

## 4 Character tables for commutative association schemes

Throughout this section, we assume that  $\mathcal{X} = (X, \{R_i\}_{i=0}^d)$  is a commutative association scheme with Bose-Mesner algebra  $\mathcal{M}$ , associate matrices  $\{A_i\}_{i=0}^d$ , and primitive idempotents  $\{E_i\}_{i=0}^d$ . Recall the standard module  $V = \mathbb{C}^X$ . Define the vector  $\mathbf{1} \in V$  that has all entries 1.

**Lemma 4.1.** *We have*

$$V = \sum_{i=0}^d E_i V \quad (\text{orthogonal direct sum}).$$

For  $0 \leq i \leq d$  the subspace  $E_i V$  is a common eigenspace for  $\mathcal{M}$ , and  $E_i$  is the projection onto this eigenspace. Moreover  $\mathbf{1}$  is a basis for  $E_0 V$ .

*Proof.* Routine consequence of Proposition 3.7. □

The Bose-Mesner algebra  $\mathcal{M}$  has a basis  $\{A_i\}_{i=0}^d$  and a basis  $\{E_i\}_{i=0}^d$ . Let us consider how these bases are related. We have

$$A_i = \sum_{j=0}^d P_i(j) E_j \quad (0 \leq i \leq d), \quad (10)$$

$$E_i = |X|^{-1} \sum_{j=0}^d Q_i(j) A_j \quad (0 \leq i \leq d), \quad (11)$$

$$P_i(j) \in \mathbb{C}, \quad Q_i(j) \in \mathbb{C} \quad (0 \leq i, j \leq d). \quad (12)$$

For  $0 \leq i, j \leq d$  the scalar  $P_i(j)$  is the eigenvalue of  $A_i$  for the common eigenspace  $E_j V$ .

Let  $M_{d+1}(\mathbb{C})$  denote the algebra over  $\mathbb{C}$  consisting of the  $d+1$  by  $d+1$  matrices that have all entries in  $\mathbb{C}$ . We index the rows and columns by  $0, 1, \dots, d$ . Define  $P \in M_{d+1}(\mathbb{C})$  that has  $(i, j)$ -entry  $P_j(i)$  for  $0 \leq i, j \leq d$ . Define  $Q \in M_{d+1}(\mathbb{C})$  that has  $(i, j)$ -entry  $Q_j(i)$  for  $0 \leq i, j \leq d$ . By construction,

$$PQ = |X|I = QP. \quad (13)$$

We call  $P$  the *first eigenmatrix* (or *first character table*) of  $\mathcal{X}$ . We call  $Q$  the *second eigenmatrix* (or *second character table*) of  $\mathcal{X}$ .

## Lecture 5

**Lemma 4.2.** *The following hold for  $0 \leq i \leq d$ :*

- (i)  $\text{tr}(A_i) = \delta_{i,0}|X|$ ;
- (ii)  $A_i J = J A_i = k_i J$ .

*Proof.* (i) We have  $A_0 = I$ . For  $1 \leq i \leq d$  the diagonal entries of  $A_i$  are zero.

(ii) The matrix  $A_i$  has constant row sum  $k_i$  by the definition of  $k_i$ . The matrix  $A_i$  has constant column sum  $k_i$  because  $A_i^t = A_i$  and  $k_i = k_i$ .  $\square$

Define

$$m_i = \dim E_i V \quad (0 \leq i \leq d). \quad (14)$$

**Lemma 4.3.** *We have*

- (i)  $m_0 = 1$ ;
- (ii)  $m_i = m_i \quad (0 \leq i \leq d)$ ;
- (iii)  $|X| = \sum_{i=0}^d m_i$ ;
- (iv)  $m_i \neq 0 \quad (0 \leq i \leq d)$ .

*Proof.* (i)  $E_0$  has basis 1.

(ii) Note that  $m_i$  is equal to the rank of  $E_i$ . The matrices  $E_i, E_i^t$  have the same rank, and  $E_i^t = E_i$ .

(iii) By Lemma 4.1 and (14).

(iv) By construction.  $\square$

**Lemma 4.4.** *The following hold for  $0 \leq i \leq d$ :*

- (i)  $\text{tr}(E_i) = m_i$ ;
- (ii)  $E_i J = J E_i = \delta_{i,0} J$ .

*Proof.* (i) The matrix  $E_i$  is similar to a diagonal matrix that has  $m_i$  diagonal entries 1 and all other diagonal entries 0.

(ii) Use  $E_i E_0 = E_0 E_i = \delta_{i,0} E_0$  and  $E_0 = |X|^{-1} J$ .  $\square$

**Proposition 4.5.** *The following hold for  $0 \leq i \leq d$ :*

- (i)  $P_0(i) = 1$ ;
- (ii)  $P_i(0) = k_i$ ;
- (iii)  $Q_0(i) = 1$ ;
- (iv)  $Q_i(0) = m_i$ .

*Proof.* (i) Since  $A_0 = I = \sum_{i=0}^d E_i$ .

(ii) In the equation  $A_i = \sum_{j=0}^d P_i(j) E_j$ , multiply each side on the right by  $E_0$  and simplify using  $A_i E_0 = k_i E_0$ .

(iii) Since  $|X| E_0 = J = \sum_{i=0}^d A_i$ .

(iv) In the equation  $E_i = |X|^{-1} \sum_{j=0}^d Q_i(j) A_j$ , take the trace of each side and evaluate the result using Lemmas 4.2, 4.4.  $\square$

**Theorem 4.6.** *The following hold for  $0 \leq i, j \leq d$ :*

- (i)  $P_{i'}(j) = \overline{P_i(j)}$ ;
- (ii)  $Q_i(j) = \overline{Q_i(j)}$ ;
- (iii)  $\frac{Q_j(i)}{m_j} = \frac{\overline{P_i(j)}}{k_i}$ ;
- (iv)  $\sum_{\ell=0}^d P_\ell(i) \overline{P_\ell(j)} k_\ell^{-1} = \delta_{i,j} |X| m_i^{-1}$  (first orthogonality relation);
- (v)  $\sum_{\ell=0}^d P_i(\ell) \overline{P_j(\ell)} m_\ell = \delta_{i,j} |X| k_i$  (second orthogonality relation).

*Proof.* (i) In the equation  $A_i = \sum_{j=0}^d P_i(j) E_j$ , take the conjugate-transpose of each side and simplify the result using  $\overline{E_j^t} = E_j$  along with  $A_i^t = A_{i'} = \sum_{j=0}^d P_{i'}(j) E_j$ .

(ii) In the equation  $E_i = |X|^{-1} \sum_{j=0}^d Q_i(j) A_j$ , take the complex conjugate of each side and simplify the result using  $\overline{E_i} = E_i = |X|^{-1} \sum_{j=0}^d Q_i(j) A_j$ .

(iii) We compute the trace of  $A_{i'} E_j$  in two ways. On one hand,

$$\text{tr}(A_{i'} E_j) = P_{i'}(j) \text{tr}(E_j) = \overline{P_i(j)} \text{tr}(E_j) = \overline{P_i(j)} m_j.$$

On the other hand,

$$\begin{aligned} \text{tr}(A_{i'} E_j) &= |X|^{-1} \text{tr} \left( A_{i'} \sum_{\ell=0}^d Q_j(\ell) A_\ell \right) = |X|^{-1} \text{tr} \left( \sum_{\ell=0}^d Q_j(\ell) \sum_{h=0}^d p_{i',\ell}^h A_h \right) \\ &= \sum_{\ell=0}^d Q_j(\ell) p_{i',\ell}^0 = \sum_{\ell=0}^d Q_j(\ell) \delta_{i,\ell} k_i = Q_j(i) k_i. \end{aligned}$$

(iv) In the equation  $PQ = |X|I$ , compute the  $(i, j)$ -entry of each side, and evaluate the result using (iii) above.

(v) In the equation  $QP = |X|I$ , compute the  $(j, i)$ -entry of each side, and evaluate the result using (iii) above.  $\square$

**Theorem 4.7.** *The following hold for  $0 \leq i, j, \ell \leq d$ :*

- (i)  $P_i(\ell) P_j(\ell) = \sum_{k=0}^d p_{i,j}^k P_k(\ell)$ ;
- (ii)  $Q_i(\ell) Q_j(\ell) = \sum_{k=0}^d q_{i,j}^k Q_k(\ell)$ ;
- (iii)  $P_i(j) Q_j(\ell) = \sum_{k=0}^d p_{i,k}^\ell Q_j(k)$ .

*Proof.* (i) In the equation  $A_i A_j = \sum_{k=0}^d p_{i,j}^k A_k$ , write each side as a linear combination of the primitive idempotents using (10).

(ii) In the equation  $E_i \circ E_j = |X|^{-1} \sum_{k=0}^d q_{i,j}^k E_k$ , write each side as a linear combination of the associate matrices using (11).

(iii) By (i) above,

$$P_{i'}(j) P_\ell(j) = \sum_{k=0}^d p_{i',\ell}^k P_k(j).$$

In this equation, eliminate  $P_\ell(j)$  and  $P_k(j)$  using Theorem 4.6(iii), and simplify the result using Proposition 3.2(vi).  $\square$

Our next goal is to compute the intersection numbers and Krein parameters from the character tables.

**Lemma 4.8.** *For  $0 \leq i, j, \ell \leq d$  we have*

$$p_{i,j}^\ell = |X|^{-1} k_\ell^{-1} \text{tr}(A_i A_j A_{\ell'}); \quad (15)$$

$$q_{i,j}^\ell = |X| m_\ell^{-1} \text{tr}((E_i \circ E_j) E_\ell). \quad (16)$$

*Proof.* Concerning (15), we have

$$\begin{aligned} \text{tr}(A_i A_j A_{\ell'}) &= \text{tr}\left(\sum_{h=0}^d p_{i,j}^h A_h A_{\ell'}\right) = \text{tr}\left(\sum_{h=0}^d \sum_{\nu=0}^d p_{i,j}^h p_{h,\ell'}^\nu A_\nu\right) \\ &= |X| \sum_{h=0}^d p_{i,j}^h p_{h,\ell'}^0 = |X| \sum_{h=0}^d p_{i,j}^h \delta_{h,\ell} k_\ell = |X| k_\ell p_{i,j}^\ell. \end{aligned}$$

Concerning (16), we have

$$\text{tr}((E_i \circ E_j) E_\ell) = \text{tr}\left(|X|^{-1} \sum_{h=0}^d q_{i,j}^h E_h E_\ell\right) = |X|^{-1} q_{i,j}^\ell \text{tr}(E_\ell) = |X|^{-1} m_\ell q_{i,j}^\ell.$$

$\square$

**Lemma 4.9.** *We have  $q_{i,j}^\ell \in \mathbb{R}$  for  $0 \leq i, j, \ell \leq d$ .*

*Proof.* By (16) we have

$$\begin{aligned} |X|^{-1} m_\ell q_{i,j}^\ell &= \text{tr}((\overline{E_i \circ E_j}) \overline{E_\ell}) = \text{tr}((E_i^t \circ E_j^t) E_\ell^t) = \text{tr}((E_i \circ E_j)^t E_\ell^t) \\ &= \text{tr}(E_\ell (E_i \circ E_j))^t = \text{tr}(E_\ell (E_i \circ E_j)) = \text{tr}((E_i \circ E_j) E_\ell) = |X|^{-1} m_\ell q_{i,j}^\ell. \end{aligned}$$

$\square$

**Theorem 4.10.** *For  $0 \leq i, j, \ell \leq d$  we have*

$$p_{i,j}^\ell = |X|^{-1} k_\ell^{-1} \sum_{\nu=0}^d P_i(\nu) P_j(\nu) \overline{P_\ell(\nu)} m_\nu \quad (17)$$

$$= |X|^{-1} k_i k_j \sum_{\nu=0}^d Q_\nu(i) Q_\nu(j) \overline{Q_\nu(\ell)} m_\nu^{-2}; \quad (18)$$

$$q_{i,j}^\ell = |X|^{-1} m_\ell^{-1} \sum_{\nu=0}^d Q_i(\nu) Q_j(\nu) \overline{Q_\ell(\nu)} k_\nu \quad (19)$$

$$= |X|^{-1} m_i m_j \sum_{\nu=0}^d P_\nu(i) P_\nu(j) \overline{P_\nu(\ell)} k_\nu^{-2}. \quad (20)$$

*Proof.* To get (17), eliminate the associate matrices in (15) using (10), and simplify the result. To get (18), evaluate (17) using Theorem 4.6(iii), and use the fact that  $p_{i,j}^\ell$  is real. To get (19), eliminate the primitive idempotents  $E_i, E_j$  in (16) using (11), and simplify the result. To get (20), evaluate (19) using Theorem 4.6(iii), and use the fact that  $q_{i,j}^\ell$  is real.  $\square$

We will use the following fact from linear algebra.

**Lemma 4.11.** For  $A, B, C \in M_X(\mathbb{C})$ ,

$$\begin{aligned} \sum_{x \in X} \sum_{y \in X} A_{x,y} B_{x,y} C_{x,y} &= \text{tr}((A \circ B)C^t) = \text{tr}((B \circ C)A^t) = \text{tr}((C \circ A)B^t) \\ &= \text{tr}((A^t \circ B^t)C) = \text{tr}((B^t \circ C^t)A) = \text{tr}((C^t \circ A^t)B). \end{aligned}$$

*Proof.* Use matrix multiplication.  $\square$

**Proposition 4.12.** We have

- (i)  $q_{i,0}^k = \delta_{i,k}$   $(0 \leq i, k \leq d)$ ;
- (ii)  $q_{0,j}^k = \delta_{j,k}$   $(0 \leq j, k \leq d)$ ;
- (iii)  $q_{i,j}^0 = \delta_{i,j} m_i$   $(0 \leq i, j \leq d)$ ;
- (iv)  $q_{i,j}^k = q_{i,j}^k$   $(0 \leq i, j, k \leq d)$ ;
- (v)  $m_i = \sum_{j=0}^d q_{i,j}^k$   $(0 \leq i, k \leq d)$ ;
- (vi)  $m_\ell q_{i,j}^\ell = m_i q_{\ell,j}^i = m_j q_{i,\ell}^j$   $(0 \leq i, j, \ell \leq d)$ ;
- (vii)  $\sum_{\alpha=0}^d q_{i,j}^\alpha q_{k,\alpha}^\ell = \sum_{\alpha=0}^d q_{k,i}^\alpha q_{\alpha,j}^\ell$   $(0 \leq i, j, k, \ell \leq d)$ .

*Proof.* (i)–(iii) Routine application of (16) and Lemma 4.11.

(iv) Using (16),

$$\begin{aligned} q_{i,j}^k &= |X| m_k^{-1} \text{tr}((E_i \circ E_j)E_k) = |X| m_k^{-1} \text{tr}((\overline{E_i} \circ \overline{E_j})\overline{E_k}) \\ &= |X| m_k^{-1} \text{tr}(\overline{(E_i \circ E_j)E_k}) = \overline{q_{i,j}^k} = q_{i,j}^k. \end{aligned}$$

(v) Using (16),

$$\sum_{j=0}^d q_{i,j}^k = |X| m_k^{-1} \sum_{j=0}^d \text{tr}((E_i \circ E_j)E_k) = |X| m_k^{-1} \text{tr}((E_i \circ I)E_k) = m_k^{-1} \text{tr}((m_i I)E_k) = m_i.$$

(vi) Use (16) and Lemma 4.11.

(vii) In the equation

$$(E_k \circ E_i) \circ E_j = E_k \circ (E_i \circ E_j),$$

write each side as a linear combination of  $\{E_\ell\}_{\ell=0}^d$ , and compare coefficients.  $\square$