

A Q -polynomial structure associated with the projective geometry $L_N(q)$

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There is a type of distance-regular graph, said to be **Q -polynomial**.

In this talk, we discuss a generalized Q -polynomial property involving a graph that is not necessarily distance-regular.

We give a detailed description of an example associated with the **projective geometry** $L_N(q)$.

Let X denote a nonempty finite set.

Let $\text{Mat}_X(\mathbb{R})$ denote the \mathbb{R} -algebra consisting of the matrices with rows and columns indexed by X and all entries in \mathbb{R} .

Let $V = \mathbb{R}^X$ denote the vector space over \mathbb{R} consisting of the column vectors with coordinates indexed by X and all entries in \mathbb{R} .

The algebra $\text{Mat}_X(\mathbb{R})$ acts on V by left multiplication.

For all $y \in X$, define a vector $\hat{y} \in V$ that has y -coordinate 1 and all other coordinates 0.

The vectors $\{\hat{y}\}_{y \in X}$ form a basis for V .

The graph Γ

Let $\Gamma = (X, \mathcal{R})$ denote a finite, undirected, connected graph, without loops or multiple edges, with vertex set X , edge set \mathcal{R} , and path-length distance function ∂ .

For $y \in X$ and an integer $i \geq 0$, define the set

$$\Gamma_i(y) = \{z \in X \mid \partial(y, z) = i\}.$$

We abbreviate $\Gamma(y) = \Gamma_1(y)$.

The weighted adjacency matrix

Definition

By a **weighted adjacency matrix** of Γ , we mean a matrix $A \in \text{Mat}_X(\mathbb{R})$ that has (y, z) -entry

$$A_{y,z} = \begin{cases} \neq 0, & \text{if } y, z \text{ are adjacent;} \\ 0, & \text{if } y, z \text{ are not adjacent} \end{cases} \quad (y, z \in X).$$

Until further notice, we fix a weighted adjacency matrix A of Γ that is diagonalizable over \mathbb{R} .

The adjacency algebra

Let M denote the subalgebra of $\text{Mat}_X(\mathbb{R})$ generated by A .

We call M the **adjacency algebra** for Γ and A .

Let $\mathcal{D} + 1$ denote the dimension of the vector space M .

The adjacency algebra, cont.

Since A is diagonalizable, the vector space M has a basis $\{E_i\}_{i=0}^{\mathcal{D}}$ such that

$$\sum_{i=0}^{\mathcal{D}} E_i = I,$$
$$E_i E_j = \delta_{i,j} E_i \quad (0 \leq i, j \leq \mathcal{D}).$$

We call $\{E_i\}_{i=0}^{\mathcal{D}}$ the **primitive idempotents of A** .

The adjacency algebra, cont.

Since $A \in M$, there exist real numbers $\{\theta_i\}_{i=0}^{\mathcal{D}}$ such that

$$A = \sum_{i=0}^{\mathcal{D}} \theta_i E_i.$$

The scalars $\{\theta_i\}_{i=0}^{\mathcal{D}}$ are mutually distinct since A generates M .

Note that

$$V = \sum_{i=0}^{\mathcal{D}} E_i V \quad (\text{direct sum}).$$

For $0 \leq i \leq \mathcal{D}$ the subspace $E_i V$ is an eigenspace of A , and θ_i is the corresponding eigenvalue.

The dual adjacency algebra

Until further notice, fix a vertex $x \in X$.

Define the integer $D = D(x)$ by

$$D = \max\{\partial(x, y) \mid y \in X\}.$$

We call D the **diameter of Γ with respect to x** .

We have $D \leq \mathcal{D}$, because the matrices $\{A^i\}_{i=0}^D$ are linearly independent.

The dual adjacency algebras, cont.

For $0 \leq i \leq D$ we define a diagonal matrix $E_i^* = E_i^*(x)$ in $\text{Mat}_X(\mathbb{R})$ that has (y, y) -entry

$$(E_i^*)_{y,y} = \begin{cases} 1, & \text{if } \partial(x, y) = i; \\ 0, & \text{if } \partial(x, y) \neq i \end{cases} \quad (y \in X).$$

We call $\{E_i^*\}_{i=0}^D$ the **dual primitive idempotents of Γ with respect to x** .

The dual adjacency algebras, cont.

We have

$$\sum_{i=0}^D E_i^* = I,$$
$$E_i^* E_j^* = \delta_{i,j} E_i^* \quad (0 \leq i, j \leq D).$$

Consequently, the matrices $\{E_i^*\}_{i=0}^D$ form a basis for a commutative subalgebra $M^* = M^*(x)$ of $\text{Mat}_X(\mathbb{R})$.

We call M^* the **dual adjacency algebra of Γ with respect to x** .

The subconstituents

Next we recall the subconstituents of Γ with respect to x .
We have

$$E_i^* V = \text{Span}\{\hat{y} \mid y \in \Gamma_i(x)\} \quad (0 \leq i \leq D).$$

Moreover,

$$V = \sum_{i=0}^D E_i^* V \quad (\text{direct sum}).$$

For $0 \leq i \leq D$ the subspace $E_i^* V$ is a common eigenspace for M^* .

We call $E_i^* V$ the i^{th} **subconstituent of Γ with respect to x** .

The subconstituents, cont.

By the triangle inequality, for adjacent vertices $y, z \in X$ the distances $\partial(x, y)$ and $\partial(x, z)$ differ by at most one.

Consequently

$$AE_i^*V \subseteq E_{i-1}^*V + E_i^*V + E_{i+1}^*V \quad (0 \leq i \leq D),$$

where $E_{-1}^* = 0$ and $E_{D+1}^* = 0$.

The dual adjacency matrix

Next we discuss the concept of a dual adjacency matrix.

Definition

A matrix $A^* \in \text{Mat}_X(\mathbb{R})$ is called a **dual adjacency matrix** of Γ (with respect to x and the ordering $\{E_i\}_{i=0}^{\mathcal{D}}$) whenever A^* generates M^* and

$$A^* E_i V \subseteq E_{i-1} V + E_i V + E_{i+1} V \quad (0 \leq i \leq \mathcal{D}),$$

where $E_{-1} = 0$ and $E_{\mathcal{D}+1} = 0$.

Q-polynomial property

Next we discuss the Q-polynomial property.

Definition

We say that the ordering $\{E_i\}_{i=0}^{\mathcal{D}}$ is **Q-polynomial with respect to x** whenever there exists a dual adjacency matrix of Γ with respect to x and $\{E_i\}_{i=0}^{\mathcal{D}}$.

Definition

We say that A is **Q-polynomial with respect to x** whenever there exists an ordering of the primitive idempotents of A that is Q-polynomial with respect to x .

Q-polynomial property, cont.

Assume that Γ has a dual adjacency matrix A^* with respect to x and the ordering $\{E_i\}_{i=0}^D$.

Since $A^* \in M^*$, there exist real numbers $\{\theta_i^*\}_{i=0}^D$ such that

$$A^* = \sum_{i=0}^D \theta_i^* E_i^*.$$

The scalars $\{\theta_i^*\}_{i=0}^D$ are mutually distinct since A^* generates M^* .

We mentioned earlier that the sum $V = \sum_{i=0}^D E_i^* V$ is direct.

For $0 \leq i \leq D$ the subspace $E_i^* V$ is an eigenspace of A^* , and θ_i^* is the corresponding eigenvalue.

The projective geometry $L_N(q)$

For the rest of this talk, we illustrate the Q -polynomial property using an example.

This example is based on the projective geometry $L_N(q)$.

The projective geometry $L_N(q)$, cont.

Given a finite field $\text{GF}(q)$ and an integer $N \geq 1$, we define a poset $L_N(q)$ as follows.

Let \mathbb{V} denote a vector space over $\text{GF}(q)$ that has dimension N .

Let the set X consist of the subspaces of \mathbb{V} .

The set X , together with the containment relation, is a poset denoted $L_N(q)$ and called a **projective geometry**.

The partial order is denoted by \leq .

The projective geometry $L_N(q)$, cont.

Next we define a graph Γ with vertex set X .

Vertices $y, z \in X$ are adjacent in Γ whenever one of y, z covers the other one.

The graph Γ is the Hasse diagram of the poset $L_N(q)$.

The rest of this talk is about the graph Γ .

The distinguished vertex x

Let $\mathbf{0}$ denote the zero subspace of \mathbb{V} .

Recall the distinguished vertex x of Γ .

For the rest of this talk, we choose $x = \mathbf{0}$.

Lemma

The following (i)–(iii) hold for the graph Γ :

- (i) for $y \in X$ we have $\partial(\mathbf{0}, y) = \dim y$;
- (ii) Γ has diameter N with respect to the vertex $\mathbf{0}$;
- (iv) Γ is bipartite with bipartition $X = X^+ \cup X^-$, where

$$X^+ = \{y \in X \mid \dim y \text{ is even}\},$$

$$X^- = \{y \in X \mid \dim y \text{ is odd}\}.$$

The projective geometry $L_N(q)$, cont.

For the rest of this paper we adopt the following notation.

Definition

Define $E_i^* = E_i^*(\mathbf{0})$ for $0 \leq i \leq N$.

Further define $M^* = M^*(\mathbf{0})$.

By construction the matrices $\{E_i^*\}_{i=0}^N$ form a basis for M^* .

Recall the standard module $V = \mathbb{R}^X$. We have

$$E_i^* V = \text{Span}\{\hat{y} \mid \dim y = i\} \quad (0 \leq i \leq N).$$

Some notation

For $n \in \mathbb{N}$ define

$$[n]_q = \frac{q^n - 1}{q - 1}.$$

We further define

$$[n]_q! = [n]_q [n-1]_q \cdots [2]_q [1]_q.$$

We interpret $[0]_q! = 1$. For $0 \leq i \leq n$ define

$$\binom{n}{i}_q = \frac{[n]_q!}{[i]_q! [n-i]_q!}.$$

The vertex $\mathbf{0}$ is distance-regularized

By combinatorial counting, we obtain the following results.

Lemma

For $0 \leq i \leq N$ and $y \in \Gamma_i(\mathbf{0})$ we have

- (i) $|\Gamma(y) \cap \Gamma_{i-1}(\mathbf{0})| = [i]_q;$
- (ii) $|\Gamma(y) \cap \Gamma_{i+1}(\mathbf{0})| = [N - i]_q.$

The above lemma implies that the vertex $\mathbf{0}$ is **distance-regularized** in the sense of Godsil.

The subconstituents of Γ with respect to $\mathbf{0}$

The following result is well known.

Lemma

For $0 \leq i \leq N$,

$$|\Gamma_i(\mathbf{0})| = \binom{N}{i}_q.$$

The split bases of V

Recall that the vectors $\{\hat{y}\}_{y \in X}$ form a basis for the standard module V . We now introduce four additional bases for V , said to be split.

Definition

For $y \in X$ define

$$y^{\downarrow\downarrow} = \sum_{z \leq y} \hat{z},$$

$$y^{\downarrow\uparrow} = \sum_{z \leq y} \hat{z} (-1)^{\dim z},$$

$$y^{\uparrow\downarrow} = q^{\binom{N-\dim y}{2}} \sum_{y \leq z} \hat{z} q^{(N-\dim z)\dim y},$$

$$y^{\uparrow\uparrow} = q^{\binom{N-\dim y}{2}} \sum_{y \leq z} \hat{z} q^{(N-\dim z)\dim y} (-1)^{\dim z}.$$

The split bases of V , cont.

Lemma

Each of following is a basis for the vector space V :

$$\{y^{\downarrow\downarrow}\}_{y \in X}, \quad \{y^{\downarrow\uparrow}\}_{y \in X}, \quad \{y^{\uparrow\downarrow}\}_{y \in X}, \quad \{y^{\uparrow\uparrow}\}_{y \in X}.$$

Definition

The above bases for V are said to be **split**.

The weighted adjacency matrix A

The following matrix A was introduced by S. Ghosh and M. Srinivasan in 2021.

Definition

Define a matrix $A \in \text{Mat}_X(\mathbb{R})$ that has (y, z) -entry

$$A_{y,z} = \begin{cases} 1 & \text{if } y \text{ covers } z; \\ q^{\dim y} & \text{if } z \text{ covers } y; \\ 0 & \text{if } y, z \text{ are not adjacent} \end{cases} \quad y, z \in X.$$

Note that A is a weighted adjacency matrix of Γ .

The eigenvalues of A

Next we compute the eigenvalues of A .

Lemma

For $y \in X$,

$$Ay^{\downarrow\downarrow} = \frac{q^{\dim y} - q^{N-\dim y}}{q-1} y^{\downarrow\downarrow} + \sum_{z \text{ covers } y} z^{\downarrow\downarrow}.$$

The eigenvalues of A , cont.

Lemma (Ghosh and Srinivasan 2021)

The matrix A is diagonalizable with eigenvalues $\{\theta_i\}_{i=0}^N$, where

$$\theta_i = \frac{q^{N-i} - q^i}{q - 1} \quad (0 \leq i \leq N).$$

Moreover, for $0 \leq i \leq N$ the dimension of the θ_i -eigenspace of the A is equal to $\binom{N}{i}_q$.

The dual adjacency matrix A^* .

Definition

Define a diagonal matrix $A^* \in \text{Mat}_X(\mathbb{R})$ with (y, y) -entry

$$A^*_{y,y} = q^{-\dim y} \quad y \in X.$$

Lemma

We have

$$A^* = \sum_{i=0}^N q^{-i} E_i^*.$$

Moreover, A^ generates M^* .*

The eigenvalues of A^* .

Lemma

The eigenvalues of A^* are $\{\theta_i^*\}_{i=0}^N$, where

$$\theta_i^* = q^{-i} \quad (0 \leq i \leq N).$$

Moreover, for $0 \leq i \leq N$ the θ_i^* -eigenspace of A^* is equal to $E_i^* V$.

Shortly, we will show that A^* is a dual adjacency matrix with respect to $\mathbf{0}$ and the ordering $\{E_i\}_{i=0}^N$.

To prepare for this, we give the actions of A, A^* on the four split bases.

The actions of A, A^* on the split bases

Lemma

For $0 \leq i \leq N$ and $y \in \Gamma_i(\mathbf{0})$ we have

$$Ay^{\downarrow\downarrow} = \theta_{N-i}y^{\downarrow\downarrow} + \sum_{z \text{ covers } y} z^{\downarrow\downarrow},$$

$$A^*y^{\downarrow\downarrow} = \theta_i^*y^{\downarrow\downarrow} + (q-1)q^{-i} \sum_{y \text{ covers } z} z^{\downarrow\downarrow}.$$

The actions of A, A^* on the split bases

Lemma

For $0 \leq i \leq N$ and $y \in \Gamma_i(\mathbf{0})$ we have

$$Ay^{\downarrow\uparrow} = \theta_i y^{\downarrow\uparrow} - \sum_{z \text{ covers } y} z^{\downarrow\uparrow},$$

$$A^*y^{\downarrow\uparrow} = \theta_i^* y^{\downarrow\uparrow} + (q-1)q^{-i} \sum_{y \text{ covers } z} z^{\downarrow\uparrow}.$$

The actions of A, A^* on the split bases

Lemma

For $0 \leq i \leq N$ and $y \in \Gamma_i(\mathbf{0})$ we have

$$Ay^{\uparrow\downarrow} = \theta_i y^{\uparrow\downarrow} + \sum_{y \text{ covers } z} z^{\uparrow\downarrow},$$

$$A^*y^{\uparrow\downarrow} = \theta_i^* y^{\uparrow\downarrow} + (q^{-1} - 1)q^{-i} \sum_{z \text{ covers } y} z^{\uparrow\downarrow}.$$

The actions of A, A^* on the split bases

Lemma

For $0 \leq i \leq N$ and $y \in \Gamma_i(\mathbf{0})$ we have

$$Ay^{\uparrow\uparrow} = \theta_{N-i}y^{\uparrow\uparrow} - \sum_{y \text{ covers } z} z^{\uparrow\uparrow},$$

$$A^*y^{\uparrow\uparrow} = \theta_i^*y^{\uparrow\uparrow} + (q^{-1} - 1)q^{-i} \sum_{z \text{ covers } y} z^{\uparrow\uparrow}.$$

The split decompositions of V .

Recall the standard module V .

By a **decomposition of V** we mean a sequence of nonzero subspaces $\{U_i\}_{i=0}^N$ whose direct sum is equal to V .

For example, the sequences $\{E_i V\}_{i=0}^N$ and $\{E_i^* V\}_{i=0}^N$ are decompositions of V .

Next we introduce four additional decompositions of V , said to be split.

Definition

For $0 \leq i \leq N$ we define

$$U_i^{\downarrow\downarrow} = (E_0^*V + \cdots + E_i^*V) \cap (E_0V + \cdots + E_{N-i}V),$$

$$U_i^{\downarrow\uparrow} = (E_0^*V + \cdots + E_i^*V) \cap (E_NV + \cdots + E_iV),$$

$$U_i^{\uparrow\downarrow} = (E_N^*V + \cdots + E_{N-i}^*V) \cap (E_0V + \cdots + E_{N-i}V),$$

$$U_i^{\uparrow\uparrow} = (E_N^*V + \cdots + E_{N-i}^*V) \cap (E_NV + \cdots + E_iV).$$

The split decompositions of V , cont.

Lemma

The following (i)–(iv) hold for $0 \leq i \leq N$:

- (i) the vectors $\{y^{\downarrow\downarrow}\}_{y \in \Gamma_i(\mathbf{0})}$ form a basis for $U_i^{\downarrow\downarrow}$;
- (ii) the vectors $\{y^{\downarrow\uparrow}\}_{y \in \Gamma_i(\mathbf{0})}$ form a basis for $U_i^{\downarrow\uparrow}$;
- (iii) the vectors $\{y^{\uparrow\downarrow}\}_{y \in \Gamma_{N-i}(\mathbf{0})}$ form a basis for $U_i^{\uparrow\downarrow}$;
- (iv) the vectors $\{y^{\uparrow\uparrow}\}_{y \in \Gamma_{N-i}(\mathbf{0})}$ form a basis for $U_i^{\uparrow\uparrow}$.

The split decompositions of V , cont.

Lemma

Each of the following is a decomposition of V :

$$\{U_i^{\downarrow\downarrow}\}_{i=0}^N, \quad \{U_i^{\downarrow\uparrow}\}_{i=0}^N, \quad \{U_i^{\uparrow\downarrow}\}_{i=0}^N, \quad \{U_i^{\uparrow\uparrow}\}_{i=0}^N.$$

Definition

The above four decompositions of V are said to be **split**.

The split decompositions of V , cont.

Next we consider how the four split decompositions are related.

Lemma

Let $0 \leq i \leq N$. In each row below, the three sums are equal:

$$E_0^* V + \cdots + E_i^* V, \quad U_0^{\downarrow\downarrow} + \cdots + U_i^{\downarrow\downarrow}, \quad U_0^{\downarrow\uparrow} + \cdots + U_i^{\downarrow\uparrow};$$

$$E_N^* V + \cdots + E_{N-i}^* V, \quad U_0^{\uparrow\downarrow} + \cdots + U_i^{\uparrow\downarrow}, \quad U_0^{\uparrow\uparrow} + \cdots + U_i^{\uparrow\uparrow};$$

$$E_0 V + \cdots + E_i V, \quad U_N^{\downarrow\downarrow} + \cdots + U_{N-i}^{\downarrow\downarrow}, \quad U_N^{\uparrow\downarrow} + \cdots + U_{N-i}^{\uparrow\downarrow};$$

$$E_N V + \cdots + E_{N-i} V, \quad U_N^{\downarrow\uparrow} + \cdots + U_{N-i}^{\downarrow\uparrow}, \quad U_N^{\uparrow\uparrow} + \cdots + U_{N-i}^{\uparrow\uparrow}.$$

How A, A^* act on the split decompositions of V

Next we consider how A, A^* act on the four split decompositions.

Lemma

For $0 \leq i \leq N$ we have

$$\begin{aligned}(A - \theta_{N-i}I)U_i^{\downarrow\downarrow} &\subseteq U_{i+1}^{\downarrow\downarrow}, & (A^* - \theta_i^*I)U_i^{\downarrow\downarrow} &\subseteq U_{i-1}^{\downarrow\downarrow}, \\(A - \theta_iI)U_i^{\downarrow\uparrow} &\subseteq U_{i+1}^{\downarrow\uparrow}, & (A^* - \theta_i^*I)U_i^{\downarrow\uparrow} &\subseteq U_{i-1}^{\downarrow\uparrow}, \\(A - \theta_{N-i}I)U_i^{\uparrow\downarrow} &\subseteq U_{i+1}^{\uparrow\downarrow}, & (A^* - \theta_{N-i}^*I)U_i^{\uparrow\downarrow} &\subseteq U_{i-1}^{\uparrow\downarrow}, \\(A - \theta_iI)U_i^{\uparrow\uparrow} &\subseteq U_{i+1}^{\uparrow\uparrow}, & (A^* - \theta_{N-i}^*I)U_i^{\uparrow\uparrow} &\subseteq U_{i-1}^{\uparrow\uparrow}.\end{aligned}$$

How A, A^* act on the split decompositions of V

We can now easily show that A^* is a dual adjacency matrix.

Lemma

For $0 \leq i \leq N$,

$$A^* E_i V \subseteq E_{i-1} V + E_i V + E_{i+1} V.$$

The proof

Sketch of Proof: We have

$$\begin{aligned}A^* E_i V &\subseteq A^*(E_0 V + \cdots + E_i V) \\&= A^*(U_N^{\downarrow\downarrow} + \cdots + U_{N-i}^{\downarrow\downarrow}) \\&\subseteq U_N^{\downarrow\downarrow} + \cdots + U_{N-i-1}^{\downarrow\downarrow} \\&= E_0 V + \cdots + E_{i+1} V\end{aligned}$$

and

$$\begin{aligned}A^* E_i V &\subseteq A^*(E_i V + \cdots + E_N V) \\&= A^*(U_i^{\uparrow\uparrow} + \cdots + U_N^{\uparrow\uparrow}) \\&\subseteq U_{i-1}^{\uparrow\uparrow} + \cdots + U_N^{\uparrow\uparrow} \\&= E_{i-1} V + \cdots + E_N V.\end{aligned}$$

By the above comments,

$$A^* E_i V \subseteq E_{i-1} V + E_i V + E_{i+1} V.$$

The matrix A^* is a dual adjacency matrix

Corollary

The matrix A^ is a dual adjacency matrix of Γ with respect to the vertex $\mathbf{0}$ and the ordering $\{E_i\}_{i=0}^N$.*

Corollary

The ordering $\{E_i\}_{i=0}^N$ is Q -polynomial with respect to the vertex $\mathbf{0}$.

Theorem

The weighted adjacency matrix A is Q -polynomial with respect to the vertex $\mathbf{0}$.

The tridiagonal relations

We remark that

$$\begin{aligned} A^3 A^* - (q + q^{-1} + 1) A^2 A^* A + (q + q^{-1} + 1) A A^* A^2 - A^* A^3 \\ = q^{N-2} (q + 1)^2 (A A^* - A^* A), \end{aligned}$$

$$A^* A^3 - (q + q^{-1} + 1) A^* A^2 A A^* + (q + q^{-1} + 1) A^* A A^* A^2 - A A^* A^3 = 0.$$

The above equations are called the **tridiagonal relations**.

Summary

In this talk, we first extended the Q -polynomial property to graphs that are not necessarily distance-regular.

We then defined a graph Γ using the projective geometry $L_N(q)$.

We considered Γ from the point of view of the distinguished vertex $\mathbf{0}$.

We defined a weighted adjacency matrix A of Γ , and examined its eigenvalues/eigenspaces.

We then showed that A is Q -polynomial with respect to $\mathbf{0}$.

THANK YOU FOR YOUR ATTENTION!