

# Leonard pairs, spin models, and distance-regular graphs

Kazumasa Nomura   Paul Terwilliger

# Overview

The work of Caughman, Curtin, Nomura, and Wolff shows that for a distance-regular graph  $\Gamma$  affording a spin model, the irreducible modules for all the subconstituent algebras of  $\Gamma$  take a certain form.

We show that the converse is true: whenever all the irreducible modules for all the subconstituent algebras of  $\Gamma$  take this form, then  $\Gamma$  affords a spin model.

We explicitly construct this spin model when  $\Gamma$  has  $q$ -Racah type.

Our results rely heavily on the theory of spin Leonard pairs; the first half of the talk is about this theory.

This is joint work with Kazumasa Nomura.

# Acknowledgement

We are the first to admit: we have not discovered any new spin model to date.

What we have shown, is that a new spin model would result from the discovery of a new distance-regular graph with the right sort of irreducible modules for its subconstituent algebras.

# Tridiagonal matrices

We be will discussing a type of square matrix, said to be **tridiagonal**.

The following matrices are tridiagonal.

$$\begin{pmatrix} 2 & 3 & 0 & 0 \\ 1 & 4 & 2 & 0 \\ 0 & 5 & 3 & 3 \\ 0 & 0 & 3 & 0 \end{pmatrix}, \quad \begin{pmatrix} 2 & 3 & 0 & 0 \\ 0 & 4 & 2 & 0 \\ 0 & 2 & 1 & 0 \\ 0 & 0 & 1 & 5 \end{pmatrix}.$$

Tridiagonal means each nonzero entry lies on either the diagonal, the subdiagonal, or the superdiagonal.

The tridiagonal matrix on the left is **irreducible**. This means that each entry on the subdiagonal is nonzero and each entry on the superdiagonal is nonzero.

# Notational conventions

The following conventions hold throughout the talk.

Let  $\mathbb{F}$  denote a field.

Every vector space discussed is understood to be over  $\mathbb{F}$ .

Every algebra discussed is understood to be associative, over  $\mathbb{F}$ , and have a multiplicative identity.

Fix an integer  $d \geq 0$ .

Let  $\text{Mat}_{d+1}(\mathbb{F})$  denote the algebra consisting of the  $d + 1$  by  $d + 1$  matrices that have all entries in  $\mathbb{F}$ .

We index the rows and columns by  $0, 1, \dots, d$ .

# The eigenvalues of an irreducible tridiagonal matrix

Consider an irreducible tridiagonal matrix in  $\text{Mat}_{d+1}(\mathbb{F})$ :

$$T = \begin{pmatrix} a_0 & b_0 & & & & \mathbf{0} \\ c_1 & a_1 & b_1 & & & \\ & c_2 & \cdot & \cdot & & \\ & & \cdot & \cdot & \cdot & \\ & & & \cdot & \cdot & b_{d-1} \\ \mathbf{0} & & & & c_d & a_d \end{pmatrix}.$$

Recall that the eigenvalues of  $T$  are the roots of the characteristic polynomial of  $T$ .

This characteristic polynomial can be computed as follows.

# The eigenvalues of an irreducible tridiagonal matrix, cont.

Let  $\lambda$  denote an indeterminate.

Let  $\mathbb{F}[\lambda]$  denote the algebra consisting of the polynomials in  $\lambda$  that have all coefficients in  $\mathbb{F}$ .

Define some polynomials  $\{P_i\}_{i=0}^{d+1}$  in  $\mathbb{F}[\lambda]$  such that

$$\begin{aligned}P_0 &= 1, \\P_1 &= \lambda - a_0, \\ \lambda P_i &= P_{i+1} + a_i P_i + b_{i-1} c_i P_{i-1} \quad (1 \leq i \leq d).\end{aligned}$$

The polynomial  $P_i$  is monic with degree  $i$  for  $0 \leq i \leq d+1$ .

It is well known that  $P_{d+1}$  is the characteristic polynomial of  $T$ .

We call  $\{P_i\}_{i=0}^d$  the **monic polynomial sequence (MPS)** for  $T$ .

# Some linear algebra

We recall some linear algebra for later use.

Let  $V$  denote a vector space with dimension  $d + 1$ .

Let  $\mathbf{End}(V)$  denote the algebra consisting of the  $\mathbb{F}$ -linear maps from  $V$  to  $V$ .

Recall that each basis of  $V$  gives an algebra isomorphism  $\mathbf{End}(V) \rightarrow \mathbf{Mat}_{d+1}(\mathbb{F})$ .

This isomorphism is described as follows.



## Some linear algebra, cont.

Let  $\{v_i\}_{i=0}^d$  denote a basis for  $V$ .

For  $A \in \text{End}(V)$  and  $M \in \text{Mat}_{d+1}(\mathbb{F})$ , we say that  $M$  **represents  $A$  with respect to  $\{v_i\}_{i=0}^d$**  whenever

$$Av_j = \sum_{i=0}^d M_{i,j} v_i \quad (0 \leq j \leq d).$$

The isomorphism sends each  $A \in \text{End}(V)$  to the unique matrix in  $\text{Mat}_{d+1}(\mathbb{F})$  that represents  $A$  with respect to  $\{v_i\}_{i=0}^d$ .

Next we define a Leonard pair.

## Definition

A **Leonard pair** on  $V$  is an ordered pair  $A, A^*$  of elements in  $\text{End}(V)$  such that:

- (i) there exists a basis for  $V$  with respect to which the matrix representing  $A$  is irreducible tridiagonal and the matrix representing  $A^*$  is diagonal;
- (ii) there exists a basis for  $V$  with respect to which the matrix representing  $A^*$  is irreducible tridiagonal and the matrix representing  $A$  is diagonal.

## Leonard pairs, cont.

For a Leonard pair  $A, A^*$  on  $V$ ,

	basis 1	basis 2
$A$	irred. tridiagonal	diagonal
$A^*$	diagonal	irred. tridiagonal

# Leonard pairs and polynomials

On the previous slide, we saw two irreducible tridiagonal matrices; each comes with its MPS.

These two MPS are related by some equations called Askey-Wilson duality.

We give the details on the next slide.

# Leonard pairs and Askey-Wilson duality

For a Leonard pair  $A, A^*$  on  $V$ , write

	basis 1	basis 2
$A$	$T$	$\text{diag}(\theta_0, \theta_1, \dots, \theta_d)$
$A^*$	$\text{diag}(\theta_0^*, \theta_1^*, \dots, \theta_d^*)$	$T^*$

Let  $\{P_i\}_{i=0}^d$  (resp.  $\{P_i^*\}_{i=0}^d$ ) denote the MPS for  $T$  (resp.  $T^*$ ).  
Then for  $0 \leq i \leq d$ ,

$$P_i(\theta_0) \neq 0, \quad P_i^*(\theta_0^*) \neq 0.$$

Moreover for  $0 \leq i, j \leq d$ ,

$$\frac{P_i(\theta_j)}{P_i(\theta_0)} = \frac{P_j^*(\theta_i^*)}{P_j^*(\theta_0^*)}. \quad (1)$$

The equations (1) are called **Askey-Wilson duality**.

# A theorem of Douglas Leonard

In 1982, Douglas Leonard classified all the pairs of MPS that satisfy Askey-Wilson duality.

A more detailed classification was given in 1985 by Bannai and Ito.

The MPS that show up in the solutions are listed below:

$q$ -Racah,  $q$ -Hahn, dual  $q$ -Hahn,  
 $q$ -Krawtchouk, dual  $q$ -Krawtchouk,  
quantum  $q$ -Krawtchouk, affine  $q$ -Krawtchouk,  
Racah, Hahn, dual-Hahn, Krawtchouk, Bannai/Ito.

The above polynomials make up the terminating branch of the Askey scheme of orthogonal polynomials.

# Motivating Leonard pairs

We invented the notion of a Leonard pair in order to clarify and simplify Leonard's theorem.

Replacing the polynomials by a pair of linear transformations provides a basis-free approach that we find illuminating.

For more information see

P. Terwilliger: An algebraic approach to the Askey scheme of orthogonal polynomials. Orthogonal polynomials and special functions, 255–330, Lecture Notes in Math., 1883, Springer, Berlin, 2006; [arXiv:math.QA/0408390](https://arxiv.org/abs/math/0408390).

# Automorphisms and duality

We will be discussing a type of Leonard pair, said to be self-dual.

For an algebra  $\mathcal{A}$ , an **automorphism** of  $\mathcal{A}$  is an algebra isomorphism  $\mathcal{A} \rightarrow \mathcal{A}$ .

Let  $A, A^*$  denote a Leonard pair on  $V$ .

This Leonard pair is said to be **self-dual** whenever there exists an automorphism  $\sigma$  of  $\text{End}(V)$  that sends  $A \leftrightarrow A^*$ .

In this case  $\sigma$  is unique and  $\sigma^2 = 1$ ; we call  $\sigma$  the **duality** for  $A, A^*$ .



# Leonard systems; preliminary comments

When working with a Leonard pair, it is convenient to consider a closely related object called a Leonard system.

Before we define a Leonard system, we recall a few concepts from linear algebra.

For  $A \in \text{End}(V)$ , we say that  $A$  is **diagonalizable** whenever  $V$  is spanned by the eigenspaces of  $A$ .

We say that  $A$  is **multiplicity-free** whenever  $A$  is diagonalizable, and each eigenspace of  $A$  has dimension one.

# Leonard systems; preliminary comments

Assume that  $A$  is multiplicity-free, and let  $\{V_i\}_{i=0}^d$  denote an ordering of the eigenspaces of  $A$ .

For  $0 \leq i \leq d$  define  $E_i \in \text{End}(V)$  such that  $(E_i - I)V_i = 0$  and  $E_i V_j = 0$  if  $j \neq i$  ( $0 \leq j \leq d$ ).

Thus  $E_i$  is the projection from  $V$  onto  $V_i$ .

We call  $E_i$  the **primitive idempotent** of  $A$  for  $V_i$ .

Let  $\langle A \rangle$  denote the subalgebra of  $\text{End}(V)$  generated by  $A$ .

Then  $\{A^i\}_{i=0}^d$  and  $\{E_i\}_{i=0}^d$  each form a basis for the vector space  $\langle A \rangle$ .

# Leonard systems; preliminary comments

Let  $A, A^*$  denote a Leonard pair on  $V$ .

It is known that each of  $A, A^*$  is multiplicity-free.

Let  $\{E_i\}_{i=0}^d$  denote an ordering of the primitive idempotents of  $A$ .

For  $0 \leq i \leq d$  pick  $0 \neq v_i \in E_i V$ .

Then  $\{v_i\}_{i=0}^d$  is a basis for  $V$ .

The ordering  $\{E_i\}_{i=0}^d$  is said to be **standard** whenever the matrix representing  $A^*$  with respect to  $\{v_i\}_{i=0}^d$  is irreducible tridiagonal.

If the ordering  $\{E_i\}_{i=0}^d$  is standard, then the ordering  $\{E_{d-i}\}_{i=0}^d$  is also standard, and no further ordering is standard.

A standard ordering of the primitive idempotents of  $A^*$  is similarly defined.

## Definition

By a **Leonard system** on  $V$  we mean a sequence

$$\Phi = (A; \{E_i\}_{i=0}^d; A^*; \{E_i^*\}_{i=0}^d)$$

of elements in  $\text{End}(V)$  such that:

- (i)  $A, A^*$  is a Leonard pair on  $V$ ;
- (ii)  $\{E_i\}_{i=0}^d$  is a standard ordering of the primitive idempotents of  $A$ ;
- (iii)  $\{E_i^*\}_{i=0}^d$  is a standard ordering of the primitive idempotents of  $A^*$ .

# New Leonard systems from old

Until further notice, fix a Leonard system on  $V$ :

$$\Phi = (A; \{E_i\}_{i=0}^d; A^*; \{E_i^*\}_{i=0}^d).$$

Note that each of the following is a Leonard system on  $V$ :

$$\Phi^* = (A^*; \{E_i^*\}_{i=0}^d; A; \{E_i\}_{i=0}^d),$$

$$\Phi^\downarrow = (A; \{E_i\}_{i=0}^d; A^*; \{E_{d-i}^*\}_{i=0}^d),$$

$$\Phi^\downarrow^* = (A; \{E_{d-i}\}_{i=0}^d; A^*; \{E_i^*\}_{i=0}^d).$$

Moreover, for  $\alpha, \beta, \alpha^*, \beta^* \in \mathbb{F}$  with  $\alpha\alpha^* \neq 0$ , the sequence

$$(\alpha A + \beta I; \{E_i\}_{i=0}^d; \alpha^* A^* + \beta^* I; \{E_i^*\}_{i=0}^d)$$

is a Leonard system on  $V$ .

## Definition

Referring to our Leonard system  $\Phi$ , for any object  $\omega$  associated with  $\Phi$ , let  $\omega^*$  denote the corresponding object for  $\Phi^*$ .

## Definition

Referring to our Leonard system

$$\Phi = (A; \{E_i\}_{i=0}^d; A^*; \{E_i^*\}_{i=0}^d),$$

for  $0 \leq i \leq d$  let  $\theta_i$  (resp.  $\theta_i^*$ ) denote the eigenvalue of  $A$  (resp.  $A^*$ ) corresponding to  $E_i$  (resp.  $E_i^*$ ).

We call  $\{\theta_i\}_{i=0}^d$  (resp.  $\{\theta_i^*\}_{i=0}^d$ ) the **eigenvalue sequence** (resp. **dual eigenvalue sequence**) of  $\Phi$ .

# The $\Phi$ -standard basis

We now define the  $\Phi$ -standard basis of  $V$ .

Pick  $0 \neq u \in E_0 V$ .

The vectors  $\{E_i^* u\}_{i=0}^d$  form a basis for  $V$ , said to be  **$\Phi$ -standard**.

With respect to this basis the matrix representing  $A$  is irreducible tridiagonal, and the matrix representing  $A^*$  is diagonal with  $(i, i)$ -entry  $\theta_i^*$  for  $0 \leq i \leq d$ .



# The scalars $\{c_i\}_{i=1}^d$ , $\{a_i\}_{i=0}^d$ , $\{b_i\}_{i=0}^{d-1}$

With respect to the  $\Phi$ -standard basis for  $V$ , the matrices representing  $A$  and  $A^*$  are

$$A : \begin{pmatrix} a_0 & b_0 & & & & & & & \mathbf{0} \\ c_1 & a_1 & b_1 & & & & & & \\ & c_2 & \cdot & \cdot & & & & & \\ & & \cdot & \cdot & \cdot & & & & \\ & & & \cdot & \cdot & & & & \\ \mathbf{0} & & & & & & b_{d-1} & & \\ & & & c_d & & & a_d & & \end{pmatrix}, \quad A^* : \text{diag}(\theta_0^*, \theta_1^*, \dots, \theta_d^*),$$

where  $\{c_i\}_{i=1}^d$ ,  $\{a_i\}_{i=0}^d$ ,  $\{b_i\}_{i=0}^{d-1}$  are scalars in  $\mathbb{F}$  such that  $b_{i-1}c_i \neq 0$  for  $1 \leq i \leq d$ .

By construction

$$\theta_0 = c_i + a_i + b_i \quad (0 \leq i \leq d),$$

where  $c_0 = 0$  and  $b_d = 0$ .

# The $\Phi$ -split basis; preliminary comments

Earlier we defined the  $\Phi$ -standard basis for  $V$ . Next we define the  $\Phi$ -split basis for  $V$ .

The following notation will be useful.

For  $0 \leq i \leq d + 1$  define polynomials  $\tau_i, \eta_i \in \mathbb{F}[\lambda]$  by

$$\begin{aligned}\tau_i &= (\lambda - \theta_0)(\lambda - \theta_1) \cdots (\lambda - \theta_{i-1}), \\ \eta_i &= (\lambda - \theta_d)(\lambda - \theta_{d-1}) \cdots (\lambda - \theta_{d-i+1}).\end{aligned}$$

Each of  $\tau_i, \eta_i$  is monic with degree  $i$  for  $0 \leq i \leq d + 1$ .

# The $\Phi$ -split basis

For  $0 \neq u \in E_0^* V$  the vectors  $\{\tau_i(A)u\}_{i=0}^d$  form a basis for  $V$ , said to be  **$\Phi$ -split**.

With respect to this basis, the matrices representing  $A$  and  $A^*$  are

$$A : \begin{pmatrix} \theta_0 & & & & & & \mathbf{0} \\ 1 & \theta_1 & & & & & \\ & 1 & \theta_2 & & & & \\ & & & \cdot & \cdot & & \\ & & & & \cdot & \cdot & \\ & & & & & 1 & \theta_d \\ \mathbf{0} & & & & & & \end{pmatrix}, \quad A^* : \begin{pmatrix} \theta_0^* & \varphi_1 & & & & & \mathbf{0} \\ & \theta_1^* & \varphi_2 & & & & \\ & & \theta_2^* & \cdot & & & \\ & & & \cdot & \cdot & & \\ & & & & \cdot & \cdot & \\ & & & & & \cdot & \varphi_d \\ \mathbf{0} & & & & & & \theta_d^* \end{pmatrix},$$

where  $\{\varphi_i\}_{i=1}^d$  are nonzero scalars in  $\mathbb{F}$ .

# The parameter array of $\Phi$

## Definition

We call the sequence  $\{\varphi_i\}_{i=1}^d$  the **first split sequence** of  $\Phi$ .

Let  $\{\phi_i\}_{i=1}^d$  denote the first split sequence of  $\Phi^\downarrow$ .

We call  $\{\phi_i\}_{i=1}^d$  the **second split sequence of  $\Phi$** .

By the **parameter array** of  $\Phi$  we mean the sequence

$$(\{\theta_i\}_{i=0}^d; \{\theta_i^*\}_{i=0}^d; \{\varphi_i\}_{i=1}^d; \{\phi_i\}_{i=1}^d).$$

# The parameter array of $\Phi$ , cont.

## Lemma

*The Leonard system  $\Phi$  is uniquely determined up to isomorphism by its parameter array.*

## Lemma

*The scalars  $\{c_i\}_{i=1}^d$ ,  $\{b_i\}_{i=0}^{d-1}$  of  $\Phi$  are determined by the parameter array of  $\Phi$  in the following way:*

$$c_i = \phi_i \frac{\eta_{d-i}^*(\theta_i^*)}{\eta_{d-i+1}^*(\theta_{i-1}^*)} \quad (1 \leq i \leq d),$$

$$b_i = \varphi_{i+1} \frac{\tau_i^*(\theta_i^*)}{\tau_{i+1}^*(\theta_{i+1}^*)} \quad (0 \leq i \leq d-1).$$

# Duality for Leonard systems

Earlier we defined duality for Leonard pairs. We now define duality for Leonard systems.

The Leonard system  $\Phi$  is said to be **self-dual** whenever there exists an automorphism  $\sigma$  of  $\text{End}(V)$  that sends  $A \leftrightarrow A^*$  and  $E_i \leftrightarrow E_i^*$  for  $0 \leq i \leq d$ .

In this case,  $\sigma$  is unique and  $\sigma^2 = 1$ ; we call  $\sigma$  the **duality** of  $\Phi$ .

## Lemma

*The Leonard system  $\Phi$  is self-dual if and only if  $\theta_i = \theta_i^*$  for  $0 \leq i \leq d$ . In this case,  $\phi_i = \phi_{d-i+1}$  for  $1 \leq i \leq d$ .*

# The classification of Leonard systems

## Theorem (Ter 2001)

Given scalars  $(\{\theta_i\}_{i=0}^d; \{\theta_i^*\}_{i=0}^d; \{\varphi_i\}_{i=1}^d; \{\phi_i\}_{i=1}^d)$   $(\star)$  in  $\mathbb{F}$ . Then there exists a Leonard system  $\Phi$  over  $\mathbb{F}$  with parameter array  $(\star)$  if and only if the following conditions hold.

- (i)  $\theta_i \neq \theta_j, \quad \theta_i^* \neq \theta_j^* \quad \text{if } i \neq j, \quad (0 \leq i, j \leq d).$
- (ii)  $\varphi_i \neq 0, \quad \phi_i \neq 0 \quad (1 \leq i \leq d).$
- (iii)  $\varphi_i = \phi_1 \sum_{h=0}^{i-1} \frac{\theta_h - \theta_{d-h}}{\theta_0 - \theta_d} + (\theta_i^* - \theta_0^*)(\theta_{i-1} - \theta_d) \quad (1 \leq i \leq d).$
- (iv)  $\phi_i = \varphi_1 \sum_{h=0}^{i-1} \frac{\theta_h - \theta_{d-h}}{\theta_0 - \theta_d} + (\theta_i^* - \theta_0^*)(\theta_{d-i+1} - \theta_0) \quad (1 \leq i \leq d).$
- (v) The expressions

$$\frac{\theta_{i-2} - \theta_{i+1}}{\theta_{i-1} - \theta_i}, \quad \frac{\theta_{i-2}^* - \theta_{i+1}^*}{\theta_{i-1}^* - \theta_i^*}$$

are equal and independent of  $i$  for  $2 \leq i \leq d - 1$ .

Moreover, if (i)–(v) hold then  $\Phi$  is unique up to isomorphism.

# Leonard systems of $q$ -Racah type

On the previous slide we gave many conditions on a parameter array.

The most general solution is called  $q$ -**Racah**, and described as follows.

Start with nonzero scalars  $a, b, c, q$  in  $\mathbb{F}$  such that  $q^4 \neq 1$  and

- $q^{2i} \neq 1$  for  $1 \leq i \leq d$ ;
- neither of  $a^2, b^2$  is among  $q^{2d-2}, q^{2d-4}, \dots, q^{2-2d}$ ;
- none of  $abc, a^{-1}bc, ab^{-1}c, abc^{-1}$  is among  $q^{d-1}, q^{d-3}, \dots, q^{1-d}$ .



# Leonard systems of $q$ -Racah type, cont.

Define

$$\begin{aligned}\theta_i &= aq^{2i-d} + a^{-1}q^{d-2i}, \\ \theta_i^* &= bq^{2i-d} + b^{-1}q^{d-2i}\end{aligned}$$

for  $0 \leq i \leq d$ , and

$$\begin{aligned}\varphi_i &= a^{-1}b^{-1}q^{d+1}(q^i - q^{-i})(q^{i-d-1} - q^{d-i+1}) \\ &\quad \times (q^{-i} - abcq^{i-d-1})(q^{-i} - abc^{-1}q^{i-d-1}), \\ \phi_i &= ab^{-1}q^{d+1}(q^i - q^{-i})(q^{i-d-1} - q^{d-i+1}) \\ &\quad \times (q^{-i} - a^{-1}bccq^{i-d-1})(q^{-i} - a^{-1}bc^{-1}q^{i-d-1})\end{aligned}$$

for  $1 \leq i \leq d$ .

## Leonard systems of $q$ -Racah type, cont.

Then the sequence

$$(\{\theta_i\}_{i=0}^d; \{\theta_i^*\}_{i=0}^d; \{\varphi_i\}_{i=1}^d; \{\phi_i\}_{i=1}^d)$$

is the parameter array of a Leonard system  $\Phi$  over  $\mathbb{F}$ , said to have  $q$ -Racah type.

The 4-tuple  $(a, b, c, q)$  is called the **Huang data** of  $\Phi$ .

The Leonard system  $\Phi$  is self-dual if and only if  $a = b$ .

# The pseudo distance-matrices $\{A_i\}_{i=0}^d$

We return our attention to an arbitrary Leonard system on  $V$ :

$$\Phi = (A; \{E_i\}_{i=0}^d; A^*; \{E_i^*\}_{i=0}^d).$$

Our next goal is to define some elements  $\{A_i\}_{i=0}^d$  that form a basis for the vector space  $\langle A \rangle$ .

We call the  $\{A_i\}_{i=0}^d$  the **pseudo distance-matrices** of  $\Phi$ .

To define these matrices, we first introduce a certain bijection  $\rho : \langle A \rangle \rightarrow \langle A^* \rangle$ .

The bijection  $\rho$  is  $\mathbb{F}$ -linear but not an algebra homomorphism.

## Lemma

*There exists a unique  $\mathbb{F}$ -linear map  $\rho : \langle A \rangle \rightarrow \langle A^* \rangle$  such that for  $Y \in \langle A \rangle$ ,*

$$YE_0^*E_0 = Y^\rho E_0.$$

*Moreover  $\rho$  is a bijection.*

## The scalar $\nu$ and the inverse of $\rho$

In order to describe the inverse of  $\rho$ , we introduce a scalar  $\nu \in \mathbb{F}$ .

The scalar  $\nu$  satisfies

$$\nu E_0 E_0^* E_0 = E_0, \quad \nu E_0^* E_0 E_0^* = E_0^*.$$

We have  $\nu \neq 0$  and

$$\nu^{-1} = \text{tr}(E_0 E_0^*).$$

It turns out that

$$\nu = \frac{\eta_d(\theta_0) \eta_d^*(\theta_0^*)}{\phi_1 \phi_2 \cdots \phi_d}.$$

We call  $\nu$  the **pseudo size** of  $\Phi$ .

### Lemma

*The inverse of  $\rho$  is  $\nu \rho^*$ .*

# The elements $\{A_i\}_{i=0}^d$

## Definition

For  $0 \leq i \leq d$  let  $A_i \in \langle A \rangle$  denote the  $\rho$ -preimage of  $E_i^*$ .

We call  $A_i$  the  $i$ th **pseudo distance-matrix** of  $\Phi$ .

By the construction,  $\rho$  sends  $A_i \mapsto E_i^*$  and  $E_i \mapsto \nu^{-1}A_i^*$  for  $0 \leq i \leq d$ .

# The elements $\{A_i\}_{i=0}^d$ , cont.

## Lemma

For  $0 \leq i \leq d$ ,

$$A_i E_0^* E_0 = E_i^* E_0,$$

$$A_i^* E_0 E_0^* = E_i E_0^*,$$

$$E_i E_0^* E_0 = \nu^{-1} A_i^* E_0,$$

$$E_i^* E_0 E_0^* = \nu^{-1} A_i E_0^*.$$

# Some properties of $\{A_i\}_{i=0}^d$

## Lemma

*The following hold:*

- (i) *the elements  $\{A_i\}_{i=0}^d$  form a basis for the vector space  $\langle A \rangle$ ;*
- (ii)  $A_0 = I$ ;
- (iii)  $\sum_{i=0}^d A_i = \nu E_0$ .

## Lemma

*For  $d \geq 1$ ,  $A = c_1 A_1 + a_0 I$ .*



# The scalars $\{k_i\}_{i=0}^d$

Next we define some scalars  $\{k_i\}_{i=0}^d$ .

## Definition

For  $0 \leq i \leq d$  let  $k_i \in \mathbb{F}$  denote the eigenvalue of  $A_i$  associated with  $E_0$ . Thus

$$A_i E_0 = k_i E_0.$$

We call  $k_i$  the  $i$ th **pseudo valency** of  $\Phi$ .

# Some properties of $\{k_i\}_{i=0}^d$

## Lemma

We have

- (i)  $k_0 = 1$ ;
- (ii)  $\sum_{i=0}^d k_i = \nu$ ;
- (iii) for  $0 \leq i \leq d$ ,

$$k_i = \frac{b_0 b_1 \cdots b_{i-1}}{c_1 c_2 \cdots c_i};$$

- (iv) for  $0 \leq i \leq d$ ,

$$k_i = \frac{\varphi_1 \varphi_2 \cdots \varphi_i}{\phi_1 \phi_2 \cdots \phi_i} \frac{\eta_d^*(\theta_0^*)}{\tau_i^*(\theta_i^*) \eta_{d-i}^*(\theta_i^*)}.$$

# The scalars $p_{ij}^h$

Next we define some scalars  $p_{ij}^h$ .

Since  $\{A_i\}_{i=0}^d$  form a basis for  $\langle A \rangle$ , there exist scalars  $p_{ij}^h \in \mathbb{F}$  ( $0 \leq h, i, j \leq d$ ) such that

$$A_i A_j = \sum_{h=0}^d p_{ij}^h A_h \quad (0 \leq i, j \leq d).$$

We call the scalars  $p_{ij}^h$  the **pseudo intersection numbers** of  $\Phi$ .

# The scalars $q_{ij}^h$

For notational convenience define

$$q_{ij}^h = (p_{ij}^h)^* \quad (0 \leq h, i, j \leq d).$$

By construction

$$A_i^* A_j^* = \sum_{h=0}^d q_{ij}^h A_h^* \quad (0 \leq i, j \leq d).$$

We call the scalars  $q_{ij}^h$  the **pseudo Krein parameters** of  $\Phi$ .

Next we consider a type of Leonard pair, said to have **spin**.

The notion of a spin Leonard pair was introduced by Brian Curtin in 2007.

## Lemma

Let  $A, A^*$  denote a Leonard pair on  $V$ .

For an invertible  $W \in \langle A \rangle$  and invertible  $W^* \in \langle A^* \rangle$  the following are equivalent:

$$WA^*W^{-1} = (W^*)^{-1}AW^*,$$

$$W^{-1}A^*W = W^*A(W^*)^{-1},$$

$$W^*WA^* = AW^*W,$$

$$A^*WW^* = WW^*A.$$

# Boltzmann pairs and spin Leonard pairs

## Definition

Let  $A, A^*$  denote a Leonard pair on  $V$ .

A **Boltzmann pair** for  $A, A^*$  is an ordered pair  $W, W^*$  such that

- (i)  $W$  is an invertible element of  $\langle A \rangle$ ;
- (ii)  $W^*$  is an invertible element of  $\langle A^* \rangle$ ;
- (iii)  $W, W^*$  satisfy the four equivalent conditions in the previous lemma.

The Leonard pair  $A, A^*$  is said to have **spin** whenever there exists a Boltzmann pair for  $A, A^*$ .

In 2007 Brian Curtin classified up to isomorphism the spin Leonard pairs, and he described their Boltzmann pairs.

Until further notice, let  $A, A^*$  denote a spin Leonard pair on  $V$  with Boltzmann pair  $W, W^*$ .

## Lemma

*The following hold.*

- (i) *For nonzero scalars  $\alpha, \alpha^* \in \mathbb{F}$  the pair  $\alpha W, \alpha^* W^*$  is a Boltzmann pair for  $A, A^*$ .*
- (ii) *The pair  $W^{-1}, (W^*)^{-1}$  is a Boltzmann pair for  $A, A^*$ .*



# The products $WW^*W$ and $W^*WW^*$

Next we investigate the products

$$WW^*W, \quad W^*WW^*.$$

## Lemma

*We have*

$$\begin{aligned}AWW^*W &= WW^*WA^*, \\A^*WW^*W &= WW^*WA, \\AW^*WW^* &= W^*WW^*A^*, \\A^*W^*WW^* &= W^*WW^*A.\end{aligned}$$

# The products $WW^*W$ and $W^*WW^*$ , cont.

## Lemma

*The following agree up to a nonzero scalar factor in  $\mathbb{F}$ :*

$$WW^*W, \quad W^*WW^*.$$

# An action of the group $\mathrm{PSL}_2(\mathbb{Z})$

Using  $W$  and  $W^*$  we obtain an action of the modular group  $\mathrm{PSL}_2(\mathbb{Z})$  on  $\mathrm{End}(V)$  as a group of automorphisms.

Recall that  $\mathrm{PSL}_2(\mathbb{Z})$  has a presentation by generators  $\psi$ ,  $\sigma$  and relations  $\psi^3 = 1$ ,  $\sigma^2 = 1$ .

Lemma (Curtin 2007)

*The group  $\mathrm{PSL}_2(\mathbb{Z})$  acts on  $\mathrm{End}(V)$  such that  $\psi$  sends*

$$Y \mapsto (WW^*)^{-1} YWW^*$$

*and  $\sigma$  sends*

$$Y \mapsto (WW^*W)^{-1} YWW^*W$$

*for  $Y \in \mathrm{End}(V)$ .*

# Spin Leonard pairs are self-dual

## Lemma

*The spin Leonard pair  $A, A^*$  is self-dual with duality  $\sigma$ .*

## Definition

The Boltzmann pair  $W, W^*$  is said to be **balanced** whenever

$$WW^*W = W^*WW^*.$$

If  $W, W^*$  is not balanced, then we can balance it by multiplying one of  $W, W^*$  by an appropriate nonzero scalar in  $\mathbb{F}$ .

# Extending the spin Leonard pair $A, A^*$ to a self-dual Leonard system $\Phi$

Until further notice, assume that  $W, W^*$  is balanced.

By construction, the duality  $\sigma$  sends  $W \leftrightarrow W^*$ .

Let  $\{E_i\}_{i=0}^d$  denote a standard ordering of the primitive idempotents of  $A$ .

Define  $E_i^* = E_i^\sigma$  for  $0 \leq i \leq d$ .

Then the sequence

$$\Phi = (A; \{E_i\}_{i=0}^d; A^*; \{E_i^*\}_{i=0}^d)$$

is a self-dual Leonard system on  $V$  with duality  $\sigma$ .

# The scalars $f$ and $\{t_i\}_{i=0}^d$

Since  $\{E_i\}_{i=0}^d$  is a basis for  $\langle A \rangle$  and  $W$  is an invertible element in  $\langle A \rangle$ , there exist nonzero scalars  $f, \{t_i\}_{i=0}^d$  in  $\mathbb{F}$  such that  $t_0 = 1$  and

$$W = f \sum_{i=0}^d t_i E_i.$$

Applying the duality  $\sigma$  we obtain

$$W^* = f \sum_{i=0}^d t_i E_i^*.$$

The scalar  $f$  is “free” and can be adjusted to have any nonzero value.

# The elements $W$ , $W^*$ and the bijections $\rho$ , $\rho^*$

Recall the bijections

$$\rho : \langle A \rangle \rightarrow \langle A^* \rangle, \quad \rho^* : \langle A^* \rangle \rightarrow \langle A \rangle.$$

Next we find the action of  $\rho$  on  $W^{\pm 1}$  and  $\rho^*$  on  $(W^*)^{\pm 1}$ .

For notational convenience define

$$\gamma = \nu^{-1} \sum_{i=0}^d k_i t_i.$$



# The elements $W$ , $W^*$ and the bijections $\rho$ , $\rho^*$

## Lemma

The bijection  $\rho$  sends

$$W \mapsto f^2 \gamma (W^*)^{-1}, \quad W^{-1} \mapsto f^{-2} \gamma^{-1} \nu^{-1} W^*.$$

The bijection  $\rho^*$  sends

$$W^* \mapsto f^2 \gamma W^{-1}, \quad (W^*)^{-1} \mapsto f^{-2} \gamma^{-1} \nu^{-1} W.$$

Moreover  $\gamma \neq 0$ .

# The bases $\{A_i\}_{i=0}^d$ and $\{A_i^*\}_{i=0}^d$ , revisited

Recall the basis  $\{A_i\}_{i=0}^d$  for  $\langle A \rangle$  and the basis  $\{A_i^*\}_{i=0}^d$  for  $\langle A^* \rangle$ .

Next we express  $W^{\pm 1}$  in the basis  $\{A_i\}_{i=0}^d$  and  $(W^*)^{\pm 1}$  in the basis  $\{A_i^*\}_{i=0}^d$ .

## Theorem

We have

$$W = f\gamma \sum_{i=0}^d t_i^{-1} A_i,$$

$$W^{-1} = \nu^{-1} f^{-1} \gamma^{-1} \sum_{i=0}^d t_i A_i,$$

$$W^* = f\gamma \sum_{i=0}^d t_i^{-1} A_i^*,$$

$$(W^*)^{-1} = \nu^{-1} f^{-1} \gamma^{-1} \sum_{i=0}^d t_i A_i^*.$$

We return our attention to an arbitrary Leonard system on  $V$ :

$$\Phi = (A; \{E_i\}_{i=0}^d; A^*; \{E_i^*\}_{i=0}^d).$$

Until further notice, assume that  $\Phi$  has  $q$ -Racah type with Huang data  $(a, b, c, q)$ .

We consider the case  $a = b = c$ .

# The $q$ -Racah case with $a = b = c$

## Lemma

Assume that  $a = b = c$ . Define

$$W = f \sum_{i=0}^d t_i E_i, \quad W^* = f \sum_{i=0}^d t_i E_i^*$$

such that  $0 \neq f \in \mathbb{F}$  and

$$t_i = (-1)^i a^{-i} q^{i(d-i)} \quad (0 \leq i \leq d).$$

Then  $W, W^*$  is a Boltzmann pair for  $A, A^*$ .

# The $q$ -Racah case with $a = b = c$ , cont.

## Lemma

Assume that  $a = b = c$ . Then

$$b_i = \frac{(q^{i-d} - q^{d-i})(aq^{i-d} - a^{-1}q^{d-i})(a^3 - q^{d-2i-1})}{a(aq^{2i-d} - a^{-1}q^{d-2i})(a + q^{d-2i-1})},$$

$$c_i = \frac{a(q^i - q^{-i})(aq^i - a^{-1}q^{-i})(a^{-1} - q^{d-2i+1})}{(aq^{2i-d} - a^{-1}q^{d-2i})(a + q^{d-2i+1})}$$

for  $1 \leq i \leq d-1$  and

$$b_0 = \frac{(q^{-d} - q^d)(a^3 - q^{d-1})}{a(a + q^{d-1})},$$

$$c_d = \frac{(q^{-d} - q^d)(a - q^{d-1})}{q^{d-1}(a + q^{1-d})}.$$

We are done discussing Leonard pairs and Leonard systems.

Next we consider **spin models**.

From now on, assume that  $\mathbb{F} = \mathbb{C}$ .

# Spin models; preliminaries

Let  $X$  denote a nonempty finite set.

Let  $\text{Mat}_X(\mathbb{C})$  denote the algebra consisting of the matrices that have rows and columns indexed by  $X$  and all entries in  $\mathbb{C}$ .

For  $R \in \text{Mat}_X(\mathbb{C})$  and  $x, y \in X$  the  $(x, y)$ -entry of  $R$  is denoted by  $R(x, y)$ .

Let  $V$  denote the vector space over  $\mathbb{C}$  consisting of the column vectors whose entries are indexed by  $X$ .

The algebra  $\text{Mat}_X(\mathbb{C})$  acts on  $V$  by left multiplication.



## Definition

A matrix  $W \in \text{Mat}_X(\mathbb{C})$  is said to be **type II** whenever  $W$  is symmetric with all entries nonzero and

$$\sum_{y \in X} \frac{W(a, y)}{W(b, y)} = |X| \delta_{a, b} \quad (a, b \in X). \quad (2)$$

Condition (2) asserts that the Hadamard inverse of  $W$  is  $|X|$  times the ordinary inverse of  $W$ .

# The Nomura algebra

Next we recall the **Nomura algebra** of a type II matrix.

## Definition

Assume  $W \in \text{Mat}_X(\mathbb{C})$  is type II. For  $b, c \in X$  define a vector  $\mathbf{u}_{b,c} \in V$  that has  $y$ -entry

$$\frac{W(b, y)}{W(c, y)}$$

for  $y \in X$ . Further define

$$N(W) =$$

$$\{B \in \text{Mat}_X(\mathbb{C}) \mid B \text{ is symmetric, } B\mathbf{u}_{b,c} \in \mathbb{C}\mathbf{u}_{b,c} \text{ for all } b, c \in X\}.$$

# The Nomura algebra, cont.

## Lemma (Nomura 1997)

*Assume  $W \in \text{Mat}_X(\mathbb{C})$  is type II.*

*Then  $N(W)$  is a commutative subalgebra of  $\text{Mat}_X(\mathbb{C})$  that contains the all 1's matrix  $J$  and is closed under the Hadamard product.*

We call  $N(W)$  the **Nomura algebra** of  $W$ .

# Spin models

For a real number  $\alpha > 0$  let  $\alpha^{1/2}$  denote the **positive** square root of  $\alpha$ .

Definition (V. F. R. Jones 1989)

A matrix  $W \in \text{Mat}_X(\mathbb{C})$  is called a **spin model** whenever  $W$  is type II and

$$\sum_{y \in X} \frac{W(a, y)W(b, y)}{W(c, y)} = |X|^{1/2} \frac{W(a, b)}{W(a, c)W(b, c)} \quad (3)$$

for all  $a, b, c \in X$ .

## Spin models and type II matrices, cont.

Condition (3) on the previous slide can be expressed as follows.

For  $x \in X$  define a diagonal matrix  $W^* = W^*(x)$  in  $\text{Mat}_X(\mathbb{C})$  that has diagonal entries

$$W^*(y, y) = \frac{|X|^{1/2}}{W(x, y)} \quad (y \in X).$$

Condition (3) asserts that for all  $x \in X$ ,

$$WW^*W = W^*WW^*,$$

where  $W^* = W^*(x)$ . This observation is due to A. Munemasa (1994).

# The Nomura algebra of spin model

Lemma (Nomura 1997)

*Assume  $W \in \text{Mat}_X(\mathbb{C})$  is a spin model. Then  $W \in N(W)$ .*

# Hadamard matrices

Next we consider some examples of type II matrices and spin models.

## Definition

A matrix  $H \in \text{Mat}_X(\mathbb{C})$  is called **Hadamard** whenever every entry is  $\pm 1$  and  $HH^t = |X|I$ .

## Example

The matrix

$$H = \begin{pmatrix} 1 & -1 & -1 & -1 \\ -1 & 1 & -1 & -1 \\ -1 & -1 & 1 & -1 \\ -1 & -1 & -1 & 1 \end{pmatrix}$$

is Hadamard.

# A symmetric Hadamard matrix is type II

A symmetric Hadamard matrix is type II.

More generally, for  $W \in \text{Mat}_X(\mathbb{C})$  and  $0 \neq \alpha \in \mathbb{C}$  the following are equivalent:

- (i)  $W$  is type II with all entries  $\pm\alpha$ ;
- (ii) there exists a symmetric Hadamard matrix  $H$  such that  $W = \alpha H$ .

## Definition

A type II matrix  $W \in \text{Mat}_X(\mathbb{C})$  is said to have **Hadamard type** whenever  $W$  is a scalar multiple of a symmetric Hadamard matrix.



# Type II matrices of Hadamard type

We briefly consider spin models of Hadamard type.

## Example

Recall our example  $H$  of a Hadamard matrix. Then  $\sqrt{-1}H$  is a spin model of Hadamard type.

Spin models of Hadamard type sometimes cause technical problems, so occasionally we will assume that a spin model under discussion does not have Hadamard type.

# Distance-regular graphs

We now bring in distance-regular graphs.

We assume the audience is familiar with the basic concepts and notation for this topic; a good reference is the book

A. E. Brouwer, A. M. Cohen, A. Neumaier. Distance-regular graphs 1989.

# Distance-regular graphs, cont.

Let  $\Gamma$  denote a distance-regular graph, with vertex set  $X$ , path-length distance function  $\partial$ , and diameter  $D \geq 3$ .

Recall that the distance-matrices  $\{A_i\}_{i=0}^D$  of  $\Gamma$  form a basis for the Bose-Mesner algebra  $M$  of  $\Gamma$ .

Assume that  $M$  contains a spin model  $W$ .

## Definition

We say that  $\Gamma$  **affords**  $W$  whenever  $W \in M \subseteq N(W)$ .

# When $\Gamma$ affords a spin model

Until further notice, assume that the spin model  $W$  is afforded by  $\Gamma$ .

We now consider the consequences.

# The graph $\Gamma$ is formally self-dual

## Lemma (Curtin+Nomura 1999)

*There exists an ordering  $\{E_i\}_{i=0}^D$  of the primitive idempotents of  $M$  with respect to which  $\Gamma$  is formally self-dual.*

*For this ordering the intersection numbers and Krein parameters satisfy*

$$p_{ij}^h = q_{ij}^h \quad (0 \leq h, i, j \leq D).$$

# Some parameters

Since  $\{E_i\}_{i=0}^D$  is a basis for  $M$  and  $W$  is an invertible element in  $M$ , there exist nonzero scalars  $f, \{t_i\}_{i=0}^D$  in  $\mathbb{C}$  such that  $t_0 = 1$  and

$$W = f \sum_{i=0}^D t_i E_i.$$

## Lemma

*The scalar  $f$  satisfies*

$$f^{-2} = |X|^{-3/2} \sum_{i=0}^D k_i t_i,$$

*where  $\{k_i\}_{i=0}^D$  are the valencies of  $\Gamma$ .*

We call the above equation the **standard normalization**.

# The dual Bose-Mesner algebra

We now bring in the dual Bose-Mesner algebra.

Until further notice, fix a vertex  $x \in X$ .

For  $0 \leq i \leq D$  let  $E_i^* = E_i^*(x)$  denote the diagonal matrix in  $\text{Mat}_X(\mathbb{C})$  that has  $(y, y)$ -entry 1 if  $\partial(x, y) = i$  and 0 if  $\partial(x, y) \neq i$  ( $y \in X$ ). By construction,

$$E_i^* E_j^* = \delta_{i,j} E_i^* \quad (0 \leq i, j \leq D), \quad \sum_{i=0}^D E_i^* = I.$$

Consequently  $\{E_i^*\}_{i=0}^D$  form a basis for a commutative subalgebra  $M^* = M^*(x)$  of  $\text{Mat}_X(\mathbb{C})$ , called the **dual Bose-Mesner algebra of  $\Gamma$  with respect to  $x$** .



## Lemma (Curtin 1999)

*The matrix  $W^* = W^*(x)$  satisfies*

$$W^* = f \sum_{i=0}^D t_i E_i^*.$$

# The dual distance-matrices

Next we recall the dual distance-matrices.

For  $0 \leq i \leq D$  let  $A_i^* = A_i^*(x)$  denote the diagonal matrix in  $\text{Mat}_X(\mathbb{C})$  whose  $(y, y)$ -entry is the  $(x, y)$ -entry of  $|X|E_i$  ( $y \in X$ ). We have  $A_0^* = I$  and

$$A_i^* A_j^* = \sum_{h=0}^D q_{ij}^h A_h^* \quad (0 \leq i, j \leq D).$$

The matrices  $\{A_i^*\}_{i=0}^D$  form a basis for  $M^*$ . We call  $\{A_i^*\}_{i=0}^D$  the **dual distance-matrices of  $\Gamma$  with respect to  $x$** .

# How $W$ , $W^*$ are related

Next we consider how  $W$ ,  $W^*$  are related.

We mentioned earlier that

$$WW^*W = W^*WW^*.$$

Lemma (Caughman and Wolff 2005)

*We have*

$$WA_1^*W^{-1} = (W^*)^{-1}A_1W^*.$$

We recognize the above equations from our discussion of Boltzmann pairs.

# The subconstituent algebra

We now bring in the subconstituent algebra.

Let  $T = T(x)$  denote the subalgebra of  $\text{Mat}_X(\mathbb{C})$  generated by  $M$  and  $M^*$ .

We call  $T$  the **subconstituent algebra of  $\Gamma$  with respect to  $x$** .

# The irreducible $T$ -modules

The algebra  $T$  is semisimple, so it is natural to consider the irreducible  $T$ -modules.

An irreducible  $T$ -module  $U$  is called **thin** whenever the dimension of  $E_i U$  and  $E_i^* U$  is at most 1 for  $0 \leq i \leq D$ .

Define the **endpoint** of  $U$  to be  $\min\{i | E_i^* U \neq 0\}$ , and the **dual-endpoint** of  $U$  to be  $\min\{i | E_i U \neq 0\}$ .

# The irreducible $T$ -modules, cont.

## Lemma (Curtin 1999)

*Each irreducible  $T$ -module is thin, provided that  $W$  is not of Hadamard type.*

## Lemma (Curtin and Nomura 2004)

*Let  $U$  denote a thin irreducible  $T$ -module. Then the endpoint of  $U$  is equal to the dual-endpoint of  $U$ .*

## Theorem (Caughman and Wolff 2005)

*Let  $U$  denote a thin irreducible  $T$ -module. Then the pair  $A_1, A_1^*$  acts on  $U$  as a spin Leonard pair, and  $W, W^*$  acts on  $U$  as a balanced Boltzman pair for this Leonard pair.*

# Reversing the logical direction

We have been discussing a distance-regular graph  $\Gamma$  that affords a spin model  $W$ .

We showed that the existence of  $W$  implies that the irreducible modules for all the subconstituent algebras of  $\Gamma$  take a certain form.

We now reverse the logical direction.

We show that whenever the irreducible modules for all the subconstituent algebras of  $\Gamma$  take this form, then  $\Gamma$  affords a spin model  $W$ .

# A condition on $\Gamma$

Let  $\Gamma$  denote a distance-regular graph with vertex set  $X$  and diameter  $D \geq 3$ .

## Assumption

Assume that  $\Gamma$  is formally self-dual with respect to the ordering  $\{E_i\}_{i=0}^D$  of the primitive idempotents.



# A condition on the irreducible T-modules

## Definition

Let  $f, \{t_i\}_{i=0}^D$  denote nonzero scalars in  $\mathbb{C}$  such that  $t_0 = 1$ . Define

$$W = f \sum_{i=0}^D t_i E_i.$$

For  $x \in X$  define

$$W^*(x) = f \sum_{i=0}^D t_i E_i^*(x).$$

# The first main theorem

## Theorem (Nomura and Ter, 2019)

Assume that for all  $x \in X$  and all irreducible  $T(x)$ -modules  $U$ ,

- (i)  $U$  is thin;
- (ii)  $U$  has the same endpoint and dual-endpoint;
- (iii) the pair  $A_1, A_1^*(x)$  acts on  $U$  as a spin Leonard pair, and  $W, W^*(x)$  acts on  $U$  as a balanced Boltzmann pair for this spin Leonard pair;
- (iv)  $f$  satisfies the standard normalization equation.

Then  $W$  is a spin model afforded by  $\Gamma$ .

# The $q$ -Racah case

Next we make the previous theorem more explicit, under the assumption that  $\Gamma$  has  $q$ -Racah type.

## Assumption

Assume that  $\Gamma$  is formally self-dual with respect to the ordering  $\{E_i\}_{i=0}^D$  of the primitive idempotents.

Fix nonzero scalars  $a, q \in \mathbb{C}$  such that

$$q^{2i} \neq 1 \quad (1 \leq i \leq D),$$

$$a^2 q^{2i} \neq 1 \quad (1 - D \leq i \leq D - 1),$$

$$a^3 q^{2i-D-1} \neq 1 \quad (1 \leq i \leq D).$$

# An assumption on the eigenvalues

For  $0 \leq i \leq D$  let  $\theta_i$  denote the eigenvalue of  $A_1$  associated with  $E_i$ .

## Assumption

Assume that

$$\theta_i = \alpha(aq^{2i-D} + a^{-1}q^{D-2i}) + \beta \quad (0 \leq i \leq D),$$

where

$$\alpha = \frac{(aq^{2-D} - a^{-1}q^{D-2})(a + q^{D-1})}{q^{D-1}(q^{-1} - q)(aq - a^{-1}q^{-1})(a - q^{1-D})},$$
$$\beta = \frac{q(a + a^{-1})(a + q^{-D-1})(aq^{2-D} - a^{-1}q^{D-2})}{(q - q^{-1})(a - q^{1-D})(aq - a^{-1}q^{-1})}.$$

# An assumption on the intersection numbers

## Assumption

Assume that the intersection numbers of  $\Gamma$  satisfy

$$b_i = \frac{\alpha(q^{i-D} - q^{D-i})(aq^{i-D} - a^{-1}q^{D-i})(a^3 - q^{D-2i-1})}{a(aq^{2i-D} - a^{-1}q^{D-2i})(a + q^{D-2i-1})},$$

$$c_i = \frac{\alpha a(q^i - q^{-i})(aq^i - a^{-1}q^{-i})(a^{-1} - q^{D-2i+1})}{(aq^{2i-D} - a^{-1}q^{D-2i})(a + q^{D-2i+1})}$$

for  $1 \leq i \leq D-1$  and

$$b_0 = \frac{\alpha(q^{-D} - q^D)(a^3 - q^{D-1})}{a(a + q^{D-1})},$$

$$c_D = \frac{\alpha(q^{-D} - q^D)(a - q^{D-1})}{q^{D-1}(a + q^{1-D})}.$$

# An assumption on the irreducible T-modules

## Assumption

Assume that for all  $x \in X$  and all irreducible  $T(x)$ -modules  $U$ ,

- (i)  $U$  is thin;
- (ii)  $U$  has the same endpoint and dual-endpoint (called  $r$ );
- (iii) the intersection numbers  $\{c_i(U)\}_{i=1}^d$ ,  $\{b_i(U)\}_{i=0}^{d-1}$  satisfy

$$b_i(U) =$$

$$\frac{\alpha a (q^{i-d} - q^{d-i})(aq^{2r+i-D} - a^{-1}q^{D-2r-i})(a^3 - q^{3D-2d-6r-2i-1})}{aq^{D-d-2r}(aq^{2r+2i-D} - a^{-1}q^{D-2r-2i})(a + q^{D-2r-2i-1})},$$

$$c_i(U) =$$

$$\frac{\alpha a (q^i - q^{-i})(aq^{d+2r+i-D} - a^{-1}q^{D-d-2r-i})(a^{-1} - q^{2d-D+2r-2i+1})}{q^{d-D+2r}(aq^{2r+2i-D} - a^{-1}q^{D-2r-2i})(a + q^{D-2r-2i+1})}$$

for  $1 \leq i \leq d-1$  and

## Assumption

(iii) (continued)

$$b_0(U) = \frac{\alpha(q^{-d} - q^d)(a^3 - q^{3D-2d-6r-1})}{aq^{D-d-2r}(a + q^{D-2r-1})},$$

$$c_d(U) = \frac{\alpha(q^{-d} - q^d)(a - q^{D-2r-1})}{q^{d-1}(a + q^{D-2d-2r+1})}.$$

# The second main theorem

## Theorem (Nomura and Ter, 2019)

Under the above assumptions, define a matrix

$$W = f \sum_{i=0}^D t_i E_i$$

where

$$t_i = (-1)^i a^{-i} q^{i(D-i)} \quad (0 \leq i \leq D)$$

and

$$f^2 = |X|^{3/2} \prod_{i=0}^{D-1} \frac{1 - aq^{2i+1-D}}{1 - a^{-2}q^{2i}}.$$

Then  $W$  is a spin model afforded by  $\Gamma$ .



# Summary

In this talk we first described the spin Leonard pairs.

We then considered a distance-regular graph  $\Gamma$  that affords a spin model.

Using spin Leonard pairs we showed that all the irreducible modules for all the subconstituent algebras of  $\Gamma$  take a certain form.

We then reversed the logical direction. We assumed that all the irreducible modules for all the subconstituent algebras of  $\Gamma$  take this form, and showed that  $\Gamma$  affords a spin model.

We explicitly constructed this spin model when  $\Gamma$  has  $q$ -Racah type.

**THANK YOU FOR YOUR ATTENTION!**