We show that  $C_1 = C$ . Suppose  $C_1 \subseteq C$ . Since C is connected, there exists  $r \in C_1$  and  $s \in C \setminus C_1$  such that  $s \to r$ . We examine the r-coordinate in  $B_i^t v = k_i v$ ; this gives

$$k_i = \sum_{j=0}^{d} (B_i^t)_{r,j} v_j = \sum_{j=0}^{d} (B_i)_{j,r} v_j = \sum_{j=0}^{d} p_{i,j}^r v_j.$$
(36)

For  $0 \leq j \leq d$  we have  $p_{i,j}^r = 0$  if  $j \notin C$  and  $|v_j| \leq 1$  if  $j \in C$ ; therefore  $p_{i,j}^r |v_j| \leq p_{i,j}^r$ . Consequently

$$k_i = \left| \sum_{j=0}^d p_{i,j}^r v_j \right| \le \sum_{j=0}^d p_{i,j}^r |v_j| \le \sum_{j=0}^d p_{i,j}^r = k_i.$$
 (37)

Combining (36), (37) we obtain  $v_j = 1$  for all  $j \in C$  such that  $j \to r$ . This fails for j = s, so we have a contradiction. Therefore  $C_1 = C$ , so  $v_r = 1$  for  $r \in C$ . In particular  $v_r = v_s$  for  $r, s \in C$ .

(b)  $\Rightarrow$  (a) This holds because  $B_i^t$  has constant row sum  $k_i$ .

We have shown that (a), (b) are equivalent. Consequently  $\dim W = m$ , and the result follows.

(ii) Similar to the proof of (i) above.

## Lecture 13

Proposition 9.18. The following are equivalent:

- (i) there exists  $i \in \{1, 2, ..., d\}$  such that  $\Delta_{A_i}$  is disconnected;
- (ii) there exists  $j \in \{1, 2, ..., d\}$  such that  $\Delta_{E_j}$  is disconnected.

*Proof.* For  $1 \le i, j \le d$  we have

$$\frac{P_i(j)}{k_i} = \frac{\overline{Q_j(i)}}{m_i}.$$

Therefore,  $P_i(j) = k_i$  if and only if  $Q_j(i) = m_j$ . The result follows from this and Proposition 9.17.

Proposition 9.19. The following are equivalent:

- (i) the association scheme X is primitive;
- (ii) the distribution diagram  $\Delta_{A_i}$  is connected for  $1 \leq i \leq d$ ;
- (iii) the representation diagram  $\Delta_{E_j}$  is connected for  $1 \leq j \leq d$ .

Proof. By Definition 9.4, Corollary 9.13, and Proposition 9.18.

**Problem 9.20.** For a finite group G, show that the following are equivalent:

- (i) the conjugacy–class association scheme for G is primitive;
- (ii) G is simple.

## 10 Subschemes and quotient schemes

Throughout this section, we assume that  $\mathfrak{X} = (X, \{R_i\}_{i=0}^d)$  is a commutative association scheme with Bose-Mesner algebra  $\mathfrak{M}$ , associate matrices  $\{A_i\}_{i=0}^d$ , and primitive idempotents  $\{E_i\}_{i=0}^d$ .

Throughout this section, we assume that  $\mathcal{X}$  is imprimitive. By Proposition 9.14 there exists a subset  $\{0\} \subseteq \Omega \subseteq \{0, 1, ..., d\}$  such that  $R_{\Omega}$  is an equivalence relation. Recall that

$$R_{\Omega} = \bigcup_{k \in \Omega} R_k$$
.

Write  $s+1=|\Omega|$ . Permuting the relations  $\{R_i\}_{i=0}^d$  if necessary, we may assume without loss of generality that

$$\Omega = \{0, 1, \dots, s\}.$$

Lemma 10.1. The following hold:

- (i) if  $0 \le i, j \le s$  and  $p_{i,j}^k > 0$  then  $0 \le k \le s$   $(0 \le i, j, k \le d)$ ;
- (ii) for  $0 \le i \le s$  we have  $0 \le i' \le s$ .

*Proof.* (i) The relation  $R_{\Omega}$  is transitive.

(ii) The relation  $R_{\Omega}$  is symmetric.

**Lemma 10.2.** Let  $Y \subseteq X$  denote an equivalence class of  $R_{\Omega}$ . Then:

- (i)  $\mathcal{Y} = (Y, \{R_i|_{Y\times Y}\}_{i=0}^s)$  is a commutative association scheme;
- (ii)  $p_{i,j}^k(\mathcal{Y}) = p_{i,j}^k(\mathcal{X})$   $(0 \le i, j, k \le s);$
- (iii)  $k_i(\mathcal{Y}) = k_i(\mathcal{X})$   $(0 \le i \le s);$
- (iv)  $|Y| = \sum_{i=0}^{s} k_i$  where  $k_i = k_i(\mathfrak{X}) = k_i(\mathfrak{Y})$ .

*Proof.* (i) We check that Y satisfies the four conditions in Definition 1.1.

- The trivial relation for y is  $R_0|_{Y\times Y} = \{(y,y)|y\in Y\}.$
- The relations  $\{R_i|_{Y\times Y}\}_{i=0}^s$  partition  $Y\times Y$ , because for  $(x,y)\in Y\times Y$  we have  $(x,y)\in R_{\Omega}$ , so there exists  $i\in\Omega=\{0,1,\ldots,s\}$  such that  $(x,y)\in R_i$ . This i is unique by construction.
- For  $0 \le i \le s$  we have  $0 \le i' \le s$  and

$$(R_i|_{Y\times Y})^t = R_{i'}|_{Y\times Y}.$$

• For  $0 \le i, j, k \le s$  and  $x, y \in Y$  with  $(x, y) \in R_k$ ,

$$p_{i,j}^{k}(X) = |\{z \in X | (x, z) \in R_{i}, (z, y) \in R_{j}\}|$$
  
=  $|\{z \in Y | (x, z) \in R_{i}|_{Y \times Y}, (z, y) \in R_{j}|_{Y \times Y}\}|$   
=  $p_{i,j}^{k}(Y)$ .

(ii) This was obtained in the proof of (i) above.

(iii) Since  $k_i = p_{i,i'}^0$ .

**Definition 10.3.** The association scheme  $\mathcal{Y}$  in Lemma 10.2 is called the *subscheme of*  $\mathcal{X}$  induced on Y.

Consider the subscheme  $\mathcal{Y} = (Y, \{R_i|_{Y\times Y}\}_{i=0}^s)$  from Lemma 10.2. Our next general goal is to describe how the Bose-Mesner algebra of  $\mathcal{Y}$  is related to  $\mathcal{M}$ .

For notational convenience, define

$$k_{\Omega} = \sum_{i=0}^{s} k_i,$$
  $A_{\Omega} = \sum_{i=0}^{s} A_i.$ 

Note that

$$A_i \circ A_{\Omega} = A_i$$
  $(0 \le i \le s).$ 

Moreover

$$A_{\Omega} \circ A_{\Omega} = A_{\Omega}. \tag{38}$$

**Lemma 10.4.** The matrices  $\{A_i\}_{i=0}^s$  form a basis for a subalgebra  $\mathcal{M}_{\Omega}$  of  $\mathcal{M}$  that is closed under Hadamard multiplication, complex conjugation, and the transpose map. With respect to Hadamard multiplication,  $\mathcal{M}_{\Omega}$  is an algebra with multiplicative identity  $A_{\Omega}$ .

By construction, the algebra  $\mathcal{M}_{\Omega}$  in Lemma 10.4 is commutative.

Let  $X_1, X_2, \ldots, X_r$  denote an ordering of the equivalence classes of  $R_{\Omega}$ . We have  $|X_i| = k_{\Omega}$  for  $1 \leq i \leq r$ . The sets  $\{X_i\}_{i=1}^r$  partition of X, so

$$r = k_{\Omega}^{-1}|X|.$$

Relative to the partition  $\{X_i\}_{i=1}^r$ , every matrix in  $\mathcal{M}_{\Omega}$  is block-diagonal, with each diagonal block of dimension  $k_{\Omega} \times k_{\Omega}$ . For example  $A_{\Omega}$  is block diagonal, with each diagonal block a copy of J with dimension  $k_{\Omega} \times k_{\Omega}$ . We have  $J^2 = k_{\Omega}J$ . Therefore

$$(A_{\Omega})^2 = k_{\Omega} A_{\Omega}. \tag{39}$$

**Lemma 10.5.** Let  $Y \subseteq X$  denote an equivalence class of  $R_{\Omega}$ , and consider the association scheme  $\mathcal{Y} = (Y, \{R_i|_{Y \times Y}\}_{i=0}^s)$  from Lemma 10.2.

- (i) The associate matrices of  $\mathcal{Y}$  are  $\{A_i|_{Y\times Y}\}_{i=0}^s$ .
- (ii) The Bose-Mesner algebra of  $\mathcal{Y}$  is  $\mathcal{M}_{\Omega}|_{Y\times Y}$ .

(iii) The map

$$\mathcal{M}_{\Omega} \to \mathcal{M}_{\Omega}|_{Y \times Y}$$
$$A \mapsto A|_{Y \times Y}$$

is an algebra isomorphism with respect to matrix multiplication and Hadamard multiplication.

(iv) The above map sends  $k_{\Omega}^{-1}A_{\Omega}$  to the trivial primitive idempotent for  $\forall$ .

Proof. (i) By construction.

- (ii) By (i) above.
- (iii) The map is a bijection because it sends the basis  $\{A_i\}_{i=0}^s$  of  $\mathcal{M}_{\Omega}$  to the basis  $\{A_i|_{Y\times Y}\}_{i=0}^s$  of  $\mathcal{M}_{\Omega}|_{Y\times Y}$ . The map is an algebra homomorphism by the block-diagonal nature of the matrices in  $\mathcal{M}_{\Omega}$ .

(iv) By the comments above (39).

The algebra  $\mathcal{M}_{\Omega}$  is closed under the conjugate-transpose map. By Lemma 3.3 the algebra  $\mathcal{M}_{\Omega}$  has a basis of primitive idempotents. One of these primitive idempotents is  $k_{\Omega}^{-1}A_{\Omega}$ , in view of Lemma 10.5(iv).

**Lemma 10.6.** For the subalgebra  $\mathcal{M}_{\Omega}$  the primitive idempotents have the form  $\{E_{\Lambda_i}\}_{i=0}^s$  such that:

- (i)  $\{\Lambda_i\}_{i=0}^s$  is a partition of  $\{0,1,\ldots,d\}$  into nonempty sets;
- (ii)  $E_{\Lambda_i} = \sum_{j \in \Lambda_i} E_j$   $(0 \le i \le s);$
- (iii)  $0 \in \Lambda_0$ ;
- (iv)  $E_{\Lambda_0} = k_{\Omega}^{-1} A_{\Omega}$ .

*Proof.* (i), (ii) Each primitive idempotent E of  $\mathcal{M}_{\Omega}$  is a linear combination of  $\{E_j\}_{j=0}^d$ . In this linear combination, each coefficient is zero or one because  $E^2 = E$ . The result is a routine consequence of this.

- (iii) There exists i ( $0 \le i \le s$ ) such that  $0 \in \Lambda_i$ . After relabelling, we may assume that i = 0.
- (iv) There exists i ( $0 \le i \le s$ ) such that  $E_{\Lambda_i} = k_{\Omega}^{-1} A_{\Omega}$ . We have i = 0 by (iii) and  $A_{\Omega} J \ne 0$ .

Recall the eigenmatrices P, Q for  $\mathfrak{X}$ . Let  $P_{\Omega}, Q_{\Omega}$  denote the eigenmatrices for  $\mathfrak{Y}$ .

Proposition 10.7. The following (i)-(iv) hold.

- (i) For  $0 \le i \le s$  the submatrix  $P|_{\Lambda_i \times \Omega}$  has all rows identical.
- (ii) For  $0 \le i, j \le s$  the (i, j)-entry of  $P_{\Omega}$  is equal to the  $(\alpha, j)$ -entry of P, where  $\alpha \in \Lambda_i$ .
- (iii) For  $0 \le j \le s$  the submatrix  $Q|_{\{s+1,\dots,d\}\times\Lambda_j}$  has row sum 0.