

Let V denote an \mathbb{F} -module
on which X is diagonalizable.

We define the X -diagram for V as follows.

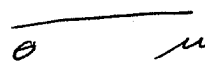
nodes

these are the eigenspaces of X on V

We label each node with the corresp eigenvalue

arcs


For nodes θ, μ

 whenever $\theta\mu = 1$

 whenever $\theta\mu = q^{-2}$

We allow loops:

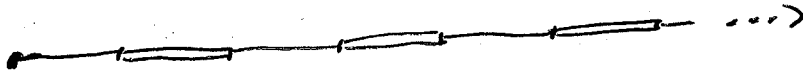
 whenever $\theta^2 = 1$

 whenever $\theta^2 = q^{-2}$

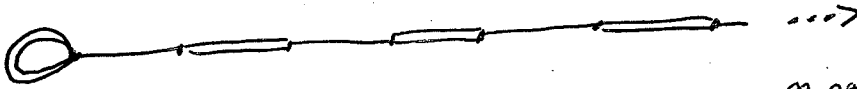
Possible X-diagrams



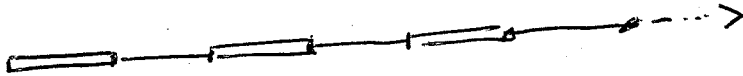
∞ path



∞ path



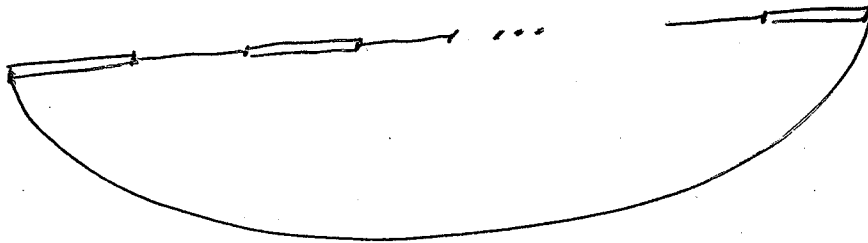
∞ path



∞ path



∞ path

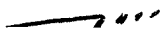
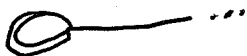


cycle



Finite path

Many versions; choices at each end are



Next goal: careful description of
an unred \hat{H}_1 -module whose X-diagram is $\infty \infty$ with

Until further notice for $0 \neq k_i \in \mathbb{F}$ $i \in \mathbb{I}$

Def functions

$$a, b, c, d : \mathbb{F} \setminus \{0, 1, -1\} \rightarrow \mathbb{F}$$

as follows.

$$a(\theta) = \frac{\theta(k_3 + k_3^{-1}) - k_0 - k_0^{-1}}{\theta - \theta^{-1}}$$

$$d(\theta) = a(\theta^{-1})$$

$$b(\theta) = \frac{G(\theta, k_0, k_3)}{\theta - \theta^{-1}}$$

$$c(\theta) = \frac{1}{\theta^{-1} - \theta}$$

Define 2×2 matrices

$$T_3(\theta) = \begin{pmatrix} a(\theta) & b(\theta) \\ c(\theta) & d(\theta) \end{pmatrix}$$

$$T_0(\theta) = \begin{pmatrix} \theta d(\theta) & \frac{-b(\theta)}{\theta} \\ -\theta c(\theta) & \frac{a(\theta)}{\theta} \end{pmatrix}$$

One checks

matrix	trace	det
$\tau_3(\theta)$	$k_3 + k_3^{-1}$	1
$\tau_0(\theta)$	$k_0 + k_0^{-1}$	1

$$\tau_3(\theta) + \tau_3(\theta)^{-1} = (k_3 + k_3^{-1}) I$$

$$\tau_0(\theta) + \tau_0(\theta)^{-1} = (k_0 + k_0^{-1}) I$$

$$\tau_3(\theta) \tau_0(\theta) = \begin{pmatrix} \theta & 0 \\ 0 & \theta^{-1} \end{pmatrix}$$

$$\tau_0(\theta) - \tau_3(\theta) \tau_0(\theta) \tau_3(\theta)^{-1} = \begin{pmatrix} 0 & G(\theta, k_0, k_3) \\ 1 & 0 \end{pmatrix}$$

Ref to Prop 15,

relative the basis v, Gv

the matrices representing t_3, t_0 are

$T_3(0), T_0(0)$ resp.

Define functions

$$\alpha, \beta, \gamma, \delta : \mathbb{F} \setminus \{0, 1, -1\} \rightarrow \mathbb{F}$$

$$\alpha(\theta) = \frac{\theta(k_1 + k_1^{-1}) - k_2 - k_2^{-1}}{\theta - \theta^{-1}}$$

$$\delta(\theta) = \alpha(\theta^{-1})$$

$$\beta(\theta) = \frac{G(\theta, k_1, k_2)}{\theta - \theta^{-1}}$$

$$\gamma(\theta) = \frac{1}{\theta^{-1} - \theta}$$

To go from a, b, c, d to $\alpha, \beta, \gamma, \delta$

switch $k_0 \leftrightarrow k_2$
 $k_1 \leftrightarrow k_3$

Define 2×2 matrices

$$\tau_1(\theta) = \begin{pmatrix} \alpha(\theta) & \beta(\theta) \\ \gamma(\theta) & \delta(\theta) \end{pmatrix}$$

$$\tau_2(\theta) = \begin{pmatrix} \theta \delta(\theta) & -\frac{\beta(\theta)}{\theta} \\ -\theta \gamma(\theta) & \frac{\alpha(\theta)}{\theta} \end{pmatrix}$$

One checks

matrix	trace	det
$\tau_1(\theta)$	$k_1 + k_1^{-1}$	1
$\tau_2(\theta)$	$k_2 + k_2^{-1}$	1

$$\tau_1(\theta) + \tau_1(\theta)^{-1} = (k_1 + k_1^{-1}) I$$

$$\tau_2(\theta) + \tau_2(\theta)^{-1} = (k_2 + k_2^{-1}) I$$

$$\tau_1(\theta) \tau_2(\theta) = \begin{pmatrix} \theta & 0 \\ 0 & \theta^{-1} \end{pmatrix}$$

$$\tau_2(\theta) - \tau_1(\theta) \tau_2(\theta) \tau_1(\theta)^{-1} = \begin{pmatrix} 0 & G(\theta, k_1, k_2) \\ 1 & 0 \end{pmatrix}$$

Ref to Prop 20.

relative to the basis v_1, \dots, v_n

the matrices rep t_1, t_2 are

$$T_1(q^{-1}\theta^{-1}), \quad T_2(q^{-1}\theta^{-1})$$

resp.

For $i \in \mathbb{I}$ def a matrix τ_i

with rows/cols indexed by \mathbb{Z}

and entries in \mathbb{F} .

Fix $0 \neq \theta_0 \in \mathbb{F}$

The entries of τ_i are given below

(all entries not shown are 0)

For even $i \in \mathbb{Z}$

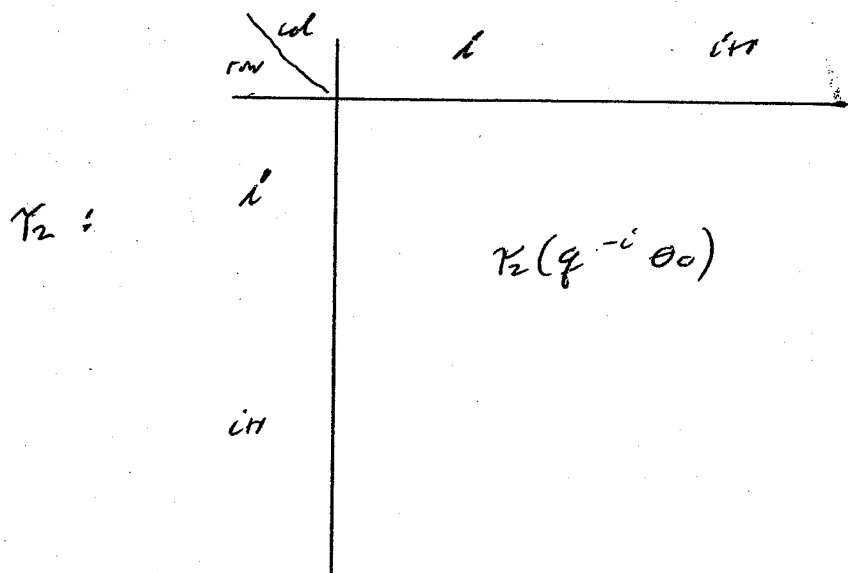
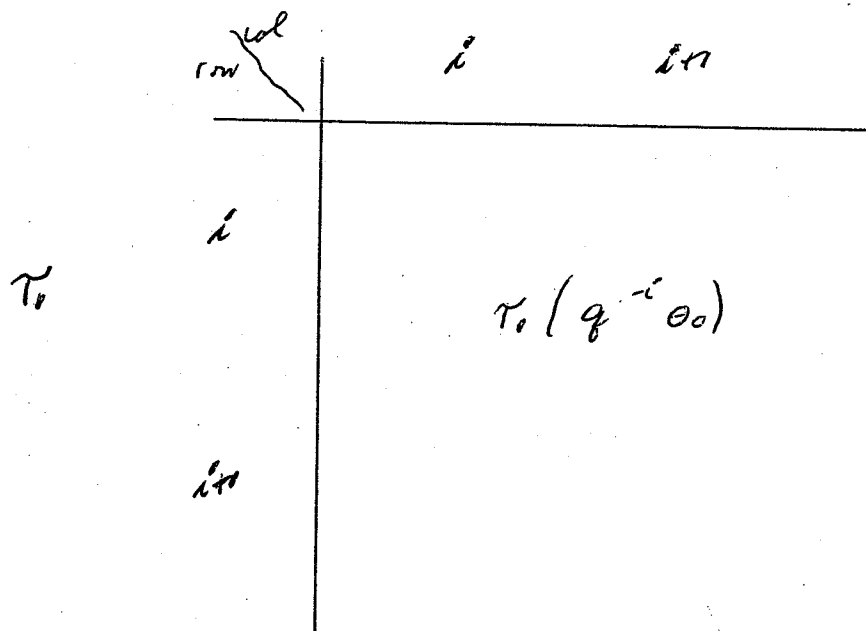
$\tau_3 :$

	col	i	$i+1$
row			
i		$\tau_3(\theta_0 q^{-i})$	
$i+1$			

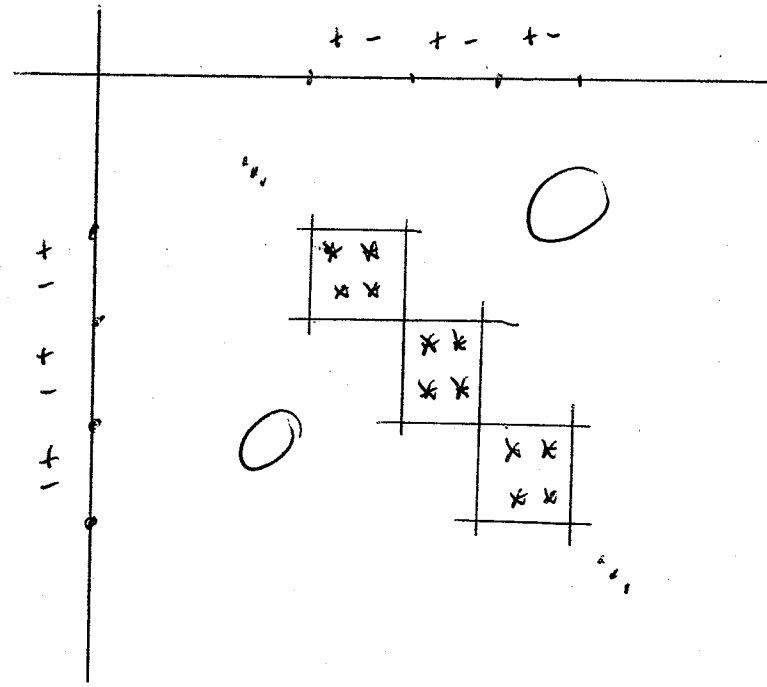
$\tau_0 :$

	col	i	$i+1$
row			
i		$\tau_0(\theta_0 q^{-i})$	
$i+1$			

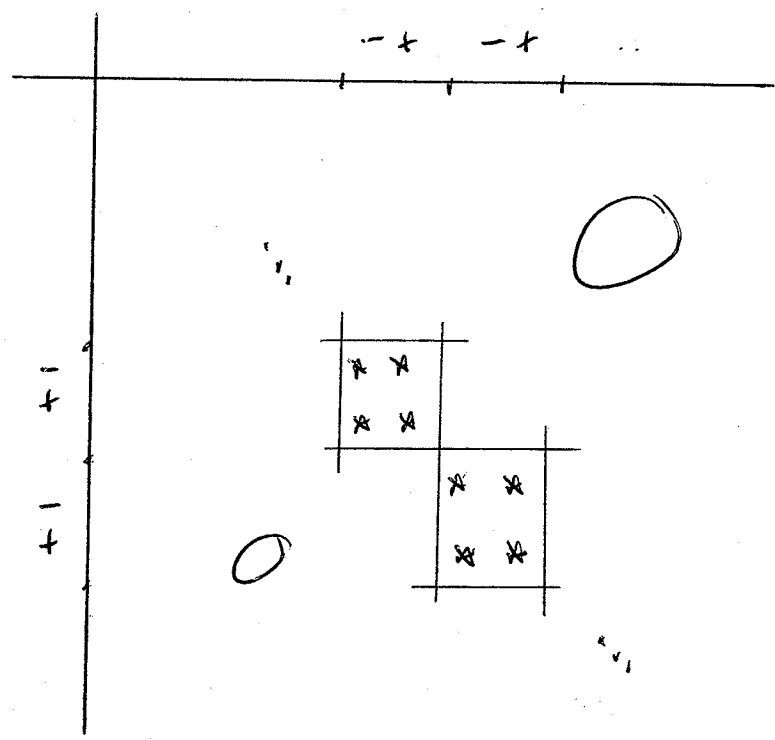
F_n odd $i \in \mathbb{Z}$



$\gamma_0, \gamma_3 \text{ :}$



$\gamma_1, \gamma_2 \text{ :}$



+ means even
 - means odd

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Show $\{\tau_i\}_{i \in \mathbb{Z}}$ satisfy the defining relations for \hat{H}_q .

By constr.

$$\tau_i + \tau_i^{-1} = (k_i + k_i^{-1}) I \quad \forall i \in \mathbb{Z}$$

central

$\tau_3 \tau_0$ is diagonal with (i, i) -entry

$$\begin{cases} \theta_0 q^{-i} & \text{if } i \text{ even} \\ \theta_0^{-1} q^i & \text{if } i \text{ odd} \end{cases} \quad i \in \mathbb{Z}$$

$\tau_1 \tau_2$ is diagonal with (i, i) -entry

$$\begin{cases} \theta_0^{-1} q^{i-1} & \text{if } i \text{ even} \\ \theta_0 q^{-i} & \text{if } i \text{ odd} \end{cases} \quad i \in \mathbb{Z}$$

By these comments

$$\tau_3 \tau_0 \tau_1 \tau_2 = q^{-1} I$$

So

$$\tau_0 \tau_1 \tau_2 \tau_3 = q^{-1} I$$

We have shown $\{\tau_i\}_{i \in \mathbb{Z}}$ satisfy the defining rels for \hat{H}_q

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the equals of $\gamma_3 \gamma_0$ are

$$\dots q^{2\theta_0}, q^{-2\theta_0}, \theta_0, \theta_0^{-1}, q^{-2}\theta_0, q^2\theta_0^{-1}, q^{-4}\theta_0, \dots$$

*

TFAE

(i) * are not dist

(ii) q is not a root of 1 and $\theta_0 \notin \{ \pm q^n \mid n \in \mathbb{Z} \}$

(1)

TFAE

(i) $G(\theta, k_0/k_3) \neq 0 \quad \forall \theta \in *$

(ii) $\theta_0 \notin \left\{ q^n k_0/k_3, q^n k_3/k_0, q^n k_0/k_3, \frac{q^n}{k_0 k_3} \mid n \in \mathbb{Z}, \text{ even} \right\}$ (2)

TFAE

(i) $G(q^{-1}\theta, k_1/k_2) \neq 0 \quad \forall \theta \in *$

(ii) $\theta_0 \notin \left\{ q^n k_1/k_2, q^n k_2/k_1, q^n k_1/k_2, \frac{q^n}{k_1 k_2} \mid n \in \mathbb{Z}, \text{ odd} \right\}$ (3)

Thm 36 Given $0 \neq k_i \in \mathbb{F}$ ($i \in \mathbb{I}$)

Given $0 \neq \theta_0 \in \mathbb{F}$ that satisfies (1)-(3)

Then \exists an \hat{H}_q -module $V = V(k_0, k_1, k_2, k_3, \theta_0)$

with the following property:

V has a basis $\{v_i\}_{i \in \mathbb{Z}}$ with resp to which the matrices
rep to X ($V_i \in \mathbb{I}$).

Moreover

• For $i \in \mathbb{Z}$, v_i is an eigenvector for X . The eigenval is

$$\begin{cases} \theta_0 q^{-i} & \text{if } i \text{ even} \\ \theta_0 q^{i\alpha} & \text{if } i \text{ odd} \end{cases}$$

• V is irreducible

• X is diagonalizable on V_i and all eigenspaces of X on V have dim 1

• the X -diagram for V is

$$\langle \dots \text{---} Fv_2 \text{---} Fv_1 \text{---} Fv_0 \text{---} Fv_1 \text{---} Fv_2 \text{---} Fv_3 \text{---} \dots \rangle$$

(∞ ∞ path)

• For $i \in \mathbb{Z}$

$$G_0 v_i = v_{i+1} \quad \text{if } i \text{ even}$$

$$G_2 v_i = v_{i+1} \quad \text{if } i \text{ odd}$$

pf Each claim already shown or routine. □

We now consider the implications of Thm 35

for the module $V = V(k_0, k_1, k_2, k_3, \theta_0)$ from Th 36

Motivation:

With ref to Th 36 assume $k_0 \neq \mathbb{F}1$.

so that

$$V = \frac{t_0 - k_0^{-1}}{k_0 - k_0^{-1}} V + \frac{t_0 - k_0}{k_0^{-1} - k_0} V \quad ds$$

For all even $i \in \mathbb{Z}$

v_i is basis for $V_x(\theta)$

$$\theta = \theta_0 z^{-i}$$

v_{i+1} --- $V_x(\theta^{-1})$

$V_x(\theta) + V_x(\theta^{-1}) = V_B(\theta + \theta^{-1})$ has basis

v_i, v_{i+1}

and another basis

$$\frac{t_0 - k_0^{-1}}{k_0 - k_0^{-1}} v_i$$

$$\frac{t_0 - k_0}{k_0^{-1} - k_0} v_i$$

So the following is a basis for

$$\frac{t_0 - k_0^{-1}}{k_0 - k_0^{-1}} V :$$

$$\frac{t_0 - k_0^{-1}}{k_0 - k_0^{-1}} v_i$$

$i \in \mathbb{Z}, i \text{ even}$

Also the following is a basis for

$$\frac{t_0 - k_0}{k_0^{-1} - k_0} V :$$

$$\frac{t_0 - k_0}{k_0^{-1} - k_0} v_i$$

$i \in \mathbb{Z}, i \text{ even}$

Thm 37

With ref to Thm 36

assume $k_0 \neq \pm 1$.

Then for $i \in \mathbb{Z}$

$$A \frac{t_0 - k_0^{-i}}{k_0 - k_0^{-i}} v_{2i} =$$

term	coef
$\frac{t_0 - k_0^{-i}}{k_0 - k_0^{-i}} v_{2i}$	$\frac{G(q\theta, k_1, k_2) G(q\theta, k_0 q^{-i}, k_3)}{q^2 \theta (\theta - \theta^{-1})(q^2 \theta^{-1} - q\theta)}$
$\frac{t_0 - k_0^{-i}}{k_0 - k_0^{-i}} v_{2i}$	$\frac{\theta k_0 + \theta^{-1} k_0^{-i} - k_3 - k_3^{-i}}{\theta - \theta^{-1}} \frac{q^i \theta^{-1} (k_1 + k_1^{-i}) - k_2 - k_2^{-i}}{q^i \theta^{-1} - q\theta}$ $+ \frac{q^i \theta (k_1 + k_1^{-i}) - k_2 - k_2^{-i}}{\theta - \theta^{-1}} \frac{k_3 + k_3^{-i} - \theta k_0^{-i} - \theta^{-1} k_0}{q^i \theta - q\theta^{-1}}$ $+ \frac{k_1 + k_1^{-i}}{k_0}$
$\frac{t_0 k_0^i}{k_0 k_0^i} v_{2i}$	$\frac{\theta}{(\theta - \theta^{-1})(q\theta^{-1} - q^i \theta)}$

where $\theta = \theta_0 q^{-2i}$

pf In 1h35 take

$$v = v_{2i}$$

So $v \in V_X(\theta)$

$$G_2 G_0 v = v_{2i+2}$$

Find rel between

$$G_2 v, v_{2i-2}$$

We have

$$G_2 G_0 v_{2i-2} = v_{2i} = v$$

so

$$G_2^2 G_0 v_{2i-2} = G_2 v$$

$$v_{2i-2} \in V_X(q^2 \theta)$$

$$G_0 v_{2i-2} \in V_X(q^{-2} \theta^{-1})$$

by Cor 9

G_2^2 acts on $V_X(q^{-2} \theta^{-1})$ as $G(q\theta, k_1, k_2) I$

So far

$$G_2 v = G(q\theta, k_1, k_2) G_0 v_{2i-2}$$

so

$$\frac{t_0 - k_0^{-1}}{k_0 - k_0^{-1}} G_2 v = G(q\theta, k_1, k_2) \underbrace{\frac{t_0 - k_0^{-1}}{k_0 - k_0^{-1}} G_0 v_{2i-2}}_{\parallel L33}$$

$$\frac{k_3 + k_3^{-1} - q^2 \theta k_0^{-1} - q^{-2} \theta^{-1} k_0}{q^2 \theta} \frac{t_0 - k_0^{-1}}{k_0 - k_0^{-1}} v_{2i-2}$$

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One checks

$$\frac{\theta k_0 + \theta^{-1} k_0^{-1} - k_3 - k_3^{-1}}{(\theta - \theta^{-1})(k_0 - k_0^{-1})} \quad \frac{1}{q\theta - q^{-1}\theta^{-1}}$$

$$\times G(q\theta, k_1, k_2) \quad \frac{k_3 + k_3^{-1} - q^2 \theta k_0^{-1} - q^{-2} \theta^{-1} k_0}{q^2 \theta}$$

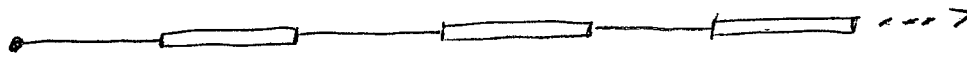
$$= \frac{G(q\theta, k_1, k_2) G(q\theta, k_0^{-1}, k_3)}{q^2 \theta (\theta - \theta^{-1})(q^2 \theta^{-1} - q\theta)}$$

Result follows

□

\mathbb{F} alg closed $0 \neq q \in \mathbb{F}$ $q^4 \neq 1$

Next goal: describe the irred \hat{H}_q modules
with X-diagram



θ_0

∞ path.

[these corresp to AW poly]

Motivation

Given \hat{H}_q module V as above

assume k_i exist $\forall i \in \mathbb{I}$

Given

$$0 \neq v \in V_X(\theta_0)$$

By Prop 21

$$t_1 v \in \mathbb{F}v$$

$$t_2 v \in \mathbb{F}v$$

Eigvals of t_i are $k_i^{\pm 1}$

k_i defined up to reciprocal

wlog

$$t_1 v = k_1 v$$

Similarly

$$t_2 v = k_2 v$$

So

$$k_1 k_2 v = t_1 t_2 v$$

$$= q^{-1} X^{-2} v$$

$$= q^{-1} \theta_0^{-1} v$$

so

$$\theta_0 = \frac{1}{q k_1 k_2}$$

We now construct our modules

Until further notice for $0 \neq k_1 \in \mathbb{F}$ $i \in \mathbb{I}$

Define
$$\theta_0 = \frac{1}{g_{k_1, k_2}}$$

Functions $a, b, c, d : \mathbb{F} \setminus \{0, 1, -1\} \rightarrow \mathbb{F}$ as before

$\alpha, \beta, \gamma, \delta$
2x2 matrices

$$T_i(\theta) \quad i \in \mathbb{I}$$

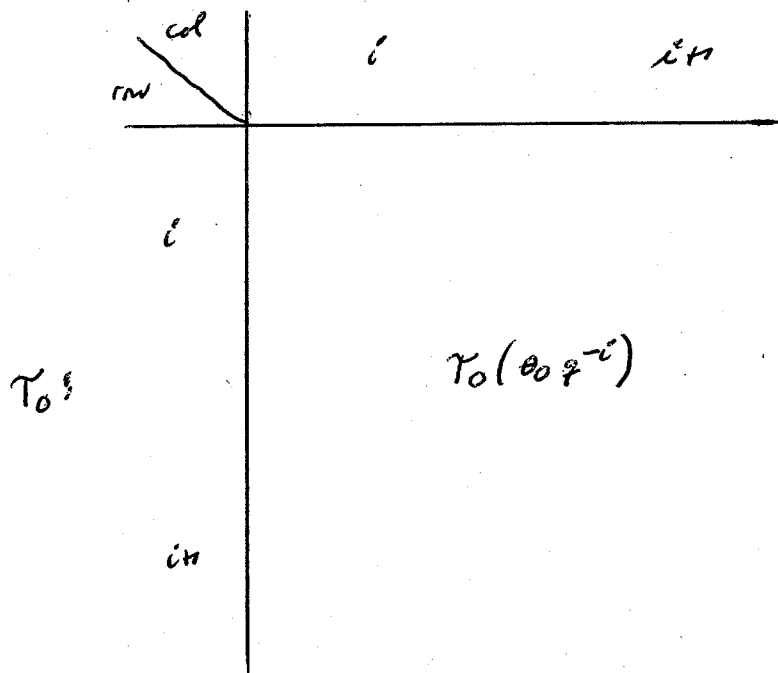
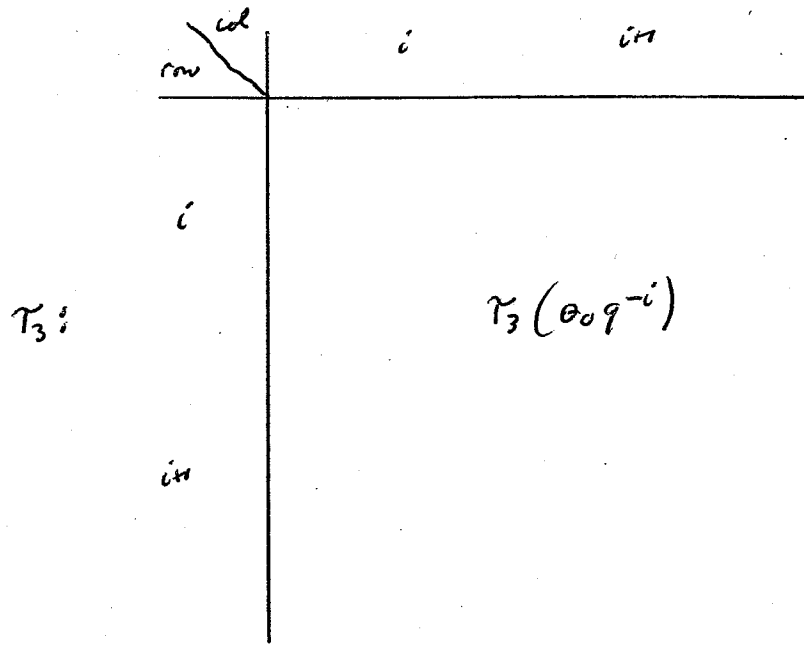
as before

We now define T_i

For $i \in \mathbb{I}$ define a matrix T_i with rows/cols indexed by nonnegative integers

The entries of T_i are given below (all entries not shown are 0)

For even $i \geq 0$



τ_1 has $(0,0)$ -entry k_1

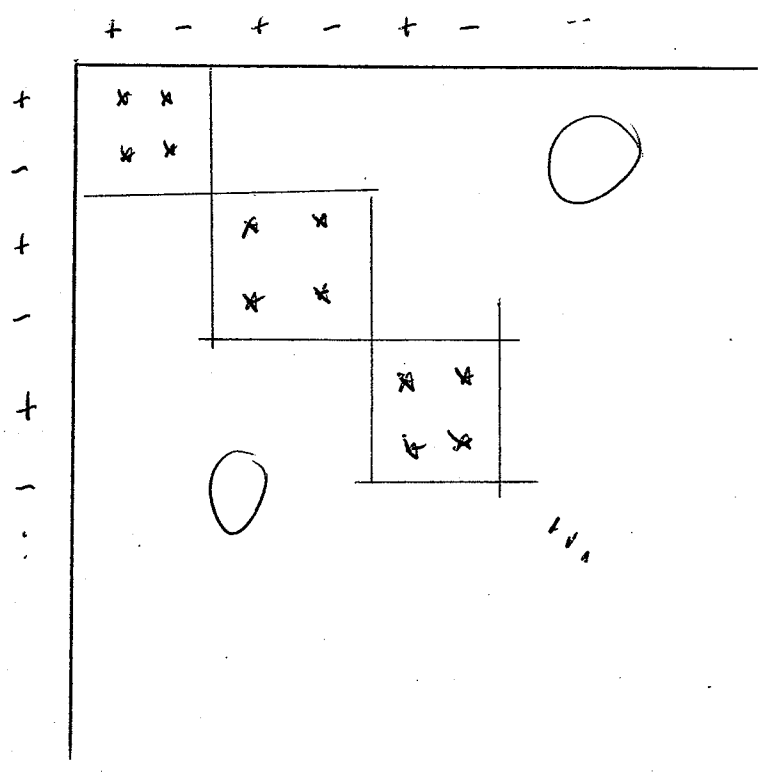
τ_2 has $(0,0)$ -entry k_2

For odd $i \geq 1$

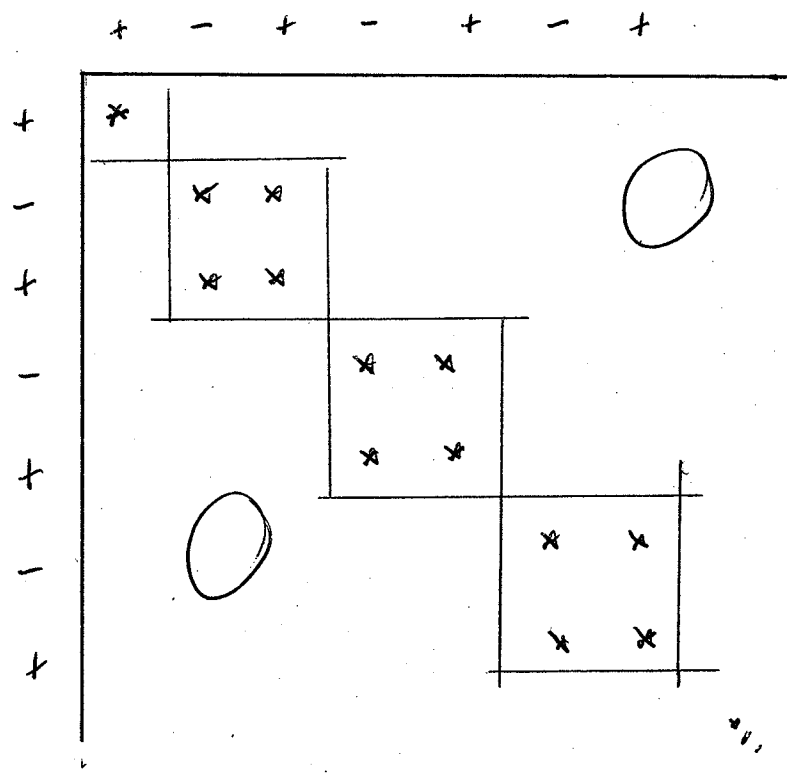
	col	i	$i+n$
row			
τ_1	i		
		$\tau_1(0, q^{-i})$	
	$i+n$		

	col	i	$i+n$
row			
τ_2	i		
		$\tau_2(0, q^{-i})$	
	$i+n$		

T_0, T_3



T_1, T_2



As in the previous example

$$\tau_i + \tau_i^{-1} = (k_i + k_i^{-1}) I \quad i \in \mathbb{I}$$

$\tau_3 \tau_0$ is diagonal with (i,i) -entry

$$\left\{ \begin{array}{ll} \theta_0 q^{-i} & \text{if } i \text{ even} \\ \theta_0^{-1} q^{i+1} & \text{if } i \text{ odd} \end{array} \right. \quad i = 0, 1, 2, \dots$$

$\tau_1 \tau_2$ is diagonal with (i,i) -entry

$$\left\{ \begin{array}{ll} \theta_0^{-1} q^{i+1} & \text{if } i \text{ even} \\ \theta_0 q^{-i} & \text{if } i \text{ odd} \end{array} \right. \quad i = 0, 1, 2, \dots$$

So as before

$$\tau_0 \tau_1 \tau_2 \tau_3 = q^{-1} I$$

So $\{\tau_i\}_{i \in \mathbb{I}}$ give a representation of \hat{H}_q .

The equals of T_0 are

$$\theta_0, \theta_0^{-1}, q^{-2}\theta_0, q^2\theta_0^{-1}, q^{-4}\theta_0, \dots$$

$(\theta_0 = \frac{1}{qk_1k_2})$

*

To make the above rep of \hat{H}_2 irred. we require

- * are mutu dist (1)
- $G(\theta, k_1, k_2) \neq 0 \quad \forall \theta \in *$ (2)
- $G(q^{-2}\theta^{-1}, k_1, k_2) \neq 0 \quad \forall \theta \in * \setminus \theta_0$ (3)

Thm 38 Given $0 \neq k_i \in F$ ($i \in \mathbb{I}$)

that satisfy (1)-(3)

\exists an H_q -module $V = V(k_0, k_1, k_2, k_3)$

with the following property.

V has a basis $\{v_i\}_{i=0}^{\infty}$ with resp to which the matrix rep t_g is T_g for $g \in \mathbb{I}$

Moreover

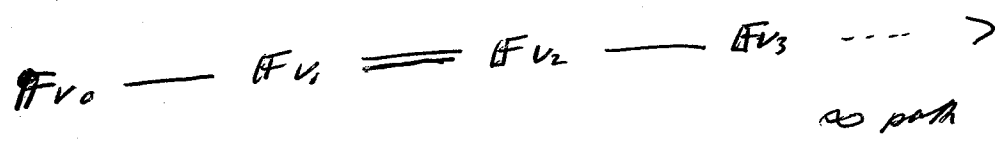
\bullet For $i=0, 1, 2, \dots$ v_i is an eigenvector for X with eigenval

$$\begin{cases} \theta_0 q^{-i} & i \text{ even} \\ \theta_0^{-1} q^{i-1} & i \text{ odd} \end{cases} \quad \theta_0 = \frac{1}{q^{k_1} k_2}$$

$\bullet V$ is irred

$\bullet X$ is diagonalizable on V and all eigenspaces of X on V have dim 1

\bullet the X -diag of V is



\bullet For $i=0, 1, 2, \dots$

$Gv_i = v_{i+1}$ if i even

$Gv_i = v_{i-1}$ if i odd

pt Each claim is already shown or routine.

\square 392

Ref to the \hat{H}_2 -module $V = V(k_0, k_1, k_2, k_3)$

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from M 38 We now relate V to the AW polynomials.

Assume $k_0 \neq \pm 1 \neq \infty$

$$V = \frac{t_0 - k_0^{-1}}{k_0 - k_0^{-1}} V + \frac{t_0 - k_0}{k_0^{-1} - k_0} V \quad ds$$

The following is a basis for $\frac{t_0 - k_0^{-1}}{k_0 - k_0^{-1}} V :$

$$\frac{t_0 - k_0^{-1}}{k_0 - k_0^{-1}} v_{2i} \quad i = 0, 1, 2, \dots \quad *$$

The following is a basis for $\frac{t_0 - k_0}{k_0^{-1} - k_0} V :$

$$\frac{t_0 - k_0}{k_0^{-1} - k_0} v_{2i} \quad i = 0, 1, 2, \dots$$

The element $A = \gamma + \gamma^{-1}$ acts on $*$ just as in M 37 :

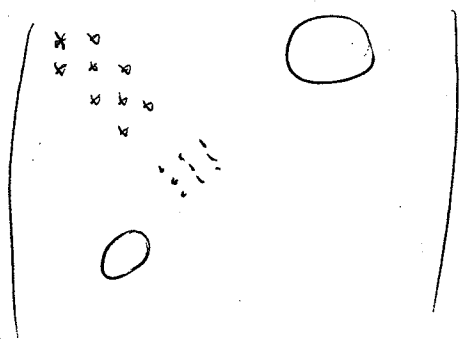
For $i = 0, 1, 2, \dots$

$$A \frac{t_0 - k_0^{-1}}{k_0 - k_0^{-1}} v_{2i} =$$

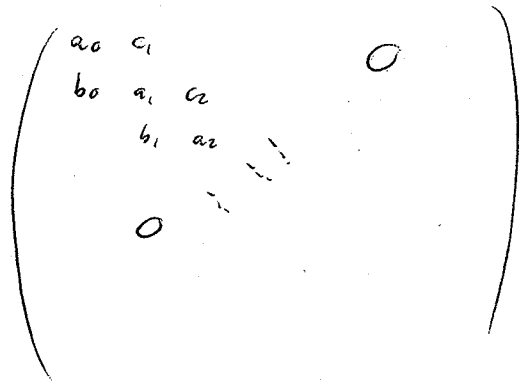
term	coef
$\frac{t_0 - k_0^{-1}}{k_0 - k_0^{-1}} v_{2i-2}$	same coef as in M 37 except that $\theta_0 = \frac{1}{qk_0k_2}$
$\frac{t_0 - k_0^{-1}}{k_0 - k_0^{-1}} v_{2i}$	
$t_0 - k_0^{-1}$	

($v_{-2} = 0$)

Rel \times matrix rep A is tri diag



Call this matrix



Define polynomials $\{f_n\}_{n=0}^{\infty}$ in $\mathbb{F}[x]$ by

$$x f_n = c_n f_{n+1} + a_n f_n + b_n f_{n-1}$$

$n = 0, 1, 2, \dots$

$$f_0 = 1, \quad f_{-1} = 0$$

$f_n \quad n = 0, 1, 2, \dots$

f_n has degree n

coef of x^n is $\frac{1}{b_0 b_1 \dots b_{n-1}}$

By const

$$f_n(A) = \frac{t_0 - t_0^*}{k_0 k_0^*} v_0 = \frac{t_0 - t_0^*}{k_0 k_0^*} v_{2n} \quad n = 0, 1, 2, \dots$$

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F_n $n=0,1,2,\dots$ let F_n denote the monic
version of f_n so

$$f_n = \frac{F_n}{b_0 b_1 \dots b_{n-1}}$$

the $\{F_n\}_{n=0}^{\infty}$ satisfy the 3-term rec

$$x F_n = c_n b_n F_{n+1} + a_n F_n + F_{n-1} \quad n=0,1,2,\dots$$

$$F_0 = 1, \quad F_{-1} = 0$$

Call $\{F_n\}_{n=0}^{\infty}$ the monic polynomials that converge
to $\{k_i\}_{i \in \mathbb{I}}$

As we will see the $\{F_n\}_{n=0}^{\infty}$ are the AW poly (monic version)

The AW poly are defined using basic hypergeometric
series ${}_4\phi_3$, as we now explain

Notation: $F_n \quad a \in \mathbb{F}$

$$(a; q)_n = \underbrace{(1-a)(1-aq)(1-aq^2) \dots (1-aq^{n-1})}_{n \text{ terms}} \quad n=0,1,2,\dots$$

so $(a; q)_0 = 1.$

Let a, b, c, d denote nonzero scalars in \mathbb{F}

Assume: q not a root of 1

And None of

ab, ac, ad, bc, bd, cd is an integral power of q

For $n = 0, 1, 2, \dots$ define a polynomial

$$p_n = p_n(x; a, b, c, d | q)$$

in $\mathbb{F}[x]$ by

$$p_n = q \phi_3 \left(\begin{matrix} q^{-n} & abcdq^{n-1} & ay & ay^{-1} \\ ab & ac & ad & \end{matrix} \middle| \begin{matrix} q \\ q \end{matrix} \right)$$

where $x = y + y^{-1}$

$$\left[= \sum_{i=0}^{\infty} \frac{(q^{-n}; q)_i (abcdq^{n-1}; q)_i (ay; q)_i (ay^{-1}; q)_i}{(ab; q)_i (ac; q)_i (ad; q)_i (q; q)_i} q^i \right]$$

Note that

$$(q^{-n}; q)_i = 0 \text{ for } i > n$$

so above sum terminates at $i = n$.

One checks p_n really is a poly in x with degree n .

p_n is an AN polynomial

For instance

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$$p_0 = 1$$

$$p_1 = 1 - \frac{(1-abcd)(1-ax+a^2)}{(1-ab)(1-ac)(1-ad)}$$

$$p_2 = 1 - \frac{(1+q)(1-abcdq)(1-ax+a^2)}{(1-ab)(1-ac)(1-ad)}$$

+

$$\frac{(1-abcdq)(1-abcdq^2)(1-ax+a^2)(1-axq+a^2q^2)}{q(1-ab)(1-abq)(1-ac)(1-acq)(1-ad)(1-adq)}$$

The AW polyg satisfy a 3-term rec.

For $n=0,1,2,\dots$

$$x p_n = c_n p_{n+1} + a_n p_n + b_n p_{n-1}$$

$$p_{-1} = 0$$

where

$$b_n = b_n(a, b, c, d | q)$$

$$= \frac{(1 - abq^n)(1 - acq^n)(1 - adq^n)(1 - abcdq^{n+1})}{a(1 - abcdq^{2n+2})(1 - abcdq^{2n})}$$

$$c_n = c_n(a, b, c, d | q)$$

$$= \frac{a(1 - q^n)(1 - bcq^{n+1})(1 - bdq^{n+1})(1 - cdq^{n+1})}{(1 - abcdq^{2n+2})(1 - abcdq^{2n+1})}$$

$$a_n = a_n(a, b, c, d | q)$$

$$= a + a^{-1} - b_n - c_n$$

For $n = 0, 1, 2, \dots$

p_n has deg n

coeff of x^n is
$$\frac{1}{b_0 b_1 \dots b_{n-1}}$$

Let $P_n = P_n(x; a, b, c, d/q)$ denote the monic version of p_n so

$$P_n = \frac{p_n}{b_0 b_1 \dots b_{n-1}}$$

Then

$$x P_n = c_n b_{n-1} P_{n+1} + a_n P_n + P_{n-1}$$

Note that

$b_{n-1} c_n$ is sym in a, b, c, d

One checks

a_n is sym in a, b, c, d

Indeed define

$$e_1 = a + b + c + d$$

$$e_2 = ab + ac + ad + bc + bd + cd$$

$$e_3 = abc + abd + acd + bcd$$

$$e_4 = abcd$$

then

$$a_n = \frac{q^{n+1} (1 - q^n - q^{n+1}) e_3 + q e_1 + q^{2n+1} e_3 e_4 - q^{n+1} (1 + q - q^{n+1}) e_1 e_4}{(1 - q^{2n+2} e_4)(1 - q^{2n} e_4)}$$

Since a_n and $b_n \rightarrow c_n$ are sym in a, b, c, d

the poles $\{P_n\}_{n=0}^{\infty}$ are sym in a, b, c, d .

Thm 39 For the H_9 module V from Thm 38

consider the corresp modic poly $\{F_n\}_{n=0}^\infty$

then

$$F_n(x) = P_n \left(x; a, b, c, d \mid q^2 \right)$$

$n=0, 1, 2, \dots$

where

a, b, c, d is any perm of

$$k_0 k_1, \quad \frac{q^2 k_1}{k_0}, \quad q^2 k_2 k_3, \quad \frac{q^2 k_2}{k_3}$$

pf We show $\{F_n\}_{n=0}^\infty$ and $\{P_n\}_{n=0}^\infty$ satisfy

the same 3-term rec

To do this, need to show

$$b_{n+1}(a, b, c, d | q^2) c_n(a, b, c, d | q^2)$$

=

$$\frac{G(q\theta, k_1, k_2) G(q\theta, q^{-1}k_0, k_3)}{(\theta - \theta^{-1})(q^{-1}\theta^{-1} - q\theta)} \frac{1}{(q^2\theta - q^{-2}\theta^{-1})(q^2\theta^{-1} - q\theta)}$$

n = 1, 2, ...

$$a_n(a, b, c, d | q^2)$$

=

$$\frac{\theta k_0 + \theta^{-1}k_0^{-1} - k_3 k_3^{-1}}{\theta - \theta^{-1}} \frac{q^2\theta^{-1}(k_1 + k_1^{-1}) - k_2 - k_2^{-1}}{q^2\theta^{-1} - q\theta}$$

+

$$\frac{q^2\theta(k_1 + k_1^{-1}) - k_2 - k_2^{-1}}{\theta - \theta^{-1}} \frac{k_3 + k_3^{-1} - \theta k_0^{-1} - \theta^{-1}k_0}{q^2\theta - q\theta^{-1}}$$

+

$$\frac{k_1 + k_1^{-1}}{k_0}$$

n = 0, 1, 2, ...

where

$$\theta = \theta_0 q^{-2n}$$

$$\theta_0 = \frac{1}{q k_1 k_2}$$

This is a routine verification.

□ 402

Notes

$$\frac{G(q\theta, k_1, k_2) G(q\theta, q^{-1}k_0, k_3)}{(q - \theta^{-1})(q^{-1}\theta^{-1} - q\theta)} \frac{1}{(q^2\theta - q^{-2}\theta^{-1})(q^{-1}\theta^{-1} - q\theta)}$$

=

$$\frac{q^{-4} \left(\theta - \frac{k_1}{qk_2} \right) \left(\theta - \frac{k_2}{qk_1} \right) \left(\theta - \frac{k_1k_2}{q} \right) \left(\theta - \frac{1}{qk_1k_2} \right) \left(\theta - \frac{k_3}{k_0} \right) \left(\theta - \frac{1}{k_0k_3} \right) \left(\theta - \frac{k_0k_3}{q^2} \right) \left(\theta - \frac{k_0}{q^2k_3} \right)}{(q - \theta^{-1}) (q\theta - q^{-1}\theta^{-1})^2 (q^2\theta - q^{-2}\theta^{-1})}$$

$$a_n = \frac{(1 - k_1k_2) (k_1 - k_2) (k_0k_3 - q) (k_0 - qk_3)}{2 (\theta + \theta^{-1} - q - q^{-1}) q k_0 k_1 k_2 k_3}$$

+

$$\frac{(1 + k_1k_2) (k_1 + k_2) (k_0k_3 + q) (k_0 + qk_3)}{2 (\theta + \theta^{-1} + q + q^{-1}) q k_0 k_1 k_2 k_3}$$

provided char F $\neq 2$

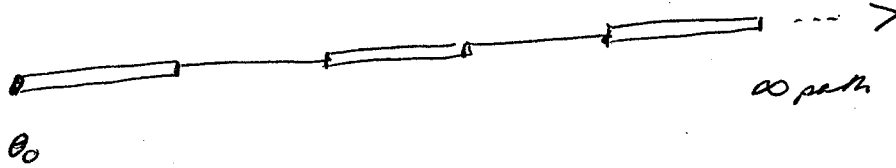
where

$$\theta = \theta_0 q^{-2n}$$

$$\theta_0 = \frac{1}{qk_1k_2}$$

\mathbb{F} alg closed $0 \neq q \in \mathbb{F}$ $q^2 \neq 1$

Next goal: describe the unred \hat{H}_q -module
with X-diagram



Motivation Given \hat{H}_q -module V as above

Assume k_i exist $\forall i \in \mathbb{I}$.

Given $0 \neq v \in V_x(\theta_0)$

$$t_0 v \in \mathbb{F}v$$

$$t_3 v \in \mathbb{F}v$$

wlog

$$t_0 v = k_0 v$$

$$t_3 v = k_3 v$$

$$k_0 k_3 v = t_0 t_3 v \\ = \kappa v$$

$$\theta_0 = k_0 k_3$$

We now construct our modules

until further notice for $0 \neq k_i \in \mathbb{F}$ $i \in \mathbb{I}$

Define

$$\theta_0 = k_0 k_3$$

Functions

$$a, b, c, d : \mathbb{F} \setminus \{0, 1, -1\} \rightarrow \mathbb{F} \quad \text{as before}$$

$$\alpha, \beta, \gamma, \delta \dots$$

2x2 matrices

$$T_i(\theta) \quad i \in \mathbb{I}$$

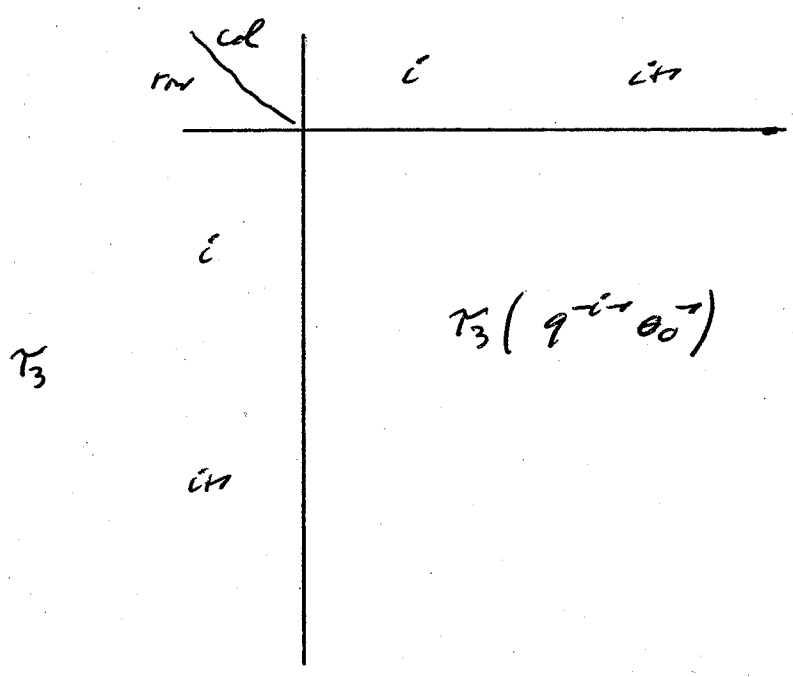
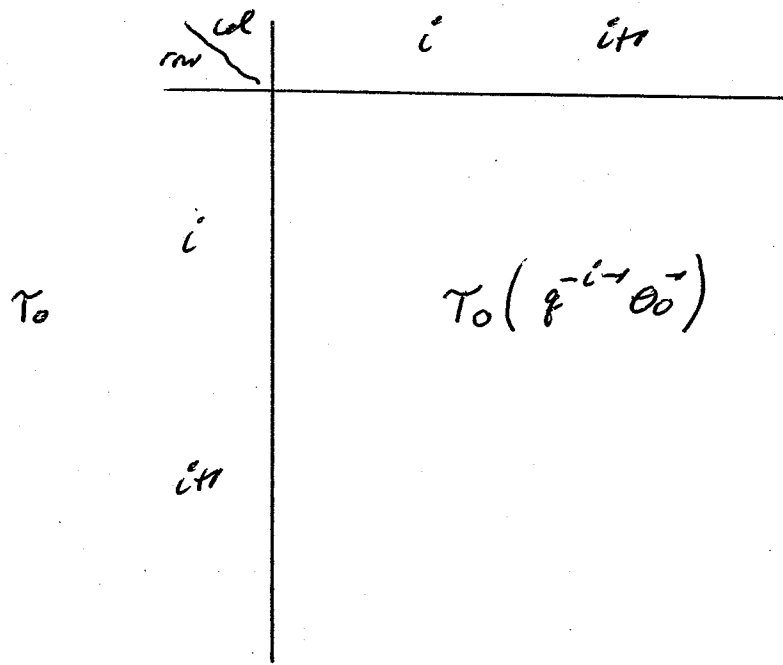
as before

For $i \in \mathbb{I}$ define matrix T_i
rows/cols indexed by nonneg integers

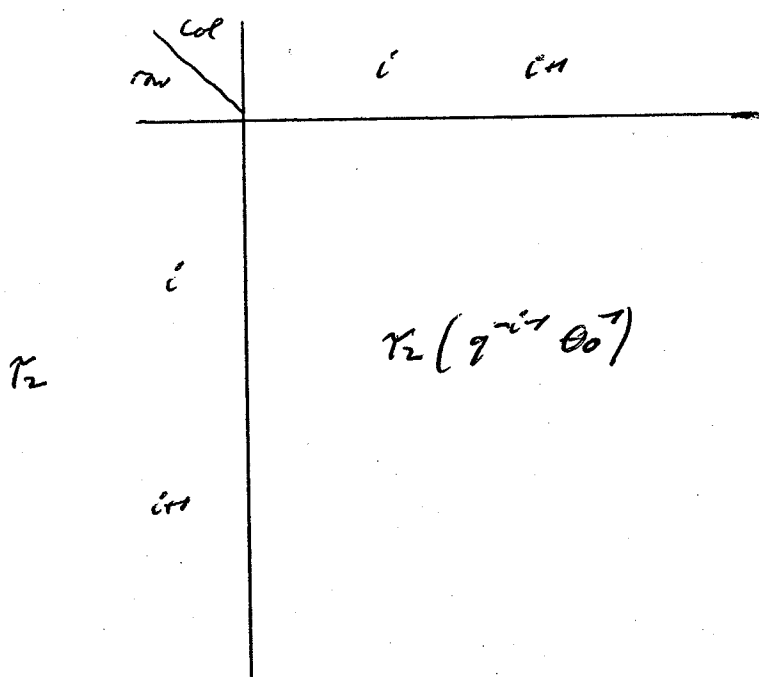
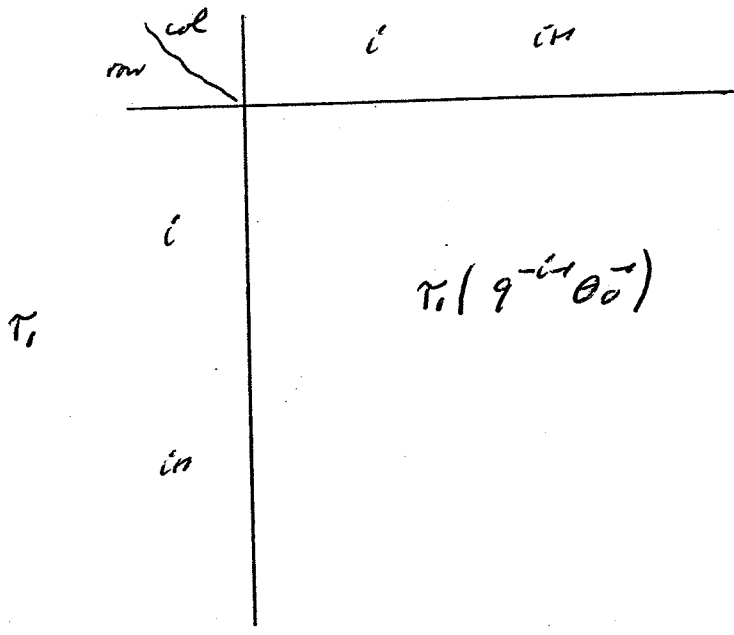
Entries of T_i given below

T_0 has $(0,0)$ -entry k_0

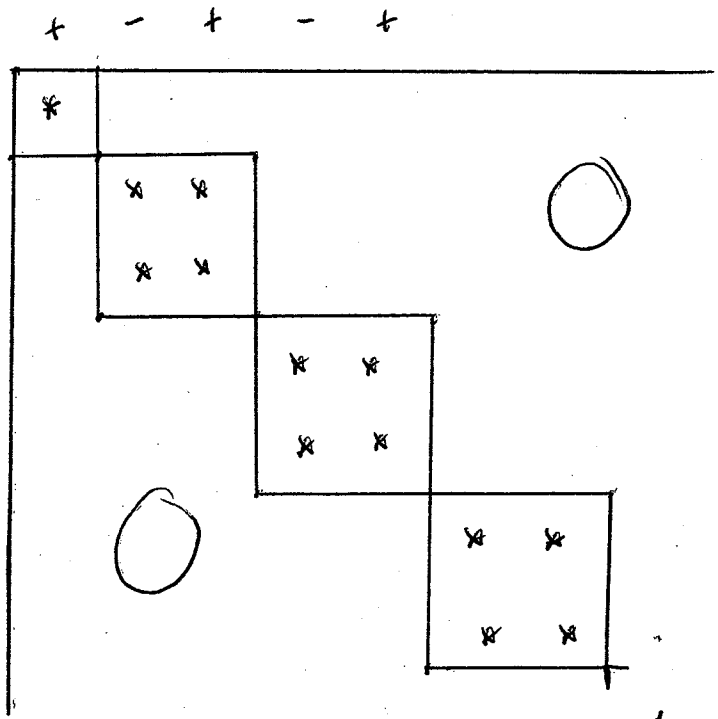
T_3 has $(0,0)$ -entry k_3



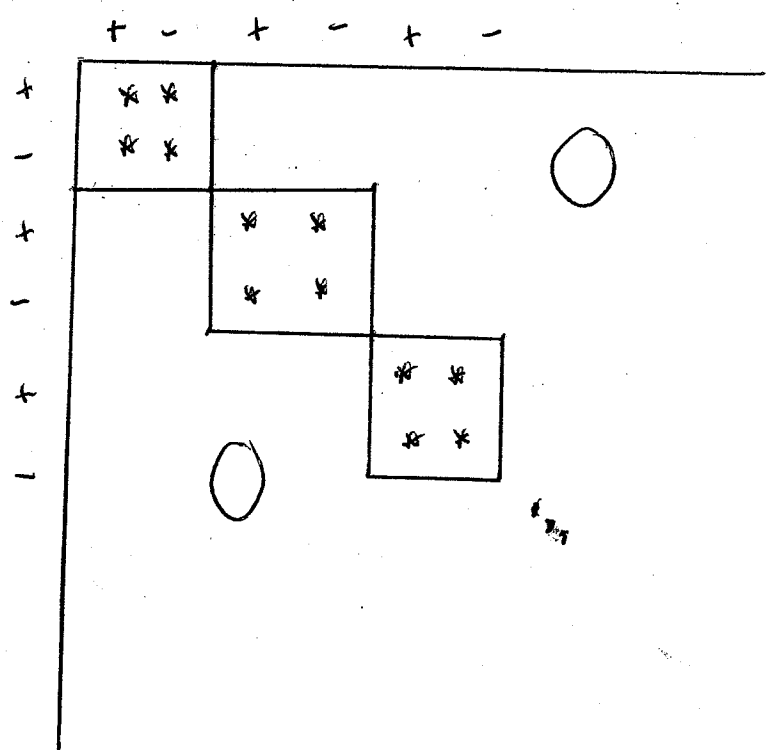
For even $i \geq 0$



T_0, T_3



T_1, T_2



As in prev examples

$$T_i + T_i = (k_i + k_i^{-1}) \quad i \in \mathbb{I}$$

$T_3 T_0$ is diag with (i,i) -entry

$$\begin{cases} \theta_0 q^i & \text{if } i \text{ even} \\ \theta_0^{-1} q^{-i-1} & \text{if } i \text{ odd} \end{cases} \quad i = 0, 1, 2, \dots$$

$T_1 T_2$ is diag with (i,i) -entry

$$\begin{cases} \theta_0 q^{-i-1} & \text{if } i \text{ even} \\ \theta_0 q^i & \text{if } i \text{ odd} \end{cases} \quad i = 0, 1, 2, \dots$$

So $T_3 T_0 T_1 T_2 = q^{-1} I$

so $T_0 T_1 T_2 T_3 = q^{-1} I$

So $\{T_i\}_{i \in \mathbb{I}}$ gives rep of H_2

Eigvals of $T_3 T_0$ are

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$$\theta_0, q^{-2}\theta_0^{-1}, q^2\theta_0, q^{-4}\theta_0^{-1}, q^4\theta_0, q^{-6}\theta_0^{-1}, q^6\theta_0, \dots \quad *$$

$$\theta_0 = k_0 k_3$$

To make the algebra H_q -module irreducible, we require

• $*$ are mult dist

(1)

• $G(\theta, k_0, k_3) \neq 0 \quad \forall \theta \in * \setminus \theta_0$

(2)

• $G(q^{-1}\theta^{-1}, k_1, k_2) \neq 0 \quad \forall \theta \in *$

(3)

th 40 Given $0 \neq k_i \in \mathbb{F}$ ($i \in \mathbb{I}$)
 that sat (1)-(3)

\exists an \hat{H}_2 -module $V = V(k_0, k_1, k_2, k_3)$
 with the following prop.

V has a basis $\{v_i, \beta_i\}_{i=0}^{\infty}$ with resp to which the matrix
 rep t_2 is T_2 for $\eta \in \mathbb{I}$

Moreover

- For $i=0,1,2,\dots$ v_i is an eigenvector for X with equal

$$\begin{cases} \theta_0 \eta^i & \text{if even} \\ \theta_0^{-1} \eta^{-i} & \text{if odd} \end{cases} \quad \theta_0 = k_0 k_3$$

- V is irred

- X is diagonalizable on V and all eigenspaces of X on V have dim 1

- The X -deg of V is

$$\mathbb{F}v_0 = \mathbb{F}v_1 = \mathbb{F}v_2 = \mathbb{F}v_3 = \mathbb{F}v_4 = \dots \rightarrow \infty \text{ path}$$

- For $i=0,1,2,\dots$

$$G_2 v_i = v_{i+2} \quad \text{if even}$$

$$G_0 v_i = v_{i-2} \quad \text{if odd}$$

pt Each claim already shown a routine □

Ref to the \hat{H}_1 module $V = V(k_0, k_0^{-1})$ 9
 from Th 40 we now relate V to the AW polys.

Assume $k_0 \neq \pm 1$ so that

$$V = \frac{t_0 - k_0^{-1}}{k_0 - k_0^{-1}} V + \frac{t_0 - k_0}{k_0^{-1} - k_0} V \quad \text{ds}$$

The following is a basis for $\frac{t_0 - k_0^{-1}}{k_0 - k_0^{-1}} V$: *

$$\frac{t_0 - k_0^{-1}}{k_0 - k_0^{-1}} v_{2i} \quad i = 0, 1, 2, \dots$$

The following is a basis for $\frac{t_0 - k_0}{k_0^{-1} - k_0} V$: *

$$\frac{t_0 - k_0}{k_0^{-1} - k_0} v_{2i} \quad i = 1, 2, \dots$$

We now find the action of $A = \gamma + \gamma^{-1}$ on *

thm 41

With above notation,

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for $i=0,1,2,\dots$

$$A \frac{t_0 - k_0^{-1}}{k_0 - k_0^{-1}} v_{2i} =$$

term

coef

$$\frac{t_0 - k_0^{-1}}{k_0 - k_0^{-1}} v_{2i+2}$$

$$\frac{(t_0 - k_0^{-1} k_3)(t_0 - k_0^{-1} k_3^{-1})}{(t_0 - q^{-2} k_0^{-1} k_3)(t_0 - q^{-2} k_0^{-1} k_3^{-1})} \frac{1}{(t_0 - t_0^{-1})(q^{-1} t_0^{-1} - q t_0) q^{-1} t_0}$$

$$\frac{t_0 - k_0^{-1}}{k_0 - k_0^{-1}} v_{2i}$$

$$\frac{t_0 k_0 + t_0^{-1} k_0^{-1} - k_3 - k_3^{-1}}{t_0 - t_0^{-1}} \frac{q^{-1} t_0^{-1} (k_1 + k_1^{-1}) - k_2 - k_2^{-1}}{q^{-1} t_0^{-1} - q t_0}$$

$$+ \frac{q^{-1} t_0^{-1} (k_1 + k_1^{-1}) - k_2 - k_2^{-1}}{t_0 - t_0^{-1}} \frac{k_3 + k_3^{-1} - t_0 k_0^{-1} - t_0^{-1} k_0}{q^{-1} t_0^{-1} - q t_0}$$

$$+ \frac{k_1 + k_1^{-1}}{k_0}$$

$$\frac{t_0 - k_0^{-1}}{k_0 - k_0^{-1}} v_{2i-2}$$

$$\frac{\theta G(\theta^{-1}, k_0, k_3) G(q \theta^{-1}, k_1, k_2)}{(t_0 - t_0^{-1})(q t_0^{-1} - q^{-1} t_0)}$$

where $\theta = q^{2i} t_0$ $t_0 = k_0 k_3$

403

pf Find coef of $\frac{1}{k_0 - k_0^*} v_{z1z2}$

Use Th 35

$$V_{z1z2} = G_0 G_2 v_{z1z2}$$

$$G_0 v_{z1z2} = G_0^2 G_2 v_{z1z2}$$

$$\left[G_2 v_{z1z2} \in V_X(i^{-2}\theta^*) \right]$$

$$= G(i^{-2}\theta^*, k_0, k_3) G_2 v_{z1z2}$$

$$\frac{t_0 - k_0^*}{k_0 - k_0^*} G_0 v_{z1z2} = G(i^{-2}\theta^*, k_0, k_3) \frac{t_0 - k_0^*}{k_0 - k_0^*} G_2 v_{z1z2}$$

|| L33, $v_{z1z2} \in V_X(i^2\theta)$

$$\frac{k_3 + k_3^* - i^2\theta k_0^* - i^{-2}\theta^* k_0}{i^2\theta} \frac{t_0 - k_0^*}{k_0 - k_0^*} v_{z1z2}$$

Now use Th 35, and note that

$$\frac{\theta k_0 + \theta^* k_0^* - k_3 - k_3^*}{(\theta - \theta^*)(i\theta - i^* \theta^*)} \frac{k_3 + k_3^* - i^2\theta k_0^* - i^{-2}\theta^* k_0}{i^2\theta G(i^{-2}\theta^*, k_0, k_3)}$$

$$= \frac{(\theta - k_0^* k_3)(\theta - k_0^* k_3^*)}{(\theta - i^* k_0^* k_3)(\theta - i^{-2} k_0^* k_3^*)} \frac{1}{(\theta - \theta^*)(i^* \theta^* - i\theta) i^2 \theta}$$

Find coef of $\frac{t_0 - k_0^{-1}}{k_0 - k_0^{-1}} v_{2i-2}$:

$$v_{2i} = G_0 G_2 v_{2i-2}$$

$$\begin{aligned} G_2 G_0 v_{2i} &= G_2 G_0^2 \underbrace{G_2 v_{2i-2}}_{V_X(\theta^{-1})} \\ &= G(\theta^{-1}, k_0, k_3) G_2^2 \underbrace{v_{2i-2}}_{V_X(q^2 \theta)} \\ &= G(\theta^{-1}, k_0, k_3) G(2\theta^{-1}, k_1, k_2) v_{2i-2} \end{aligned}$$

$$\frac{t_0 - k_0^{-1}}{k_0 - k_0^{-1}} G_2 G_0 v_{2i} = G(\theta^{-1}, k_0, k_3) G(2\theta^{-1}, k_1, k_2) \frac{t_0 - k_0^{-1}}{k_0 - k_0^{-1}} v_{2i-2}$$

$$\frac{\theta}{(\theta - \theta^{-1})(q\theta^2 - q^{-1}\theta)} G(\theta^{-1}, k_0, k_3) G(2\theta^{-1}, k_1, k_2)$$

is coef of $\frac{t_0 - k_0^{-1}}{k_0 - k_0^{-1}} v_{2i-2}$

Find coef of $\frac{t_0 - k_0^{-1}}{k_0 - k_0^{-1}} v_{2i}$: from 11.35

□

th 42 For the \hat{H}_q -module V

from th 40

consider the monic poly $\{F_n\}_{n=0}^\infty$ corresp
corresp the basis \ast :

$$\frac{t_0 - k_0^{-1}}{k_0 - k_0^{-1}} v_{2i} \quad i = 0, 1, 2, \dots$$

then

$$F_n(x) = P_n(x; a, b, c, d | q^2) \quad n = 0, 1, 2, \dots$$

↙ monic AW poly

where a, b, c, d is any perm of

$$k_0 k_1, \quad k_0 k_1^{-1}, \quad q k_2 k_3, \quad q k_2^{-1} k_3$$

pf We show $\{F_n\}_{n=0}^\infty$ and $\{P_n\}_{n=0}^\infty$ satisfy the
same 3-term rec,

To do this, need to show

$$b_{n+1}(a, b, c, d/q^2) c_n(a, b, c, d/q^2)$$

=

$$\frac{G(q^{-1}\theta, q^{-1}k_0, k_3)}{(\theta - \theta^{-1})(q^{-2}\theta - q^2\theta^{-1})} \frac{G(q^{-1}\theta, k_1, k_2)}{(q\theta^{-1} - q^{-1}\theta)^2}$$

$n=1, 2, \dots$

$$a_n(a, b, c, d/q^2) =$$

$$\frac{\theta k_0 + \theta^{-1}k_0^{-1} - k_3 - k_3^{-1}}{\theta - \theta^{-1}} \frac{q^{-1}\theta^{-1}(k_1 + k_1^{-1}) - k_2 - k_2^{-1}}{q^{-1}\theta^{-1} - q\theta}$$

$$+ \frac{q^{-1}\theta(k_1 + k_1^{-1}) - k_2 - k_2^{-1}}{\theta - \theta^{-1}} \frac{k_3 + k_3^{-1} - \theta k_0^{-1} - \theta^{-1}k_0}{q^{-1}\theta - q\theta^{-1}}$$

$$+ \frac{k_1 + k_1^{-1}}{k_0}$$

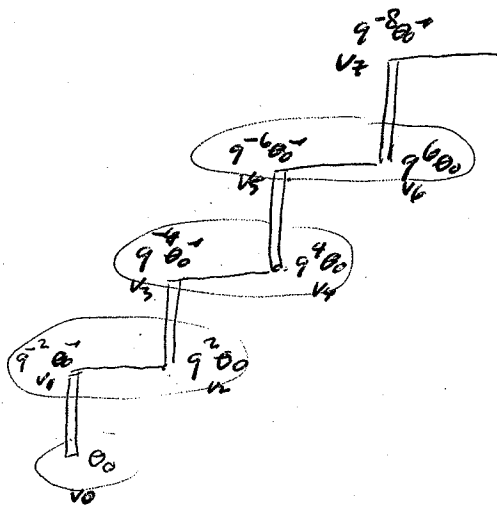
$n=0, 1, 2, \dots$

where $\theta = \theta_0 q^{2n}$

$$\theta_0 = k_0 k_3$$

pf Routinely checked.

□



$$\theta_0 = k\theta_3$$

$$G_0 G_2 v_{2i} = v_{2i+2}$$

$$b_i = \frac{(\theta - k_0^{-1}k_3)(\theta - k_0^{-1}k_3^{-1})}{(\theta - q^{-2}k_0^{-1}k_3)(\theta - q^{-2}k_0^{-1}k_3^{-1})} \frac{1}{(\theta - \theta^{-1})(q^{-1}\theta^{-1} - q\theta)q^4\theta}$$

$$\theta = q^{2i}\theta_0 \quad \theta_0 = k_0k_3$$

$$c_i = \frac{\theta G(\theta^{-1}, k_0, k_3) G(q\theta^{-1}, k_1, k_2)}{(\theta - \theta^{-1})(q\theta^{-1} - q^{-1}\theta)}$$

$$b_i \rightarrow c_i = \frac{(q^{-2}\theta - k_0^{-1}k_3)(q^{-2}\theta - k_0^{-1}k_3^{-1})}{(q^{-2}\theta - q^{-2}k_0^{-1}k_3)(q^{-2}\theta - q^{-2}k_0^{-1}k_3^{-1})} \frac{1}{(q^{-2}\theta - q^2\theta^{-1})(q\theta^{-1} - q^{-1}\theta)q^2\theta}$$

$$\frac{\theta G(\theta^{-1}, k_0, k_3) G(q\theta^{-1}, k_1, k_2)}{(\theta - \theta^{-1})(q\theta^{-1} - q^{-1}\theta)}$$

$$= \frac{G(q^{-1}\theta, q^{-1}k_0, k_3) G(q^{-1}\theta, k_1, k_2)}{(q^{-2}\theta - q^2\theta^{-1})(q\theta^{-1} - q^{-1}\theta)^2(\theta - \theta^{-1})}$$

\mathbb{F} alg closed

$0 \neq q \in \mathbb{F} \quad q^4 \neq 1$

Ref to \hat{H}_q -module $V = V(k_0, k_1, k_2, k_3)$ from Th 40

recall following is basis for

$$\frac{t_0 - k_0}{k_0^{\rightarrow} - k_0} v_i$$

$$\frac{t_0 - k_0}{k_0^{\rightarrow} - k_0} v_{2i}$$

$$i = 1, 2, 3, \dots$$

↑

Next goal: Find action of $A = Y + Y^{\rightarrow}$ on $**$

th 43 With above notation

For $i=1,2,3 \dots$

$$A \frac{t_0 - k_0}{k_0^+ - k_0} v_{2i} =$$

term	coef
$\frac{t_0 - k_0}{k_0^+ - k_0} v_{2i+2}$	$\frac{(\theta - k_0 k_3)(\theta - k_0 k_3^+)}{(\theta - q^{-2} k_0 k_3)(\theta - q^{-2} k_0 k_3^+)} \frac{1}{(\theta - \theta^+)(q^{-1} \theta^+ - q \theta) q^2 \theta}$
$\frac{t_0 - k_0}{k_0^+ - k_0} v_{2i}$	$\frac{\theta k_0^+ + \theta^+ k_0 - k_3 - k_3^+}{\theta - \theta^+} \frac{q^{-1} \theta^+ (k_1 + k_1^+) - k_2 - k_2^+}{q^{-1} \theta^+ - q \theta}$
	+ $\frac{q^{-1} \theta (k_1 + k_1^+) - k_2 - k_2^+}{\theta - \theta^+} \frac{k_3 + k_3^+ - \theta k_0 - \theta^+ k_0^+}{q^{-1} \theta - q \theta^+}$
$\frac{t_0 - k_0}{k_0^+ - k_0} v_{2i-2}$	+ $\frac{k_1 + k_1^+}{k_0^+}$
	$\frac{\theta G(\theta^+, k_0, k_3) G(q \theta^+, k_1, k_2)}{(\theta - \theta^+)(q \theta^+ - q^2 \theta)}$

where $\theta = q^{2i} \theta_0$ $\theta_0 = k_0 k_3$



th 49 For the H_q -module V from 11.40

3

Consider the monic polys $\{F_n\}_{n=0}^{\infty}$ corresp the basis x^i :

$$\frac{t_0 - k_0}{k_0^i - k_0} v_{2i} \quad i = 1, 2, 3, \dots$$

then

$$F_n(x) = P_n(x; a, b, c, d | q^2)$$

$n = 0, 1, 2, \dots$

where

a, b, c, d is any perm of

$$qk_2k_3, \quad \frac{qk_3}{k_2}, \quad q^2k_0k_1, \quad \frac{q^2k_0}{k_1}$$

pf

$$F_0 = P_0 = 1$$

show $\{F_n\}_{n=0}^{\infty}$

$\{P_n\}_{n=0}^{\infty}$

Sat same

3-term rec.

$$b_{n-2} (a, b, c, d / q^2) \leq_{n-2} (a, b, c, d / q^2)$$

$$= \frac{G(q^2\theta, q^2k_0^*, k_3)}{(\theta - \theta^*)(q^2\theta - q^2\theta^*)} \frac{G(q^2\theta, k_1, k_2)}{(q\theta^* - q^2\theta)^2}$$

n=2,3..

$$a_{n-1} (a, b, c, d / q^2) =$$

$$\frac{\theta k_0^* + \theta^* k_0 - k_3 - k_3^*}{\theta - \theta^*} \frac{q^2\theta^*(k_1 + k_1^*) - k_2 - k_2^*}{q^2\theta^* - q\theta}$$

$$+ \frac{q^2\theta(k_1 + k_1^*) - k_2 - k_2^*}{\theta - \theta^*} \frac{k_3 + k_3^* - \theta k_0 - \theta^* k_0^*}{q^2\theta - q\theta^*}$$

$$+ \frac{k_1 + k_1^*}{k_0^*}$$

n=4,2..

$$\text{where } \theta = \theta_0 q^{2n} \quad \theta_0 = k_0 k_3$$

Routinely checked.

□

F algebraed

$0 \neq q \in F$ $q^4 \neq 1$

We have been discussing mod H_q -modules associated with AW polyps.

We now find a basis for these modules said to be "split" with resp to a split basis.

the matrix rep A is lower bidiag
 B is upper

Motivation

to motivate the split basis we consider some features of the AW polyps.

Start with arb sequence of AW polys

$$\{p_n\}_{n=0}^{\infty}$$

$$p_n = p_n(x; a, b, c, d | q)$$

$$= {}_4\phi_3 \left(\begin{matrix} q^{-n} & abcdq^{n-1} & aq & aq^{-1} \\ & ab & ac & ad \end{matrix} \middle| q, q \right)$$

$$= \sum_{i=0}^n \frac{(q^{-n}; q)_i (abcdq^{n-1}; q)_i (aq; q)_i (aq^{-1}; q)_i q^i}{(ab; q)_i (ac; q)_i (ad; q)_i (q; q)_i} \quad *$$

$$x = q + q^{-1}$$

Def 45 Define

$$\theta_n = aq^n + a^{-1}q^{-n} \quad n = 0, 1, 2, \dots$$

$$\theta_n^* = q^{-n} + abcdq^{n-1} \quad n \geq 1$$

$$\psi_n = a^{-1}q^{1-2n} (1 - q^n)(1 - abq^{n-1})(1 - acq^{n-1})(1 - adq^{n-1}) \quad n = 1, 2, \dots$$

One checks

$$\begin{aligned} \theta_r - \theta_s &= (1 - q^{r-s})(1 - a^2 q^{r+s}) a^{-1} q^{-r} \\ &= - (1 - q^{2-r})(1 - a^2 q^{r+s}) a^{-1} q^{-s} \end{aligned}$$

$$x - \theta_s = - (1 - ay q^s)(1 - ay^{-1} q^s) a^{-1} q^{-s}$$

$$\begin{aligned} \theta_r^* - \theta_s^* &= (1 - q^{r-s})(1 - abcd q^{r+s-1}) q^{-r} \\ &= - (1 - q^{s-r})(1 - abcd q^{r+s-1}) q^{-s} \end{aligned}$$

LEM 46 With above notation, for $0 \leq i \leq n$ the
 i th summand in $*$ is

$$\frac{(\theta_n^* - \theta_0^*)(\theta_n^* - \theta_1^*) \cdots (\theta_n^* - \theta_{i-1}^*)(x - \theta_0)(x - \theta_1) \cdots (x - \theta_{i-1})}{\varphi_1 \varphi_2 \cdots \varphi_i} \quad **$$

pf let

$$t_i = i\text{th summand in } * \quad 0 \leq i \leq n$$

obs

$$t_0 = 1$$

let

$$\tilde{t}_i = \text{expression in } ** \quad 0 \leq i \leq n$$

obs

$$\tilde{t}_0 = 1$$

Show

$$\frac{t_i}{t_{i+1}} = \frac{\tilde{t}_i}{\tilde{t}_{i+1}} \quad 0 \leq i \leq n$$

observe:

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$$t_i/t_{i+1} =$$

$$\frac{(1 - q^{i-n}) \prod_{j=1}^i (1 - abcd q^{i+j-1}) \prod_{j=1}^i (1 - a_1 q^{i+j}) \prod_{j=1}^i (1 - a_2 q^{i+j})}{(1 - ab q^{i+1}) \prod_{j=1}^i (1 - ac q^{i+j}) \prod_{j=1}^i (1 - ad q^{i+j}) (1 - q^{i+1})}$$

$$\tilde{t}_i/\tilde{t}_{i+1} =$$

$$\frac{(\theta_n^* - \theta_{i+1}^*) \prod_{j=1}^i (x - \theta_{i+j}^*)}{\psi_i}$$

Using this we check

$$t_i/t_{i+1} = \tilde{t}_i/\tilde{t}_{i+1}$$

□

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Cor 47

With the above notation

$$p_n = \sum_{i=0}^n \frac{(\theta_n^* - \theta_0^*)(\theta_n^* - \theta_1^*) \cdots (\theta_n^* - \theta_{i-1}^*)(x - \theta_0)(x - \theta_1) \cdots (x - \theta_{i-1})}{\psi_1 \psi_2 \cdots \psi_i}$$

pf By Lem 46 and def of p_n

□

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Def 48 For the above AW polys $\{p_n\}_{n=0}^{\infty}$

the corresp parameter array is the seqence

$$\left(\{ \theta_n \}_{n=0}^{\infty}, \{ \theta_n^* \}_{n=0}^{\infty}, \{ \varphi_n \}_{n=1}^{\infty} \right)$$

By Cor 47 the p_n are determined by their parameter array

For our AW polys $\{P_n\}_{n=0}^{\infty}$ recall the 3-term rec

$$x P_n = c_n P_{n+1} + a_n P_n + b_n P_{n-1}$$

$$n = 0, 1, 2, \dots$$

$$P_{-1} = 0$$

where

$$c_n = c_n(a, b, c, d/\gamma) \text{ etc.}$$

We now express

$$c_n, a_n, b_n$$

in terms of the parameter array.

thm 49

With above notation

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$$b_n = \varphi_{n+1} \frac{(\theta_n^* - \theta_0^*)(\theta_n^* - \theta_1^*) \dots (\theta_n^* - \theta_{n-1}^*)}{(\theta_{n+1}^* - \theta_0^*)(\theta_{n+1}^* - \theta_1^*) \dots (\theta_{n+1}^* - \theta_n^*)} \quad n = 0, 1, 2, \dots$$

$$a_n = \theta_n + \frac{\varphi_n}{\theta_n^* - \theta_{n-1}^*} + \frac{\varphi_{n+1}}{\theta_n^* - \theta_{n+1}^*} \quad n = 1, 2, \dots$$

$$a_0 = \theta_0 + \frac{\varphi_1}{\theta_0^* - \theta_1^*}$$

$$c_n = \theta_0 - a_n - b_n \quad n = 0, 1, 2, \dots$$

pf b_n :

By Cor 47

$$\text{coef of } x^n \text{ in } p_n = \frac{(\theta_n^* - \theta_0^*) \dots (\theta_n^* - \theta_{n-1}^*)}{\varphi_1 \varphi_2 \dots \varphi_n}$$

We saw earlier

$$\text{coef of } x^n \text{ in } p_n = \frac{1}{b_0 b_1 \dots b_{n-1}}$$

a_n : Consider coef of x^n in P_{n+1}

Using 3-term rec,

$$P_{n+1} = \frac{x^{n+1} - (a_0 + a_1 + \dots + a_n)x^n + \dots - LT}{b_0 b_1 \dots b_n}$$

$$\begin{aligned} \text{coef of } x^n &= \frac{a_0 + a_1 + \dots + a_n}{b_0 b_1 \dots b_n} \\ &= - (a_0 + a_1 + \dots + a_n) \frac{(\theta_{n+1}^* - \theta_0^*) \dots (\theta_{n+1}^* - \theta_n^*)}{\psi_1 \psi_2 \dots \psi_{n+1}} \end{aligned} \quad (1)$$

Using Cor 47

$$\begin{aligned} P_{n+1} &= (x - \theta_0) \dots (x - \theta_n) \frac{(\theta_{n+1}^* - \theta_0^*) \dots (\theta_{n+1}^* - \theta_n^*)}{\psi_1 \dots \psi_{n+1}} \\ &+ (x - \theta_0) \dots (x - \theta_{n+1}) \frac{(\theta_{n+1}^* - \theta_0^*) \dots (\theta_{n+1}^* - \theta_n^*)}{\psi_1 \dots \psi_n} \\ &+ LT \end{aligned}$$

So

$$\begin{aligned} \text{coef of } x^n \text{ in } P_{n+1} &= \\ &- (\theta_0 + \theta_1 + \dots + \theta_n) \frac{(\theta_{n+1}^* - \theta_0^*) \dots (\theta_{n+1}^* - \theta_n^*)}{\psi_1 \dots \psi_{n+1}} \\ &+ \frac{(\theta_{n+1}^* - \theta_0^*) \dots (\theta_{n+1}^* - \theta_n^*)}{\psi_1 \dots \psi_n} \end{aligned} \quad (2)$$

Comparing (1), (2)

$$a_0 + a_1 + \dots + a_n = \theta_0 + \theta_1 + \dots + \theta_n - \frac{\psi_{n+1}}{\theta_{n+1}^k - \theta_n^k}$$

result follows

C_n :

Recall

$$c_n + a_n + b_n = a + a^{\uparrow}$$

||
 θ_0

$n = 0, 1, 2, \dots$

□

AW polys $\{p_n\}_{n=0}^{\infty}$ as above

Consider \mathbb{F} -vector space

$$V = \mathbb{F}[x]$$

View $\{p_n\}_{n=0}^{\infty}$ as basis for V

We define \mathbb{F} -lin trans

$$A: V \rightarrow V$$

$$B: V \rightarrow V$$

as follows.

$$A: \begin{matrix} V & \longrightarrow & V \\ f(x) & \longrightarrow & x f(x) \end{matrix} \quad \text{"mult by } x \text{"}$$

$$B p_n = e_n^* p_n \quad n = 0, 1, 2, \dots$$

Rel the basis $\{p_n\}_{n=0}^{\infty}$ the matrices rep A, B are:

$$A: \begin{pmatrix} a_0 & c_1 & & & \\ b_0 & a_1 & c_2 & & \\ & & b_1 & & \\ & & & \ddots & \\ & & & & \ddots & \\ & & & & & \ddots \\ & & & & & & \ddots \\ & & & & & & & \ddots \\ & & & & & & & & \ddots \\ & & & & & & & & & \ddots \\ & & & & & & & & & & \ddots \\ & & & & & & & & & & & \ddots \\ & & & & & & & & & & & & \ddots \\ & & & & & & & & & & & & & \ddots \\ & & & & & & & & & & & & & & \ddots \end{pmatrix}$$

$$c_n = c_n(a, b, c, d, \dots) \text{ etc}$$

$$B: \text{diag}(e_0^*, e_1^*, \dots)$$

Earlier we saw how certain \hat{H}_g modules give the AW polys.

In \hat{H}_g the elements $A = 4+x^2$ and $B = x+x^2$ satisfy the Z_3 -sym AW rels

These A, B roughly corresp to above lens trans $A:V \rightarrow V, B:V \rightarrow V$ but the normalization is different.

So we expect above $A:V \rightarrow V, B:V \rightarrow V$ to satisfy some relations resembling the AW relations.

Thm 50 Ref to the above lemma

$$A: V \rightarrow V,$$

$$B: V \rightarrow V$$

$$A^2 B - (1+q^{-1})ABA + BA^2 + (1-q^{-1})^2 B = \omega A + \gamma I,$$

$$B^2 A - (1+q^{-1})BAB + AB^2 + abcdq^{-1}(1-q^{-1})^2 A = \omega B + \gamma^* I,$$

$$\omega = -q^{-1}(q^{-1})^2 e_1 - q^{-2}(q^{-1})^2 e_3$$

$$\begin{aligned} \gamma &= q^{-1}(q+1)(q^{-1})^2 e_1 + q^{-2}(q+1)(q^{-1})^2 e_2 \\ &+ q^{-3}(q+1)(q^{-1})^2 e_4 \end{aligned}$$

$$\gamma^* = q^{-3}(q+1)(q^{-1})^2 e_1 e_4 + q^{-2}(q+1)(q^{-1})^2 e_3$$

where

$$e_1 = a+b+c+d$$

$$e_2 = ab+ac+ad+bc+bd+cd$$

$$e_3 = abc+abd+acd+bcd$$

$$e_4 = abcd$$

pf

Matrix mult, using identities on next page

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$$\theta_{n+1}^* - (q+r)\theta_n^* + \theta_{n-1}^* = 0$$

$n=1, 2, \dots$

$$\theta_{n+1}^{*2} - (q+r)\theta_{n+1}^* \theta_n^* + \theta_n^{*2} + (q-r)^2 abcd q^n = 0$$

$n=1, 2, \dots$

$$a_n \left(\theta_n^{*2} (2-q-r) + abcd q^n (q-r)^2 \right) = w \theta_n^* + y^*$$

$n=0, 1, 2, \dots$

$$(\theta_n^* - \theta_{n+1}^*) (\theta_n^* - \theta_{n-1}^*) = \theta_n^{*2} (2-q-r) + abcd q^n (q-r)^2$$

$n=1, 2, \dots$

$$w = a_n (\theta_n^* - \theta_{n+1}^*) + a_{n-1} (\theta_{n-1}^* - \theta_{n-2}^*)$$

$n=2, 3, \dots$

$0 =$

term	coef
$b_{n+1} c_n$	$2\theta_n^* - (q+r)\theta_{n+1}^*$
$b_n c_{n+1}$	$2\theta_n^* - (q+r)\theta_{n+1}^*$
a_n^2	$2\theta_n^* - (q+r)\theta_{n+1}^*$
a_n	$-w$
1	$-y + (2-r)^2 \theta_n^*$

\mathbb{F} alg closed

$0 \neq q \in \mathbb{F} \quad q^2 \neq 1$

Continue to discuss AW polys $\{p_n\}_{n=0}^{\infty}$

$$p_n = p_n(x; a, b, c, d | q)$$

with PA $(\{\theta_n\}_{n=0}^{\infty}, \{\alpha_n\}_{n=0}^{\infty}, \{\beta_n\}_{n=1}^{\infty})$ Recall $\{p_n\}_{n=0}^{\infty}$ is a basis for $V = \mathbb{F}[x]$

Recall lin trans

$$A : V \rightarrow V \\ f \rightarrow xf$$

$$B p_n = \alpha_n x p_n \quad n = 0, 1, 2, \dots$$

————— 0 —————

Obs that the following is a basis for V :

$$(x - \theta_0)(x - \theta_1) \cdots (x - \theta_{n-1})$$

$$n = 0, 1, 2, \dots$$

*

pf For $n=0,1,2,\dots$ define

$$v_n = (x-\theta_0)(x-\theta_1)\dots(x-\theta_{n-1})$$

and note

$$(x-\theta_n) v_n = v_{n+1}$$

A: show

$$(A-\theta_n) v_n = v_{n+1}$$

$n=0,1,2,\dots$

$$(x-\theta_n) v_n = v_{n+1}$$

B: show

$$(B-\theta_n^*) v_n = \theta_n v_{n+1}$$

$n=0,1,2,\dots$

(1)

$$\theta_0 = 0$$

$$v_{-1} = 0$$

Use ind on n.

$n=0$

$$B p_0 = \theta_0^* p_0$$

$$p_0 = 1 = v_0$$

so

$$B v_0 = \theta_0^* v_0$$

$n \geq 1$

By Cor 47,

$$p_n = \sum_{i=0}^n \frac{(e_n^* - e_0^*) \dots (e_n^* - e_{i-1}^*)}{\varphi_i \dots \varphi_i} v_i$$

Apply $B - e_n^* I$ to both sides and use induction

$$0 = \sum_{i=0}^{n-1} \frac{(e_n^* - e_0^*) \dots (e_n^* - e_{i-1}^*)}{\varphi_i \dots \varphi_i} \left((e_i^* - e_n^*) v_i + \varphi_i v_{i+1} \right)$$

$$+ \frac{(e_n^* - e_0^*) \dots (e_n^* - e_{n-1}^*)}{\varphi_1 \dots \varphi_n} (B - e_n^* I) v_n$$

term	coef	
v_0	$e_0^* - e_n^* + \frac{e_n^* - e_0^*}{\varphi_1} \varphi_1$	$\rightarrow 0$
v_1	$\frac{(e_n^* - e_0^*)(e_1^* - e_n^*)}{\varphi_1} + \frac{(e_n^* - e_0^*)(e_n^* - e_1^*)}{\varphi_1 \varphi_2} \varphi_2$	$\rightarrow 0$
v_2	$\frac{(e_n^* - e_0^*)(e_n^* - e_1^*)(e_2^* - e_n^*)}{\varphi_1 \varphi_2}$	
\vdots	\vdots	
v_{n-2}		$\rightarrow 0$
v_{n-1}	$\frac{(e_n^* - e_0^*) \dots (e_n^* - e_{n-2}^*) (e_{n-1}^* - e_n^*)}{\varphi_1 \dots \varphi_{n-1}}$	
$(B - e_n^* I) v_n$	$\frac{(e_n^* - e_0^*) \dots (e_n^* - e_{n-1}^*)}{\varphi_1 \dots \varphi_n}$	

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$$= \frac{(\theta_1^* - \theta_0^*) \cdots (\theta_n^* - \theta_{n-1}^*)}{\underbrace{\varphi_1 \cdots \varphi_n}_{\neq 0}} \left(\underbrace{(B - \theta_n^* I) v_n - \varphi_n v_{n-1}}_{\text{must be 0}} \right)$$

So

$$(B - \theta_n^* I) v_n = \varphi_n v_{n-1}$$

□

In Th 50 we displayed two relations sat
by the semitrans $A: V \rightarrow V$, $B: V \rightarrow V$

If we represent A, B by the matrices in Th 51

each entry gives an identity involving the parameter array.

the resulting identities are given below

LEM 52 For our AW polys $\{P_n\}_{n \geq 0}^{\infty}$

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the corresp PA satisfies

$$0 = \theta_{n+1} - (q+q^{-1})\theta_n + \theta_{n-1} \quad n=1,2,\dots$$

$$0 = \theta_{n+1}^2 - (q+q^{-1})\theta_{n+1}\theta_n + \theta_n^2 + (q-q^{-1})^2 \quad n=1,2,\dots$$

$$0 = \theta_{n+1}^* - (q+q^{-1})\theta_n^* + \theta_{n-1}^* \quad \dots$$

$$0 = \theta_{n+1}^{*2} - (q+q^{-1})\theta_{n+1}^*\theta_n^* + \theta_n^{*2} + abcdq^{-1}(q-q^{-1})^2 \quad \dots$$

$$\begin{aligned} \omega = \psi_{n+1} - (q+q^{-1})\psi_n + \psi_{n-1} + \theta_{n+1}\theta_n^* + \theta_n\theta_{n+1}^* \\ + (1-q-q^{-1})(\theta_{n+1}\theta_n^* + \theta_n\theta_{n+1}^*) \end{aligned} \quad n=1,2,\dots$$

($\psi_0 = 0$)

$$\begin{aligned} \gamma = \psi_n(\theta_n - \theta_{n+1}) + \psi_{n+1}(\theta_n - \theta_{n+1}) + (q-q^{-1})^2\theta_n^* \\ - q^{-1}(q-1)^2\theta_n^2\theta_n^* - \omega\theta_n \end{aligned}$$

$$\begin{aligned} \gamma^* = \psi_n(\theta_n^* - \theta_{n+1}^*) + \psi_{n+1}(\theta_n^* - \theta_{n+1}^*) + abcdq^{-1}(q-q^{-1})^2\theta_n \\ - q^{-1}(q-1)^2\theta_n\theta_n^{*2} - \omega\theta_n^* \end{aligned}$$

pf Routine verification using def of $\theta_n, \theta_n^*, \psi_n$

□
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For our AW polys $\{p_n\}_{n=0}^{\infty}$ we saw that corresp
 lin trans $A: V \rightarrow V$ $B: V \rightarrow V$
 satisfy the eps of th 50.

So there should be a module str on V for the univ
 AW algebra $\Delta = \Delta_{q^{1/2}}$

We now display this module.
 UNTIL Further notice for square roots
 $q^{1/2}, a^{1/2}, b^{1/2}, c^{1/2}, d^{1/2}$

and define

$$\tilde{\theta}_n^* = (abcdq^{-1})^{-1/2} q^{-n} + (abcdq^{-1})^{1/2} q^n$$

$n = 0, 1, 2, \dots$

$$= (abcdq^{-1})^{-1/2} \theta_n^*$$

Define

$$\tilde{B} = (abcdq^{-1})^{-1/2} B$$

so

$$\tilde{B} p_n = \tilde{\theta}_n^* p_n$$

$n = 0, 1, 2, \dots$

LEM 53 with above notation

$$\frac{A^2 \tilde{B} - (q+q^{-1})A\tilde{B}A + \tilde{B}A^2 + (q-q^{-1})^2 \tilde{B} + (q^{1/2} - q^{-1/2})^2 A \gamma}{(q^{1/2} - q^{-1/2})(q - q^{-1})} = \beta,$$

$$\frac{\tilde{B}^2 A - (q+q^{-1})\tilde{B}A\tilde{B} + A\tilde{B}^2 + (q-q^{-1})^2 A + (q^{1/2} - q^{-1/2})^2 \tilde{B} \gamma}{(q^{1/2} - q^{-1/2})(q - q^{-1})} = \alpha$$

where

$$\alpha = \frac{q^*}{(q^{1/2} - q^{-1/2})(q - q^{-1})abcdq^{-1}}$$

$$= q^{-1/2}e_1 + q^{1/2}e_3/e_4$$

$$= q^{-1/2}(a+b+c+d) + q^{1/2}(a^{-1}+b^{-1}+c^{-1}+d^{-1})$$

$$\beta = \frac{q}{(q^{1/2} - q^{-1/2})(q - q^{-1})(abcdq^{-1})^{1/2}}$$

$$= q e_4^{-1/2} + q^{-1} e_4^{1/2} + e_2/e_4^{1/2}$$

$$= \frac{q}{(abcd)^{1/2}} + \frac{(abcd)^{1/2}}{q} + \left(\frac{ab}{cd}\right)^{1/2} + \left(\frac{ac}{bd}\right)^{1/2} + \left(\frac{ad}{bc}\right)^{1/2} + \left(\frac{cd}{ab}\right)^{1/2} + \left(\frac{bd}{ac}\right)^{1/2} + \left(\frac{bc}{ad}\right)^{1/2}$$

$$\begin{aligned} \gamma &= - \frac{\omega}{(q^{1/2} - q^{-1/2})^2 (abcdq^{-1})^{1/2}} \\ &= \frac{q^{1/2} e_1 + q^{-1/2} e_3}{e_4^{1/2}} \\ &= q^{\frac{1}{2}} \frac{(a+b+c+d)}{(abcd)^{1/2}} + q^{-1/2} (a^{-1} + b^{-1} + c^{-1} + d^{-1}) (abcd)^{1/2} \end{aligned}$$

pf

Routine adjustment of Th 50

□

We now put the equations 7.4.1
in \mathbb{Z}_3 -symmetric form. Define

$$C = \frac{\gamma I}{q^{1/2} + q^{-1/2}} - \frac{q^{1/2} A \tilde{B} - q^{-1/2} \tilde{B} A}{q - q^{-1}}$$

Thm 54 With above rotation

$$A + \frac{q^{1/2} \tilde{B} C - q^{-1/2} C \tilde{B}}{q - q^{-1}} = \frac{\alpha}{q^{1/2} + q^{-1/2}} I$$

$$\tilde{B} + \frac{q^{1/2} C A - q^{-1/2} A C}{q - q^{-1}} = \frac{\beta}{q^{1/2} + q^{-1/2}} I$$

$$C + \frac{q^{1/2} A \tilde{B} - q^{-1/2} \tilde{B} A}{q - q^{-1}} = \frac{\gamma}{q^{1/2} + q^{-1/2}} I$$

where α, β, γ are from L53

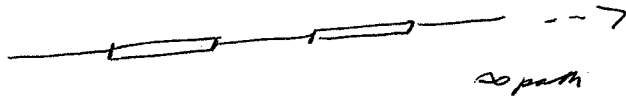
pf This is L53 with a change of variables. \square

Thm 54 gives a module structure
on $V = \mathbb{F}[x]$ for the new Askey-Wilson algebra

$$\Delta_{q^{1/2}}$$

Recall the H_1 module V from ...

diag is



on $\frac{t_0 - k_0^{-1}}{k_0 - k_0^{-1}} V$ t_0 acts as k_0

Recall from Th 39 a, b, c, d is perm of

$$k_0 k_1, \quad \frac{q^2 k_1}{k_0}, \quad q k_2 k_3, \quad \frac{q k_2}{k_3}$$

Consider the actions of the following on V :

$$\alpha = (q^{-1} t_0 + q t_0^{-1}) T_1 + T_2 T_3$$

$$\beta = (q^{-1} t_0 + q t_0^{-1}) T_3 + T_1 T_2$$

$$\gamma = (q^{-1} t_0 + q t_0^{-1}) T_2 + T_1 T_3$$

2 ways to compute actions $\frac{t_0 - k_0^{-1}}{k_0 - k_0^{-1}} V$

(1) α acts as

$$(q^{-1} k_0 + q k_0^{-1}) (k_1 + k_1^{-1}) + (k_2 + k_2^{-1}) (k_3 + k_3^{-1})$$

(2) α acts as in LS 3

Similar for β, γ .

check actions are same

$$e_1 = a + b + c + d$$

$$= q k_1 (q k_0^{-1} + q^{-1} k_0) + q k_2 (k_3 + k_3^{-1})$$

$$e_2 = q^2 k_1^2 + q^2 k_2^2 + q^2 k_1 k_2 (k_3 + k_3^{-1}) (q^{-2} k_0 + q k_0^{-1})$$

$$e_4 = abcd$$

$$= q^4 k_1^2 k_2^2$$

$$\frac{e_3}{e_4} = a^2 + b^2 + c^2 + d^2$$

$$= q^{-2} k_1^{-1} (q k_0^2 + q^{-1} k_0) + q^{-2} k_2^{-1} (k_3 + k_3^{-1})$$