

§ 4.9. Hall Algebra  $\rightarrow$  (quotient alg)

①

Hopf subalgebra of  $A(GL)$  related to unipotent conjugacy classes in  $GL_n(\mathbb{F}_q)$

Defn:  $g \in GL_n(\mathbb{F}_q)$  is unipotent if  $g - id$  is nilpotent.

A conjugacy class in  $GL_n(\mathbb{F}_q)$  is unipotent if its elements are unipotent.

$\mathcal{H}_n :=$  subspace of  $R_C(GL_n)$  whose supports are on unipotent conjugacy classes  
 $= \{ f \in R_C(GL_n) : \text{supp}(f) \subseteq \{\text{unipotent conjugacy classes}\} \}$ .

$$\mathcal{H} = \bigoplus_n \mathcal{H}_n$$

Prop:  $\mathcal{H}$  is graded connected self-dual w.r.t  $(\cdot, \cdot)$ ,  $\rightarrow$  Hopf subalgebra of  $A_C(GL)$

$\mathcal{H}$  is a quotient Hopf algebra of  $A_C(GL)$  as  $A_C(GL) \rightarrow \mathcal{H}$  is Hopf algebra homomorphism  
 $\text{So kernel} =: \mathcal{H}^\perp$  is both an ideal and two-sided coideal.

$$\text{Pf: } (X_i \cdot X_j)(g) = \frac{1}{|\mathcal{P}_{ij}|} \sum_{h \in GL_n} X_i(g_i) X_j(g_j).$$

$h^*gh = \begin{bmatrix} g_i & * \\ 0 & g_j \end{bmatrix}$

$g_i$  is unipotent  $\Leftrightarrow g_i, g_j$  are unipotents.

⑥ ~~if  $g_i$  is not unipotent, then  $g_i^{-1}g_j$  is not unipotent~~

$$\Delta(X)(g_i, g_j) = \frac{1}{|g_i g_j|} \sum_{g=g_i g_j} X(g). \Rightarrow \Delta(\mathcal{H}) \subseteq \mathcal{H} \otimes \mathcal{H}$$

$g = \begin{bmatrix} g_i & * \\ 0 & g_j \end{bmatrix}$

Defn:  $\mathcal{H} :=$  Hall algebra.  $\lambda \in \text{Par}_n$

$J_\lambda := GL_n$ -conjugacy class of unipotent matrices of Jordan type  $\lambda$ .

$$z_\lambda(q) := \# |C(J_\lambda)|$$

$\sim$  centralizer of an element of  $J_\lambda$

The ~~monomials~~ indicator class function  $\{1_{J_\lambda}\}$  forms a  $\mathbb{Q}$ -basis for  $\mathcal{H}$  whose multiplicative structure constants are called Hall coefficients  $g_{\mu, \nu}^\lambda(q)$ :  $1_{J_\mu} 1_{J_\nu} = \sum_\lambda g_{\mu, \nu}^\lambda(q) 1_{J_\lambda}$

Since  $(1_{J_\lambda}, 1_{J_\lambda}) = \frac{|J_\lambda|}{|\mathcal{P}_{\lambda\lambda}|} \otimes \frac{|J_\lambda|}{|G|} = \frac{1}{|z_\lambda(q)|}$  so  $1_{J_\lambda}, z_\lambda(q) 1_{J_\lambda}$  are dual basis.

$$\mathcal{H} \text{ is self-dual} \Rightarrow \Delta(1_{J_\lambda}) = \sum_{\mu, \nu} g_{\mu, \nu}^\lambda(q) \frac{z_\mu(q) z_\nu(q)}{z_\lambda(q)} 1_{J_\mu} \otimes 1_{J_\nu}$$

$g_{\mu, \nu}^{\lambda}(q)$  has the following geometric interpretation:

If  $g \in GL_n(\mathbb{F}_q)$  is in  $J_{\lambda}$ , then  $g_{\mu, \nu}^{\lambda}(q)$  counts the  $g$ -stable  $\mathbb{F}_q$ -subspaces

$V \subset \mathbb{F}_q^n$  for which  $g|_V$  is in  $J_{\mu}$  and the induced map  $\bar{g}$  on  $\mathbb{F}_q^n/V$  is in  $J_{\nu}$

examples: If  $\lambda = (3, 2, 1)$  so  $g = \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$  and  $\mu = (1, 1, 1)$  then

$$g_{\mu, \nu}^{\lambda}(q) = \begin{cases} 1 & \text{if } g \in J_{\lambda} \\ 0 & \text{o.w.} \end{cases}$$

Look at

$$\text{Pf: } \mathbb{1}_{J_{\mu}} \circ \mathbb{1}_{J_{\nu}} = \frac{1}{|\text{Par}_n|} \cdot |\{h \in GL_n : h^{-1}gh = \begin{bmatrix} g_i^* \\ 0 & g_j \end{bmatrix} \text{ with } g_i \in J_{\mu}, g_j \in J_{\nu}\}|. \#$$

change of basis operation.

Next: Show  $\Psi: \Lambda_{\mathbb{C}} \xrightarrow{\cong} A(GL)(i)_{\mathbb{C}} \hookrightarrow A(GL)_{\mathbb{C}} \twoheadrightarrow \mathcal{H}$  is a Hopf algebra isomorphism

Thm:  $\Psi$  is isom sending

$$h_n \mapsto \sum_{\lambda \in \text{Par}_n} \mathbb{1}_{J_{\lambda}} \quad \textcircled{1}$$

$$e_n \mapsto q^{\binom{n}{2}} \mathbb{1}_{J_{(1^n)}} \quad \textcircled{2}$$

$$P_n \mapsto \sum_{\lambda \in \text{Par}_n} (q; q)_{\ell(\lambda)-1} \mathbb{1}_{J_{\lambda}} \quad \textcircled{3}$$

Pf: It is enough to check the formula for  $P_n$  to conclude isomorphism.

Why?,  $\Psi$  being <sup>graded</sup>  $\mathbb{C}$ -Hopf algebra homomorphism is automatic.

since  $\dim_{\mathbb{C}} \Lambda_n = |\text{Par}_n| = \dim_{\mathbb{C}} H_n$ , we only need to check it is injective.

Now  $\Lambda_{\mathbb{C}} \cong \mathbb{C}[P_1, P_2, \dots]$  as  $\mathbb{C}$ -algebras.

and  $\deg(P_i) = i$ . So we only need to verify  $\Psi(P_i) \neq 0 \ \forall i$

②

$$\bullet: h_n \mapsto \sum_{\lambda \in \text{Par}_n} \frac{1}{J_\lambda} \text{ is automatic since } \Lambda_{\text{ac}} \xrightarrow{\cong} A(GL)(i)$$

$$h_n \mapsto \frac{1}{GL_n}$$

Now we want to deduce ② and ③ from ④ using relations between  $h_n, e_n$  and  $p_n$ .

Remember  $H(t) = \sum_{n \geq 0} h_n t^n \quad E(t) = \sum_{n \geq 0} e_n t^n \quad P(t) = \sum_{n \geq 0} p_n t^n$

and we have  $H(t)E(-t) = 1$  and  $H(t)E(-t) = P(t)$

$$H(t) = \prod_i (1 + x_i t) \quad E(t) = \prod_i \frac{1}{1 - x_i t} \quad P(t) = \sum_i \frac{x_i}{1 + x_i t}$$

Let  $\psi[[t]]: \Lambda[[t]] \rightarrow \mathbb{Z}[[t]]$  be the induced map from  $\psi$  is an algebra homomorphism

and let  $\tilde{h}_n := \sum_{\lambda \in \text{Par}_n} \frac{1}{J_\lambda} \quad \tilde{e}_n := q^{\binom{n}{2}} \frac{1}{J_{(1^n)}} \quad \tilde{p}_n := \sum_{\lambda \in \text{Par}_n} (q; q)_e \frac{1}{J_{\ell(\lambda)-1}} \frac{1}{J_\lambda}$ .

$$\text{and } \tilde{H}(t) = \sum_{n \geq 0} \tilde{h}_n t^n \quad \tilde{E}(t) = \sum_{n \geq 0} \tilde{e}_n t^n \quad \tilde{P}(t) = \sum_{n \geq 0} \tilde{p}_n t^n$$

Since  $\psi[[t]](\tilde{H}(t)) = \tilde{H}(t)$ , If we can show  $\tilde{H}(t)\tilde{E}(-t) = 1$  &  $\tilde{H}(t)\tilde{E}(-t) = \tilde{P}(t)$  (\*)

we have  ~~$\psi(h_n) = \tilde{h}_n$~~   $\psi(e_n) = \tilde{e}_n$  &  $\psi(p_n) = \tilde{p}_n$

(\*) is equivalent to  $\sum_{k=0}^n (-1)^k \tilde{e}_k \tilde{h}_{n-k} = 0$  and  $\sum_{k=0}^n (-1)^k \tilde{e}_k (n-k) \tilde{h}_{n-k} = \tilde{p}_n$

To compute  $\tilde{e}_k \cdot \tilde{h}_{n-k}$ , we compute  $\frac{1}{J_{(1^k)}} \cdot \frac{1}{J_\lambda} (\psi)$ ,  $g \in J_\mu$ ,  $\ell = \ell(g)$

Suppose  $\psi$  has  $l$  Jordan blocks, by previous proposition

$$\frac{1}{J_{(1^k)}} \cdot \frac{1}{J_\lambda} (\psi) = \sum_{\mu} g_{(1^k), \mu}^{(\mu)} (q) \cdot \frac{1}{J_\mu} \quad \begin{aligned} &\# \text{ of complete flags} \\ &\text{in } \mathbb{F}_q^\ell \cup (q; q)_e \\ &\frac{(q; q)_e}{(q; q)_k (q; q)_{\ell-k}} \end{aligned}$$

where  $g_{(1^k), \mu}^{(\mu)} (q) = \# \left| \text{Grass}_{\mathbb{F}_q^\ell}^{(k)} (k, \ell) \right| = \frac{\binom{\ell}{k} q^{\binom{k}{2}}}{(q; q)_k (q; q)_{\ell-k}} = \frac{\binom{\ell}{k} q^{\binom{k}{2}}}{(1-q)^k} \cdot \frac{(q; q)_{\ell-k}}{(1-q)^{\ell-k}}$

$\text{Rmk: } \# | P_{\mathbb{F}_q^\ell}^n | = \frac{q^{n+1}-1}{q-1}$

$$\# \left[ \begin{matrix} \ell \\ k \end{matrix} \right]_q \quad \begin{aligned} &\# \text{ of complete flags} \\ &\text{in } \mathbb{F}_q^\ell \end{aligned}$$

$$\# \text{ of complete flags in } \mathbb{F}_q^\ell$$

so  $\sum_{k=0}^n (-1)^k \tilde{e}_k \tilde{h}_{n-k} = \sum_{k=0}^n (-1)^k q^{\binom{k}{2}} \sum_{\lambda \in \text{Par}_n} \frac{1}{J_{(1^k)}} \frac{1}{J_\lambda}$

$$= \sum_{k=0}^n \sum_{\lambda \in \text{Par}_n} (-1)^k (q; q)_k \sum_{\mu} \frac{g_{(1^k), \mu}^{(\mu)} (q)}{q^{\binom{k}{2}}} \frac{1}{J_\mu} = \sum_{\lambda \in \text{Par}_n} \sum_{k=0}^n \sum_{\mu} (-1)^k q^{\binom{k}{2}} \left[ \begin{matrix} \ell(\mu) \\ k \end{matrix} \right]_q \frac{1}{J_\mu}$$

$$= \sum_{\lambda \in \text{Par}_n} \sum_{k=0}^n \sum_{\mu} (-1)^k q^{\binom{k}{2}} \left[ \begin{matrix} \ell(\mu) \\ k \end{matrix} \right]_q \frac{1}{J_\mu} = 0$$

$$\sum_{k=0}^n (-1)^k (n-k) \tilde{e}_{\lambda} \tilde{h}_{\mu} = \sum_{k=0}^n (-1)^k (n-k) \sum_{\alpha \in \text{Par}_n} q^{\binom{k}{2}} \frac{1}{J(\lambda)} \frac{1}{J_\alpha}$$

$$= \sum_{\alpha \in \text{Par}_n} \sum_{k=0}^l \underbrace{\sum_{k=0}^l (-1)^k (n-l+k) q^{\binom{k}{2}} \left[ \begin{matrix} l \\ k \end{matrix} \right]_q}_{\#} \frac{1}{J_\alpha} = 0$$

Next, State Hall's Theorem showing that the classical Hall algebra and  $\mathcal{H}$  are isom.

Classical Hall's algebras has  $\mathbb{Z}$ -basis elements  $\{U_\lambda\}_{\lambda \in \text{Par}}$  with

$$U_\mu U_\nu = \sum_{\lambda} g_{\mu, \nu}^\lambda (p) U_\lambda$$

$$\text{where } g_{\mu, \nu}^\lambda (p) := \# \left\{ \begin{array}{c} 0 \rightarrow M \rightarrow L \rightarrow N \rightarrow 0 : \\ \text{SES} \end{array} \right. \begin{array}{l} L \cong \bigoplus_{i=1}^{l(\lambda)} \mathbb{Z}/p^{n_i} \mathbb{Z} \\ \text{and } M \cong \bigoplus_{i=1}^{l(\mu)} \mathbb{Z}/p^{m_i} \mathbb{Z} \end{array} \right\}.$$

If  $p = q$  is prime.

The map  $U_\lambda \mapsto \frac{1}{J_\lambda}$  identifies classical one and  $\mathcal{H}_{\mathbb{Z}}$  as  $\mathbb{Z}$ -algebras.

(Hall's Theorem),  $(R, m)$  is a  $\overset{\text{(PID)}}{\text{DVR}}$  w/ unique maximal ideal  $m$

Residue field  $\#(k = R/m) = q$ .  $L$ :  $R$ -mod of type  $\lambda$ , where  $N = L/M$  is of type  $\nu$   
 $M$ :  $R$ -mod of type  $\mu$ .

# such  $M \subseteq L$  is given by  $[g_{\mu, \nu}^\lambda(t)]_{t=q}$ , where  $g_{\mu, \nu}^\lambda(t)$  is a polynomial in  $\mathbb{Z}[t]$  called Hall polynomial.  $\uparrow$  independent of  $(R, m)$

Furthermore,  $\deg g_{\mu, \nu}^\lambda(t) \leq n(\lambda) - (n(\mu) + n(\nu))$ .

"<" means  $g_{\mu, \nu}^\lambda = 0$ , coefficient of  $t^{n(\lambda) - (n(\mu) + n(\nu))}$  is  $C_{\mu, \nu}^\lambda$

example, Take  $R = \mathbb{F}_p[[t]]$  and  $m = (t)$ . then  $k = \mathbb{F}_p$ .

and  $g_{\mu, \nu}^\lambda(p)$  is the same as multiplicative structure constant in  $\mathcal{H}$

Take  $R = \mathbb{Z}_p$  and  $m = (p)$  then  $g_{\mu, \nu}^\lambda(p)$  is the same as structure constant in classical Hall algebra.  $R/m = \mathbb{Z}/p\mathbb{Z}$ .

So the map is isomorphic.  $\#$

Review:

$$(\text{Ind}_H^G f)(g) = \bigcirc \frac{1}{|H|} \sum_{\substack{k \in G \\ kgk^{-1} \in H}} f(kgk^{-1})$$

$V^K$ :  $K$ -fixed space of  $\mathbb{C}[G]$ -module,  ~~$K \triangleleft G$~~

$$\chi_{V^K}(gk) = \underbrace{\frac{1}{|K|}}_{G/K} \sum_{h \in gK} \chi_V(h)$$

$q$ -binomial theorem

$$\sum_{k=0}^l (-1)^k q^{\binom{k}{2}} \begin{bmatrix} l \\ k \end{bmatrix}_q x^{l-k} = (x-1)(x-q) \dots (x-q^{l-1}).$$

so plug in  $x=1$  we have.

$$\sum_{k=0}^l (-1)^k q^{\binom{k}{2}} \begin{bmatrix} l \\ k \end{bmatrix}_q = 0$$

$$\begin{bmatrix} l \\ k \end{bmatrix}_q = \frac{(q;q)_l}{(q;q)_k (q;q)_{l-k}}$$

$$\text{and } (x; q)_m := (1-x)(1-qx) \dots (1-q^{m-1}x) \quad \forall m \in \mathbb{N}$$

$\frac{d}{dx}$  both side & plug in  $x=1$ , we have.

$$\sum_{k=0}^l (-1)^k q^{\binom{k}{2}} (l-k) \begin{bmatrix} l \\ k \end{bmatrix}_q = (1-q) \dots (1-q^{l-1}) = (q;q)_{l-1}$$

