

Decidability and Undecidability in the Enumeration Degrees

Steffen Lempp

University of Wisconsin-Madison

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(joint work with Ted Slaman and Mariya Soskova)

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We often also consider *local r-degree structures*

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for a (usually countable) subfamily $\mathcal{S} \subset \mathcal{P}(\omega)$.

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- \mathcal{D} is an upper semilattice (but usually not a lattice), i.e., \mathcal{D} has a join operation $\deg(A) \cup \deg(B) = \deg(A \oplus B)$, where $A \oplus B = \{2x \mid x \in A\} \cup \{2x + 1 \mid x \in B\}$.

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- Most degree structures support a “jump” operation $\mathbf{a} \mapsto \mathbf{a}'$ such that $\mathbf{a} < \mathbf{a}'$, and $\mathbf{a} \leq \mathbf{b}$ implies $\mathbf{a}' \leq \mathbf{b}'$.

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degree structure	complexity: 1st or 2nd order arithmetic	\exists - or $\forall\exists$ - fragment decidable	$\exists\forall\exists$ - fragment undecidable
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\mathcal{D}_e	2nd: Slaman, Woodin 1997	\exists : Lagemann 1972	Lempp, Slaman, M. Soskova 2021
$\mathcal{D}_e(\leq \mathbf{0}'_e)$	1st: Ganchev, M. Soskova 2012		Kent 2006

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Nies Transfer Lemma 1996 (special case)

If a class \mathcal{C} of finite structures is \exists -definable with parameters in a degree structure \mathcal{D} , and the common $\forall\exists\forall$ -theory of \mathcal{C} is hereditarily undecidable, then the $\exists\forall\exists$ -theory of \mathcal{D} is undecidable.

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The class \mathcal{C} used in the results cited above is

- the class of all finite distributive lattices coded as initial segments for the m -degrees, the c.e. m -degrees, the Turing degrees, and the Δ_2^0 -Turing degrees;

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- the class of all finite bipartite graphs without equality with nonempty left and right domain in delicate coding arguments for the c.e. Turing degrees and for the Σ_2^0 -enumeration degrees.

Deciding the $\forall\exists$ -theory of \mathcal{D} amounts to giving a uniform decision procedure to the following

Problem (for deciding the $\forall\exists$ -theory of \mathcal{D})

Given finite partial orders \mathcal{P} and $\mathcal{Q}_i \supseteq \mathcal{P}$ (for $i < n$), does every embedding of \mathcal{P} into \mathcal{D} extend to an embedding of \mathcal{Q}_i into \mathcal{D} for some $i < n$ (where i may depend on the embedding of \mathcal{P})?

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For the m -degrees and the c.e. m -degrees, Dögtev extended \mathcal{P} minimally to a finite distributive lattice \mathcal{L} and embedded it into \mathcal{D} as an initial segment; now an embedding of \mathcal{L} can be extended to an embedding of a finite partial order $\mathcal{Q}_i \supseteq \mathcal{L}$ iff no element of \mathcal{Q}_i is below any element of \mathcal{L} , and \mathcal{Q}_i respects joins in \mathcal{L} .

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For the Δ_2^0 -Turing degrees, they embedded \mathcal{L} both as an initial segment; and also $\mathcal{L} - \{1\}$ as an initial segment, mapping 1 to $\mathbf{0}'_T$.

Two major subproblems of the $\forall\exists$ -theory are the following:

Extension of Embeddings Problem

Given finite partial orders \mathcal{P} and $\mathcal{Q} \supseteq \mathcal{P}$, does every embedding of \mathcal{P} into \mathcal{D} extend to an embedding of \mathcal{Q} into \mathcal{D} ?

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The LE problem remains open for the c.e. Turing degrees, but is decidable for the enumeration degrees and for the Σ_2^0 -enumeration degrees (Lempp/Sorbi 2002: all finite lattices embed).

Our main technical result extends the following earlier results:

Theorem (Cooper 1990; Calhoun, Slaman 1996)

The (Π_2^0) -enumeration degrees are not dense, i.e., there are $\mathbf{a} < \mathbf{b}$ such that there is no enumeration degree \mathbf{x} with $\mathbf{a} < \mathbf{x} < \mathbf{b}$.
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Theorem (Slaman, Sorbi 2014)

For any enumeration degrees $\mathbf{a} < \mathbf{b}$ where \mathbf{a} is a total degree, any finite partial order embeds into the interval (\mathbf{a}, \mathbf{b}) .

Main Technical Theorem (Lempp, Slaman, M. Soskova, to appear)

For any finite distributive lattice \mathcal{L} , there is an embedding ι of \mathcal{L} into the Π_2^0 -enumeration degrees with $\iota(0) = \mathbf{a}$ and $\iota(1) = \mathbf{b}$, say, such that every enumeration degree $\mathbf{x} \leq \mathbf{b}$ is in the range of ι or is $< \mathbf{a}$. (Call such an embedding a *strong embedding* of \mathcal{L} .)

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Conjecture

For *any* finite lattice \mathcal{L} , there is a *lattice* embedding ι of \mathcal{L} into the Π_2^0 -enumeration degrees with $\iota(0) = \mathbf{0}_e$ and $\iota(1) = \mathbf{b}$, say, such that every enumeration degree $\mathbf{x} \leq \mathbf{b}$ is in the range of ι or is below the image of exactly one atom of \mathcal{L} .

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This would have put us closer to a decision procedure for $\text{Th}_{\forall\exists}(\mathcal{D}_e)$.

However, this conjecture is false:

Theorem (Jacobsen-Grocott, M. Soskova)

If \mathbf{a} and \mathbf{b} are incomparable enumeration degrees such that any enumeration degree $\mathbf{x} < \mathbf{a} \vee \mathbf{b}$ is $\leq \mathbf{a}$ or $\leq \mathbf{b}$, then \mathbf{a} and \mathbf{b} do not form a minimal pair.

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We currently have no good conjecture for deciding $\text{Th}_{\forall\exists}(\mathcal{D}_e)$.

Recall that for any finite distributive lattice \mathcal{L} , we need an embedding ι into the Π_2^0 -degrees with $\iota(0) = \mathbf{a}$ and $\iota(1) = \mathbf{b}$ such that every enumeration degree $\mathbf{x} \leq \mathbf{b}$ is in the range of ι or is $< \mathbf{a}$.

Recall that for any finite distributive lattice \mathcal{L} , we need an embedding ι into the Π_2^0 -degrees with $\iota(0) = \mathbf{a}$ and $\iota(1) = \mathbf{b}$ such that every enumeration degree $\mathbf{x} \leq \mathbf{b}$ is in the range of ι or is $< \mathbf{a}$.

For any join-irreducible $j \in \mathcal{L}$ (including 0), we build a degree \mathbf{a}_j (represented by a Π_2^0 -set A_j) and define, for $k \in \mathcal{L}$,

$$\iota(k) = \bigvee \{\mathbf{a}_j \mid j \leq k\},$$

represented by the set $B_k = \bigoplus_{j \leq k} A_j$.

Recall that for any finite distributive lattice \mathcal{L} , we need an embedding ι into the Π_2^0 -degrees with $\iota(0) = \mathbf{a}$ and $\iota(1) = \mathbf{b}$ such that every enumeration degree $\mathbf{x} \leq \mathbf{b}$ is in the range of ι or is $< \mathbf{a}$.

For any join-irreducible $j \in \mathcal{L}$ (including 0), we build a degree \mathbf{a}_j (represented by a Π_2^0 -set A_j) and define, for $k \in \mathcal{L}$,

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We need to ensure the following requirements:

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Resolving the conflicts between these requirements uses a $0'''$ -priority argument.

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Stay safe until we are all vaccinated!