MATH 541: MARCH 21ST AND 23RD NOTES

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1. MARCH 21ST NOTES: DEFINING SIGN HOMOMORPHISM

Definition 1.1. Let G, H be groups. A function $\varphi : G \to H$ is called a homomorphism if it satisfies

$$\varphi(g_1 \cdot g_2) = \varphi(g_1) \cdot \varphi(g_2), \forall g_1, g_2 \in G$$

Let S_n denote the group of automorphisms, $Aut(\{1, 2, ..., n\})$. We are going to define a homomorphism $sgn : S_n \to \{\pm 1\}$ which is onto. It will be explained later that sgn is the unique function which holds these properties.

1.1. Sign for n = 2. Consider the polynomial,

 $D(x_1, x_2) = x_2 - x_1.$

Let $\sigma \in S_2$. Then one can apply σ on the function D

$${}^{\sigma}D = D(x_{\sigma^{-1}(1)}, x_{\sigma^{-1}(2)})$$

Then,

$$D(x_{\sigma^{-1}(1)}, x_{\sigma^{-1}(2)}) = \begin{cases} x_2 - x_1 = D & \text{if } \sigma = id \\ x_1 - x_2 = -D & \text{if } \sigma = (1 \ 2) \text{ transpose 1 and 2} \end{cases}$$

We define $sgn(\sigma)$ to be ± 1 such that

$$^{\sigma}D = sgn(\sigma)D$$

Claim 1.2. sgn is a homomorphism (for n = 2).

Proof. We wish to verify that $sgn(\sigma_1 \circ \sigma_2) = sgn(\sigma_1) \cdot sgn(\sigma_2)$ for all $\sigma_1, \sigma_2 \in S_2$. Since there are only two elements of S_2 , we can verify this by checking.

First, build a multiplication table for S_2 . Let σ indicate the transposition $\begin{pmatrix} 1 & 2 \\ 2 & 1 \end{pmatrix}$.

Since sgn(id) = 1, the only interesting case to test is $sgn(\sigma \circ \sigma) \stackrel{?}{=} sgn(\sigma) \cdot sgn(\sigma)$.

$$sgn(\sigma \circ \sigma) = sgn(id)$$

= 1
$$sgn(\sigma) \cdot sgn(\sigma) = -1 \cdot -1$$

= 1

1.2. Sign for n = 3. In order to get a better intuition for the general case, consider the sign function over the set S_3 .

For n = 3, the polynomial D is defined in the following way,

$$D(x_1, x_2, x_3) = (x_3 - x_2) \cdot (x_3 - x_1) \cdot (x_2 - x_1)$$

Note, that this is the product of all pairs $x_j - x_k$ where j > k.

Let $\sigma \in S_3 = Aut(\{1, 2, 3\})$, then

$${}^{\sigma}D = (x_{\sigma^{-1}(3)} - x_{\sigma^{-1}(2)}) \cdot (x_{\sigma^{-1}(3)} - x_{\sigma^{-1}(1)}) \cdot (x_{\sigma^{-1}(2)} - x_{\sigma^{-1}(1)}).$$

Claim 1.3. $^{\sigma}D = sgn(\sigma) \cdot D$ where $sgn(\sigma) = \pm 1$.

Proof. We will show that $sgn(\sigma) = (-1)^k$, where

$$k = \#\{i < j | \sigma^{-1}(i) > \sigma^{-1}(j)\}.$$

As an example, if

$$\sigma = \begin{pmatrix} 1 & 2 & 3 \\ 1 & 3 & 2 \end{pmatrix},$$

then indeed, $sgn(\sigma) = -1 = (-1)^1$ because

$${}^{\sigma}D = (x_{\sigma^{-1}(3)} - x_{\sigma^{-1}(2)}) \cdot (x_{\sigma^{-1}(3)} - x_{\sigma^{-1}(1)}) \cdot (x_{\sigma^{-1}(2)} - x_{\sigma^{-1}(1)})$$

= $(x_2 - x_3)(x_2 - x_1)(x_3 - x_1) = -D.$

In the general case, for any σ consider it acting on a pair of values,

$$\sigma: (x_j - x_i), i < j \mapsto (x_{\sigma^{-1}(j)} - x_{\sigma^{-1}(i)})$$

Note that the domain of this function is exactly all such pairs that appear in the polynomial D. Consider the image of this function. It yields all such pairs which appear in $^{\sigma}D$ by definition.

Let the pair $(x_{\sigma^{-1}(j)} - x_{\sigma^{-1}(i)})$ be in the image of the function. Note that $\sigma \in S_n$ is a bijection, then since $i \neq j$, then $\sigma^{-1}(i) \neq \sigma^{-1}(j)$.

If $\sigma^{-1}(i) < \sigma^{-1}(j)$, then $(x_{\sigma^{-1}(j)} - x_{\sigma^{-1}(i)})$ appears in D. If $\sigma^{-1}(i) > \sigma^{-1}(j)$, then $-(x_{\sigma^{-1}(j)} - x_{\sigma^{-1}(i)})$ appears in D. Therefore, any pair in σD appears also in D (by a factor of -1).

Also, consider a pair in D, $(x_m - x_\ell)$. Note that $\ell < m$. Then, since σ is one-to-one and onto, there exists values i, j such that $\sigma^{-1}(j) = m$ and $\sigma^{-1}(i) = \ell$, where $i \neq j$. Thus, either i < j or j < i, so any pair in D will then appear in the image of the function and more importantly, in σD .

Thus, if we set k to be the number of pairs in σD such that i < j and $\sigma^{-1}(i) > \sigma^{-1}(j)$, we can write $\sigma D = (-1)^k D$.

As another example, consider

$$\sigma = \begin{pmatrix} 1 & 2 & 3 \\ 2 & 3 & 1 \end{pmatrix}$$

Then indeed, $sgn(\sigma) = (-1)^2 = 1$ because

$${}^{\sigma}D = (x_{\sigma^{-1}(3)} - x_{\sigma^{-1}(2)}) \cdot (x_{\sigma^{-1}(3)} - x_{\sigma^{-1}(1)}) \cdot (x_{\sigma^{-1}(2)} - x_{\sigma^{-1}(1)})$$

= $(x_2 - x_1)(x_2 - x_3)(x_1 - x_3) = (-1)^2 D = D.$

k = 2, since the following pairs appear in the set $\{i < j | \sigma^{-1}(i) > \sigma^{-1}(j)\}$: $1 < 3 \mapsto \sigma^{-1}(1) > \sigma^{-1}(3) = 3 > 2$ and $1 < 2 \mapsto \sigma^{-1}(1) > \sigma^{-1}(2) = 3 > 1$.

Claim 1.4. $sgn: S_3 \to \{\pm 1\}$ is a homomorphism.

Proof (Step 1). Denote by γ , the application of σ on P(x) a polynomial on n variables by

$$\gamma(\sigma)P(x) \stackrel{\gamma}{=} P(\sigma^{-1}(x))$$

Let $\sigma, \tau \in S_n$. We wish to prove that $\gamma(\sigma \circ \tau) = \gamma(\sigma) \circ \gamma(\tau)$. We then have,

$$\begin{split} \gamma(\sigma \circ \tau) P(x) &\stackrel{\gamma}{=} P((\tau^{-1} \circ \sigma^{-1})(x)) \\ &= P(\tau^{-1}(\sigma^{-1}(x))) \\ &= \underbrace{(\gamma(\tau)P)}_Q(\sigma^{-1}(x)) = \gamma(\sigma)Q(x) \\ &= \gamma(\sigma)(\gamma(\tau)P)(x) = (\gamma(\sigma) \circ \gamma(\tau))P(x). \end{split}$$

Therefore,

$$\gamma(\sigma \circ \tau) = \gamma(\sigma) \circ \gamma(\tau).$$

Proof (Step 2). Obviously, sgn(id) = 1.

Let $\sigma, \tau \in S_n$. We wish to show that $sgn(\sigma \circ \tau) = sgn(\sigma) \cdot sgn(\tau)$. By step 1, Claim ??, and the definition of sgn as the application on the polynomial D,

$$sgn(\sigma \circ \tau) = sgn(\sigma) \cdot sgn(\tau).$$

2. March 23rd Notes: Uniqueness of Sign Homomorphism

Now, since only definitions for sgn on S_2 and S_3 have been provided thus far, we will give a general definition for sgn.

Define $sgn: S_n \to \{\pm 1\}$ by

$${}^{\sigma}D = sgn(\sigma) \cdot D$$

where

$$D(x_1,\ldots,x_n) = \prod_{n \ge j > i \ge 1} (x_j - x_i)$$

and

$${}^{\sigma}D = D(x_{\sigma^{-1}(1)}, \dots x_{\sigma^{-1}(n)}) = \prod_{n \ge j > i \ge 1} (x_{\sigma^{-1}(x_j)} - x_{\sigma^{-1}(x_i)}).$$

2.1. sgn is unique.

Theorem 2.1. There exists a unique onto homomorphism

$$\varphi: S_n \twoheadrightarrow \{\pm 1\}$$

Note, that for the purposes of the proof, we will assume the $\varphi(\tau) = -1$ for all transpositions $\tau = (a \ b)$. This assumption is unnecessary and follows from the onto assumption. The proof of this will be shown later.

Proof of uniqueness. The main point is that uniqueness follows from Lemma ?? (page ??).

Suppose φ is an onto homomorphism, where $\varphi : S_n \to \{\pm 1\}$. Also, φ' is an onto homomorphism, where $\varphi : S_n \to \{\pm 1\}$.

Take $\sigma \in S_n$. Then by the lemma, $\sigma = \tau_k \circ \ldots \tau_1$. By the assumption of homomorphism,

$$\varphi(\sigma) = \varphi(\tau_k) \cdots \varphi(\tau_1)$$

= $\varphi'(\tau_k) \cdots \varphi'(\tau_1)$ By the condition that $\varphi(\tau) = \varphi'(\tau) = -1$
= $\varphi'(\tau_k \circ \cdots \circ \tau_1)$ (homomorphism)
= $\varphi'(\sigma)$

Therefore, $\varphi = \varphi'$.

Proof of existence. Consider *sgn.* It has been shown that this is a homorphism (Claim ??). We wish to show that it is also onto.

Consider the transposition $\tau = (1 \ 2) \in S_n = Aut(\{1, 2, \dots, n\})$. Then the order of $(x_2 - x_1)$ in D switches to $(x_1 - x_2)$ in τD . Since $\tau(i) = i$ for all $i \notin \{1, 2\}$, this is the only pair whose order is affected. Thus, $\tau D = -D$. So $sgn(\tau) = -1$.

Therefore, sgn is onto.

For example, consider the transposition $\tau = (1 \ 2) \in S_3$. Then

$$(x_2 - x_1) \to (x_1 - x_2)$$

 $(x_3 - x_1) \to (x_3 - x_2)$
 $(x_3 - x_2) \to (x_3 - x_1)$

So, k = 1 and $sgn(\tau) = -1$.

2.2. Supporting Lemmas and Definitions.

Lemma 2.2. For all $\sigma \in S_n$, \exists a decomposition of $\sigma = \tau_{\ell} \circ \ldots \tau_1$ (where τ_i are transpositions) for some ℓ . Also, this ℓ has unique **parity**. i.e. for any two decompositions of lengths ℓ and m, then $2|(\ell - m)$.

Proof (step 1). Every $\sigma \in S_n$ can be written (uniquely up to change of order) as a product of cycles.

$$\sigma = c_m \circ \cdots \circ c_1$$

The proof of this will be shown later.

Definition 2.3. Let $c \in S_n$. This bijection is called a cycle if it is of the form

$$c = (a_1 \ a_2 \ \dots \ a_t), \ 1 \le a_i \le n, \ i \in \{1, \dots, t\}$$

with $c(a_1) = a_2, c(a_2) = a_3, \dots c(a_t) = a_1$ and identity $(k \mapsto k)$ for all other, $k \in \{1, \dots, n\}, k \ne a_i, i \in \{1, \dots, t\}.$

For example, take

$$\sigma = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 2 & 3 & 1 & 5 & 4 \end{pmatrix}$$

Then, $\sigma = (1 \ 2 \ 3) \circ (4 \ 5)$.

Proof (step 2). Every cycle can be written as a composition of transpositions.

Indeed, consider $c = (a_1 \ a_2 \ a_3 \ \dots \ a_t)$. Then, $c = (a_1 \ a_2) \circ (a_2 \ a_3) \circ \dots \circ (a_{t-1} \ a_t)$.

To verify, consider $a_{i-1}, a_i, i < t$. Then following the order of transpositions from right to left, a_i is placed into position a_{i+1} , then a_{i-1} is placed into position a_i , which is never seen again in the following transpositions. Also, a_t is transposed to a_{t-1} , then the next and so on, until it reaches the position a_1 .

Proof (step 3). Let $\sigma \in S_n$. Consider two decompositions into transpositions of σ ,

$$\tau_1 \circ \cdots \circ \tau_\ell = \sigma = \tau'_1 \circ \cdots \circ \tau'_m$$

By the properties of a homomorphism and the fact that $sgn(\tau) = -1$ for any transposition, τ ,

$$(-1)^{\ell} = sgn(\sigma) = (-1)^m$$

 $(-1)^{\ell-m} = 1$

Therefore, we must have $2|\ell - m$. i.e. The parity of ℓ and m are the same.