

**Definition 5.3.** By Lemma 5.2 the matrices  $\{B_i\}_{i=0}^d$  form a basis for a commutative subalgebra  $\mathcal{B}$  of  $M_{d+1}(\mathbb{C})$ . We call  $\mathcal{B}$  the *intersection algebra* of  $\mathcal{X}$ .

**Theorem 5.4.** *There exists an algebra isomorphism  $\mathcal{M} \rightarrow \mathcal{B}$  that sends  $A_i \mapsto B_i$  for  $0 \leq i \leq d$ .*

*Proof.* Clear from Lemma 5.2(iv). □

## Lecture 7

Let us recall some linear algebra. For the moment, let  $W$  denote any finite-dimensional vector space over  $\mathbb{C}$ , and let  $\{w_i\}_{i=1}^n$  denote a basis for  $W$ . Let  $A : W \rightarrow W$  denote a  $\mathbb{C}$ -linear map. There exists a unique  $n \times n$  matrix  $B$  such that

$$Aw_j = \sum_{i=1}^n B_{i,j} w_i \quad (1 \leq j \leq n).$$

We say that  $B$  represents  $A$  with respect to  $\{w_i\}_{i=1}^n$ . Let  $\{w'_i\}_{i=1}^n$  denote a second basis for  $W$ . There exists a unique  $n \times n$  matrix  $S$  such that

$$w'_j = \sum_{i=1}^n S_{i,j} w_i \quad (1 \leq j \leq n).$$

The matrix  $S$  is invertible. We call  $S$  the *transition matrix* from  $\{w_i\}_{i=1}^n$  to  $\{w'_i\}_{i=1}^n$ . By linear algebra, the matrix  $S^{-1}BS$  represents  $A$  with respect to  $\{w'_i\}_{i=1}^n$ .

We return our attention to  $\mathcal{X} = (X, \{R_i\}_{i=0}^d)$ . The Bose-Mesner algebra  $\mathcal{M}$  has bases  $\{A_i\}_{i=0}^d$  and  $\{E_i\}_{i=0}^d$ . Recall the first and second eigenmatrices  $P, Q$ . Then  $P$  is the transition matrix from  $\{E_i\}_{i=0}^d$  to  $\{A_i\}_{i=0}^d$ . Moreover,  $|X|^{-1}Q$  is the transition matrix from  $\{A_i\}_{i=0}^d$  to  $\{E_i\}_{i=0}^d$ .

For  $A \in \mathcal{M}$ , there exists a  $\mathbb{C}$ -linear map  $L_A : \mathcal{M} \rightarrow \mathcal{M}$  that sends  $B \mapsto AB$  for all  $B \in \mathcal{M}$ .

**Theorem 5.5.** *With the above notation, the following (i)–(iv) hold for  $0 \leq i \leq d$ :*

- (i)  $B_i^t$  represents  $L_{A_i}$  with respect to the basis  $\{A_\ell\}_{\ell=0}^d$ ;
- (ii) the matrix  $\text{diag}(P_i(0), P_i(1), \dots, P_i(d))$  represents  $L_{A_i}$  with respect to  $\{E_\ell\}_{\ell=0}^d$ ;
- (iii)  $PB_i^tP^{-1} = \text{diag}(P_i(0), P_i(1), \dots, P_i(d))$ ;
- (iv) the scalars  $P_i(0), P_i(1), \dots, P_i(d)$  are the roots of the characteristic polynomial of  $B_i$ .

*Proof.* (i) By Definition 5.1 and

$$A_i A_j = \sum_{k=0}^d p_{i,j}^k A_k \quad (0 \leq j \leq d).$$

- (ii) Since  $A_i E_j = P_i(j) E_j$  for  $0 \leq j \leq d$ .
- (iii) By (i), (ii) and the comments above the theorem statement.
- (iv)  $B_i$  and  $B_i^t$  have the same characteristic polynomial. The result follows in view of (iii). □

**Definition 5.6.** For  $0 \leq i \leq d$ , define a matrix  $B_i^* \in M_{d+1}(\mathbb{C})$  with  $(j, k)$ -entry  $q_{i,j}^k$  for  $0 \leq j, k \leq d$ . We call  $B_i^*$  the  $i^{\text{th}}$  dual intersection matrix of  $\mathcal{X}$ .

**Lemma 5.7.** *The following (i)–(vi) hold.*

- (i)  $B_0^* = I$ .
- (ii) For  $0 \leq i \leq d$ , the top row of  $B_i^*$  is  $(0, \dots, 0, 1, 0, \dots, 0)$ , with the 1 in column  $i$ .
- (iii)  $\{B_i^*\}_{i=0}^d$  are linearly independent.
- (iv) For  $0 \leq i, j \leq d$ ,

$$B_i^* B_j^* = \sum_{k=0}^d q_{i,j}^k B_k^*.$$

- (v)  $B_i^* B_j^* = B_j^* B_i^*$  for  $0 \leq i, j \leq d$ .
- (vi) For  $0 \leq i \leq d$  we have  $(B_i^*)^t = M^{-1} B_i^* M$  where  $M = \text{diag}(m_0, m_1, \dots, m_d)$ .

*Proof.* Similar to the proof of Lemma 5.2. □

**Definition 5.8.** By Lemma 5.7 the matrices  $\{B_i^*\}_{i=0}^d$  form a basis for a commutative subalgebra  $\mathcal{B}^*$  of  $M_{d+1}(\mathbb{C})$ . We call  $\mathcal{B}^*$  the dual intersection algebra of  $\mathcal{X}$ .

**Definition 5.9.** Let  $\mathcal{M}^\circ$  denote the algebra over  $\mathbb{C}$  consisting of the vector space  $\mathcal{M}$  together with the Hadamard multiplication  $\circ$ . The algebra  $\mathcal{M}^\circ$  is commutative. Note that  $J$  is the multiplicative identity in  $\mathcal{M}^\circ$ .

**Theorem 5.10.** *There exists an algebra isomorphism  $\mathcal{M}^\circ \rightarrow \mathcal{B}^*$  that sends  $E_i \mapsto |X|^{-1} B_i^*$  for  $0 \leq i \leq d$ .*

*Proof.* For  $0 \leq i, j \leq d$  we have

$$E_i \circ E_j = |X|^{-1} \sum_{k=0}^d q_{i,j}^k E_k.$$

Compare this with Lemma 5.7(iv). □

For  $A \in \mathcal{M}^\circ$ , there exists a  $\mathbb{C}$ -linear map  $L_A^\circ : \mathcal{M}^\circ \rightarrow \mathcal{M}^\circ$  that sends  $B \mapsto A \circ B$  for all  $B \in \mathcal{M}^\circ$ .

**Theorem 5.11.** *With the above notation, the following (i)–(iv) hold for  $0 \leq i \leq d$ :*

- (i)  $|X|^{-1} (B_i^*)^t$  represents  $L_{E_i}^\circ$  with respect to the basis  $\{E_\ell\}_{\ell=0}^d$ ;
- (ii) the matrix  $|X|^{-1} \text{diag}(Q_i(0), Q_i(1), \dots, Q_i(d))$  represents  $L_{E_i}^\circ$  with respect to  $\{A_\ell\}_{\ell=0}^d$ ;
- (iii)  $Q(B_i^*)^t Q^{-1} = \text{diag}(Q_i(0), Q_i(1), \dots, Q_i(d))$ ;

(iv) the scalars  $Q_i(0), Q_i(1), \dots, Q_i(d)$  are the roots of the characteristic polynomial of  $B_i^*$ .

*Proof.* (i) By Definition 5.6 and

$$E_i \circ E_j = \sum_{k=0}^d q_{i,j}^k E_k \quad (0 \leq j \leq d).$$

(ii) We have

$$E_i = |X|^{-1} \sum_{j=0}^d Q_i(j) A_j.$$

Therefore

$$E_i \circ A_j = |X|^{-1} Q_i(j) A_j \quad (0 \leq j \leq d).$$

The result follows.

(iii) By (i), (ii) and since  $|X|^{-1}Q$  is the transition matrix from the basis  $\{A_\ell\}_{\ell=0}^d$  to the basis  $\{E_\ell\}_{\ell=0}^d$ .

(iv)  $B_i^*$  and  $(B_i^*)^t$  have the same characteristic polynomial. The result follows in view of (iii).  $\square$

We have a comment.

**Proposition 5.12.** *We have  $KQ = \overline{P}^t M$ , where*

$$K = \text{diag}(k_0, k_1, \dots, k_d), \quad M = \text{diag}(m_0, m_1, \dots, m_d).$$

*Proof.* We saw earlier that  $k_i Q_j(i) = \overline{P_i(j)} m_j$  for  $0 \leq i, j \leq d$ .  $\square$

## 6 The dual Bose-Mesner algebra and the subconstituent algebra

Throughout this section, we assume that  $\mathcal{X} = (X, \{R_i\}_{i=0}^d)$  is a commutative association scheme with Bose-Mesner algebra  $\mathcal{M}$ , associate matrices  $\{A_i\}_{i=0}^d$ , and primitive idempotents  $\{E_i\}_{i=0}^d$ . Recall the standard module  $V = \mathbb{C}^X$ . For  $y \in X$  define  $\hat{y} \in V$  that has  $y$ -entry 1 and all other entries 0. The vectors  $\{\hat{y}\}_{y \in X}$  is an orthonormal basis for  $V$ . We have

$$1 = \sum_{y \in X} \hat{y}.$$

For  $z \in X$ ,

$$A_i \hat{z} = \sum_{(y,z) \in R_i} \hat{y} \quad (0 \leq i \leq d).$$

**Definition 6.1.** Throughout this section, we fix a vertex  $x \in X$ . We call  $x$  the *base vertex*.

**Definition 6.2.** For  $0 \leq i \leq d$  we define a diagonal matrix  $E_i^* = E_i^*(x)$  in  $M_X(\mathbb{C})$  that has  $(y, y)$ -entry

$$E_i^*(y, y) = \begin{cases} 1 & \text{if } (x, y) \in R_i; \\ 0 & \text{if } (x, y) \notin R_i \end{cases} \quad y \in X.$$

**Lemma 6.3.** With reference to Definition 6.2,

- (i)  $E_i^* E_j^* = \delta_{i,j} E_i^*$  ( $0 \leq i, j \leq d$ );
- (ii)  $I = \sum_{i=0}^d E_i^*$ ;
- (iii) the matrices  $\{E_i^*\}_{i=0}^d$  are linearly independent.

*Proof.* By Definition 6.2. □

**Definition 6.4.** By Lemma 6.3, the matrices  $\{E_i^*\}_{i=0}^d$  form a basis for a commutative subalgebra  $\mathcal{M}^* = \mathcal{M}^*(x)$  of  $M_X(\mathbb{C})$ . We call  $\mathcal{M}^*$  the *dual Bose-Mesner algebra of  $\mathcal{X}$  with respect to  $x$* . We call  $E_i^*$  the  $i^{\text{th}}$  *dual primitive idempotent of  $\mathcal{X}$  with respect to  $x$* .

**Lemma 6.5.** We have

$$V = \sum_{i=0}^d E_i^* V \quad (\text{orthogonal direct sum}).$$

For  $0 \leq i \leq d$  the subspace  $E_i^* V$  is a common eigenspace for  $\mathcal{M}^*$ , and  $E_i^*$  is the projection onto this eigenspace. The subspace  $E_i^* V$  has basis  $\{\hat{y} \mid y \in \Gamma_i(x)\}$ . Moreover  $k_i = \dim E_i^* V$ . The vector  $\hat{x}$  is a basis for  $E_0^* V$ .

*Proof.* Routine consequence of Definition 6.2. □

Referring to Lemma 6.5, we call  $E_i^* V$  the  $i^{\text{th}}$  *subconstituent of  $\mathcal{X}$  with respect to  $x$* .

Next we describe how the algebras  $\mathcal{M}^\circ$  and  $\mathcal{M}^*$  are related.

**Lemma 6.6.** There exists an algebra isomorphism  $\natural : \mathcal{M}^\circ \rightarrow \mathcal{M}^*$  that sends  $A_i \mapsto E_i^*$  for  $0 \leq i \leq d$ .

*Proof.* For  $0 \leq i, j \leq d$  we have  $A_i \circ A_j = \delta_{i,j} A_i$  and  $E_i^* E_j^* = \delta_{i,j} E_i^*$ . □

We emphasize the nature of  $\natural$ . For  $A, B \in \mathcal{M}$  we have

$$(A \circ B)^\natural = A^\natural B^\natural. \quad (23)$$

**Lemma 6.7.** For  $A \in \mathcal{M}$ ,

$$(A^\natural)_{y,y} = A_{x,y} \quad (y \in X). \quad (24)$$

*Proof.* Without loss, we may assume that  $A$  is an associate matrix  $A_i$ . In this case  $A^{\natural} = E_i^*$ . Now (24) holds by the definitions of  $A_i$  and  $E_i^*$ .  $\square$

**Definition 6.8.** For  $0 \leq i \leq d$  let  $A_i^* \in \mathcal{M}^*$  be the image of  $|X|E_i$  under the map  $\natural$  from Lemma 6.6. We call  $A_i^*$  the  $i^{\text{th}}$  dual associate matrix of  $\mathcal{X}$  with respect to  $x$ .

**Lemma 6.9.** For  $0 \leq i \leq d$ ,

$$(A_i^*)_{y,y} = |X|(E_i)_{x,y} \quad (y \in X).$$

*Proof.* By Lemma 6.7 with  $A = |X|E_i$ .  $\square$

**Lemma 6.10.** The matrices  $\{A_i^*\}_{i=0}^d$  form a basis for  $\mathcal{M}^*$ . Moreover

$$A_i^* = \sum_{j=0}^d Q_i(j) E_j^* \quad (0 \leq i \leq d), \quad (25)$$

$$E_i^* = |X|^{-1} \sum_{j=0}^d P_i(j) A_j^* \quad (0 \leq i \leq d). \quad (26)$$

*Proof.* The first assertion holds because  $\natural : \mathcal{M}^\circ \rightarrow \mathcal{M}^*$  is a bijection and  $\{E_i\}_{i=0}^d$  is a basis for  $\mathcal{M}^\circ$ . To get (25), (26) we apply  $\natural$  to each side of (10), (11).  $\square$

**Lemma 6.11.** For  $0 \leq i, j \leq d$  the scalar  $Q_i(j)$  is the eigenvalue of  $A_i^*$  associated to the common eigenspace  $E_j^*V$  of  $\mathcal{M}^*$ .  $\square$

*Proof.* By (25).  $\square$

**Proposition 6.12.** The following (i)–(iv) hold:

- (i)  $A_0^* = I$ ;
- (ii)  $|X|E_0^* = \sum_{i=0}^d A_i^*$ ;
- (iii)  $\overline{A_i^*} = A_i^* \quad (0 \leq i \leq d)$ ;
- (iv) for  $0 \leq i, j \leq d$ ,

$$A_i^* A_j^* = \sum_{k=0}^d q_{i,j}^k A_k^*.$$

*Proof.* (i) We have

$$A_0^* = |X|(E_0)^{\natural} = J^{\natural} = I.$$

(ii) We have

$$\sum_{i=0}^d A_i^* = |X|(E_0 + E_1 + \cdots + E_d)^{\natural} = |X|I^{\natural} = |X|E_0^*.$$

(iii) We have

$$\overline{A_i^*} = |X|(\overline{E_i})^\natural = |X|(\overline{E_i})^\natural = |X|(E_i)^\natural = A_i^*.$$

(iv) Apply  $\natural$  to each side of

$$E_i \circ E_j = |X|^{-1} \sum_{k=0}^d q_{i,j}^k E_k.$$

□

Next, we consider how  $\mathcal{M}$  and  $\mathcal{M}^*$  are related.

**Definition 6.13.** Let  $T = T(x)$  denote the subalgebra of  $M_X(\mathbb{C})$  generated by  $\mathcal{M}$  and  $\mathcal{M}^*$ . We call  $T$  the *subconstituent algebra of  $\mathcal{X}$  with respect to  $x$* .

We have some comments. By construction, the algebra  $T$  is finite-dimensional. Moreover  $T$  is noncommutative in general. The algebra  $T$  is closed under both the transpose map and complex-conjugation, because  $\mathcal{M}$  and  $\mathcal{M}^*$  are closed under both the transpose map and complex-conjugation.

We are going to show that for  $0 \leq \alpha, \beta, \gamma \leq d$ ,

$$\begin{aligned} E_\alpha^* A_\beta E_\gamma^* &= 0 \text{ iff } p_{\alpha,\beta}^\gamma = 0; \\ E_\alpha A_\beta^* E_\gamma &= 0 \text{ iff } q_{\alpha,\beta}^\gamma = 0. \end{aligned}$$

The above equations are called the *triple product relations*.

To obtain the triple product relations, we endow the vector space  $M_X(\mathbb{C})$  with a bilinear form  $(, )$  such that  $(A, B) = \text{tr}(A^t \overline{B})$  for all  $A, B \in M_X(\mathbb{C})$ . Abbreviate  $\|A\|^2 = (A, A)$ . For  $A, B, C \in M_X(\mathbb{C})$  and  $\alpha \in \mathbb{C}$ , we have

$$\begin{aligned} (B, A) &= \overline{(A, B)}, & (\alpha A, B) &= \alpha(A, B), \\ (A + B, C) &= (A, C) + (B, C), & \|A\|^2 &\in \mathbb{R}, \\ \|A\|^2 &\geq 0, & \|A\|^2 = 0 &\text{ iff } A = 0, \\ (AB, C) &= (B, \overline{A^t} C) = (A, C \overline{B^t}). \end{aligned}$$

**Lemma 6.14.** For  $0 \leq \alpha, \beta, \gamma, i, j, k \leq d$  we have

- (i)  $(E_\alpha^* A_\beta E_\gamma^*, E_i^* A_j E_k^*) = \delta_{\alpha,i} \delta_{\beta,j} \delta_{\gamma,k} k_\gamma p_{\alpha,\beta}^\gamma;$
- (ii)  $(E_\alpha A_\beta^* E_\gamma, E_i A_j^* E_k) = \delta_{\alpha,i} \delta_{\beta,j} \delta_{\gamma,k} m_\gamma q_{\alpha,\beta}^\gamma.$

*Proof.* (i) Using  $\text{tr}(BC) = \text{tr}(CB)$ ,

$$\begin{aligned} (E_\alpha^* A_\beta E_\gamma^*, E_i^* A_j E_k^*) &= \text{tr}((E_\alpha^* A_\beta E_\gamma^*)^t \overline{E_i^* A_j E_k^*}) \\ &= \text{tr}(E_\gamma^* A_\beta E_\alpha E_i^* A_j E_k^*) \\ &= \delta_{\alpha,i} \delta_{\gamma,k} \text{tr}(E_\gamma^* A_\beta E_\alpha A_j) \end{aligned}$$