

Lecture 3 Monday Sept 9

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Recall our connected graph  $\Gamma = (X, \mathcal{R})$

Fix  $x \in X$ , write  $M^* = M^*(x)$ ,  $T = T(x)$

Earlier we saw that the standard module  $V$  is an orthogonal dir sum of  $\nu$  red  $T$ -modules.

Let  $W$  denote an  $\nu$  red  $T$ -module.

We associate with  $W$  the following parameters:

name	meaning
endpt of $W$	$\min \{ i \mid 0 \leq i \leq D_x, E_i^* W \neq 0 \}$
diameter of $W$	$ \{ i \mid 0 \leq i \leq D_x, E_i^* W \neq 0 \}  - 1$

$\exists$  unique  $\nu$  red  $T$ -module with endpt 0

This  $T$ -module has diameter  $D_x$ .

Call this  $T$ -module primary

Fix an ordering  $\{\theta_i\}_{i=0}^D$  of the eigenvalues of  $\Gamma$ .

Def 4. By a dual adjacency matrix of  $\Gamma$  with respect to  $x$  and  $\{\theta_i\}_{i=0}^D$  we mean a matrix

$A^* \in \text{Mat}_X(\mathbb{C})$  such that

- (i)  $A^*$  generates  $M^*$
- (ii)  $E_i A^* E_j = 0$  if  $|i-j| > 1$  ( $0 \leq i, j \leq D$ )

Until further notice  $A^*$  denotes a dual adj matrix for  $\Gamma$  wrt  $x$  and  $\{\theta_i\}_{i=0}^D$ .

By (i) above  $A^*$  is diagonal.

The eigenspaces of  $A^*$  are the subconstituents of  $\Gamma$  wrt  $x$ .

By (ii) above,

$$A^* E_i V \subseteq E_{i-1} V + E_i V + E_{i+1} V \quad (0 \leq i \leq D) \quad **$$

For  $0 \leq i \leq D$  let  $\theta_i^*$  denote the eigenvalue of  $A^*$

for the eigenspace  $E_i^* V$ . Then

$$A^* = \sum_{i=0}^D \theta_i^* E_i^*$$

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By constr  $\{\theta_i^*\}_{i=0}^{D_x}$  are mutually distinct.

The  $\{E_i^*\}_{i=0}^{D_x}$  are the primitive idempotents of  $A^*$

Obs

$T$  is gen by  $A, A^*$

Ex (4-cycle)  $\Gamma$  has a dual adj matrix with

$$\theta_0^* = 2, \quad \theta_1^* = 0, \quad \theta_2^* = -2$$

Next goal: show that  $A, A^*$  act on each  
irred  $T$ -module as a TD pair.

Let  $W$  denote an irred  $T$ -module.

We associate with  $W$  the following parameters:

name	meaning
dual endpt of $W$	$\min\{i \mid 0 \leq i \leq D, E_i W \neq 0\}$
dual diameter of $W$	$ \{i \mid 0 \leq i \leq D, E_i W \neq 0\}  - 1$

[ it turns out  
diam of  $W =$  dual diam of  $W$   
but we won't assume this ]

LEM 5 Let  $W$  denote an irred  
 $T$ -module with endpt  $r$ , dual endpt  $t$ ,  
dim  $\delta$ , dual dim  $d$ .

(i)  $\forall 0 \leq i \leq \rho_x$

$$E_i^* W \neq 0 \quad \text{iff} \quad r \leq i \leq r + \delta$$

(ii)  $\forall 0 \leq i \leq d$

$$E_i W \neq 0 \quad \text{iff} \quad t \leq i \leq t + d$$

pf (i) By constr

$$E_i^* W = 0 \quad 0 \leq i \leq r-1$$

$$E_r^* W \neq 0$$

Suppose  $\exists i \quad (r+1 \leq i \leq r+\delta)$  such that  $E_i^* W = 0$

$$\text{Put } W' = E_r^* W + \dots + E_{r+i}^* W$$

Obs  $AW' \subseteq W'$  and  $A^*W' \subseteq W'$

so  $W'$  is  $T$ -module.

By constr  $W' \neq 0$ ,  $W' \neq W$   $W' \subseteq W$   
contradiction.

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So  $E_i^* W \neq 0$   $r \leq i \leq r + \delta$

By def of  $\delta$

$E_i^* W = 0$   $r + \delta < i \leq D_x$

(ii) Sim to pf of (i)

□

COR 6 Let  $W$  denote an irred  
 $T$ -module. Then  $A, A^*$  act on  $W$  as  
 a TD pair.

pf Check  $A, A^*$  actions satisfy the axioms  
 of a TD pair.

Let  $r, t, s, d$  be as in Lem 5

- Each  $A, A^*$  is diagonalizable on  $W$ , since it is diagonalizable on  $V$

- Define  $V_i = E_{t+i} W$  for  $0 \leq i \leq d$ . Then  $\{V_i\}_{i=0}^d$  is an ordering of the eigenspaces for  $A$  on  $W$ .

By  $\star\star$

$$A^* V_i \subseteq V_{i-1} + V_i + V_{i+1} \quad 0 \leq i \leq d$$

where  $V_{-1} = 0, V_{d+1} = 0$

- Define  $V_i^* = E_{r+i} W$  for  $0 \leq i \leq s$ . Then

$\{V_i^*\}_{i=0}^s$  is an ordering of the eigenspaces of  $A^*$  on  $W$

By the triangle inequality

$$A V_i^* \leq V_{i-1}^* + V_i^* + V_{i+1}^* \quad 0 \leq i \leq \delta$$

where  $V_{-1}^* = 0, \quad V_{\delta+1}^* = 0$

- The irreducibility condition for TD pairs is satisfied by  $W$ , since  $W$  is irred. as a  $T$ -module and  $T$  is generated by  $A, A^*$ .  $\square$

Note For  $\alpha, \beta \in \mathbb{F}$  with  $\alpha \neq 0$ ,  $\alpha A^* + \beta I$

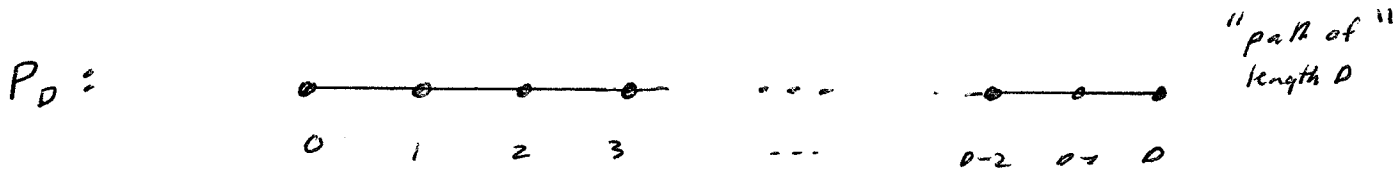
is a dual adj matrix for  $\Gamma$  wrt  $x$  and  $\{\theta_i\}_{i=0}^{\delta}$ .

DEF 7 the graph  $\Gamma$  is said to be  $Q$ -polynomial

with respect to  $x$  and  $\{\theta_i\}_{i=0}^{\delta}$  whenever

$\exists$  a dual adj matrix of  $\Gamma$  wrt  $x$  and  $\{\theta_i\}_{i=0}^{\delta}$

Def 8 For an integer  $D \geq 0$  define  
the graph



$P_D$  has  $D+1$  vertices and diameter  $D$

Next goal: show that  $\Gamma = P_D$  is  $\mathcal{Q}$ -poly with respect to each end-vertex. Let  $x =$  end vertex.

For  $0 \leq i \leq D$  define

$x_i =$  unique vertex of  $P_D$  at distance  $i$  from  $x$

Relative the ordering  $\{x_i\}_{i=0}^D$ ,

$$A = \begin{pmatrix} 0 & 1 & & & & & 0 \\ & 1 & 0 & 1 & & & \\ & & & 1 & 0 & 1 & \\ & & & & & 1 & \\ 0 & & & & & & \vdots \\ & & & & & & 1 \\ & & & & & & 1 & 0 \end{pmatrix}$$

$$E_i^* = \text{diag} ( 0, \dots, 0, 1, 0, \dots, 0 ) \quad ( 0 \leq i \leq D )$$

↑  
coord  $i$



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Obs

$$E_i^* A E_j^* = 0 \quad \text{iff } |i-j|=1 \quad (0 \leq i, j \leq D)$$

" $\Gamma$  is bipartite"

Pick  $q \in \mathbb{C}$  that is a  $(2D+4)$ -primitive root of 1. So

$$q^{2D+4} = 1, \quad q^{D+2} = -1$$

For  $0 \leq i \leq D$  define

$$\begin{aligned} \theta_i^* &= q^{iD} + q^{-iD} \\ &= q \left( q^i - q^{D-i} \right) \end{aligned}$$

Obs

$$\theta_{D-i}^* = -\theta_i^* \quad (0 \leq i \leq D)$$

and

$$\theta_i^* - \theta_j^* = (q^i - q^j) (q^{i+1} - q^{j+1}) q^{-i} \quad (0 \leq i, j \leq D)$$

So

$\{\theta_i^*\}_{i=0}^D$  are mutually distinct.

Write

$$\beta = q + q^{-1}$$

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One checks

$$\theta_{i-1}^k - \beta \theta_i^k + \theta_{i+1}^k = 0 \quad (1 \leq i \leq p-1)$$

$$\theta_{i-1}^{k2} - \beta \theta_{i-1}^k \theta_i^k + \theta_i^{k2} = -(\gamma - \gamma^2)^2 \quad (1 \leq i \leq p)$$

Define

$$\begin{aligned} A^k &= \text{diag}(\theta_0^k, \theta_1^k, \dots, \theta_p^k) \\ &= \sum_{i=0}^p \theta_i^k E_i^k \end{aligned}$$

We will show that  $A^k$  is a dual adj matrix of  $\Gamma$  with respect to  $x_k$ .

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Prop 9 Both

$$A^2 A^* - \beta A A^* A + A^* A^2 = - (q - q^{-1})^2 A^* \quad (1)$$

$$A^{*2} A - \beta A^* A A^* + A A^{*2} = - (q - q^{-1})^2 A \quad (2)$$

pf Just multiply it out. Assume  $D \neq 1$  else trivial.

Here are some details.

check (2): write

$$\Delta = \text{LHS} - \text{RHS}$$

show  $\Delta = 0$

view

$$\Delta = I \Delta I$$

$$I = \sum_{i=0}^D E_i^* E_i$$

$$= \sum_{0 \leq i, j \leq D} E_i^* \Delta E_j$$

$$E_i^* \Delta E_j$$

For  $0 \leq i, j \leq D$  show

$$E_i^* \Delta E_j = 0$$

Using

$$E_i^* A^k = \theta_i^{*k} E_i^*$$

$$A^k E_j = \theta_j^k E_j$$

we obtain

$$E_i^* \Delta E_j =$$

$$E_i^* A E_j \left( \underbrace{\theta_i^{*2} - \beta \theta_i^* \theta_j^* + \theta_j^*}_{\text{if } |i-j| \neq 1}} + \underbrace{(q - q^{-1})^2}_{\text{if } |i-j| = 1} \right)$$

if  $|i-j| \neq 1$

if  $|i-j| = 1$

check (i) Write  $\Delta = \text{LHS} - \text{RHS}$

show  $\Delta = 0$

For  $0 \leq i, j \leq D$  show

$$E_i^* \Delta E_j^* = 0$$

note  $E_i^* \Delta E_j^* = 0$  unless  $i=j$  or  $|i-j|=2$

For  $0 \leq i \leq D-2$

$$E_i^* \Delta E_{i+2}^* = E_i^* A^2 E_{i+2}^* \underbrace{\left( \theta_i^* - \beta \theta_{i+1}^* + \theta_{i+2}^* \right)}_{=0}$$

= 0

$$E_{i+2}^* \Delta E_i^* = E_{i+2}^* A^2 E_i^* \left( \theta_{i+2}^* - \beta \theta_{i+1}^* + \theta_i^* \right)$$

= 0

For  $1 \leq i \leq D-1$

$$E_i^* \Delta E_i^* = E_i^* \left( 2\theta_i^* - \beta \underbrace{(\theta_{i-1}^* + \theta_{i+1}^*)}_{\beta \theta_i^*} + 2\theta_i^* + (1-\eta^2) \theta_i^* \right)$$

$$= E_i^* \theta_i^* \underbrace{\left( 4 - \beta^2 + (1-\eta^2) \right)}_{=0}$$

= 0

$$\beta = 1 + \eta^2$$

$$E_0^* \Delta E_0^* = E_0^* \left( \underbrace{\theta_0^* - \beta \theta_1^* + \theta_0^* + (1-\beta)^2 \theta_0^*}_{\theta_0^*(1+\beta+1) - \theta_1^*(1-\beta)} \right) = 0$$

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= 0

$$E_0^* \Delta E_0^* = E_0^* \left( \underbrace{\theta_0^* - \beta \theta_1^* + \theta_0^* + (1-\beta)^2 \theta_0^*}_{\theta_0^*(1+\beta+1) - \theta_1^*(1-\beta)} \right) = 0$$

$\theta_0^*(1+\beta+1) - \theta_1^*(1-\beta)$   
 $\parallel$   
 $-\theta_0^* \qquad \qquad -\theta_1^*$

= 0

□

LEM 10 The  $T$ -module  $V$  is irreducible.

pf

$$V = \sum_W W$$

dir sum of irred  
 $T$ -modules

$$\mathbb{F}\hat{x} = E_0^*V = \sum_W E_0^*W \quad (\text{ds})$$

So  $\exists$  irred  $T$ -module  $W$  with  $\text{endst } 0$

$$\hat{x} \in W$$

For  $0 \leq i \leq d$

$$\hat{x}_i = E_i^* A^i \hat{x} \in W$$

$$\text{So } W = \text{Span} \{ \hat{x}_i \}_{i=0}^d = V \quad \square$$