

Lec 1 Friday Sept 2

9/2/11

Fall 2011

Math 846 Topics in Combinatorics

BIOS IV

11:00 AM MWF

Theme: the Double Affine Hecke algebra
"DAHA"

- Defined by Cherednik in 1992
- Related to a class of multivariable orthogonal polynomials called the Mac Donald / Koornwinder polys.
- In the rank 1 case these are the Askey - Wilson polynomials

Strategy

I

Rank 1 case

- investigate structure of the algebra: automorphisms, basis, center, ...
- representation theory
- connection to AW polynomials

II

Rank n case

Work thru book

Mac Donald: Affine Hecke algebras and
orthogonal polynomials

Cambridge U. press 2003

Summary of topics covered (written Dec 16 2011)

We investigated the double affine Hecke algebra (DAHA) of type (C_1^v, C_1) . This algebra is denoted \hat{H}_q .

\hat{H}_q is defined by generators $\{t_i^{\pm 1}\}_{i=0}^3$ and relations

$$t_i t_i^{-1} = t_i^{-1} t_i = 1 \quad i=0,1,2,3$$

$t_i + t_i^{-1}$ is central

$$t_0 t_1 t_2 t_3 = q^{-1}$$

Part I Ring theory of \hat{H}_q

Basic facts

Automorphisms and anti automorphisms

An action of the braid group B_3 on \hat{H}_q

The elements $X = t_3 t_0$ and $Y = t_0 t_1$

The elements $A = t_0 t_1 + (t_0 t_1)^{-1}$ $B = t_0 t_2 + (t_0 t_2)^{-1}$

$$C = t_0 t_2 + (t_0 t_2)^{-1}$$

A, B, C satisfy the \mathbb{Z}_3 -symmetric Askey-Wilson relations

The universal Askey-Wilson algebra Δ_q

A homomorphism $\Delta_q \rightarrow \hat{H}_q$

A linear basis for \hat{H}_q

A presentation of \hat{H}_q by gens and relations mentioning X, Y

A linear basis for Δ_q

The homomorphism $\Delta_q \rightarrow \hat{H}_q$ is injective

The spaces $\hat{H}_9^+ = \{ h \in \hat{H}_9 \mid h t_0 = t_0 h \}$,
 $\hat{H}_9^- = \{ h \in \hat{H}_9 \mid h t_0 = t_0^{-1} h \}$

A linear basis for \hat{H}_9^+

A presentation of \hat{H}_9^+ by generators and relations

A linear basis for \hat{H}_9^-

The center of \hat{H}_9

Some 2-sided ideals of \hat{H}_9 and \hat{H}_9^+

Part II Representation theory of \hat{H}_9

Basic facts

The elements $G_0 = t_0 - t_3 t_0 t_3^{-1}$ $G_1 = t_1 - t_0 t_1 t_0^{-1}$
 $G_2 = t_2 - t_0 t_2 t_0^{-1}$ $G_3 = t_3 - t_2 t_3 t_2^{-1}$

How G_0, G_2 swap eigenspaces of X and G_1, G_3 swap eigenspaces of Y

Description of an \hat{H}_9 -module; the staircase picture

The actions of $\{ t_i^{\pm 1} \}_{i=0}^3$ on the eigenspaces of X and Y

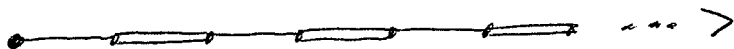
The action of X, Y on each others eigenspaces

The X -diagram and the Y -diagram

Description of an irreducible \hat{H}_9 -module whose X -diagram is a doubly infinite path

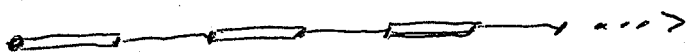


Description of an irreducible \hat{H}_q -module whose X -diagram is a semi-infinite path



Connection to the Askey-Wilson polynomials

Description of an irreducible \hat{H}_q -module whose X -diagram is a semi-infinite path



Connection to the Askey-Wilson polynomials

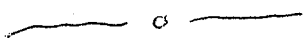
The Askey-Wilson polynomials: 3-term recurrence, parameter array, the Askey-Wilson q -difference operator, the Askey-Wilson relations, the explicit basis

An \hat{H}_q -module structure on the Laurent polynomials $\mathbb{F}[y, y^{-1}]$

A linear basis for $\mathbb{F}[y, y^{-1}]$ that makes X upper triangular and Y lower triangular

The actions of $\{t_i^{\pm 1}\}_{i=0}^3$ on the above basis

The elements X, Y satisfy the nonsymmetric tri-diagonal relations



I: Rank 1 DAHA

Conventions

- An algebra is meant to be associative and have a 1
- A subalgebra has same 1 as parent algebra
- Fix a field \mathbb{F}
- Fix $0 \neq q \in \mathbb{F}$

[Often we will restrict to case $q^4 \neq 1$]

Def 1 Let \hat{H}_q denote the \mathbb{F} -algebra defined by generators

$$\{t_i^{\pm 1}\}_{i \in I}$$

$$I = \{0, 1, 2, 3\}$$

and relations

$$t_i t_i^{-1} = t_i^{-1} t_i = 1$$

$$i \in I$$

(01)

$$t_i + t_i^{-1} \text{ is central}$$

..

(02)

$$t_0 t_1 t_2 t_3 = q^{-1}$$

(03)

We call \hat{H}_q the universal DAHA of type
 $(C_1^1 | C_1)$

\hat{H}_q is our main object of study

Def 2 Fix nono $k_i \in \mathbb{F} \quad i \in \mathbb{I}$

let $H(k_0, k_1, k_2, k_3; q)$ denote the \mathbb{F} -algebra defined

by generators

$$\{t_i\}_{i \in \mathbb{I}}$$

and rels

$$(t_i - k_i)(t_i - k_i^{-1}) = 0 \quad i \in \mathbb{I} \quad (*)$$

$$t_0 t_1 t_2 t_3 = q^{-1} \quad (**)$$

This is the (ordinary) DAHA of type (C_1^+, C_1)

LEM 3 \exists unique \mathbb{F} -algebra hom $\hat{H}_q \rightarrow H(k_0, k_1, k_2, k_3; q)$

that sends

$$t_i \rightarrow \tilde{t}_i \quad i \in \mathbb{I}$$

"evaluation homomorphism"

This hom is surjective.

pf $(*)$, $(**)$ imply each gen \tilde{t}_i of $H(k_0, k_1, k_2, k_3; q)$ is invertible and

$$\tilde{t}_i + \tilde{t}_i^{-1} = k_i + k_i^{-1}$$

is central

□

Def 4 Let \hat{H} denote the \mathbb{F} -algebra defined

by gens

$$\{t_i^{\pm 1}\}_{i \in \mathbb{I}}$$

and rels

$$t_i t_i^{-1} = t_i^{-1} t_i = 1 \quad i \in \mathbb{I}$$

$$t_i + t_i^{-1} \quad \text{central} \quad \dots$$

$$t_0 t_1 t_2 t_3 \quad \text{central}$$

In an earlier paper I called \hat{H} the universal DAHA of type (C_1^1, C_1)

LEM 5 \exists unique \mathbb{F} -algebra hom $\hat{H} \rightarrow \hat{H}_g$

that sends

$$t_i \rightarrow t_i \quad i \in \mathbb{I}$$

this hom is surj.

□

pf clear

I mention \hat{H} for completeness, our focus will be \hat{H}_g

An \hat{H}_q -module

To motivate \hat{H}_q we display a \hat{H}_q -module

Let $\lambda = \text{indet}$

$\mathbb{F}[\lambda] = \mathbb{F}$ -algebra of polys in λ that have all coeffs in \mathbb{F}

$\mathbb{F}[\lambda, \lambda^{-1}] = \dots$ Laurent polys \dots

LEM 6 Assume $\text{char } \mathbb{F} \neq 2, \exists i^0 \in \mathbb{F} \text{ s.t. } i^0{}^2 = -1$

Assume q not a root of 1.

Then $\mathbb{F}[\lambda, \lambda^{-1}]$ is an \hat{H}_q -module with

$t_0 \cdot \lambda^i = i^0 \lambda^{-i} \quad i \in \mathbb{Z} \text{ (integers)}$

$t_1 \cdot \lambda^i = -i^0 q^{-2i} \lambda^{-i} \quad \dots$

$t_2 \cdot \lambda^i = i^0 q^{1-2i} \lambda^{1-i} \quad \dots$

$t_3 \cdot \lambda^i = -i^0 \lambda^{1-i}$

On this module

$t_2 + t_2^{-1} = 0 \quad z \in \mathbb{Z}$

pf One checks that for $z \in \mathbb{Z}$

t_2^z acts on $\mathbb{F}[\lambda, \lambda^{-1}]$ as -1

so $t_2 + t_2^{-1} = 0$

Check $t_0 t_1 t_2 t_3 = q^{-1}$:

$\lambda^i \xrightarrow{t_3} -i^0 \lambda^{1-i} \xrightarrow{t_2} q^{2i-1} \lambda^i \xrightarrow{t_1} -i^0 q^{-1} \lambda^{-i} \xrightarrow{t_0} q^{-1} \lambda^i$

□

Comments on LEM 6

Define

$$X = t_3 t_0$$

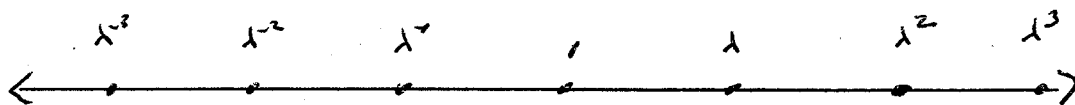
$$Y = t_0 t_1$$

obs \hat{H}_g is gen by $X^{\pm 1}, Y^{\pm 1}, t_0^{\pm 1}$

obs $X \cdot \lambda^i = \lambda^{i+1} \quad i \in \mathbb{Z}$

$$Y \cdot \lambda^i = q^{-2i} \lambda^i$$

$$t_0 \cdot \lambda^i = \lambda^{-i}$$



X : shift right \Rightarrow

Y : the λ^i are eigenvectors

t_0 : swap \Leftrightarrow

Consider

$$X + X^{-1}$$

$$Y + Y^{-1}$$

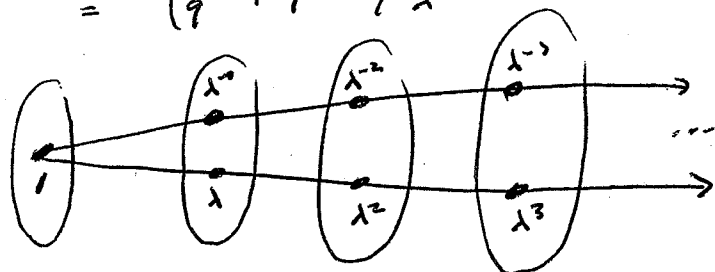
$$(X + X^{-1}) \cdot \lambda^i = \lambda^{i+1} + \lambda^{i-1} \quad i \in \mathbb{Z}$$

"adjacency operator" for ∞ path

$$(Y + Y^{-1}) \cdot \lambda^i = (q^{2i} + q^{-2i}) \lambda^i \quad i \in \mathbb{Z}$$

eigenspaces for $Y + Y^{-1}$:

eigenvalues $\lambda^i + \lambda^{-i}$:



$2 \quad q^2 + q^{-2} \quad q^4 + q^{-4} \quad q^6 + q^{-6} \quad \dots$

Let $T =$ subalgebra of \hat{H}_g gen by
 $x+x^{-1}, y+y^{-1}$

T acts on $V = \mathbb{F}[\lambda, \lambda^{-1}]$ as "subconstituent algebra"
of 20 paths

Interpret t_0 :

Obs t_0 commutes with $x+x^{-1}, y+y^{-1}$

$t_0^2 = -1$ on V so equals are $i, -i$

Find eigenspaces

Define $V_0 =$ subspace of V with basis
 $1, \lambda+\lambda^{-1}, \lambda^2+\lambda^{-2}, \dots$

Define $V_1 =$ subspace of V with basis
 $\lambda-\lambda^{-1}, \lambda^2-\lambda^{-2}, \dots$

Then

$$V = V_0 + V_1 \quad (\text{dir sum})$$

$V_0 =$ eigenspace for t_0 with equal i

$V_1 = \dots -i$

Each of V_0, V_1 is irred. T -submodule of V

Call V_0 the primary T -submodule

Obs

$\frac{1-i\tau_0}{2}$ acts on V_0 as 1 and on V_1 as 0

$\frac{1+i\tau_0}{2}$... V_0 ... 0 ... V_1 ... 1

So $\frac{1 \pm i\tau_0}{2}$ act on V as the central idempotents for T .

Rel basis (*) the matrix rep $X+X^{-1}$, $Y+Y^{-1}$ is

$X+X^{-1}$:

$$\begin{pmatrix} 0 & 2 & & & & \\ 1 & 0 & & & & \\ & 1 & 0 & & & \\ & & 1 & 0 & & \\ & & & 1 & 0 & \\ & & & & 1 & \\ & & & & & \ddots \\ & & & & & & \ddots \\ & & & & & & & \ddots \\ & & & & & & & & \ddots \end{pmatrix}$$

(irred tridiag)

$Y+Y^{-1}$: diag $(2, q^2+q^{-2}, q^4+q^{-4}, \dots)$

Above tridiag matrix describes the 3-term rec for a sequence of polynomials $\{p_i\}_{i=0}^\infty$:

$p_0 = 1$ $p_1 = \lambda$

$\lambda p_1 = p_2 + 2p_0$

$\lambda p_i = p_{i+1} + p_{i-1}$ $i=2, 3, \dots$

One checks

$p_i (X+X^{-1}) v_0 = v_i$ $i=0, 1, 2, \dots$

where

$v_0 = 1$ $v_i = \lambda^i + \lambda^{-i}$ $1 \leq i < \infty$

... is Chebyshev polynomial of 1st kind.

//

\mathbb{F} arb

Fix $0 \neq q \in \mathbb{F}$

Recall \hat{H}_q is \mathbb{F} -algebra defined by gens $\{t_i^{\pm 1}\}_{i \in \mathbb{I}}$
and relations

$$t_i t_i^{-1} = t_i^{-1} t_i = 1 \quad i \in \mathbb{I} \quad D1$$

$$t_i + t_i^{-1} \text{ central} \quad \dots \quad D2$$

$$t_0 t_1 t_2 t_3 = q^{-1} \quad D3$$

Obs q^{-1} is equal to each of

$$t_0 t_1 t_2 t_3, \quad t_1 t_2 t_3 t_0, \quad t_2 t_3 t_0 t_1, \quad t_3 t_0 t_1 t_2$$

Auto / Antiauto of \hat{H}_q

Let A denote an \mathbb{F} -algebra

By an automorphism of A we mean an \mathbb{F} -alg iso
 $A \rightarrow A$

Set of auts of A form a group under composition, called $\text{Aut}(A)$

LEM 7 \exists automorphism of \hat{H}_q that sends

$$t_0 \rightarrow t_1 \rightarrow t_2 \rightarrow t_3 \rightarrow t_0 \quad \text{Z}_4\text{-symmetry}$$

pt clear

LEM 8 Puk

$$\varepsilon_i \in \{1, -1\} \quad i \in \mathbb{I}$$

such that

$$\varepsilon_0 \varepsilon_1 \varepsilon_2 \varepsilon_3 = 1$$

Then \exists automorphism of \hat{H}_9 that sends

$$t_i \rightarrow \varepsilon_i t_i \quad i \in \mathbb{I}$$

" $\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2$ -sym"

pf clear.

We now consider some auto that are not so trivial

The Braid group B_3 is defined by generators ρ, σ

and rels $\rho^3 = \sigma^2$

For convenience put

$$\gamma = \rho^3 = \sigma^2$$

Aside: B_3 has another presentation by gens

u, v and rels

$$u v u = v u v$$

[As an ex, find the iso between the two presentations.]

LEM 9 B_3 acts on \hat{H}_q as a gp of auts such that

$$\tau(h) = t_0^{-1} h t_0 \quad \forall h \in \hat{H}_q$$

and ρ, σ do the following:

h	t_0	t_1	t_2	t_3
$\rho(h)$	t_0	$t_0^{-1} t_3 t_0$	t_1	t_2
$\sigma(h)$	t_0	$t_0^{-1} t_3 t_0$	$t_1 t_2 t_1^{-1}$	t_2

pf \exists aut T of \hat{H}_q that sends

$$h \rightarrow t_0^{-1} h t_0 \quad \forall h \in \hat{H}_q$$

Define

$$t_0^v = t_0 \quad t_1^v = t_0^{-1} t_3 t_0 \quad t_2^v = t_1 \quad t_3^v = t_2$$

Show $t_0^v, t_1^v, t_2^v, t_3^v$ satisfy defining rels for \hat{H}_q

D1 \checkmark

D2:

$$t_0^v + t_0^{v^{-1}} = t_0 + t_0^{-1} \text{ is central}$$

$$t_1^v + t_1^{v^{-1}} = t_0^{-1} (t_3 + t_3^{-1}) t_0$$

$$= t_3 + t_3^{-1}$$

is central

$$t_2^v + t_2^{v^{-1}} = t_1 + t_1^{-1}$$

is central

$$t_3^v + t_3^{v^{-1}} = t_2 + t_2^{-1} \text{ is central}$$

D3:

$$\begin{aligned} t_0^v t_1^v t_2^v t_3^v &= t_0 t_0^{-1} t_3 t_0 t_1 t_2 \\ &= t_3 t_0 t_1 t_2 \\ &= q^{-1} \end{aligned}$$

Now \exists \mathbb{F} -alg hom $P: \hat{H}_q \rightarrow \hat{H}_q$ that sends
 $t_i \rightarrow t_i^v \quad i \in \mathbb{I}$

Show $P^3 = T$

To do this show P^3, T agree at t_i for $i \in \mathbb{I}$

t_0 fixed by T

t_0 fixed by P hence P^3

P sends

$$t_3 \rightarrow t_2 \rightarrow t_1 \rightarrow t_0^{-1} t_3 t_0 \rightarrow t_0^{-1} t_2 t_0 \rightarrow t_0^{-1} t_1 t_0$$

P^3 sends

$$t_i \rightarrow t_0^{-1} t_i t_0 \quad i=1,2,3$$

So P^3, T agree at t_1, t_2, t_3

Now $P^3 = T$

T^{-1} exists $\Rightarrow P^{-1}$ exists $\Rightarrow P$ is anti of \hat{H}_q

Define

$$\hat{t}_0 = t_0, \quad \hat{t}_1 = t_0^{-1} t_3 t_0, \quad \hat{t}_2 = t_1 t_2 t_1^{-1}, \quad \hat{t}_3 = t_2$$

Show $\hat{t}_0, \hat{t}_1, \hat{t}_2, \hat{t}_3$ sat defining rels for \hat{H}_2

D1 ✓

D2: $\hat{t}_0 + \hat{t}_0^{-1} = t_0 + t_0^{-1}$

$$\begin{aligned} \hat{t}_1 + \hat{t}_1^{-1} &= t_0^{-1} (t_3 + t_3^{-1}) t_0 \\ &= t_3 + t_3^{-1} \end{aligned}$$

$$\begin{aligned} \hat{t}_2 + \hat{t}_2^{-1} &= t_1 (t_2 + t_2^{-1}) t_1^{-1} \\ &= t_2 + t_2^{-1} \end{aligned}$$

$$\hat{t}_3 + \hat{t}_3^{-1} = t_2 + t_2^{-1}$$

all central ✓

D3 :

$$\begin{aligned} \hat{t}_0 \hat{t}_1 \hat{t}_2 \hat{t}_3 &= \cancel{t_0} \cancel{t_0^{-1}} t_3 t_0 \cancel{t_1} \cancel{t_1^{-1}} t_2 \\ &= t_3 t_0 t_2 \\ &= z^{-1} \end{aligned}$$

Now \exists \mathbb{F} -alg hom $S: \hat{H}_2 \rightarrow \hat{H}_2$ that sends
 $t_i \rightarrow \hat{t}_i \quad \forall i \in \mathbb{I}$

show $S^2 = T$

t_0 fixed by T, S^2

$$t_1 \xrightarrow{S} t_0^{-1} t_3 t_0 \xrightarrow{S} t_0^{-1} t_1 t_0$$

S^2, T agree at t_1 ✓

$$t_3 \xrightarrow{S} t_1 \xrightarrow{S} t_0^{-1} t_3 t_0$$

S^2, T agree at t_3 ✓

$$t_2 \xrightarrow{S} t_1 t_2 t_1^{-1} \xrightarrow{S} \underbrace{t_0^{-1} t_3 t_0 t_1 t_2 t_1^{-1}}_{q^{-1}} \underbrace{t_0^{-1} t_3 t_0}_{\substack{= \\ t_0^{-1} t_0 t_3 t_0^{-1} \\ = \\ (t_2 t_3 t_0 t_1)^{-1} t_2 \\ = \\ t_2}}$$

" $t_0^{-1} t_2 t_0$

shows S^2, T agree at t_2 ✓

Now $S^2 = T$

Now S^{-1} exists $\Rightarrow S$ is ant of \hat{H}_g

Result follows. □

We record a fact from L9 and its pf.

LEM 10 For the B_3 -action on \hat{H}_9 from L9.

τ fixes every central element
and ρ, σ do the following

h	$t_0 + t_0^{-1}$	$t_1 + t_1^{-1}$	$t_2 + t_2^{-1}$	$t_3 + t_3^{-1}$
$\rho(h)$	$t_0 + t_0^{-1}$	$t_3 + t_3^{-1}$	$t_1 + t_1^{-1}$	$t_2 + t_2^{-1}$
$\sigma(h)$	$t_0 + t_0^{-1}$	$t_3 + t_3^{-1}$	$t_2 + t_2^{-1}$	$t_1 + t_1^{-1}$

pf clear from pf of L9.

□

Let A denote an \mathbb{F} -algebra

By an antiautomorphism of A we mean an iso of \mathbb{F} -vector spaces $\gamma: A \rightarrow A$ s.t.

$$\gamma(ab) = \gamma(b)\gamma(a) \quad \forall a, b \in A$$

For example the transpose map is an anti-autom of the matrix algebra $\text{Mat}_{n \times n}(\mathbb{F})$ $n=1, 2, \dots$

Another view:

Define A^{op} to be the \mathbb{F} -vector space A together with mult

$$\begin{matrix} a & b & = & b & a & \forall a, b \in A \\ (\in A^{op}) & & & (\in A) & & \end{matrix}$$

Then A^{op} is an \mathbb{F} -algebra.

For any map $\gamma: A \rightarrow A$ TFAE:

(i) γ is an anti-autom of A

(ii) γ is an \mathbb{F} -algebra iso $A \rightarrow A^{op}$

1
9

Under composition \circ the auto / anti auto of A are related as follows

\circ	aut	anti aut
aut	aut	anti aut
anti aut	anti aut	aut

Set of auto and anti auto of A form a group under composition, called $AAut(A)$

Either

$$Aut(A) = AAut(A)$$

or

$Aut(A)$ is a normal subgroup of $AAut(A)$ that has index 2

LEM 11 \exists anti-autom \dagger of \hat{H}_9 that sends

$$t_0 \rightarrow t_0, \quad t_1 \rightarrow t_3, \quad t_2 \rightarrow t_2, \quad t_3 \rightarrow t_1$$

Moreover $\dagger^2 = 1$.

pf Define some els of \hat{H}_9^{op} :

$$\begin{aligned} t_0^\dagger &= t_0 & t_1^\dagger &= t_3 \\ t_2^\dagger &= t_2 & t_3^\dagger &= t_1 \end{aligned}$$

show $t_0^\dagger, t_1^\dagger, t_2^\dagger, t_3^\dagger$ sat defn for \hat{H}_9 (in the alg \hat{H}_9^{op})

D1 ✓

D2 ✓

D3: $t_0^\dagger t_1^\dagger t_2^\dagger t_3^\dagger \stackrel{?}{=} q^{-1}$
(in \hat{H}_9^{op})

$$\begin{aligned} \text{LHS} &= t_3^\dagger t_2^\dagger t_1^\dagger t_0^\dagger \quad (\text{in } \hat{H}_9) \\ &= t_1 t_2 t_3 t_0 \quad (\dots) \\ &= q^{-1} \quad \checkmark \end{aligned}$$

So \exists \mathbb{F} -alg hom $\dagger: \hat{H}_9 \rightarrow \hat{H}_9^{op}$ that sends

$$t_i \rightarrow t_i^\dagger \quad \forall i \in \mathbb{I}$$

By constr $\dagger^2 = 1$ so \dagger is invertible hence bijectom.

So $\dagger: \hat{H}_9 \rightarrow \hat{H}_9^{op}$ is \mathbb{F} -alg iso, hence anti-autom of \hat{H}_9 \square

LEM 12 \exists iso of F -algebras

$$\gamma: \hat{H}_q \rightarrow \hat{H}_{q^{-1}}$$

that sends

$$t_0 \rightarrow t_0^{-1} \qquad t_2 \rightarrow t_3^{-1}$$

[caution: γ does not send $q \rightarrow q^{-1}$. It fixes q along with every scalar]

pf (Write capital for elements in $\hat{H}_{q^{-1}}$)

$$\text{so } T_0 T_1 T_2 T_3 = Q^{-1} = q \qquad (t_0 t_1 t_2 t_3 = q^{-1} \text{ in } \hat{H}_q)$$

define $t_0^{-1} = T_0^{-1} \qquad t_1^{-1} = T_3^{-1}$

$$t_2^{-1} = T_2^{-1} \qquad t_3^{-1} = T_1^{-1}$$

show $t_0^{-1}, t_1^{-1}, t_2^{-1}, t_3^{-1}$ sat def w/o for \hat{H}_q

- D1 ✓
- D2 ✓
- D3:

$$t_0^{-1} t_1^{-1} t_2^{-1} t_3^{-1} = q^{-1} \quad ?$$

$$T_0^{-1} T_3^{-1} T_2^{-1} T_1^{-1} \qquad \text{OK}$$

$$(T_1 T_2 T_3 T_0)^{-1}$$

$$= q^{-1}$$

so \exists F -alg hom $\gamma: \hat{H}_q \rightarrow \hat{H}_{q^{-1}}$ that sends

$$t_i \rightarrow t_i^{-1} \quad \text{for } i \in \mathbb{I}$$

obs γ is bi, hence iso.



The elements X, Y

DEF 13 Let X, Y denote the following elements of \hat{H}_2 :

$$X = t_3 t_0 \qquad Y = t_0 t_1$$

Obs X^{-1}, Y^{-1} exist.

LEM 14 We have

$$t_1 = t_0^{-1} Y$$

$$t_2 = Y^{-1} t_0 X^{-1}$$

$$t_3 = X t_0^{-1}$$

Moreover \hat{H}_2 is generated by $X^{\pm 1}, Y^{\pm 1}, t_0^{\pm 1}$

pf ex

□

LEM 15 Recall aut of \hat{H}_9 from L 7 (Z₄-sym)

this aut sends

$$X \rightarrow Y \rightarrow g^{-1}X^{-1} \rightarrow g^{-1}Y^{-1} \rightarrow X$$

pf

$$X = t_0 t_1 \rightarrow t_0 t_1 = Y$$

$$t_0 t_1 \rightarrow t_1 t_2 = \underbrace{t_1 t_2 t_3 t_0}_{g^{-1}} \underbrace{(t_3 t_0)^{-1}}_{X^{-1}}$$

□

LEM 16 For the B₃ action on \hat{H}_9 the gens ρ, σ act on X, Y as follows.

σ sends

$$X \rightarrow t_0^{-1} Y t_0$$

$$Y \rightarrow X$$

ρ sends

$$X \rightarrow g^{-1} Y^{-1} t_0 X^{-1} t_0$$

$$Y \rightarrow X$$

pf routine

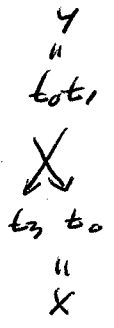
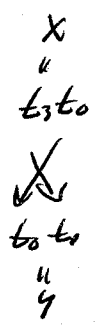
□

LEM 17 The anti-ant \dagger of \hat{H}_7 sends

$$X \rightarrow Y$$

$$Y \rightarrow X$$

pf



LEM 18 The \mathbb{F} -alg iso $\gamma: \hat{H}_7 \rightarrow \hat{H}_9$ sends

$$X \rightarrow Y^{-1}$$

$$Y \rightarrow X^{-1}$$

pf

$$X = t_3 t_0 \rightarrow t_3^{-1} t_0^{-1} = Y^{-1}$$

$$Y = t_0 t_3 \rightarrow t_0^{-1} t_3^{-1} = X^{-1}$$

□

F arb $0 \neq q \in F$

Continue to discuss univ DHA \hat{H}_q of type (C_{II}^V, C_0)

Recall

$$X = t_3 t_0$$

$$Y = t_0 t_1$$

We will consider

$$X + X^{-1}$$

$$Y + Y^{-1}$$

LEM 19 let A denote any F -algebra

Given invertible $u, v \in A$ s.t. each of

$$u + u^{-1}$$

$$v + v^{-1}$$

is central in A . then

(i) $uv + (uv)^{-1} = vu + (vu)^{-1}$

(ii) $uv + (uv)^{-1}$ commutes with each of u, v

pf (i) Write

$$U = u + u^{-1}$$

$$V = v + v^{-1} \quad (\text{Central el})$$

$$\begin{aligned} uv + (uv)^{-1} &= uv + (U - u)(U - u)^{-1} \\ &= uv + vu - vU - uV + UV \end{aligned}$$

Sim

$$\begin{aligned} vu + (vu)^{-1} &= vu + (U - u)(V - v)^{-1} \\ &= vu + uv - uV - Vu + UV \end{aligned}$$

(ii)

$$\begin{aligned}
 u^{-1} (uv + v^{-1}u^{-1})u &= vu + u^{-1}v^{-1} \\
 &= uv + v^{-1}u^{-1} \quad \text{by (i)}
 \end{aligned}$$

So $uv + v^{-1}u^{-1}$ commutes with u .

Case of v is sim.

□

Back to \hat{H}_g

Cor 20 For dist $i, j \in \mathbb{I}$

$$(i) \quad t_i t_j + (t_i t_j)^{-1} = t_j t_i + (t_j t_i)^{-1}$$

(ii) $t_i t_j + (t_i t_j)^{-1}$ commutes with each of t_i, t_j .

Next goal: Find nice formula for

$$q^{-1} Y X - q X Y$$

DEF 21 Let

$$T_i = t_i + t_i^{-1} \quad i \in \mathbb{I}$$

So T_i central in \hat{H}_q

Note: Often convenient to eliminate t_i^{-1} using def 21.

LEM 22 The \mathbb{F} -algebra \hat{H}_q has a presentation by generators

$$t_i, T_i \quad i \in \mathbb{I}$$

and relations

$$t_i^2 = t_i T_i - 1 \quad i \in \mathbb{I}$$

T_i central

$$t_0 t_1 t_2 = q^{-1}$$

pf ex

We mentioned

$$X^{\pm 1}, Y^{\pm 1}, t_0^{\pm 1}$$

generate \hat{H}_q . In terms of these generators the

$\{T_i\}_{i \in \mathbb{Z}}$ look as follows.

LEM 23.

(i) $T_0 = t_0 + t_0^{-1}$

(ii) T_1 is equal to each of

$$t_0^{-1} Y + Y^{-1} t_0,$$

$$Y t_0^{-1} + t_0 Y^{-1}$$

(iii) T_2 is equal to each of

$$q t_0^{-1} Y X + q^{-1} X^{-1} Y^{-1} t_0$$

$$q X t_0^{-1} Y + q^{-1} Y^{-1} t_0 X^{-1}$$

$$q Y X t_0^{-1} + q^{-1} t_0 X^{-1} Y^{-1}$$

(iv) T_3 is equal to each of

$$t_0^{-1} X + X^{-1} t_0,$$

$$X t_0^{-1} + t_0 X^{-1}$$

pf (i) ✓

$$\begin{aligned}
 \text{(ii)} \quad t_0^{-1} Y + Y^{-1} t_0 &= t_0^{-1} t_0 t_1 + t_1^{-1} t_0^{-1} t_0 \\
 &= t_1 + t_1^{-1} \\
 &= T_1
 \end{aligned}$$

Also

$$\begin{aligned}
 T_1 &= Y T_1 Y^{-1} \\
 &= Y (t_0^{-1} Y + Y^{-1} t_0) Y^{-1} \\
 &= Y t_0^{-1} + t_0 Y^{-1}
 \end{aligned}$$

(iii) By L 14

$$\begin{aligned}
 q X t_0^{-1} Y + q^{-1} Y^{-1} t_0 X^{-1} &= t_2^{-1} + t_2 \\
 &= T_2
 \end{aligned}$$

Now

$$\begin{aligned}
 T_2 &= Y T_2 Y^{-1} \\
 &= Y (q X t_0^{-1} Y + q^{-1} Y^{-1} t_0 X^{-1}) Y^{-1} \\
 &= q Y X t_0^{-1} + q^{-1} t_0 X^{-1} Y^{-1}
 \end{aligned}$$

And

$$\begin{aligned}
 T_2 &= X^{-1} T_2 X \\
 &= X^{-1} (q X t_0^{-1} Y + q^{-1} Y^{-1} t_0 X^{-1}) X \\
 &= q t_0^{-1} Y X + q^{-1} X^{-1} Y^{-1} t_0
 \end{aligned}$$

(iv) sim to (ii)

□

Since $T_0 = t_0 + t_0^{-1}$ the algebra $\hat{\mathfrak{H}}_2$ is gen by

$$X^{\pm 1}, Y^{\pm 1}, t_0, T_0$$

In terms of these gens the T_1, T_2, T_3 look as follows.

LEM 24

(i) T_1 is equal to each of

$$(T_0 - t_0)Y + Y^{-1}t_0, \quad Y(T_0 - t_0) + t_0Y^{-1}$$

(ii) T_2 is equal to each of

$$q(T_0 - t_0)YX + q^{-1}X^{-1}Y^{-1}t_0,$$

$$qX(T_0 - t_0)Y + q^{-1}Y^{-1}t_0X^{-1},$$

$$qYX(T_0 - t_0) + q^{-1}t_0X^{-1}Y^{-1}$$

(iii) T_3 is equal to each of

$$(T_0 - t_0)X + X^{-1}t_0, \quad X(T_0 - t_0) + t_0X^{-1}$$

pt In Lem 23 elem t_0^{-1} using $t_0^{-1} = T_0 - t_0$ \square

We now show how the t_0 "commutes past"
the $X^{\pm 1}, Y^{\pm 1}$

7

LEM 2.5

$$(i) \quad t_0 X = X^{-1} t_0 + X T_0 - T_3$$

$$(ii) \quad t_0 X^{-1} = X t_0 - X T_0 + T_3$$

$$(iii) \quad t_0 Y = Y^{-1} t_0 + Y T_0 - T_1$$

$$(iv) \quad t_0 Y^{-1} = Y t_0 - Y T_0 + T_1$$

pf (i), (ii) Come from L24 (iii)

(iii), (iv) Come from L24 (i)

□

8

Applying Z_4 -symmetry to L2S

and using

$$X \rightarrow Y \rightarrow q^{-1}X^{-1} \rightarrow q^{-1}Y^{-1} \rightarrow X$$

we get equations that show how t_1, t_2, t_3

commute past $X^{\pm 1}, Y^{\pm 1}$. [I won't write down]

LEM 26 The following hold in H_7 :

$$(i) \quad t_0 t_2 = q^{-1} t_3^{-1} T_1 - q^{-1} Y X^{-1}$$

$$(ii) \quad t_0^{-1} t_2^{-1} = q t_1 T_3 - q X^{-1} Y$$

pf (i)

$$q^{-1} Y X^{-1} = q^{-1} t_0 t_1 t_0^{-1} t_3^{-1}$$

$$= t_0 t_1 \underbrace{t_0^{-1} t_3^{-1}}_{t_3 t_0 t_1 t_2}$$

$$= t_0 t_1^2 t_2$$

$$= t_0 (t_1 T_1 - 1) t_2$$

$$= t_0 t_1 t_2 T_1 - t_0 t_2 \underbrace{t_1 t_3^{-1}}$$

$$= q^{-1} t_3^{-1} T_1 - t_0 t_2$$

$$\begin{aligned}
\text{(ii)} \quad qX^{-1}Y &= q t_0^{-1} t_3^{-1} t_0 t_1 \\
&= t_0^{-1} t_3^{-1} \underbrace{t_0 t_1 t_1^{-1} t_0^{-1} t_1^{-1} t_2^{-1}} \\
&= t_0^{-1} (t_3^{-1} T_3 - 1) t_2^{-1} \\
&= t_0^{-1} t_3^{-1} t_2^{-1} T_3 - t_0^{-1} t_2^{-1} \\
&= q t_1 T_3 - t_0^{-1} t_2^{-1}
\end{aligned}$$

□

Applying Z_4 -sym to L26 and using
 $X \rightarrow Y \rightarrow q^{-1} X^{-1} \rightarrow q^{-2} Y^{-1} \rightarrow X$

We obtain

LEM 27

$$\text{(i)} \quad t_1 t_3 = q^{-1} t_0^{-1} T_2 - q^{-2} X^{-1} Y^{-1}$$

$$\text{(ii)} \quad t_1^{-1} t_3^{-1} = q t_2 T_0 - Y^{-1} X^{-1}$$

$$\text{(iii)} \quad t_2 t_0 = q^{-1} t_1^{-1} T_3 - q^{-1} Y^{-1} X$$

$$\text{(iv)} \quad t_2^{-1} t_0^{-1} = q t_3 T_1 - q X Y^{-1}$$

$$\text{(v)} \quad t_3 t_1 = q^{-1} t_2^{-1} T_0 - X Y$$

$$\text{(vi)} \quad t_3^{-1} t_1^{-1} = q t_0 T_2 - q^2 Y X$$

DEF 28 let $\{C_i\}_{i \in \mathbb{Z}_4}$ denote the following elements of \hat{H}_g :

$$C_0 = \frac{1}{2} (gYX - g^{-1}XY)$$

$$C_1 = - \frac{1}{2} (g^{-1}YX^{-1} - gX^{-1}Y)$$

$$C_2 = \frac{1}{2} (gY^{-1}X^{-1} - g^{-1}X^{-1}Y^{-1})$$

$$C_3 = - \frac{1}{2} (g^{-1}Y^{-1}X - gXY^{-1})$$

LEM 29 Under \mathbb{Z}_4 -symmetry

$$C_0 \rightarrow C_1 \rightarrow C_2 \rightarrow C_3 \rightarrow C_0$$

pf use

$$X \rightarrow Y \rightarrow g^{-1}X^{-1} \rightarrow g^{-1}Y^{-1} \rightarrow X$$

□

Prop 30 The following hold in \hat{H}_q

	$t_0 T_2$	$t_1 T_3$	$t_2 T_0$	$t_3 T_1$	$T_0 T_2$	$T_1 T_3$
C_0	q	1	q^{-1}	1	$-q^{-1}$	-1
C_1	1	q	1	q^{-1}	-1	$-q^{-1}$
C_2	q^{-1}	1	q	1	$-q^{-1}$	-1
C_3	1	q^{-1}	1	q	-1	$-q^{-1}$

pf To get C_0 Compare LEM 27 $(v_i, (v_i))$

Use
$$t_3^{-1} t_1^{-1} = (T_3 - t_3)(T_1 - t_1)$$

$$= T_1 T_3 - t_1 T_3 - t_3 T_1 + t_3 t_1$$

So
$$q t_0 T_2 - q^{-2} Y X = T_1 T_3 - t_1 T_3 - t_3 T_1 + \underbrace{q^{-2} t_2^{-1}}_{T_2 - t_2} T_0 - X Y$$

To get C_1, C_2, C_3 from C_0 apply Z_4 -symmetry □

Next goal: Find B_3 action on

$$X + X^{-1}, \quad Y + Y^{-1}$$

DEF 31. Define

$$\begin{aligned} A &= Y + Y^{-1} \\ &= t_0 t_1 + (t_0 t_1)^{-1} \\ &= t_1 t_0 + (t_1 t_0)^{-1} \end{aligned}$$

by Cor 20

$$\begin{aligned} B &= X + X^{-1} \\ &= t_2 t_0 + (t_2 t_0)^{-1} \\ &= t_0 t_2 + (t_0 t_2)^{-1} \end{aligned}$$

$$\begin{aligned} C &= t_0 t_2 + (t_0 t_2)^{-1} \\ &= t_2 t_0 + (t_2 t_0)^{-1} \end{aligned}$$

\mathbb{F} arb $0 \neq q \in \mathbb{F}$

Continue to discuss Univ DAHA \hat{H}_q of type (C_1^v, C_1)

Recall

$$\begin{aligned} A &= Y + Y^{-1} \\ &= t_0 t_1 + (t_0 t_1)^{-1} \\ &= t_1 t_0 + (t_1 t_0)^{-1} \end{aligned}$$

$$\begin{aligned} B &= X + X^{-1} \\ &= t_0 t_3 + (t_0 t_3)^{-1} \\ &= t_3 t_0 + (t_3 t_0)^{-1} \end{aligned}$$

$$\begin{aligned} C &= t_0 t_2 + (t_0 t_2)^{-1} \\ &= t_2 t_0 + (t_2 t_0)^{-1} \end{aligned}$$

Prop 32 The Braid group B_3 acts on A, B, C as follows:

(i) τ fixes each of A, B, C

(ii) ρ sends $A \rightarrow B \rightarrow C \rightarrow A$

(iii) σ swaps $A \leftrightarrow B$ and sends $C \rightarrow C'$ where

$$\begin{aligned} qC + q^{-1}C' + AB &= q^{-1}C + qC' + BA \\ &= (q^{-1}t_0 + qt_0^{-1}) T_2 + T_1 T_3 \end{aligned}$$

pf

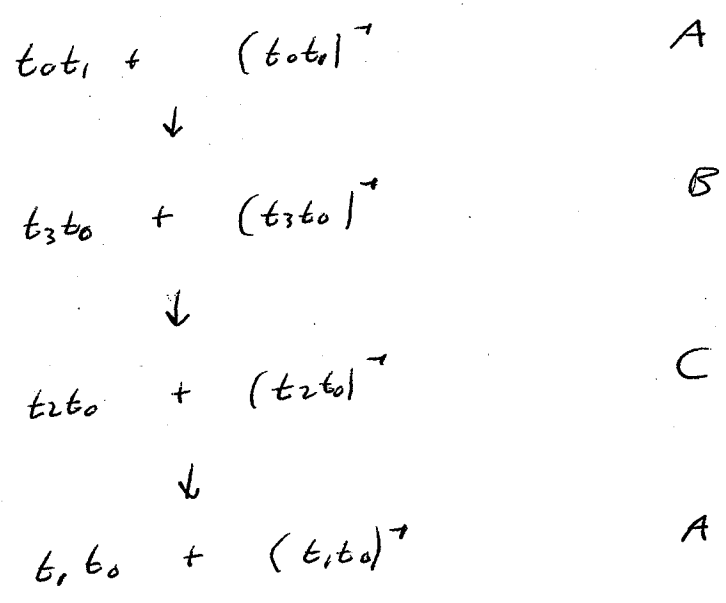
(i) Recall $\tau(h) = t_0^{-1} h t_0 \quad \forall h \in \hat{H}_g$

By Cor 20 (ii) t_0 commutes with each of A, B, C .

(ii) Recall ρ fixes t_0 and sends

$$t_3 \rightarrow t_2 \rightarrow t_1 \rightarrow t_0^{-1} t_3 t_0$$

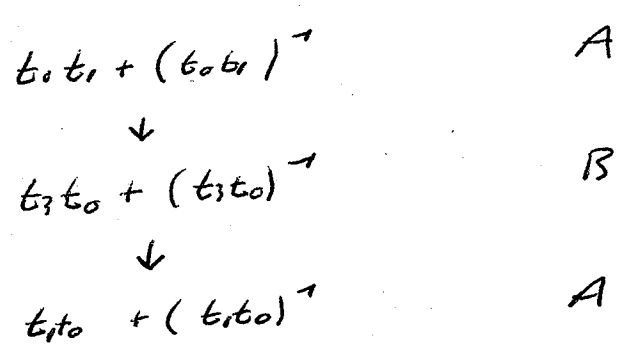
$\rho \downarrow$



(iii) Recall σ :

h	t_0	t_1	t_2	t_3
$\sigma(h)$	t_0	$t_0^{-1} t_3 t_0$	$t_1 t_2 t_1^{-1}$	t_1

$\sigma \downarrow$



Define $C' = \sigma(C)$

Show

$$qC + q^{-1}C' + AB = (q^{-1}t_0 + qt_0^{-1})T_2 + T_1T_3 \quad (*)$$

Obs

$$\begin{aligned} C' &= \sigma(C) \\ &= \sigma(t_0t_2) + \sigma(t_2^{-1}t_0^{-1}) \\ &\quad \parallel \\ &\quad t_0t_1t_2t_1^{-1} \\ &\quad \parallel \\ &\quad q^{-1}t_3^{-1}t_1^{-1} \\ &= q^{-1}t_3^{-1}t_1^{-1} + qt_1t_3 \end{aligned}$$

To get * show

$$qt_0t_2 + qt_2^{-1}t_0^{-1} + t_1t_3 + q^{-2}t_3^{-1}t_1^{-1}$$

+

$$YX + YX^{-1} + Y^{-1}X + Y^{-1}X^{-1}$$

=

$$(q^{-1}t_0 + q(t_0^{-1} - t_0)) | T_2 + T_1T_3$$

To verify this, elem $t_0t_2, t_2^{-1}t_0^{-1}, t_1t_3, t_3^{-1}t_1^{-1}$
using LEM 26, 27. simplify using Prop 30 (ex)

This gives *

We now show

$$q^{-1}C + qC' + BA = (q^{-1}b_0 + qb_0^{-1}) T_2 + T_1 T_3$$

To get this apply σ to each side of *

We saw σ swaps A and B and $C \rightarrow C'$

$$\text{also } \sigma(C) = \sigma^2(C) = \tau(C) = C$$

$$\text{Also } \sigma T_2 = T_2 \quad \sigma T_1 = T_3 \quad \sigma T_3 = T_1$$

□

Thm 33 Assume $q^4 \neq 1$. Then

$$A + \frac{qBC - q^{-1}CB}{q^2 - q^{-2}} = \frac{(q^2 t_0 + q t_0^{-1}) T_1 + T_2 T_3}{q + q^{-1}}$$

$$B + \frac{qCA - q^{-1}AC}{q^2 - q^{-2}} = \frac{(q^2 t_0 + q t_0^{-1}) T_3 + T_1 T_2}{q + q^{-1}}$$

$$C + \frac{qAB - q^{-1}BA}{q^2 - q^{-2}} = \frac{(q^2 t_0 + q t_0^{-1}) T_2 + T_1 T_3}{q + q^{-1}} \quad \star$$

" Z_3 -symmetric Askey-Wilson relations"

pf: Get last equation \star

By Prop 32

$$\begin{aligned} qC + q^{-1}C' + AB &= R \\ q^{-1}C + qC' + BA &= R \\ R &= (q^2 t_0 + q t_0^{-1}) T_2 + T_1 T_3 \end{aligned}$$

Elim C' :

$$q(qC + AB - R) = q^{-1}(q^{-1}C + BA - R)$$

so

$$C(q^2 - q^{-2}) + qAB - q^{-1}BA = R(q - q^{-1})$$

so

$$C + \frac{qAB - q^{-1}BA}{q^2 - q^{-2}} = \frac{R}{q + q^{-1}} \quad \checkmark$$

\star proved

Apply p twice to \star to get other two equations

COR 34 Assume $q^4 \neq 1$. Consider the subalgebra
 of \hat{H}_q generated by A, B, C . In this subalgebra
 each of

$$A + \frac{qBC - q^3CB}{q^2 - q^{-2}}, \quad B + \frac{qCA - q^3AC}{q^2 - q^{-2}}, \quad C + \frac{qAB - q^3BA}{q^2 - q^{-2}}$$

is central.

pf By th 33 and since $q^4 \neq 1$ commutes with A, B, C \square

Motivated by Cor 34 we make a def.

DEF 35 Assume $q^4 \neq 1$. We define an F -algebra

Δ_q by generators and relations as follows.

The generators are A, B, C [view as abstract symbols,
 not as el of \hat{H}_q]

Relations assert that each of

$$A + \frac{qBC - q^3CB}{q^2 - q^{-2}}, \quad B + \frac{qCA - q^3AC}{q^2 - q^{-2}}, \quad C + \frac{qAB - q^3BA}{q^2 - q^{-2}}$$

is central in Δ_q

Δ_q called the Universal Askey-Wilson algebra

We are going to show:

∃ injection of \mathbb{F} -algebra

$$\Delta_g \longrightarrow \hat{H}_g$$

that sends

$$A \longrightarrow t_0 t_1 + (t_0 t_1)^{-1}$$

$$B \longrightarrow t_0 t_3 + (t_0 t_3)^{-1}$$

$$C \longrightarrow t_0 t_2 + (t_0 t_2)^{-1}$$

In other words, the relations in Cor 34 are essentially the only ones relating A, B, C .

Next immediate goal: display a basis for \mathbb{F} -vector space \hat{H}_g

Going to show the following is a basis for \hat{H}_g :

$$Y^i X^j t_0^k T_1^r T_2^s T_3^t \quad i, j, k \in \mathbb{Z}, \quad r, s, t \in \mathbb{N}$$

$$\left[\begin{array}{l} \mathbb{Z} \text{ integers} \\ \mathbb{N} = \{0, 1, 2, \dots\} \end{array} \right]$$

The following is also a basis for \hat{H}_g :

$$Y^i X^j t_0^k T_0^l T_1^r T_2^s T_3^t \quad i, j \in \mathbb{Z}, \quad k \in \{0, 1\}, \quad l, r, s, t \in \mathbb{N}$$

We will also obtain reduction rules that show how to write any given element of \hat{H}_g as a linear comb of these basis vectors.

We mention some presentations for \hat{H}_g that illuminate various aspects

LEM 36 The \mathbb{F} -algebra \hat{H}_g is presented by generators and relations as follows.

The gens are $X^{\pm 1}, Y^{\pm 1}, t_0^{\pm 1}$ The relations assert that each of $t_0 + t_0^{-1}$

$$t_0 X^{-1} + X t_0^{-1}$$
$$t_0 Y^{-1} + Y t_0^{-1} \quad q^{-1} t_0 X^{-1} Y^{-1} + q Y X t_0^{-1}$$

is central and

$$X X^{-1} = 1, \quad X^{-1} X = 1, \quad Y Y^{-1} = 1, \quad Y^{-1} Y = 1.$$
$$t_0 t_0^{-1} = 1, \quad t_0^{-1} t_0 = 1$$

pf let \check{H}_g denote the \mathbb{F} -algebra with above presentation show $\check{H}_g \cong \hat{H}_g$

claim: \exists \mathbb{F} -alg hom

$$\check{H}_g \longrightarrow \hat{H}_g$$
$$t_0 \longrightarrow t_0$$
$$X \longrightarrow t_3 t_0$$
$$Y \longrightarrow t_0 t_1$$



pf cl the def rels for \check{H}_g hold in \hat{H}_g by LEM 23

claim \exists \mathbb{F} -alg hom

$$\hat{H}_g \rightarrow \check{H}_g$$

$$t_0 \rightarrow t_0$$

$$t_1 \rightarrow t_0^{-1} Y$$

$$t_2 \rightarrow q^{-1} Y^{-1} t_0 X^{-1}$$

$$t_3 \rightarrow X t_0^{-1}$$



pf

show the def rels for \hat{H}_g hold in \check{H}_g :

D1 -

D2:

$$t_0 t_0^{-1} \text{ central in } \check{H}_g? \checkmark$$

$$t_0^{-1} Y + Y^{-1} t_0 \text{ central in } \check{H}_g?$$

"

$$t_0^{-1} (t_0 Y^{-1} + Y t_0) t_0$$

"

$$t_0 Y^{-1} + Y t_0$$

"central"

$$\underbrace{q^{-1} Y^{-1} t_0 X^{-1} + q X t_0^{-1} Y}_{\text{central in } \check{H}_g?}$$

$$Y^{-1} (q^{-1} t_0 X^{-1} Y^{-1} + q Y X t_0^{-1}) Y$$

"

$$q^{-1} t_0 X^{-1} Y^{-1} + q Y X t_0^{-1}$$

"central"

$$X t_0^{-1} + t_0 X^{-1} \text{ central in } \check{H}_g? \checkmark$$

D3:

$$t_0 (t_0^{-1} Y) (q^{-1} Y^{-1} t_0 X^{-1}) (X t_0^{-1}) \stackrel{?}{=} q^{-1}$$

ok.

claim \star, \star are inverses:

$$\begin{array}{lclcl} \checkmark & & \wedge & & \checkmark \\ H_9 & \rightarrow & H_9 & \rightarrow & H_9 \\ t_0 & \rightarrow & t_0 & \rightarrow & t_0 \checkmark \\ X & \rightarrow & t_3 t_0 & \rightarrow & X t_0^{-1} t_0 = X \checkmark \\ Y & \rightarrow & t_0 t_1 & \rightarrow & t_0 t_0^{-1} Y = Y \checkmark \end{array}$$

$$\begin{array}{lclcl} \wedge & & \checkmark & & \wedge \\ H_9 & \rightarrow & H_9 & \rightarrow & H_9 \\ t_0 & \rightarrow & t_0 & \rightarrow & t_0 \\ t_1 & \rightarrow & t_0^{-1} Y & \rightarrow & t_0^{-1} t_0 t_1 = t_1 \\ t_2 & \rightarrow & q^{-1} Y^{-1} t_0 X^{-1} & \rightarrow & \underbrace{q^{-1} t_0^{-1} t_0^{-1} t_0^{-1} t_0^{-1} t_0^{-1} t_3^{-1}}_{=} \\ & & & & q^{-1} \underbrace{t_1^{-1} t_0^{-1} t_3^{-1} t_2^{-1} t_2}_{=} = t_2 \checkmark \\ t_3 & \rightarrow & X t_0^{-1} & \rightarrow & t_3 t_0 t_0^{-1} = t_3 \checkmark \end{array}$$

□

F arb $0 \neq q \in F$

Continue to discuss univ DAHA \hat{H}_q of type (C_1^1, C_1)

Gens $\{t_i^{\pm 1}\}_{i \in \mathbb{I}}$ $\mathbb{I} = \{0, 1, 2, 3\}$

Rebs $t_i t_i^{-1} = t_i^{-1} t_i = 1$ $i \in \mathbb{I}$ (01)
 $t_i + t_i^{-1}$ is central " (02)
 $t_0 t_1 t_2 t_3 = q^{-1}$ (03)

Write $T_i = t_i + t_i^{-1}$ $i \in \mathbb{I}$

$$X = t_3 t_0$$

$$Y = t_0 t_1$$

Next goal: show the following is a basis for F -vector space \hat{H}_q :

$$Y^i X^j t_0^k T_1^r T_2^s T_3^t$$

$$i, j, k \in \mathbb{Z}, r, s, t \in \mathbb{N} = \{0, 1, 2, \dots\}$$

We will first show the following is a basis for \hat{H}_q

$$Y^i X^j t_0^k T_0^l T_1^r T_2^s T_3^t$$

$$i, j \in \mathbb{Z}, k \in \{0, 1\}, l, r, s, t \in \mathbb{N}$$

Last time

In Lem 36 We saw a presentation

of \hat{H}_g involving the gens $X^{\pm 1}, Y^{\pm 1}, t_0^{\pm 1}$

Here is a variation of that presentation

LEM 37 The \mathbb{F} -alg \hat{H}_g has a presentation

by gens $X^{\pm 1}, Y^{\pm 1}, t_0^{\pm 1}, \{T_i\}_{i \in \mathbb{I}}$

and rels

$$XX^{-1} = 1, \quad X^{-1}X = 1, \quad YY^{-1} = 1, \quad Y^{-1}Y = 1$$

$$t_0 t_0^{-1} = 1, \quad t_0^{-1} t_0 = 1$$

$$T_i \text{ central } \quad i \in \mathbb{I}$$

$$T_0 = t_0 + t_0^{-1}$$

$$T_1 = t_0 Y^{-1} + Y t_0^{-1}$$

$$T_2 = Y^{-1} t_0 X^{-1} Y^{-1} + Y X t_0^{-1}$$

$$T_3 = t_0 X^{-1} + X t_0^{-1}$$

Pf use L36

□

In Lem 37 let's remove t_0^{-1} using

$$t_0^{-1} = T_0 - t_0$$

this gives

LEM 38 The \mathbb{F} -alg \hat{H}_q has a presentation by generators

$$X^{\pm 1}, Y^{\pm 1}, t_0, \{T_i\}_{i \in \mathbb{I}}$$

and rels

$$X X^{-1} = 1, X^{-1} X = 1, Y Y^{-1} = 1, Y^{-1} Y = 1$$

$$T_i \text{ central } i \in \mathbb{I}$$

$$t_0^2 = t_0 T_0 - 1$$

$$T_1 = t_0 Y^{-1} + Y (T_0 - t_0)$$

$$T_2 = q^{-1} t_0 X^{-1} Y^{-1} + q Y X (T_0 - t_0)$$

$$T_3 = t_0 X^{-1} + X (T_0 - t_0)$$

We now give one final pres of \hat{H}_g

by gens and rels.

There are many rels.

These relations will become the reduction rules

that allow us to write any given element of \hat{H}_g in the basis ~~***~~

We start by writing t_0, t_1, t_2, t_3 as a linear combination of terms of form ~~***~~

The element t_0 is included in ~~***~~ so focus on t_1, t_2, t_3

LEM 39 The following rels hold in \hat{H}_g :

$$t_1 = T_1 - Y^{-1}t_0$$

$$t_2 = g^{-1}Y^{-1}T_3 - g^{-1}Y^{-1}XT_0 + g^{-1}Y^{-1}Xt_0$$

$$t_3 = XT_0 - Xt_0$$

pf t_1 : $T_1 - Y^{-1}t_0 = t_1 + t_1^{-1} - (t_0 t_1)^{-1} t_0 = t_1$ ✓

t_3 : $XT_0 - Xt_0 = X(T_0 - t_0) = X t_0^{-1} = t_3$ ✓

t_2 :

Recall

$$t_2 = q^{-1} Y^{-1} t_0 X^{-1}$$

$$= q^{-1} Y^{-1} (X t_0 - X T_0 + T_3)$$

by L25 (ii)

□

Thm 40 The IF-algebra \hat{H}_q has a presentation

by gens $X^{\pm 1}, Y^{\pm 1}, t_0, \{T_i\}_{i \in \mathbb{N}}$ and rels

$$XX^{-1} = 1, X^{-1}X = 1, YY^{-1} = 1, Y^{-1}Y = 1$$

T_i is central $i \in \mathbb{N}$

$$t_0^2 = t_0 T_0 - 1$$

$$t_0 X = X^{-1} t_0 + X T_0 - T_3$$

$$t_0 X^{-1} = X t_0 - X T_0 + T_3$$

$$t_0 Y = Y^{-1} t_0 + Y T_0 - T_1$$

$$t_0 Y^{-1} = Y t_0 - Y T_0 + T_1$$

"tertiary"

"secondary"

"primary"

↓

$XY =$

	X^{-1}	1	X
Y^{-1}	0	$t_0 T_3 - q^{-2} T_0 T_3$	$q^{-2} T_0^2 - q^{-2} t_0 T_0$
1	0	$q^{-1} T_0 T_2 - q t_0 T_2$	$t_0 T_1 - T_0 T_1$
Y	0	0	q^2

$X^{-1}Y =$

	X^{-1}	I	X
Y^{-1}	0	$q^{-2}T_0T_3 - t_0T_3$	$q^{-2}t_0T_0 - q^{-2}T_0^2$
I	0	$(q^{-1}q^{-1})q^{-1}T_1T_3 - q^{-1}T_0T_2 + q^{-1}t_0T_2$	$q^{-2}T_0T_1 - q^{-2}t_0T_1$
Y	q^{-2}	0	0

$X^{-1}Y^{-1} =$

	X^{-1}	I	X
Y^{-1}	q^2	$q^2t_0T_3 - q^2T_0T_3$	$q^2T_0^2 - q^2t_0T_0$
I	0	$qT_0T_2 - qt_0T_2$	$q^2t_0T_1 - q^2T_0T_1$
Y	0	0	0

$XY^{-1} =$

	X^{-1}	I	X
Y^{-1}	0	$q^{-2}T_0T_3 - q^{-2}t_0T_3$	$q^{-2} - q^{-2}T_0^2 + q^{-2}t_0T_0$
I	0	$q^{-1}t_0T_2 - q^{-1}T_0T_2$	$T_0T_1 - t_0T_1$
Y	0	0	0

pf show all the rebs in the theorem statement
hold in \hat{H}_g :

primary: These are the rebs in Prop 30, in
disguise. In prop 30, elem t_1, t_2, t_3
using L39.

secondary: 1st is clear. Last 4 come from L25

tertiary: clear. ✓

Now show the rebs in the theorem statement imply the
defining rebs for \hat{H}_g given in LEM 38

All but one defining rel in LEM 38 already appears
among the rebs in thm statement.

Remaining rel is

$$T_2 = q^{-1} t_0 X^{-1} Y^{-1} + q Y X \quad (T_0 \rightarrow t_0)$$

To verify this, eval RHS using rebs from the theorem
statement as reduction rules

$$T_2 = ? \quad q^{\rightarrow} t_0 X^{\rightarrow} Y^{\rightarrow} + \underbrace{2 Y X (T_0 - t_0)}_{\text{proper form } \checkmark}$$

9

$$\underline{t_0 X^{\rightarrow} Y^{\rightarrow}} = \left(X t_0 - X T_0 + T_3 \right) Y^{\rightarrow}$$

$$= X t_0 Y^{\rightarrow} - \underline{X Y^{\rightarrow} T_0} + \underline{Y^{\rightarrow} T_3}$$

↑ elim this using

$X Y^{\rightarrow} = -$

red rule

$$X \underline{t_0 Y^{\rightarrow}} = X \left(Y t_0 - Y T_0 + T_1 \right)$$

$$= \underline{X Y t_0} - \underline{X Y T_0} + \underline{X T_1}$$

↑ elim using

$X Y = -$

red rule

Get result after cancellation (ex)

□

DEF 41 The generators

$$X^{\pm 1}, Y^{\pm 1}, t_0, \{T_i\}_{i \in \mathbb{I}}$$

for \hat{H}_g are called balanced

Note 42 Ref to pres for \hat{H}_g in Thm 40

Consider the rels that assert the $\{T_i\}_{i \in \mathbb{I}}$ are central

These rels can be expressed as

$$T_i X^{\pm 1} = X^{\pm 1} T_i \quad i \in \mathbb{I}$$

$$T_i Y^{\pm 1} = Y^{\pm 1} T_i \quad \dots$$

$$T_i t_0 = t_0 T_i \quad \dots$$

$$T_i T_j = T_j T_i \quad \forall i > j \quad i, j \in \mathbb{I}$$

DEF 43 By a reduction rule in \hat{H}_g we mean an equation that appears in Thm 40 or Note 42

3 kinds:

- primary
- secondary
- tertiary

DEF 44 For $n \in \mathbb{N}$ by a word of length n

in \hat{H}_g we mean a product $g_1 g_2 \dots g_n$ such that g_i is a balanced gen of \hat{H}_g for $1 \leq i \leq n$.

Interp word of length 0 as mult ident of \hat{H}_g

A word is called forbidden whenever it is the LHS of a reduction rule. Each forbidden word has length 2

3 kinds of forbidden words:
 primary
 secondary
 tert.

DEF 45 let w denote a forbidden word in \hat{H}_g and consider the corresp reduction rule.

By a descendant of w we mean a word that appears on the RHS of that reduction rule.

ex $w = t_0 X$ is forb

corresp red rule is

$$t_0 X = X^{-1} t_0 + X T_0 - T_3$$

Descendants of w are

$$X^{-1} t_0, \quad X T_0, \quad T_3$$

Thm 46 The following is a basis for F -vector space \hat{H}_q :

$$Y^i X^j t_0^k T_0^l T_1^r T_2^s T_3^t \quad i, j \in \mathbb{Z} \quad * \\ k \in \{0, 1\} \quad l, r, s, t \in \mathbb{N}$$

pf We invoke the Bergman Diamond Lemma

Bergman's The Diamond Lemma in Ring Theory
Adv. in Math. 29 (1978) 178-218

[Available thru "Find It" on Math Sci Net]

Let $g_1 g_2 \dots g_n$ denote a word in \hat{H}_q

Call it reducible whenever $\exists i (2 \leq i \leq n)$ s.t. $g_i g_{i-1}$ is forbidden. Word is irreducible iff not reducible

List $*$ consists of the irred words

Let $w = g_1 g_2 \dots g_n$ denote a word in \hat{H}_q

An Inversion in w is an ordered pair of integers

(i, j) s.t. $1 \leq i < j \leq n$ and $g_i g_j$ is forbidden

3 kinds of inversions: primary, secondary, tert.

Let W denote the set of all words in H_n .

We define a partial order $<$ on W .

Put $w, w' \in W$ and write $w = g_1 g_2 \dots g_n$

We say w dominates w' whenever $\exists i (2 \leq i \leq n)$

s.t. $(i-1, i)$ is an inversion of w and w' is obtained

from w by replacing $g_{i-1} g_i$ by one of its

descendants. In this case either

(i) w has more primary inversions than w'

or

(ii) w, w' have same number of primary inv, but
 w has more secondary inv than w'

or

(iii) w, w' have same number of primary inv
secondary ..

w has more tert inv than w'

Therefore: transitive closure of dominance relation on W

is a partial order on W which we denote by $<$

Properties of $<$:

- \nexists an ∞ sequence of words "descending chain condition"

$$w_1 > w_2 > w_3 > \dots$$

[since the number of inversions is finite]

- Given words w, w', w_1, w_2 in H^*

$$w > w' \Rightarrow w_1 w w_2 > w_1 w' w_2$$

$\uparrow \uparrow$
 concatenation

"semi group partial order"

- Given reducible word $w = g_1 g_2 \dots g_n$ in H^*
 So $\exists i (2 \leq i \leq n)$ s.t. $g_i g_{i-1}$ is forbidden.
 \exists red rule with $g_i g_{i-1}$ on LHS; in w replace $g_i g_{i-1}$ by RHS of this red rule and express w as a len cont of words, each less than w with respect to $<$

"red rules are compatible with $<$ "

Ambiguities

Consider word to^2X

this word is reducible?

$$\frac{totoX}{Forb}$$

$$\frac{totoX}{forb}$$

We can reduce to^2X 2 ways; elem to^2 first using red rule $to^2 = toT_0^{-1}$, or elem toX first using $toX = X^{-1}to + XT_0^{-1}T_3$

Either way, after 3 steps get same thing:

$$X^{-1}toT_0 + XT_0^2 - X - T_0T_3$$

(ex)

Therefore the overlap ambiguity to^2X is resolvable

[there is another type of ambiguity called inclusion ambiguity]
 but for this problem there are none

To invoke the BDL, we must show that all the ambiguities are resolvable.

the nontrivial ambiguities are:

↑
does not involve
central element T_i

$$\begin{array}{cccc}
 t_0^2 X & t_0^2 X^{-1} & t_0^2 Y & t_0^2 Y^{-1} \\
 t_0 XY & t_0 X^{-1}Y & t_0 XY^{-1} & t_0 X^{-1}Y^{-1} \\
 XY Y^{-1} & XY^{-1}Y & X^{-1}Y Y^{-1} & X^{-1}Y^{-1}Y \\
 XX^{-1}Y & XX^{-1}Y^{-1} & X^{-1}XY & X^{-1}X Y^{-1} \\
 t_0 XX^{-1} & t_0 X^{-1}X & t_0 Y Y^{-1} & t_0 Y^{-1}Y
 \end{array}$$

One checks each is resolvable (routine but tedious)

Now by the BDL the word winds from a basis for \hat{H}_g \square

We have now shown X is basis for \hat{H}_g

We now adjust the basis

Let $\lambda = \text{unit}$

Recall $\mathbb{F}[\lambda, \lambda^{-1}]$ space of Laurent polynomials

LEM 47 The following is a basis for \mathbb{F} -vector space $\mathbb{F}[\lambda, \lambda^{-1}]$:

$$\lambda^k (\lambda + \lambda^{-1})^l \quad k \in \{0, 1\}, \quad l \in \mathbb{N} \quad \star$$

pf $\mathbb{F}[\lambda, \lambda^{-1}]$ has basis $\{\lambda^i\}_{i \in \mathbb{Z}}$

List the elements in order:

$$1, \lambda, \lambda^{-1}, \lambda^2, \lambda^{-2}, \dots$$

List \star in order:

$$1, \lambda, \lambda + \lambda^{-1}, \lambda(\lambda + \lambda^{-1}), (\lambda + \lambda^{-1})^2, \lambda(\lambda + \lambda^{-1})^2, \dots \quad (2)$$

Write each el of (2) as a lin comb of (1).

Consider coefficient matrix

this is upper triangular with all diag entries 1 \rightarrow invertible.

Result follows. \square

Def 48 Let Π denote the \mathbb{F} -subalgebra
of \hat{H}_g gen by

$$t_0^{\pm 1}, T_1, T_2, T_3$$

Obs Π is commutative. Note also Π is gen by
 t_0, T_0, T_1, T_2, T_3

Prop 49 (i) The following is a basis for \mathbb{F} -vector
space Π :

$$t_0^k T_0^l T_1^r T_2^s T_3^t \quad k \in \{0, 1\}, l, r, s, t \in \mathbb{N} \quad (*)$$

(ii) Another basis for Π is

$$t_0^k T_1^r T_2^s T_3^t \quad k \in \mathbb{Z}, r, s, t \in \mathbb{N}$$

(iii) \exists \mathbb{F} -alg iso

$$\mathbb{F}[\lambda_0^{\pm 1}, \lambda_1, \lambda_2, \lambda_3] \rightarrow \Pi$$

$\underbrace{\quad \quad \quad}_{\text{commuting indets}}$

that sends

$$\lambda_0^{\pm 1} \rightarrow t_0^{\pm 1}, \quad \lambda_1 \rightarrow T_1, \quad \lambda_2 \rightarrow T_2, \quad \lambda_3 \rightarrow T_3 \quad (**)$$

pf (iii) \mathbb{F} -alg Π is commutative and gen by $t_0^{\pm 1}, T_1, T_2, T_3$. So \exists surjective \mathbb{F} -alg

hom $\varphi: \mathbb{F}[\lambda_0^{\pm 1}, \lambda_1, \lambda_2, \lambda_3] \rightarrow \Pi$

that satisfies (**).

By L47 the following is a basis for \mathbb{F} -vector space

$\mathbb{F}[\lambda_0^{\pm 1}, \lambda_1, \lambda_2, \lambda_3]$:

$\lambda_0^k (\lambda_0 + \lambda_0^{-1})^l \lambda_1^r \lambda_2^s \lambda_3^t \quad k \in \{0, 1\}, l, r, s, t \in \mathbb{N}$ (***)

Hom φ sends (***) to (**), so (**) span Π . The

vectors (**) are lin indep by Th 46.

So (**) is basis for Π . Now φ is iso

(iii) clear from (iii) □

Def 50 The elements

$\psi^i x^j \quad i, j \in \mathbb{Z}$

are lin indep by Th 46, so they form a basis for a subspace of \hat{H}_g denoted $\hat{\mathbb{X}}$

[caution: $\hat{\mathbb{X}}$ not a subalgebra]

Prop 5 The map

$$\begin{array}{ccc} \underline{X} \otimes \Pi & \longrightarrow & \hat{H}_g \\ u & v & uv \end{array} \quad \otimes = \otimes_{\mathbb{F}}$$

is an iso of \mathbb{F} -vector spaces

pf Compare basis for \hat{H}_g in Thm 46, with basis for Π in Prop 49(i). \square

Thm 52 The following is a basis for the \mathbb{F} -vector space \hat{H}_g :

$$y_i x_j^k \text{ to } T_1^r T_2^s T_3^t \quad \text{with } k \in \mathbb{Z}, r, s, t \in \mathbb{N}$$

pf Use Prop 51, def of \underline{X} , and

the basis for Π in Prop 49(ii) \square

Often useful to view \hat{H}_g as (right) module for \mathbb{T}

By Prop 51 each element $h \in \hat{H}_g$ can be written uniquely as

$$h = \sum_{i \in \mathbb{Z}} y^i x^j h_{ij} \quad h_{ij} \in \mathbb{T}$$

[fin many h_{ij} non 0]

Call h_{ij} the coefficient of $y^i x^j$ in h
 The coefficient matrix for h is $(h_{ij})_{i,j \in \mathbb{Z}}$

View

	...	x^{-2}	x^{-1}	1	x	x^2	...
:							
y^{-2}				⋮			
y^{-1}			$h_{-1,-1}$	$h_{-1,0}$	$h_{-1,1}$		
1	...	$h_{0,-1}$	$h_{0,0}$	$h_{0,1}$...	
y			$h_{1,-1}$	$h_{1,0}$	$h_{1,1}$		
y^2							⋮
:							

EX. Back in M40 We saw the coef matrices for $XY, XY^T, X^T Y, X^T Y^T$

Recall A, B, C :

$$A = y + y^T$$

$$B = x + x^T$$

$$C = t_0 t_1 + (t_0 t_1)^T$$

Find coef matrices

$$A: \begin{matrix} & x^T & 1 & x \\ y^T & \left(\begin{array}{ccc} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 1 & 0 \end{array} \right) \\ 1 & & & \\ y & & & \end{matrix}$$

$$B: \begin{matrix} & x^T & 1 & x \\ y^T & \left(\begin{array}{ccc} 0 & 0 & 0 \\ 1 & 0 & 1 \\ 0 & 0 & 0 \end{array} \right) \\ 1 & & & \\ y & & & \end{matrix}$$

LEM 53 The coef matrix for C is

$$C: \begin{array}{c|ccc} & x^T & 1 & x \\ \hline y^T & 0 & -y^T t_0^{-2} T_3 & y^T t_0^{-2} \\ 1 & 0 & t_0^{-1} T_2 + y^T T_1 T_3 & -y^T t_0^{-1} T_1 \\ y & -y^T & 0 & 0 \end{array}$$

pf

Apply red rules to C

7

$$C = t_0 t_2 + (t_0 t_2)^{-1}$$

$$t_0 t_2 = \underbrace{q^{-1} t_3^{-1} T_1}_{\wedge} - q^{-1} Y X^{-1}$$

(by L26(c.1))

$$\underbrace{T_3 - t_3}_{\wedge \text{ L39}}$$

$$X T_0 - X t_0$$

$$= q^{-1} T_1 T_3 - q^{-1} X T_0 T_1 + q^{-1} X t_0 T_1 - q^{-1} Y X^{-1}$$

$$(t_0 t_2)^{-1} = \underbrace{q t_3 T_1}_{\wedge} - \underbrace{q X Y^{-1}}$$

(by L27)

$$X T_0 - X t_0$$

use red rule from Th 40

Rest is routine (ex)

□

We adjust C in order to simplify the coeff matrix

Def 54 Put

$$\theta = -q t_0 (C - t_0^{-1} T_2 - q^{-1} T_1 T_3)$$

$$= Y X^{-1} t_0 - Y^{-1} X t_0^{-1} + X T_1 + Y^{-1} T_3$$

Note that θ commutes with t_0 (since C does)

and

$$C = t_0^{-1} T_2 + q^{-1} T_1 T_3 - q^{-1} t_0^{-1} \theta$$

Coeff matrix of θ is

	X^{-1}	1	X
Y^{-1}	0	T_3	$-t_0^{-1}$
1	0	0	T_1
Y	t_0	0	0

DEF 55 For any subset S of an \mathbb{F} -algebra A

let $\langle S \rangle_A = \mathbb{F}$ -subalgebra of A gen by S

If identity of A is clear we often write $\langle S \rangle$

For example for $A = \mathbb{H}_9$

$$\mathbb{T} = \langle E_0^{\pm 1}, T_1, T_2, T_3 \rangle$$

— 0 —

By Th 52

$\langle X^{\pm 1} \rangle$ has a basis $\{X^i\}_{i \in \mathbb{Z}}$

$\langle Y^{\pm 1} \rangle$ has a basis $\{Y^i\}_{i \in \mathbb{Z}}$

So each of these is iso $\mathbb{F}[\lambda, \lambda^{-1}]$

By Def 50 the map

$$\langle Y^{\pm 1} \rangle \otimes \langle X^{\pm 1} \rangle \rightarrow \mathbb{X}$$

$$u \otimes v \rightarrow uv$$

is iso of \mathbb{F} -vector spaces (not algebras!)

Combining this with Prop 51

the map

$$\begin{array}{ccc}
 \langle Y^{\pm 1} \rangle \otimes \langle X^{\pm 1} \rangle \otimes \Pi & \rightarrow & \hat{H}_g \\
 u \otimes v \otimes w & \rightarrow & uvw
 \end{array}$$

is iso of \mathbb{F} -vector spaces

Call the factorization

$$\hat{H}_g = \langle Y^{\pm 1} \rangle \langle X^{\pm 1} \rangle \Pi$$

the "YXT factorization"

Consider subalgebra

$$\langle t_0^{\pm 1}, t_1^{\pm 1} \rangle = \langle Y^{\pm 1}, t_0^{\pm 1} \rangle$$

LEM 56 The following is a basis for the \mathbb{F} -vector space

$$\langle t_0^{\pm 1}, t_1^{\pm 1} \rangle$$

$$Y^i t_0^j T_1^k \quad i, j \in \mathbb{Z}, \quad k \in \mathbb{N} \quad *$$

pf Vectors * contained in $\langle t_0^{\pm 1}, t_1^{\pm 1} \rangle \cup$
vectors * lin indep by Th 46

show $\underbrace{\text{Span}(*)}_{\Psi} = \langle t_0^{\pm 1}, t_1^{\pm 1} \rangle \quad **$

Ψ contains 1 since this is included in *

$$Y^{\pm 1} \Psi \subseteq \Psi \cup$$

show $t_0 \Psi \subseteq \Psi$

For $i \in \mathbb{N}$ one checks

$$t_0 Y^i \in \Psi \quad t_0 Y^{-i} \in \Psi$$

by induction i and rec rules

$$t_0 Y = Y^{-1} t_0 + Y T_0 - T_1$$

$$t_0 Y^{-1} = Y t_0 - Y T_0 + T_1$$

obs $T_0 \Psi = \Psi T_0 \subseteq \Psi$

Now $t_0^{-1} \Psi = (T_0 - t_0) \Psi \subseteq \Psi$

Ψ is left ideal of $\langle t_0^{\pm 1}, t_1^{\pm 1} \rangle$ that contains 1 so ** holds. \square (74)

DEF 57 let S denote the \mathbb{F} -algebra defined by gens

$$A_i^{\pm 1} \quad i \in \{0, 1\}$$

and rels

$$A_i A_i^{-1} = A_i^{-1} A_i = 1 \quad i=0, 1$$

$$A_i + A_i^{-1} \text{ is central}$$

— o —

By constr \exists \mathbb{F} -alg hom

$$S \rightarrow \hat{H}_2$$

$$A_i^{\pm 1} \rightarrow t_i^{\pm 1}$$

with image $\langle t_0^{\pm 1}, t_1^{\pm 1} \rangle$

Will show this is an injection, giving an iso of \mathbb{F} -algebras

$$S \rightarrow \langle t_0^{\pm 1}, t_1^{\pm 1} \rangle$$

$\text{Aut}(S)$ contains:

• $\text{Fn } \varepsilon_0, \varepsilon_i \in \{1, -1\}$

$$A_i \rightarrow A_i^{\varepsilon_i} \quad i=0,1$$

"
" $\mathbb{Z}_2 \times \mathbb{Z}_2$ sym"

• $A_0 \leftrightarrow A_1$

"
" \mathbb{Z}_2 sym"

• $\text{Fn } \varepsilon_0, \varepsilon_i \in \{1, -1\}$

$$A_i \rightarrow \varepsilon_i A_i$$

"
" $\mathbb{Z}_2 \times \mathbb{Z}_2$ sym"

$\text{AAut}(S)$ contains anti-aut that fixes each of A_0, A_1

DEF 58 Put

$$S_i = A_i + A_i^{-1} \quad i=0,1$$

$$R = A_0 A_1$$

Note by L19

$R + R^{-1}$ is central in S

Also

$$\begin{aligned} S &= \langle R^{\pm 1}, A_0^{\pm 1} \rangle \\ &= \langle R^{\pm 1}, A_0, A_1 \rangle \end{aligned}$$

Here is the analog of Th 40 for S :

Prop 59 The \mathbb{F} -alg S has a presentation

by gens

$$R^{\pm 1}, \Delta_0, S_0, S_1$$

and rels

$$RR^{-1} = 1, \quad R^{-1}R = 1$$

$$S_i \text{ is central} \quad i=0,1$$

$$\Delta_0^2 = \Delta_0 S_0^{-1}$$

$$\Delta_0 R = R^{-1} \Delta_0 + R S_0 - S_1$$

$$\Delta_0 R^{-1} = R \Delta_0 - R S_0 + S_1$$

pf Sim to Th 40

□

Thm 60 The following is a basis for the \mathbb{F} -vector space S :

$$R^i A_0^j S_1^k \quad i, j \in \mathbb{Z}, \quad k \in \mathbb{N} \quad *$$

pf 1 Use Bergman Diamond Lemma (ex)

pf 2 One checks $*$ span S (same pt as for pt of LSG)

To see that $*$ are lin indep, apply our

$$\mathbb{F}\text{-alg hom } S \rightarrow \hat{H}_g :$$

$$R \rightarrow Y$$

$$A_0 \rightarrow t_0$$

$$S_0 \rightarrow T_0$$

$$S_1 \rightarrow T_1$$

Under this hom, image of $*$ is lin indep by LSG,

so $*$ is lin indep. \square

COR 61

the \mathbb{F} -alg hom

$$S \rightarrow \hat{H}_g$$

$$s_i^{\pm 1} \rightarrow t_i^{\pm 1}$$

is an injective.

Pf the hom sends the basis for S from Th 60 to a linear indep set □

By \mathbb{Z}_4 -symmetry for $z \in \mathbb{Z}$ }

\mathbb{F} -alg injective

$$S \rightarrow \hat{H}_g$$

that sends

$$s_0^{\pm 1} \rightarrow t_0^{\pm 1}$$

$$s_1^{\pm 1} \rightarrow t_{2z}^{\pm 1}$$

($z+1$ taken mod 4)

Prop 62 \exists \mathbb{F} -alg injection $S \rightarrow \hat{H}_g$

that sends

$$\begin{aligned} a_0^{\pm 1} &\rightarrow b_0^{\pm 1} \\ a_1^{\pm 1} &\rightarrow b_2^{\pm 1} \end{aligned}$$

pf the desired injection is the composition

$$\begin{array}{ccccc} S & \xrightarrow{\text{isom}} & H_g & \xrightarrow{p^{-1}} & \hat{H}_g \\ a_0 & \rightarrow & b_0 & \rightarrow & b_0 \\ a_1 & \rightarrow & b_1 & \rightarrow & b_2 \end{array}$$

\mathbb{F} arb

$0 \neq q \in \mathbb{F}$

Univ DAHA \hat{H}_q type (C_1^v, C_1)

related algebra S has gens $\{ \Delta_i^{\pm 1} \}_{i=0}^1$ and rels

$$\Delta_i \Delta_i^{-1} = \Delta_i^{-1} \Delta_i = 1 \quad i=0,1$$

$$\Delta_i + \Delta_i^{-1} \text{ central} \quad --$$

write

$$S_i = \Delta_i + \Delta_i^{-1} \quad i=0,1$$

$$R = \Delta_0 \Delta_1$$

Recall 4.60: the \mathbb{F} -vector space S has basis

$$R^i \Delta_0^j S_i^k \quad i, j \in \mathbb{Z}, k \in \mathbb{N}$$

We give some variations on Th 60

Th 63 Each of the following is a basis for the \mathbb{F} -vector space S :

(i) $S_0^i R^c S_1^k$ $c, i \in \mathbb{Z}, k \in \mathbb{N}$

(ii) $R^c S_1^i S_0^k$..

(iii) $S_1^i R^c S_0^k$..

pf (i) Apply the anti-autom of S to the basis in Th 60

(ii) Apply the aut

$S_0 \rightarrow S_1^{-1}$

$S_1 \rightarrow S_0^{-1}$

to the basis in Th 60, and note $R \rightarrow R^{-1}$

(iii) Apply the anti-autom of S to the basis (ii) □

th 64 the map

$$U \otimes V \rightarrow S$$

$$u \otimes v \rightarrow uv$$

is an iso of \mathbb{F} -vector spaces, where U, V is any of the following:

U	V
$\langle R^{\pm 1} \rangle$	$\langle \Delta_0^{\pm 1}, S_1 \rangle$
$\langle R^{\pm 1} \rangle$	$\langle \Delta_1^{\pm 1}, S_0 \rangle$
$\langle \Delta_0^{\pm 1}, S_1 \rangle$	$\langle R^{\pm 1} \rangle$
$\langle \Delta_1^{\pm 1}, S_0 \rangle$	$\langle R^{\pm 1} \rangle$

pf use th 60 and th 63

□

Th 65 The map

$$U \otimes V \otimes W \rightarrow \hat{H}_g$$

$$u \otimes v \otimes w \rightarrow uvw$$

is an iso of \mathbb{F} -vector spaces, where u, v, w is any permutation of

$$\langle x^{\pm 1} \rangle, \langle y^{\pm 1} \rangle, \Pi$$

$$\Pi = \langle t_0^{\pm 1}, T_1, T_2, T_3 \rangle$$

pf

If above perm is identity, done by comments on $\exists \Pi$ factorization above L56

• We can swap the factors $\langle y^{\pm 1} \rangle, \Pi$ by Th 64

and since

$$\langle y^{\pm 1} \rangle \Pi = \underbrace{\langle t_0^{\pm 1}, t_1^{\pm 1} \rangle}_{\text{iso } S} \underbrace{\langle T_2, T_3 \rangle}_{\text{central}}$$

• We can swap factors

$$\langle x^{\pm 1} \rangle, \langle y^{\pm 1} \rangle$$

and fix Π by applying the iso

$$\begin{aligned} \hat{H}_g &\rightarrow \hat{H}_g && \text{from L18} \\ x &\rightarrow y \\ y &\rightarrow x \\ t_0 &\rightarrow t_0 \end{aligned}$$

and replacing g by g^{-1}

Result follows. □

Find the elements in \mathfrak{S} that commute with Δ_0

Motivation

Consider

$$\begin{aligned} \underbrace{\text{ad}(\Delta_0)}_a : \quad \mathfrak{S} &\rightarrow \mathfrak{S} \\ a &\rightarrow \Delta_0 a - a \Delta_0 \end{aligned}$$

Find nullspace of a

Obs Δ_0, S_1 commute with Δ_0 so in this nullspace

Apply a to $R^{\pm 1}$

$$\begin{aligned} a: R &\rightarrow \Delta_0 R - R \Delta_0 \\ &= R^{-1} \Delta_0 + R S_0 - S_1 - R \Delta_0 \\ &= R^{-1} \Delta_0 + R \Delta_0^{-1} - S_1 \end{aligned}$$

$$\begin{aligned} R^{-1} &\rightarrow \Delta_0 R^{-1} - R^{-1} \Delta_0 \\ &= R \Delta_0 - R S_0 + S_1 - R^{-1} \Delta_0 \\ &= S_1 - R \Delta_0^{-1} - R^{-1} \Delta_0 \end{aligned}$$

View $\langle \Delta_0^{\pm 1}, S_1 \rangle$ as scalars and consider

matrix rep a rel "basis" $\{R, R^{-1}\}$

$$a: \begin{pmatrix} 0 & -S_1 & S_1 \\ 0 & \Delta_0^{-1} & -\Delta_0^{-1} \\ 0 & \Delta_0 & -\Delta_0 \end{pmatrix}$$

Eigenvalues are

$$0, 0, \lambda_0^{-1} \lambda_0$$

eigenvalues are

$$1, R + R^{-1}$$

$$\underbrace{R \lambda_0^{-1} + R^{-1} \lambda_0 - S_1}_{\text{"}} = \underbrace{\lambda_0 \lambda_1 \lambda_0^{-1} + \lambda_1^{-1} (\lambda_0^{-1} \lambda_0) - \lambda_1 - \lambda_1^{-1}}_{\lambda_0 \lambda_1 \lambda_0^{-1} - \lambda_1}$$

Put

$$B = \lambda_0 \lambda_1 \lambda_0^{-1} - \lambda_1$$

$$a(G) = G(\lambda_0^{-1} - \lambda_0)$$

$$\text{"}$$

$$\lambda_0 G - G \lambda_0$$

Get

$$\lambda_0 G = G \lambda_0^{-1}$$

DEF 66

Put

$$S^+ = \{ \lambda \in S \mid \lambda_0 \lambda = \lambda \lambda_0 \}$$

$$S^- = \{ \lambda \in S \mid \lambda_0 \lambda = \lambda \lambda_0^{-1} \}$$

obs $S^+ = \mathbb{F}$ -subalg of S

$S^- =$ subpace of \mathbb{F} -vector space S

LEM 67

(i) Sum $S^+ + S^-$ is direct

$$(ii) \quad S^+ S^- \subseteq S^-$$

$$S^- S^+ \subseteq S^-$$

$$S^- S^- \subseteq S^+$$

(iii) $S^+ + S^-$ is an \mathbb{F} -subalgebra of S with \mathbb{Z}_2 -grading.

Pf routine

□

Find basis for S^\pm

LEM 68 In the \mathbb{F} -alg $\mathbb{F}[\lambda, \lambda^{-1}]$ consider the ideal

$$L = (\lambda - \lambda^{-1}) \mathbb{F}[\lambda, \lambda^{-1}]$$

Then

$$\mathbb{F}[\lambda, \lambda^{-1}] = \mathbb{F}1 + \mathbb{F}\lambda + L \quad (\text{direct sum})$$

In other words

$$1, \lambda$$

is a basis for a complement of L in $\mathbb{F}[\lambda, \lambda^{-1}]$

pf One checks the following is a basis for $\mathbb{F}[\lambda, \lambda^{-1}]$

$$\dots, \lambda^{-2}(\lambda - \lambda^{-1}), \lambda^{-1}(\lambda - \lambda^{-1}), \lambda - \lambda^{-1}, 1, \lambda, \lambda(\lambda - \lambda^{-1}), \lambda^2(\lambda - \lambda^{-1}), \lambda^3(\lambda - \lambda^{-1}), \dots$$

From this basis we remove $1, \lambda$ to get a basis for L

Result follows. \square

LEM 69 For the \mathbb{F} -algebra S

(i) The subalgebra S^+ is generated by

$$R+R^{-1}, a_0^{\pm 1}, S_i$$

(ii) The \mathbb{F} -vector space S^+ has basis

$$(R+R^{-1})^i a_0^{\pm 1} S_i^k \quad i \in \mathbb{Z}, k \in \mathbb{N}$$

(iii) $S = S^+ + RS^+$ (ds of vector spaces)

pf S has basis

$$R^i a_0^{\pm 1} S_i^k \quad i, k \in \mathbb{Z}, k \in \mathbb{N}$$

So S has basis

$$\left\{ \begin{array}{ll} (R+R^{-1})^i a_0^{\pm 1} S_i^k & i \in \mathbb{Z}, k \in \mathbb{N} \quad (1) \\ (R+R^{-1})^i R a_0^{\pm 1} S_i^k & i \in \mathbb{Z}, k \in \mathbb{N} \quad (2) \end{array} \right.$$

obs $\text{Span}(1) \subseteq S^+$

Suf to show

$$\text{Span}(1) = S^+$$

Apply $a = \text{ad}(a_0)$ to $(1) \cup (2)$

$$(R+R^{-1})^i \Delta_0^j S_1^k \rightarrow 0$$

$$(R+R^{-1})^i R \Delta_0^j S_1^k \rightarrow (R+R^{-1})^i G \Delta_0^j S_1^k$$

show the following are lin indep:

$$(R+R^{-1})^i G \Delta_0^j S_1^k \quad j \in \mathbb{Z}, \quad i, k \in \mathbb{N} \quad \star$$

Easier to show stronger result that (1) $U \star$ are lin indep

obs

$$\begin{aligned} G &= R \Delta_0^{-1} + R^{-1} \Delta_0 - S_1 \\ &= \underbrace{(R+R^{-1}) \Delta_0 - S_1}_{\text{terms in Span}(1)} - R(\Delta_0 - \Delta_0^{-1}) \end{aligned}$$

To show (1) $U \star$ is lin indep, sut to show (1) $U \star \cup$

lin indep where

$$(R+R^{-1})^i R(\Delta_0 - \Delta_0^{-1}) \Delta_0^j S_1^k \quad j \in \mathbb{Z}, \quad i, k \in \mathbb{N} \quad \star$$

(1) $U \star$ is lin indep since (1) U (2) \cup lin indep \square

LEM 69A For the \mathbb{F} -algebra S

11

(i) $S^- = GS^+ = S^+G$

(ii) the \mathbb{F} -vector space S^- has basis

$$(R+R^{-1})^i G \Delta_0^{\pm 1} S_i^k \quad i, k \in \mathbb{Z}, k \in \mathbb{N}$$

(iii) The \mathbb{F} -algebra $S^+ + S^-$ is gen by

$$R+R^{-1}, G, \Delta_0^{\pm 1}, S_i$$

and also by

$$R+R^{-1}, R(\Delta_0 - \Delta_0^{-1}), \Delta_0^{\pm 1}, S_i$$

(iv) The following is a basis for a complement of $S^+ + S^-$ in S

$$\left\{ \begin{array}{l} (R+R^{-1})^i R S_i^k \\ (R+R^{-1})^i R \Delta_0 S_i^k \end{array} \right. \quad i, k \in \mathbb{N}$$

Pf

Recall

12

$$S = S^+ + RS^+ \quad ds$$

S^+ has basis

$$(R+R^{-1})^i \lambda_0^j S_i^k \quad i, k \in \mathbb{N}, j \in \mathbb{Z}$$

Obs S^+ has basis

$$(R+R^{-1})^i (\lambda_0 - \lambda_0^{-1}) \lambda_0^j S_i^k \quad i, k \in \mathbb{N}, j \in \mathbb{Z}$$

$$(R+R^{-1})^i (\lambda_0 - 1) S_i^k \quad i, k \in \mathbb{N}$$

$$(R+R^{-1})^i S_i^k \quad i, k \in \mathbb{N}$$

★

S has basis

$$(R+R^{-1})^i \lambda_0^j S_i^k \quad j \in \mathbb{Z}, i, k \in \mathbb{N} \quad (1)$$

$$(R+R^{-1})^i R (\lambda_0 - \lambda_0^{-1}) \lambda_0^j S_i^k \quad j \in \mathbb{Z}, i, k \in \mathbb{N} \quad (2)$$

$$(R+R^{-1})^i R (\lambda_0 - 1) S_i^k \quad i, k \in \mathbb{N} \quad (3)$$

$$(R+R^{-1})^i R S_i^k \quad i, k \in \mathbb{N} \quad (4)$$

We saw

$$G = \underbrace{(R+R^{-1})\lambda_0 - S_1 - R(\lambda_0 - \lambda_0^{-1})}_{\text{terms in } S^+}$$

So wlog in (2) replace $R(\lambda_0 - \lambda_0^{-1})$ by G

92

S has basis $(1) \cup (2') \cup (3) \cup (4)$ where ...

$$(R+R^{-1})^i G \Delta_0^j S_i^k \quad j \in \mathbb{Z}, \quad i, k \in \mathbb{N} \quad (2')$$

Obs $\text{span}(2') \subseteq S^-$

Suf to show

$$\text{Span}(2') = S^-$$

Define map

$$b: \begin{aligned} S &\rightarrow S \\ \Delta &\rightarrow \Delta_0 \Delta - \Delta \Delta_0^{-1} \end{aligned}$$

$S^- = \text{null space of } b$

Apply b to above basis for S

b sends

$$1 \rightarrow \Delta_0 - \Delta_0^{-1}$$

$$G \rightarrow 0$$

$$\begin{aligned} R &\rightarrow \Delta_0 R - R \Delta_0^{-1} = (R+R^{-1})\Delta_0 - S_i \\ &= (R+R^{-1})(\Delta_0^{-1}) + R+R^{-1} - S_i \end{aligned}$$

$$\begin{aligned} R(\Delta_0^{-1}) &\rightarrow (R+R^{-1})\Delta_0(\Delta_0^{-1}) - S_i(\Delta_0^{-1}) \\ &= (R+R^{-1})(\Delta_0 - \Delta_0^{-1})\Delta_0^{-1} - (R+R^{-1} + S_i)(\Delta_0^{-1}) \end{aligned}$$

type	basis vector	image under b
(1)	$(R+R^{-1})^i \Delta_0^j S_i^k$	$(R+R^{-1})^i (\Delta_0 - \Delta_0^{-1}) \Delta_0^j S_i^k$
(2')	$(R+R^{-1})^i G \Delta_0^j S_i^k$	0
(3)	$(R+R^{-1})^i R (\Delta_0^{-1}) S_i^k$	$(R+R^{-1})^{i+1} (\Delta_0 - \Delta_0^{-1}) \Delta_0 S_i^k$ $- (R+R^{-1})^{i+1} (\Delta_0^{-1}) S_i^k$ $- (R+R^{-1})^i (\Delta_0^{-1}) S_i^{k+1}$
(4)	$(R+R^{-1})^i R S_i^k$	$(R+R^{-1})^{i+1} (\Delta_0^{-1}) S_i^k$ $+ (R+R^{-1})^{i+1} S_i^k - (R+R^{-1})^i S_i^{k+1}$

Obs for each vector in (1) \cup (3) \cup (4)

the image under b is in S^+

Write these images in terms of the basis for S^+

We find these images are non indep.

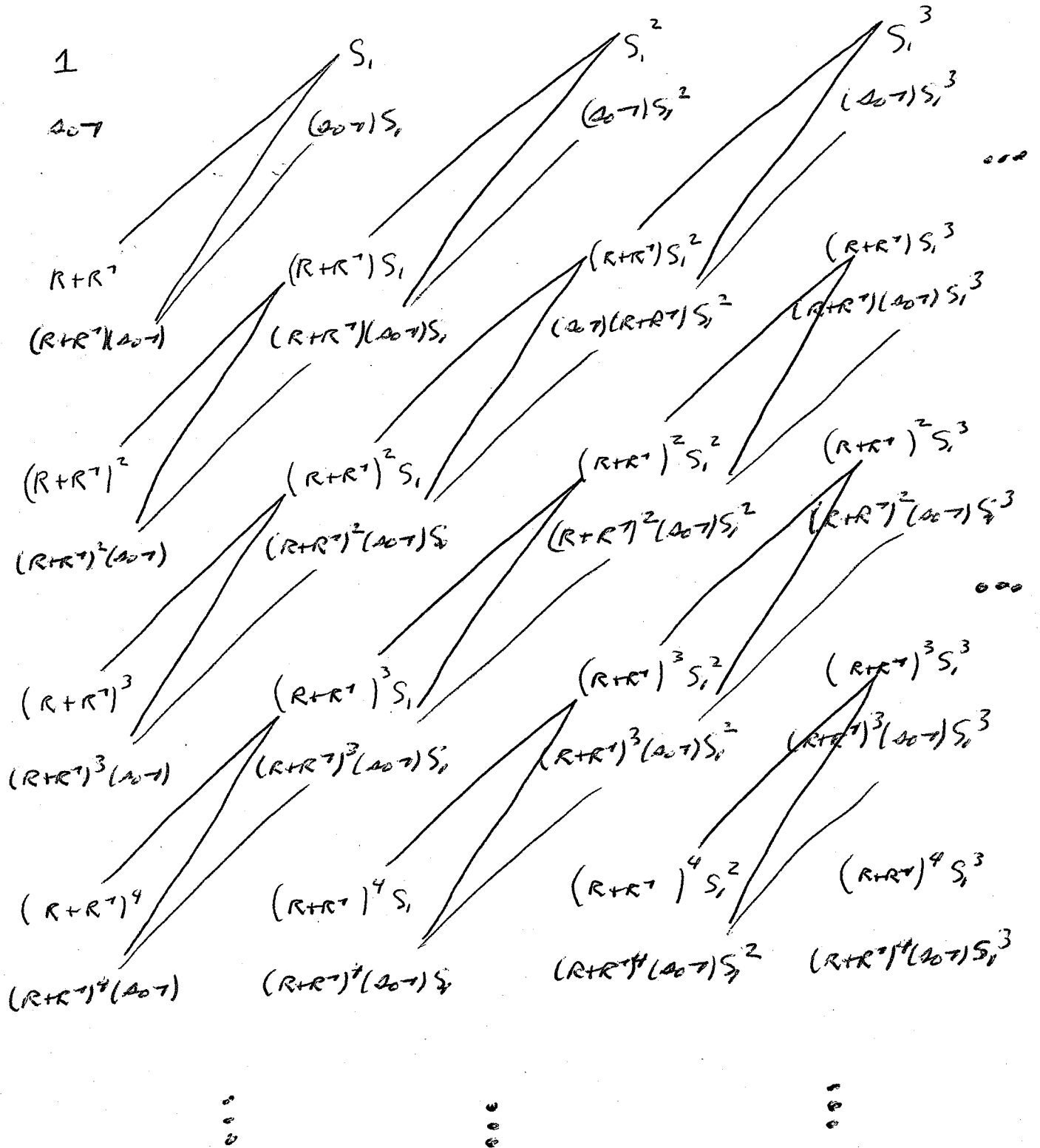
Therefore

$$S^- = \text{null sp of } b$$

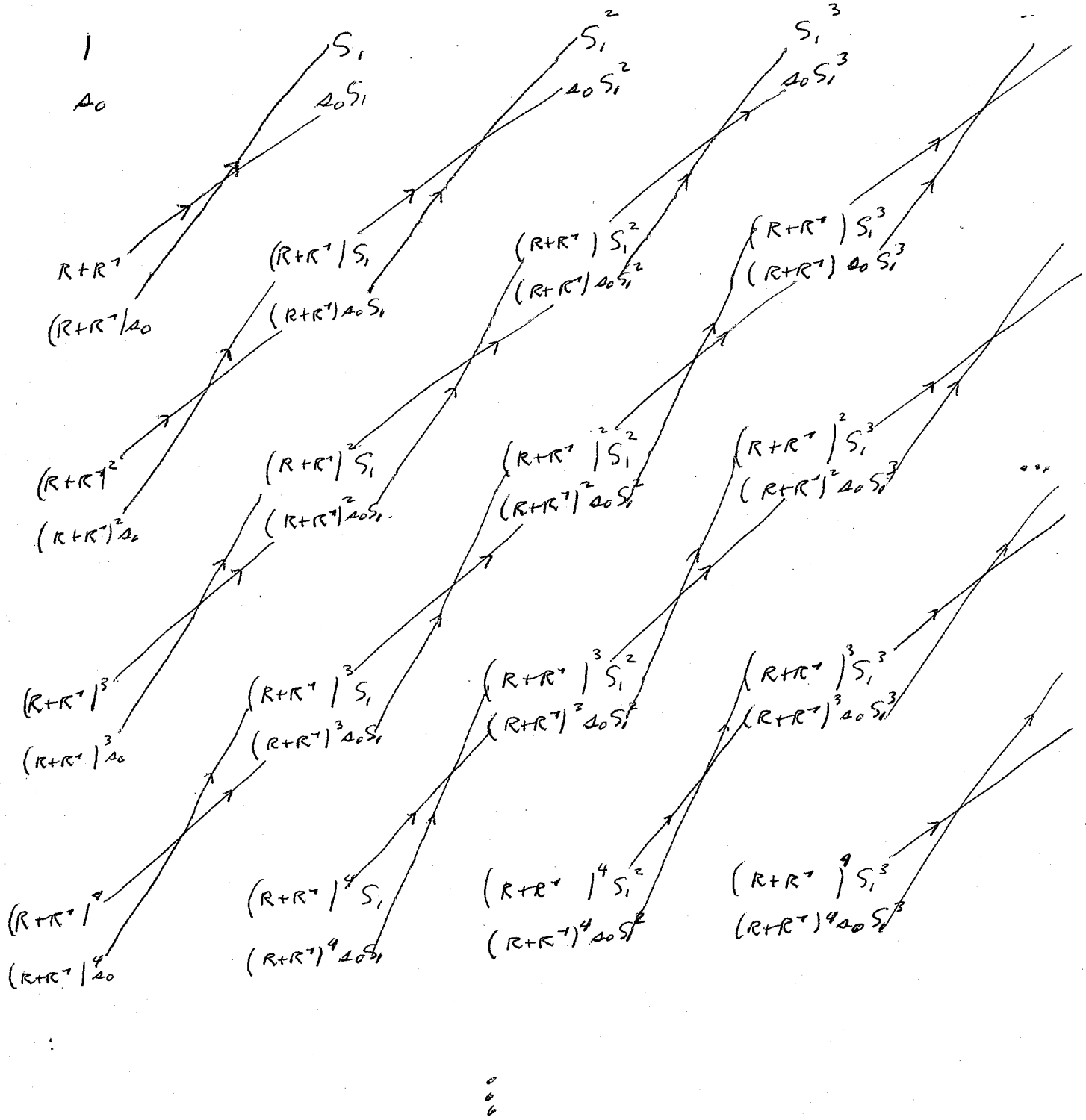
$$= \text{Span}(2')$$

Result follows.

□



1
 A_0



- S is domain

- maps a, b sat $ab = ba = 0$

- S^- is image of S under a

✓ $S = S^+ + RS^+ \quad ds$

- a sub $RS^+ \rightarrow GS^+ = S^-$

$(R+R^{-1})^{-1} R^{-1} S_i^k \rightarrow (R+R^{-1})^{-1} G^{-1} S_i^k$