a})$  to  $\mathbf{0}'_e$ .

We use standard notation, see [4] and [10].

## 2 Basic ideas of Cooper-Sorbi-Yi's cupping

In this section we describe the basic ideas of Cooper-Sorbi-Yi's construction given in [6]. Let  $\{A_s\}_{s < \omega}$  be a  $\Delta_2^0$  approximation of the given  $\Delta_2^0$  set  $A$  which is assumed to be not computably enumerable. We will construct two  $\Delta_2^0$  sets  $B$  and  $E$  (auxiliary) and an enumeration operator  $\Gamma$  such that the following requirements are satisfied:

$$\begin{aligned} S : \Gamma^{A,B} &= \overline{K} \\ N_\Phi : E &\neq \Phi^B \end{aligned}$$

The first requirement is the global cupping requirement and it guarantees that the least upper bound of the degrees of  $A$  and  $B$  is  $\mathbf{0}'_e$ . Here  $\Gamma^{A,B}$  denotes an enumeration operation relative to the enumerations of  $A$  and  $B$ .

The second group of requirements  $N_\Phi$ , where  $\Phi$  ranges over all enumeration operators, guarantees that the degree of  $B$  is not complete. Indeed, we have a witness — the degree of  $E$  is not below that of  $B$ .

To satisfy the global requirement  $S$  we will construct by stages an enumeration operator  $\Gamma$  such that  $\overline{K} = \Gamma^{A,B}$ . That is, at stage  $s$  we find all  $x < s$  such that  $x \in \overline{K}_s$  but  $x \notin \Gamma^{A,B}[s]$ , the approximation of  $\Gamma^{A,B}$  at stage  $s$ , we define two markers  $a_x$  (bound of the  $A$ -part) and  $b_x$  (bound of the  $B$ -part and  $b_x \in B$ ) and enumerate  $x$  into  $\Gamma^{A,B}$  via the axiom  $\langle x, A_s \upharpoonright a_x + 1, B_s \upharpoonright b_x + 1 \rangle$ . If  $x$  leaves  $\overline{K}$  later, we can make this axiom invalid by extracting  $b_x$  from  $B$  or by a change (from 1 to 0) of  $A$  on  $A_s \upharpoonright a_x + 1$ . Intuitively we must use  $A$ -changes in the definition of  $\Gamma$  since otherwise  $B$  would be complete, contradicting the  $N$ -requirements. Since  $A$  is not in our control, if  $A$  does not provide such changes then we have to extract  $b_x$  out of  $B$ . We call this process the rectification of  $\Gamma$  at  $x$ .

Note that after stage  $s$ , at stage  $t > s$  say, if  $x \in \overline{K}_t$  but  $A_t \upharpoonright a_x + 1 \not\subseteq A_t$  or  $B_s \upharpoonright b_x + 1 \not\subseteq B_t$  then we need to put  $x$  into  $\Gamma^{A,B}$  by enumerating a new axiom into  $\Gamma$ . If this happens infinitely often then  $x$  is not in  $\Gamma^{A,B}$  and we cannot ensure that  $\Gamma^{A,B}(x) = \overline{K}(x)$ . To avoid this at stage  $t$ , when we re-enumerate  $x$  into  $\Gamma^{A,B}$ , we keep  $a_x$  the same as before, but let  $b_x$  be a bigger number. We put  $b_x[t]$  into  $B$  and extract  $b_x[s]$  from  $B$  ( we want only one valid axiom enumerating  $x$  into  $\Gamma^{A,B}$  ). Assuming that the  $G$ -strategies also do not change  $a_x$  after a certain stage, as  $A$  is  $\Delta_2^0$  there can be only finitely many changes in  $A \upharpoonright a_x$  and hence we will eventually stop enumerating axioms for  $x$  in  $\Gamma$ .

Now we consider how to satisfy a  $N_\Phi$ -requirement. We use variant of the Friedberg-Muchnik strategy. Namely, we select  $x$  as a witness, enumerate it into  $E$  and wait for  $x \in \Phi^B$ . If  $x$  never enters  $\Phi^B$  then  $N_\Phi$  is satisfied. Otherwise we will extract  $x$  from  $E$ , preserving  $B \upharpoonright \phi(x)$  where  $\phi(x)$  denotes the use function of the computation  $\Phi^B(x) = 1$ .

The need to preserve  $B \upharpoonright \phi(x)$  conflicts with the need to rectify  $\Gamma$ . To avoid this before choosing  $x$  the  $N_\Phi$ -strategy will first choose a (big) number  $k$  as its threshold and try to achieve  $b_n > \phi(x)$  for all  $n \geq k$ . For elements  $n < k$ ,  $S$  will be allowed to rectify  $\Gamma$  at its will. Whenever  $\overline{K}$  changes below  $k + 1$  we reset this  $N_\Phi$ -strategy by cancelling all associated parameters except for this  $k$ . Since

$k$  is fixed such a *resetting* process can happen at most  $k + 1$  many times, so we can assume that after a stage large enough this  $N_{\Phi}$ -strategy will never be reset anymore.

If  $k$  enters  $K$ , the threshold is moved automatically to the next number in  $\overline{K}$ . Since  $\overline{K}$  is infinite, eventually, the threshold will stop changing its value. This threshold will be the real threshold of the corresponding  $N_{\Phi}$ -strategy.

In order to be able to preserve some initial segment of  $B$  for the diagonalization,  $N_{\Phi}$  will first try to move all markers  $b_n$  for elements  $n \geq k$  above the restraint. A useful  $A$ -change will facilitate this. In the event that no such useful change appears we will be able to argue that  $A$  is c.e. contrary to hypothesis. To do this we will have an extra parameter  $U$ , aimed to construct a c.e. set approximating  $A$ .

The  $N_{\Phi}$ -strategy works as follows at stage  $s$ :

**Setup:** Define a threshold  $k$  to be a big number. Choose a witness  $x > k$  and enumerate it in  $E$ .

**$K$ -Check:** If a marker  $b_n$  for an element  $n \leq k$  has been extracted from  $B$  during  $\Gamma$ -rectification then restart the attack.

**Attack:**

1. If  $x \in \Phi^B$  go to step 2. Otherwise return to step 1 at the next stage.
2. Approximate  $A$  by  $A_s \upharpoonright a_k$  at stage  $s$ . Extract  $b_k[s]$  from  $B$ . Cancel all markers  $a_n$  and  $b_n$  for  $n \geq k$ . Define  $a_k$  new, bigger than any element seen so far in the construction. Go to step 3.
3. Initialize all strategies of lower priority. If a previous approximation of  $A$  defined at stage  $t < s$  is not true then enumerate  $b_k[t]$  back in  $B$ , extract  $x$  from  $E$  and go to step 4, otherwise go back to step 1.
4. While the observed change in  $A$  is still apparent, do nothing. Otherwise enumerate  $x$  back in  $E$  and extract  $b_k[t]$  from  $B$ , go back to step 3.

If after a large enough stage the strategy waits at 1 or 4 forever then the  $N_{\Phi}$ -requirement is obviously satisfied. In the latter case  $\Phi^B(x) = 1 \neq 0 = E(x)$  and the construction of  $\Gamma$  will never change the enumeration of  $\Phi^B(x) = 1$  since all  $\gamma$ -markers are lifted to bigger values by the changes of  $A$  below  $a_k[s] + 1$ . This strategy will not go from 1 or 4 back to 3 infinitely often and hence the  $N_{\Phi}$ -requirement is satisfied. Otherwise as  $A$  is  $\Delta_2^0$  it would pass through 2 infinitely often. Let  $t_1 < t_2 < \dots < t_n < \dots$  be the stages at which this strategy passes through 2. Then for each  $i$ ,  $A_{t_i} \upharpoonright a_k[t_i] + 1 \subset A$ . By this property we argue that  $A$  is computably enumerable as follows: for each  $x$ ,  $x$  is in  $A$  if and only if  $x$  is in  $A_{t_i}$  for some  $i$ , or

$$x \in A \iff \exists i(x \in A_{t_i}).$$

This contradicts our assumption on  $A$ .

### 3 Cupping by 1-generic degrees

In this section we give a proof of Theorem 2. That is, given an non-c.e.  $\Delta_2^0$  set  $A$ , we will construct a  $\Delta_2^0$  1-generic  $B$  satisfying the following requirements:

$$S : \Gamma^{A,B} = \overline{K};$$

$$G_i : (\exists \lambda \subset B)[\lambda \in W_i \vee (\forall \mu \supseteq \lambda)[\mu \notin W_i]].$$

If all requirements  $G_i$  together with the global requirement  $S$  are satisfied then  $B$  will have the intended properties. It is well known that the degree of a 1-generic set can not be complete.

**Definition 1.** *The tree of outcomes will be a perfect binary tree  $T$ . Each node  $\alpha \in T$  of length  $i$  will be labelled by the requirement  $G_i$ . We will say that  $\alpha$  is a  $G_i$ -strategy.*

At stage 0  $B = \emptyset$ ,  $\Gamma = \emptyset$ ,  $U_\alpha = \emptyset$  for all  $\alpha$  and all thresholds and witnesses will be undefined.

At stage  $s$  we start by rectifying  $\Gamma$  and then construct a path through the tree  $\delta_s$  of length  $s$  visiting all nodes  $\alpha \subset \delta_s$  and performing actions as stated in the construction.

The  $\Gamma$ -rectification module for satisfying the global  $S$  requirement is as follows:

**$\Gamma$ -rectification module.** Scan all elements  $n < s$  and perform the following actions for the elements  $n$  such that  $\Gamma^{A,B}(n) \neq \overline{K}(n)$ :

- $n \in \overline{K}$ .
  1. If  $a_n \uparrow$ , define  $a_n = a_{n-1} + 1$  (if  $n=0$ , define  $a_n = 1$ ). Note that this is the only case when the  $\Gamma$ -module changes the value of  $a_n$ . Once defined  $a_n$  can only be redefined due to a  $G$ -strategy. The idea is that eventually  $G$ -strategies will stop cancelling  $a_n$ , so that we can approximate  $A \upharpoonright a_n$  correctly and obtain a true axiom for  $n$ .
  2. If  $b_n \downarrow$  then extract it from  $B$  and cancel all markers  $b_{n'}$  for  $n' > n$ .
  3. Define  $b_n$  to be big, i.e a number greater than any number mentioned in the construction so far, and enumerate it in  $B$ .
  4. Enumerate in  $\Gamma$  the axiom  $\langle n, A \upharpoonright a_n + 1, \{b_m | m \leq n\} \rangle$ .

-  $n \notin \overline{K}$

Then find all valid axioms in  $\Gamma$  for  $n - \langle n, A \upharpoonright a + 1, M_n \rangle$  and extract the greatest element of  $M_n$  from  $B$ .

**Construction of  $\delta_s$ .** We will define  $\delta_s(n)$  for all  $n < s$  by induction on  $n$ . Suppose we have already defined  $\delta_s \upharpoonright i = \alpha$  working on requirement  $G_W$ . We will perform the actions assigned to  $\alpha$  and choose its outcome  $o \in \{0, 1\}$ . Then  $\delta_s(i) = o$ .

$\alpha$  will be equipped with a threshold  $k$  and a witness  $\lambda$ , a finite binary string. When  $\alpha$  is visited for the first time after initialization it starts from *Setup*. At further stages it always performs *Check* first. If the *Check* does not empty  $U_\alpha$  then it continues with the *Attack* module from where it was directed to at the previous  $\alpha$ -true stage. Otherwise it continues with the *Setup* to define  $\lambda$  again and then proceeds to step 1 of *Attack*.

**Setup:** If a threshold has not been defined or is cancelled then define  $k$  to be bigger than any element appeared so far in the construction. If a witness has not yet been defined choose a binary string  $\lambda$  of length  $b_k + 1$  so that  $\lambda = B \upharpoonright b_k + 1$ .

**Check:** If a marker  $b_n$  for an element  $n \leq k$  has been extracted from  $B$  during  $\Gamma$ -rectification at a stage  $t$  such that  $s- < t \leq s$  where  $s-$  is the previous  $\alpha$ -true stage then initialize the subtree below  $\alpha$ , empty  $U$ .

If  $k \notin \bar{K}$  then define  $k$  to be the least  $k' > k$  such that  $k' \in \bar{K}$ . I initialize the subtree below  $\alpha$ , empty  $U$ .

If  $b_k$  has changed since the last  $\alpha$ -true stage and  $\lambda \not\subseteq B$  then define  $\lambda$  to be  $B \upharpoonright b_k$ . Do not empty  $U$ .

**Attack:**

1. Check if there is a finite binary string  $\mu \supseteq \lambda$  in  $W$ . If not then the outcome is  $o = 1$ . Return to step 1 at the next stage. If there is such a  $\mu$  then remember the least one and go to step 2.
2. Enumerate in the guess list  $U$  a new entry  $\langle A_s \upharpoonright a_k, \mu, b_k \rangle$ . Extract  $b_k$  from  $B$ . Let  $\hat{\mu}$  be the string  $\mu$  but with position  $b_k = 0$ . For all elements  $n > |\lambda|$  such that  $\hat{\mu}(n)$  is defined let  $B(n) = \hat{\mu}(n)$ . Cancel all markers  $a_n$  and  $b_n$  for  $n \geq k$ . Define  $a_k$  to be bigger. Note that  $\hat{\mu} \subset B$  and at the next stage Check will define a new value of  $\lambda$  to be  $B \upharpoonright b_k + 1$  so that  $\lambda \supseteq \hat{\mu}$ . Go to step 3.
3. Initialize all strategies below  $\alpha$ . Scan the guess list  $U$  for errors. The entries in the guess list will be of the following form  $\langle U_t, \mu_t, b_t \rangle$  where  $U_t$  is a guess of  $A$  and  $b_t$  is the marker that was extracted from  $B$  when this guess was made at stage  $t$ . Note that to make  $\mu_t \subset B$  we only need to enumerate  $b_t$  in  $B$ . If there is an error in the guess list, i.e. some  $U_t \not\subseteq A_s$ , then enumerate  $b_t$  in  $B$  and go to step 4 with current guess  $G = \langle U_t, \mu_t, b_t \rangle$  where  $t$  is the least index of an error in  $U$ . If all elements are scanned and no errors are found go back to step 1.
4. If the current guess  $G = \langle U_t, \mu, b_t \rangle$  has the property  $U_t \not\subseteq A_s$  then let the outcome be  $o = 0$ . Come back to step 4 at the next stage. Otherwise extract  $b_t$  from  $B$ . If the  $\Gamma$ -rectification module has extracted a marker  $m$  for an axiom that includes  $b_t$  in its  $B$ -part since the last stage on which this strategy was visited then enumerate  $m$  back in  $B$ . Go back to step 3.

**The Proof.** Define the true path  $f \subset T$  to be the leftmost path through the tree that is visited infinitely many times, i.e.  $\forall n \exists^\infty t (f \upharpoonright n \subseteq \delta_t)$  and  $\forall n \exists t_n \forall t > t_n (\delta_t \not\prec_L f \upharpoonright n)$ .

**Lemma 1.** *For each strategy  $f \upharpoonright n$  the following is true:*

1. *There is a stage  $t_1(n) > t_n$  such that at all  $f \upharpoonright n$ -true stages  $t > t_1(n)$  Check does not empty  $U$ .*
2. *There is a stage  $t_2(n) > t_1(n)$  such that at all  $f \upharpoonright n$ -true stages  $t > t_2(n)$  the Attack module never passes through step 3 and hence the strategies below  $f \upharpoonright n$  are not initialized anymore,  $B$  is not modified by  $f \upharpoonright n$ , and the markers  $a_n$  for any elements  $n$  are not moved by  $f \upharpoonright n$*

*Proof.* Suppose the two conditions are true for  $m < n$ . Let  $f \upharpoonright n = \alpha$ . Let  $t_0$  be an  $\alpha$ -true stage bigger than  $t_2(m)$  for all  $m < n$  and  $t_n$ .

Then after stage  $t_0$   $\alpha$  will not be initialized anymore.

After stage  $t_0$  all elements  $n < k$  have permanent markers  $a_n$ . Indeed none of the strategies above  $\alpha$  modify them anymore according to the induction hypothesis, strategies to the left are not accessible anymore and strategies to the right are initialized on stage  $t_0$ , hence the next time they are accessed they will have new thresholds greater than  $k$ .

The threshold  $k$  will stop shifting its value as  $\overline{K}$  is infinite and we will eventually find the true threshold  $k \in \overline{K}$ .

As  $A$  is  $\Delta_2^0$ , eventually all  $A \upharpoonright a_n$  for element  $n < k$  will have their final value and so will  $\overline{K} \upharpoonright k$ . Hence there is a stage  $t_1(n) > t_0$  after which no markers  $b_n$  for elements  $n \leq k$  will be extracted from  $B$  by the  $\Gamma$ -rectification and the *Check* module at  $\alpha$  will never empty  $U$  again.

To prove the second clause suppose that the module passes through step 3 infinitely many times and consider the set  $V = \bigcup L(U)$  where  $L(U)$  denotes the left part of entries in the guess list  $U$ , that is the actual guesses at the approximation of  $A$ . By assumption  $A$  is not c.e. hence  $A \neq V$ .

If  $V \not\subseteq A$  then there is a least stage  $t'$  and element  $p$  such that  $p \in U_{t'} \setminus A$  and all  $U_t$  for  $t < t'$  are subsets of  $A$ . Let  $t_p > t_2$  be a stage such that the  $\Delta_2^0$  approximation of  $A$  settles down on  $p$ , i.e. for all  $t > t_p$ ,  $A_t(p) = A(p) = 0$ . Then when we pass through step 3 after stage  $t_p$  we will spot this error, go to step 4 and never again return to step 3.

If  $V \subset A$ , let  $p$  be the least element such that  $p \in A \setminus V$ . Every guess in  $U$  is eventually correct and returns to step 1. To access step 3 again we pass through step 2, i.e. we pass through step 2 infinitely often. As a result  $a_k$  grows unboundedly and will eventually reach a value greater than  $p$ . As on all but finitely many stages  $t$ ,  $p \in A_t$ ,  $p$  will enter  $V$ .  $\square$

**Corollary 1.** *Every  $G_i$ -requirement is satisfied.*

*Proof.* Consider the  $G_i$ -strategy  $\alpha = f \upharpoonright i$ . Choose a stage  $t_3 > t_2(i)$  from Lemma 1, after which the Attack module is stuck at step 1 or step 4, we have a permanent value for  $a_k$  and  $A \upharpoonright a_k$  remains unchanged. Then so will the marker  $b_k$  and we will never modify  $\lambda$  again and  $\lambda \subseteq B_t$  at all  $t > t_3$ .

If the module is stuck at step 1 we have found a string  $\lambda$  such that  $\lambda \subset B$  and no string  $\mu \supset \lambda$  is in the set  $W_i$ .

If the module is stuck at step 4 we have found a string  $\mu$  from the guess  $G = \langle U_t, \mu, b_t \rangle$  which is in  $W_i$ . It follows from the construction that  $\mu \subset B$ . The current markers  $b_n$ , for  $n \geq k$  at stage  $t$  were cancelled and  $b_k[t] = b_t$  was extracted from  $B$ . Any axiom defined after stage  $t$  has  $b$ -marker greater than  $|\mu|$ . Hence the  $\Gamma$ -rectifying procedure will not extract any element below the restraint  $B \upharpoonright |\mu|$  from  $B$ . It does not extract markers of elements  $n < k$ . If  $n \geq k$  and  $n \in \overline{K}$  then its current marker is greater than  $|\mu|$ . If  $n > k$  and  $n \notin \overline{K}$  then any axiom defined before stage  $t$  is invalid, because its  $b$ -marker is already extracted from  $B$  at a previous stage  $t_0 < t$  or else it has an  $A$ -component that contains as a subset  $U_t \not\subseteq A$ .  $\square$

**Lemma 2.** *The  $S$ -requirement is satisfied.*

*Proof.* At each stage  $s$  we make sure that  $\Gamma$  is rectified. For elements  $n < s$ , we have  $\Gamma^{A,B}(n)[s] = \overline{K}(n)[s]$ . This is enough to prove that  $n \notin \overline{K} \Rightarrow n \notin \Gamma^{A,B}$ . Indeed if we assume that  $n \in \Gamma^{A,B}$  then there is an axiom  $\langle n, A_n, M_n \rangle \in \Gamma$  and  $A_n \subseteq A$ ,  $M_n \subset B$ . Hence this axiom is valid on all but finitely many stages. But according to our construction we will ensure  $M_n \not\subseteq B$  on infinitely many stages, a contradiction.

To prove the other direction,  $n \in \overline{K} \Rightarrow n \in \Gamma^{A,B}$ , we have to establish that the  $N$ -strategies will stop modifying the markers  $a_n$  and  $b_n$  eventually. Indeed the markers can be modified only by  $N$ -strategies with thresholds  $k < n$ . The way we choose each threshold guarantees that there will be only finitely many nodes on the tree with this property. The nodes to the left of the true path will eventually not be accessible anymore and the nodes to the right will be cancelled and will choose new thresholds, bigger than  $n$ . Lemma 1 proves that every node along the true path will eventually stop moving  $a_n$  and  $b_n$  by property 2.

Suppose the markers are not modified after stage  $t_1$ . After stage  $t_1$ ,  $a_n$  has a constant value. As  $A$  is  $\Delta_2^0$  there will be a stage  $t_2 > t_1$  such that for all  $t > t_2$   $A \upharpoonright a_n[t] = A \upharpoonright a_n$ . At stage  $t_2 + 1$  we rectify  $\Gamma$ . If  $n \in \Gamma^{A,B}$  then there is an axiom  $\langle n, A_n, M_n \rangle$  in  $\Gamma$  such that  $A_n \subset A \upharpoonright a_n$  and at all further stages this axiom will remain valid, so the  $\Gamma$ -rectifying procedure will not modify it again. Otherwise it will extract a  $b$ -marker for the last time and enumerate an axiom  $\langle n, A \upharpoonright a_n, M'_n \rangle$  that will be valid at all further stages. In both cases we have found an axiom for  $n$  that is valid on all but finitely many stages, hence  $n \in \Gamma^{A,B}$ .  $\square$

**Lemma 3.**  *$B$  is  $\Delta_2^0$ .*

*Proof.* We need to show that for each  $n$ ,  $n$  can be put in and moved out from  $B$  at most finitely times. To see this fix  $n$  and consider the  $G_i$ -strategy along the true path that has a threshold  $k_i > n$ . As we have already established in Corollary 1 there is a stage  $t_3 > t_2(i)$ , after which we will never modify  $\lambda_i$  again and  $\lambda \subseteq B_t$  on all  $t > t_3$ . As  $n < |\lambda_i|$  then  $B_t(n)$  will remain constant on all stages  $t > t_3$ . This means that  $B(n)$  changes at most  $t_3$  many times.  $\square$

## 4 Cupping the $\omega$ -c.e. degrees

In this section we give a proof of Theorem 3. Suppose we are given an  $\omega$ -c.e. set  $A$  with bounding function  $g$ . We will modify the construction of the set  $B$  so that it will turn out to be 3-c.e.. The requirements are:

$$\begin{aligned} S : \Gamma^{A,B} &= \overline{K} \\ N_\Phi : E &\neq \Phi^B \end{aligned}$$

The structure of the axioms enumerated in  $\Gamma$  will be more complex. Again we will have an  $a$ -marker  $a_n$  for each element  $n$ , but instead of just one marker  $b_n$  we will have a set of  $b$ -markers  $B_n$  of size  $g_n + 1$  where  $g_n = \sum_{x < a_n} g(x)$  together



with a counter  $c_n$  that will tell us which element we should extract if we need to. Every time  $A \upharpoonright a_n$  changes we will extract from  $B$  a different element – the  $c_n$ -th element  $b_n \in B_n$  and then add 1 to  $c_n$  to ensure that each element in  $B$  will be extracted only once. If we need to restore a computation due to the  $N$ -strategies we will enumerate the extracted marker back in  $B$ , hence  $B$  is 3-*c.e.*. Note that if a restored computation has to be destroyed again, we will need to extract a different marker from  $B$ . This could destroy further computations. That is why we will always try to restore the last computation  $\Phi^B(x)$ .

**$\Gamma$ -rectification module.** Scan all elements  $n < s$  and perform the following actions for the elements  $n$  such that  $\Gamma^{A,B}(n) \neq \overline{K}(n)$ :

- $n \in \overline{K}$ .
  1. If  $a_n \uparrow$  then define  $a_n = a_{n-1} + 1$  (if  $n = 0$ , define  $a_n = 1$ ).
  2. If  $B_n \downarrow$ . Extract the  $c_n$ -th member of  $B_n$ . Move the counter  $c_n$  to the next position  $c_n + 1$ . Cancel all  $B_{n'}$  for  $n' > n$ .
  3. If  $B_n \uparrow$  then define a set of new markers  $B_n$  of size  $g_n + 1$  where  $g_n = \sum_{x < a_n} g(x)$  and a new counter  $c_n = 1$  and enumerate  $B_n$  in  $B$ .
  4. Enumerate in  $\Gamma$  the axiom  $\langle n, A_s \upharpoonright a_n + 1, \bigcup \{B_{n'}(c_{n'}) \mid n' \leq n\} \rangle$  where  $B_{n'}(c_{n'})$  is the set of all elements in  $B_{n'}$  with positions greater than or equal to  $c_{n'}$ .

- $n \notin \overline{K}$   
 Then find all valid axioms in  $\Gamma$  for  $n - \langle n, A_t \upharpoonright a + 1, M_n \rangle$  where  $M_n = \bigcup \{B_{n'} \mid n' \leq n\}$  and extract the least member of  $B_n$  that has not yet been extracted from  $B$ . Increment the counter  $c_n$  that corresponds to the set of markers  $B_n$ .

**Construction of  $\delta_s$ . Setup:** If a threshold has not been defined or is cancelled then define  $k$  to be big, bigger than any element appeared so far in the construction. If a witness has not yet been defined choose  $x > k$  and enumerate it in  $E$ .

**Check:** If a marker from  $B_n$  for an element  $n < k$  has been extracted from  $B$  during  $\Gamma$ -rectification at a stage  $t$ ,  $s- < t \leq s$  where  $s-$  is the previous  $\alpha$ -true stage, then initialize the subtree below  $\alpha$ , empty  $U$ .

If  $k \notin \overline{K}$  then shift it to the next possible value and redefine  $x$  to be bigger. Again initialize the subtree below  $\alpha$  and empty  $U$ .

**Attack:**

1. Check if  $x \in \Phi^B$ . If not then the outcome is  $o = 1$ , return to step 1 at the next stage. If  $x \in \Phi^B$  go to step 2.

2. Initialize all strategies below  $\alpha$ . Scan the guess list  $U$  for errors. If there is an error then take the last entry in the guess list, say the one with index  $t$ :  $\langle U_t, B_t, c_t \rangle \in U$  and  $U_t \not\subseteq A_s$ . Enumerate the  $(c_t - 1)$ -th member of  $B_t$  back in  $B$ . Extract  $x$  from  $E$  and go to step 4 with current guess  $G = \langle U_t, B_t, c_t \rangle$ . If all elements are scanned and no errors are found go to step 3.
  3. Enumerate in the guess list  $U$  a new entry  $\langle A_s \upharpoonright a_k, B_k, c_k \rangle$ . Extract the  $c_k$ -th member of  $B_k$  from  $B$  and move  $c_k$  to the next position  $c_k + 1$ . Cancel all markers  $a_n$  and  $B_n$  for  $n \geq k$ . Define  $a_k$  new, bigger than any element seen so far in the construction. Go to back to step 1.
- Note that this ensures that our guesses at the approximation of  $A$  are monotone. Hence if there is an error in the approximation, this error will be apparent in the last guess. This allows us to always use the computation corresponding to the last guess. We will always be able to restore it.
4. If the current guess  $G = \langle U_t, B_t, c_t \rangle$  has the property  $U_t \not\subseteq A_s$  then let the outcome be  $o = 0$ . Come back to step 4 at the next stage. Otherwise enumerate  $x$  back in  $E$  and extract the  $c_t$ -th member of  $B_t$  from  $B$  and move the value of the counter to  $c_t + 1$ . If at this stage during the  $\Gamma$ -rectification procedure a different marker  $m$  for an axiom that contains  $B_t$  was extracted then enumerate  $m$  back in  $B$ . Go back to step 1.

**The Proof.** The construction ensures that for any  $n$ , at any stage  $t$ , at most one axiom in  $\Gamma$  defines  $\Gamma^{A,B}(n)$ . Generally, we extract a number from  $B_n$  to drive  $n$  out of  $\Gamma^{A,B}$ . When an  $N$ -strategy  $\alpha$  acts at step 3 of the Attack module, at stage  $s$  say,  $\alpha$  needs to preserve  $\Phi^B(x_\alpha)$ . All lower priority strategies are initialized and an element  $b_1$  in  $B_{k_\alpha}$  is extracted from  $B$  to prevent the  $S$ -strategy from changing  $B$  on  $\phi(x_\alpha)$ . Note that all axioms for elements  $n \geq k_\alpha$  contain  $B_{k_\alpha}$ . So at stage  $s$ , when we extract  $b_1$  from  $B$ ,  $n$  is driven out of  $\Gamma^{A,B}$ . As in the remainder of the construction, at any stage, we will have either that  $A$  has changed below  $a_n$  or  $B$  has changed on  $B_n$ , these axioms will never be active again. As the  $\Gamma$ -module acts first, it may still extract a marker  $m$  from an axiom for  $n > k_\alpha$  if  $A \upharpoonright a_n$  has changed back and thereby injure  $B \upharpoonright \phi(x_\alpha)$ . But when  $\alpha$  is visited it will correct this by enumerating  $m$  back in  $B$  and extracting a further element  $b_2 \in B_{k_\alpha}$  from  $B$  to keep  $\Gamma$  true. This makes our  $N$ -strategies and the  $S$ -strategy consistent. We comment here that such a feature is also true in the proof of Theorem 2, but there we do not worry about this as we are constructing a  $\Delta_2^0$  set. In the proof of Theorem 3, this becomes quite crucial, as we are constructing  $B$  as a 3-c.e. set, and we have less freedom to extract numbers out from  $B$ .

The construction ensures that  $B$  is a 3 - c.e. set. First we prove that the counter  $c_n$  never exceeds the size of its corresponding set  $B_n$  and therefore we will always have an available marker to extract from  $B$  if it is necessary.

**Lemma 4.** *For every set of markers  $B_n$  and corresponding counter  $c_n$  at all stages of the construction  $c_n < |B_n|$  and the  $c_n$ -th member of  $B_n$  is in  $B$ .*

*Proof.* For each set of markers  $B_n$  only one node along the true path can enumerate its elements back into  $B$ . Indeed if  $B_n$  enters the guess list  $U_t$  at some

node  $\alpha$  on the tree then at stage  $t$ ,  $B_n$  is the current set of markers for  $n$  and  $n$  is the threshold for  $\alpha$ . When  $\alpha$  enumerates  $B_n$  in its  $U_t$ , it cancels the current markers for the element  $n$ . Hence  $B_n$  does not belong to any  $U_{t'}^\beta$  for  $t' \leq t$  and any node  $\beta$  or else  $B_n$  will not be current and  $B_n$  will not enter  $U_{t''}^\beta$  at any stage  $t'' \geq t$  and any node  $\beta$  as it is not current anymore.

We ensure that  $n$  being a threshold is in  $\overline{K}$ , hence after stage  $t$  the  $\Gamma$ -rectification procedure will not modify  $B \upharpoonright B_n$ . Before stage  $t$  while the markers were current the counter  $c_n$  was moved only when the  $\Gamma$ -rectification procedure observed a change in  $A \upharpoonright a_n$ , i.e. some element that was in  $A \upharpoonright a_n$  at the previous stage is not there anymore. After stage  $t$   $\alpha$  will move the marker  $c_n$  once at entry in  $U_t$  and then only when it observes a change in  $A \upharpoonright a_n$ , i.e.  $U_n = A \upharpoonright a_n[t]$  was a subset of  $A$  at a previous step but is not currently. Altogether  $c_n$  will be moved at most  $g_n + 1 < |B_n|$  times.

Otherwise  $B_n$  belongs to an axiom which contains the set  $B_k$  for a particular threshold  $k$  and  $n \notin \overline{K}$ . Then again its members are enumerated back in  $B$  only in reaction to a change in  $A \upharpoonright a_n$ .  $\square$

We will now prove that Lemma 1 is valid for this construction as well. Note that this construction is a bit different, therefore we will need a new proof. The true path  $f$  is defined in the same way.

**Lemma 5.** *For each strategy  $f \upharpoonright n$  the following is true:*

1. *There is a stage  $t_1(n) > t_n$  such that at all  $f \upharpoonright n$ -true stages  $t > t_1(n)$  Check does not empty  $U$ .*
2. *There is a stage  $t_2(n) > t_1(n)$  such that at all  $f \upharpoonright n$ -true stages  $t > t_2(n)$  the Attack module never passes through step 2 and hence the strategies below  $\alpha$  are not initialized anymore,  $B$  is not modified by  $f \upharpoonright n$ , and the markers  $a_n$  for any elements  $n$  are not moved by  $f \upharpoonright n$*

*Proof.* Suppose the two conditions are true for  $m < n$ . Let  $f \upharpoonright n = \alpha$ . Let  $t_0$  be an  $\alpha$ -true stage bigger than  $t_2(m)$  for all  $m < n$  and  $t_n$ .

Then after stage  $t_0$   $\alpha$  will not be initialized anymore. The proof of the the existence of stage  $t_1(n)$  satisfying the first property is the same as in Lemma 1.

To prove the second clause suppose that the module passes through step 2 infinitely many times and consider the set  $V = \bigcup L(U)$  where  $L(U)$  denotes the left part of entries in the guess list  $U$ . By assumption  $A$  is not c.e. hence  $A \neq V$ .

If  $V \not\subseteq A$  then there is element  $p$  such that  $p \in V \setminus A$ . Let  $t_p > t_2$  be a stage such that the approximation of  $A$  settles down on  $p$ , i.e. for all  $t > t_p$ ,  $A_t(p) = A(p) = 0$ . Then when we pass through step 2 after stage  $t_p$  we will spot this error, go to step 4 and never again return to step 1.

If  $V \subset A$ , let  $p$  be the least element such that  $p \in A \setminus V$ . Every guess in  $U$  is eventually correct and allows us to move to step 3, i.e. we pass through step 3 infinitely often. As a result  $a_k$  grows unboundedly and will eventually reach a value greater than  $p$ . As on all but finitely many stages  $t$ ,  $p \in A_t$ ,  $p$  will enter  $V$ .  $\square$

**Corollary 2.** *Every  $N_i$ -requirement is satisfied.*

*Proof.* Let  $\alpha \subset f$  be an  $N_i$ -strategy. As a corollary of Lemma 5 there is a stage  $t_3 > t_2(i)$  after which the *Attack* module is stuck at step 1, and hence  $x \notin \Phi^B$ , but  $x \in E$ . Or else the module is stuck at step 4, in which case  $x \in \Phi^B$  and  $x \notin E$ . Indeed step 4 was accessed with  $G = \langle U_t, B_t, c_t \rangle$ , belonging to the last entry in the guess list  $\langle U_t, B_t, c_t \rangle$ . At stage  $t$  we had  $x \in \Phi^B[t]$ . The current markers  $b_n$ , for  $n \geq k$  were cancelled and  $b_k[t]$  was extracted from  $B$ . Hence the  $\Gamma$ -rectifying procedure will not extract any element below the restraint  $B \upharpoonright \phi(x)$  from  $B$ . It does not extract markers of elements  $n < k$ . If  $n \geq k$  and  $n \in \bar{K}$  then its current marker is greater than  $\phi(x)$ . If  $n > k$  and  $n \notin \bar{K}$  then any axiom defined before stage  $t$  is invalid, because one of its  $b$ -markers is extracted from  $B$  at a previous stage or else it has an  $A$ -component  $U_t \not\subseteq A$ . Any axiom defined after stage  $t$  has  $b$ -markers greater than  $\phi(x)$ .

After stage  $t$ , if  $\alpha$  modifies  $B$  it will be in the set of markers  $B_t$ , and when step 4 is accessed we have  $B_t \subset B$ .  $\square$

Lemma 2 is now valid for Theorem 3 as well, hence all requirements are satisfied and this concludes the proof of Theorem 3.

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