

# Notation

(0)

Throughout, we fix the following notation:

$q$  is a fixed prime power

$\Sigma = \bigsqcup_{n \geq 0} \text{Irr}(GL_n)$ , PSH basis of  $A$

$GL_n = GL_n(\mathbb{F}_q)$

$\# \subset A$  set of primitive elements

$A = A(GL) = \bigoplus_{n \geq 0} R(GL_n)$

$\mathcal{E} = \Sigma \cap \#$ .

We embed  $GL_i \times GL_j \hookrightarrow GL_{i+j}$  block-diagonally:  $\begin{pmatrix} GL_i & 0 \\ 0 & GL_j \end{pmatrix}$ .

$P_{i,j} = \left\{ \begin{pmatrix} GL_i & * \\ 0 & GL_j \end{pmatrix} \right\} \subset GL_{i+j}$  (called a parabolic subgroup)

$K_{i,j} = \left\{ \begin{pmatrix} I_i & * \\ 0 & I_j \end{pmatrix} \right\}$ , so we have exact  $1 \rightarrow K_{i,j} \rightarrow P_{i,j} \rightarrow GL_i \times GL_j \rightarrow 1$

We identify  $R(GL_i) \otimes R(GL_j) \approx R(GL_i \times GL_j)$  via the isomorphism

defined by  $\varphi \otimes \psi \mapsto \varphi * \psi$ , where  $(\varphi * \psi)(g, h) = \varphi(g) \cdot \psi(h)$ .

Define  $\text{res}_{i,j} : R(GL_{i+j}) \rightarrow R(GL_i) \otimes R(GL_j)$

by  $\text{res}_{i,j} \varphi = \left[ \text{Res}_{P_{i,j}}^{GL_{i+j}} \varphi \right]^{K_{i,j}}$

$\text{ind}_{i,j} : R(GL_i) \otimes R(GL_j) \rightarrow R(GL_{i+j})$

by  $\text{ind}_{i,j}(\varphi \otimes \psi) = \text{Ind}_{P_{i,j}}^{GL_{i+j}} \text{Inf}_{GL_i \times GL_j}^{P_{i,j}}(\varphi \otimes \psi)$

The bialgebra structure on  $A$  is given by

$\varphi \cdot \psi = \text{ind}_{i,j}(\varphi \otimes \psi) \quad (\varphi \in A_i, \psi \in A_j)$

$\Delta \varphi = \sum_{i+j=n} \text{res}_{i,j} \varphi \quad (\varphi \in A_n)$ .

# General Linear Groups

①

Let  $A = A(GL) = \bigoplus_{n \geq 0} R(GL_n)$ . We have seen that  $A$  is a PSH,

with PSH basis  $\Sigma = \bigsqcup_{n \geq 0} \text{Irr}(GL_n)$  consisting of irreducible characters.

As usual,  $\#$  is primitives in  $A$ , and we put  $\mathcal{C} = \Sigma \cap \#$ .

By Thm 3.12, we have a decomposition:

$$A = \bigotimes'_{\rho \in \mathcal{C}} A(\rho)$$

Here,  $\bigotimes'$  denotes a "restricted tensor product": It is the group of formal

symbols  $\bigotimes_{\rho \in \mathcal{C}} a_\rho$ , where all but finitely many  $a_\rho$  are 1, subject to the

usual  $\mathbb{Z}$ -multilinear relations.

Alternatively, we may take  $\bigotimes'_{\rho \in \mathcal{C}} A(\rho) = \varinjlim_{\substack{F \subseteq \mathcal{C} \\ |F| < \infty}} \bigotimes_F A(\rho)$ ,

the direct limit being over all finite subsets of  $\mathcal{C}$ .

$\bigotimes'_{\rho \in \mathcal{C}} A(\rho)$  comes with a graded bialgebra structure, and our decomposition

is an iso of such.

(It is worth it to note that  $\bigotimes'_{\rho \in \mathcal{C}} A(\rho)$  is the coproduct of the set  $\{A(\rho)\}_{\rho \in \mathcal{C}}$  in the category of  $\mathbb{Z}$ -algebras)

Def:  $\mathcal{C}_n = \mathcal{C} \cap \text{Irr}(GL_n)$  is the set of "cuspidal" representations of  $GL_n$   
 $= \# \cap \text{Irr}(GL_n)$ . For  $\rho \in \mathcal{C}$ , we write  $d(\rho) = n$  if  $\rho \in \mathcal{C}_n$ .

Fact: A rep  $V$  of  $GL_n$  is cuspidal iff  $V^{k_{ij}} = 0 \forall i+j=n, i,j > 0$

PF:  $\text{res}(\chi_V) = \chi_V \otimes 1 + 1 \otimes \chi_V + \sum_{\substack{i+j=n \\ i,j > 0}} \text{res}_{i,j}(\chi_V)$

So  $\chi_V$  primitive iff  $\text{res}_{i,j}(\chi_V) = \chi_{V^{k_{ij}}}$  is zero  $\forall i,j$ .

QED.

We want to count  $|Z_n|$  (one important feature here is that  $|Z_n| \neq 0 \forall n$ !  
This starkly contrasts with the case of symmetric groups/wreath products).

To do this, we first need to count  $|\text{Irr}(GL_n)|$ .

For each  $n \geq 1$ , let  $F_n = \{f \in \mathbb{F}_2[x] \mid f \text{ is monic, irreducible, } \deg f = n, f \neq x\}$ .  
 $F = \bigcup_{n \geq 1} F_n$

Prop:  $|\text{Irr}(GL_n)| = \#(\text{conjugacy classes of } GL_n) = \# \left\{ \text{Functions } \lambda: F \rightarrow \text{par} \mid \sum_{f \in F} (\deg f) \cdot |\lambda(f)| = n \right\}$

PF: The first equality is a general fact about representations of finite groups.

The second follows from the observation that conj. classes of  $GL_n$  are in bijection with rational canonical forms in  $GL_n$ .

Equivalently, conj. classes are in bijection with  $\mathbb{F}_2[x]$ -module structures on  $\mathbb{F}_2^n$  in which  $x$  is invertible (up to isomorphism).

But by the structure thm for PID's, these are in bijection with

$\left\{ \lambda: F \rightarrow \text{par} \mid \sum_{f \in F} (\deg f) \cdot |\lambda(f)| = n \right\}$  (we exclude  $x$  from  $F$  so that  $x$  will not annihilate anything in  $\mathbb{F}_2^n$ ).

QED.

Prop (4.46):  $|\mathcal{C}_n| = |\mathcal{F}_n|$ .

PF: We do induction on  $n$ . When  $n=1$ , noting that all  $\chi \in A_1$  are primitive, we have  $|\mathcal{C}_1| = |\text{Irr}(GL_1)| = |\text{Irr}(\mathbb{F}_2^\times)|$ .

But  $\mathbb{F}_2^\times$  is abelian, so  $|\text{Irr}(\mathbb{F}_2^\times)| = |\mathbb{F}_2^\times| = |\mathcal{F}_1|$ .

Now let  $n > 1$ . This is where we use the PSH structure on  $A$ .

Recall the notation  $N_{\text{fin}}^{\mathbb{C}} = \{f: \mathcal{C} \rightarrow \mathbb{N} \mid f \text{ has finite support}\}$ .

Since the iso  $A \cong \bigotimes_{P \in \mathcal{C}} A(P)$  respects gradings, we have

$$A_n = \bigoplus_{\substack{\alpha \in N_{\text{fin}}^{\mathbb{C}} \\ \sum_{P \in \mathcal{C}} d(P)\alpha_P = n}} \bigotimes_{P \in \mathcal{C}} A(P)_{\alpha_P}$$

Here  $A(P)_{\alpha_P}$  is the  $\alpha_P$ -graded part in grading such that  $\deg P = 1$ .

But  $A(P) \cong \Lambda$ , so  $A(P)_{\alpha_P}$  has basis parametrized by  $\text{Par}(\alpha_P)$ .

Hence, comparing bases on both sides of the above equality,

$$|\text{Irr}(GL_n)| = \left| \left\{ \text{functions } \lambda: \mathcal{C} \rightarrow \text{Par} \mid \sum_{P \in \mathcal{C}} d(P) \cdot |\lambda(P)| = n \right\} \right|$$

This gives

$$|\mathcal{C}_n| = |\text{Irr}(GL_n)| - \left| \left\{ \lambda: \bigsqcup_1^{n-1} \mathcal{C}_i \rightarrow \text{Par} \mid \sum_{P \in \mathcal{C}} d(P) |\lambda(P)| = n \right\} \right|$$

Similarly, last prop gives

$$|\mathcal{F}_n| = |\text{Irr}(GL_n)| - \left| \left\{ \lambda: \bigsqcup_1^{n-1} \mathcal{F}_i \rightarrow \text{Par} \mid \sum_{F \in \mathcal{F}} \deg(F) \cdot |\lambda(F)| = n \right\} \right|.$$

By induction hypothesis,  $|\mathcal{C}_i| = |\mathcal{F}_i|$  for  $i < n$ , so our prop follows.

QED

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Now how do we write down  $|\mathcal{F}_n|$ ?

As shown above,  $|\mathcal{C}_1| = |\mathcal{F}_1| = q-1$ .

When  $n > 1$ ,  $\mathcal{F}_n$  is simply all monic irreducibles in  $\mathbb{F}_q[x]$  of degree  $n$ .

By elementary field theory,  $q^n = |\mathbb{F}_{q^n}| = \sum_{d|n} d \cdot I_d$ .

where  $I_d = |\mathcal{F}_d|$ , unless  $d=1$ , when  $I_d = q$ , and the sum is over all positive divisors of  $n$ .

But then the Möbius inversion formula says

$$d \cdot I_d = \sum_{d|n} q^d \mu\left(\frac{n}{d}\right),$$

where  $\mu(m) = \begin{cases} 0 & \text{if } m \text{ not square-free} \\ (-1)^{\#\{\text{prime factors of } m\}} & \text{if } m \text{ is square-free} \end{cases}$ ,

Thus:

Prop:  $|\mathcal{C}_1| = |\mathcal{F}_1| = q-1$ , and for  $n \geq 2$ ,  $|\mathcal{C}_n| = |\mathcal{F}_n| = \frac{1}{n} \sum_{d|n} q^d \mu\left(\frac{n}{d}\right)$ .

(Note that for  $n$  prime, this is simple:  $|\mathcal{C}_n| = |\mathcal{F}_n| = \frac{1}{n} (q^n - q)$ .)

Example: Take  $q=2$ . Then have the following table for the number of cuspidal representations of  $GL_n(\mathbb{F}_2)$ :



$n$	1	2	3	4	5	6	7	8	9	10
$ \mathcal{C}_n $	1	1	2	3	6	9	18	30	56	99

Cor:  $|\mathcal{C}_n| \sim \frac{q^n}{n}$  as  $n \rightarrow \infty$ . (or as  $q \rightarrow \infty$ )

Pf: Since the number of divisors of  $n$  is at most  $n$ , the last prop gives

$$\frac{1}{n} (q^n - n q^{n/2}) \leq |\mathcal{C}_n| \leq \frac{1}{n} (q^n + n q^{n/2}) \quad \forall n \geq 2,$$

$$\text{hence} \quad 1 - n q^{-n/2} \leq \frac{|\mathcal{C}_n|}{q^n/n} \leq 1 + n q^{-n/2}.$$

QED.

# Unipotent Characters

(5)

We will need to use exercise 4.3(d) here:

Let  $\alpha = (\alpha_1, \dots, \alpha_m) \in \mathbb{N}^m$ . We put  $G_\alpha = GL_{\alpha_1} \times \dots \times GL_{\alpha_m}$ .

If  $n = \sum \alpha_i$ , then we have embedding  $G_\alpha \hookrightarrow GL_n$  as  $\begin{pmatrix} GL_{\alpha_1} & & 0 \\ & \dots & \\ 0 & & GL_{\alpha_m} \end{pmatrix}$ .

We also define the parabolic subgroup  $P_\alpha = \left\{ \begin{pmatrix} GL_{\alpha_1} & & * \\ & \dots & \\ 0 & & GL_{\alpha_m} \end{pmatrix} \right\} \subset GL_n$ .

We have natural projection  $P_\alpha \rightarrow G_\alpha$ .

Then we define  $\text{ind}_\alpha^n : R(GL_{\alpha_1}) \otimes \dots \otimes R(GL_{\alpha_m}) \rightarrow R(GL_n)$

$$\text{by } \text{ind}_\alpha^n(\varphi_1 \otimes \dots \otimes \varphi_m) = \text{Ind}_{P_\alpha}^{GL_n} \text{Inf}_{G_\alpha}^{P_\alpha}(\varphi_1 \otimes \dots \otimes \varphi_m)$$

Exercise 4.3(d):  $\text{ind}_\alpha^n(\varphi_1 \otimes \dots \otimes \varphi_m) = \varphi_1 \cdots \varphi_m$ , where the right is multiplication in  $A$ .

(One way to prove this is to note that the left is adjoint to the map

$$\text{res}_\alpha^n : R(GL_n) \rightarrow R(GL_{\alpha_1}) \otimes \dots \otimes R(GL_{\alpha_m}), \quad (\text{see the same exercise for def of } \text{res}_\alpha^n)$$

while the right is  $\text{ind}_{\alpha_1, \alpha_2, \dots, \alpha_m}^n (1 \otimes \text{ind}_{\alpha_2, \alpha_3, \dots, \alpha_m}^{\alpha_1} \otimes \dots \otimes 1 \otimes \text{ind}_{\alpha_m, \dots, \alpha_m}^{\alpha_1})$

which is adjoint to  $(1 \otimes \dots \otimes 1 \otimes \text{res}_{\alpha_m, \dots, \alpha_m}^{\alpha_1}) \otimes \dots \otimes (1 \otimes \text{res}_{\alpha_2, \alpha_3, \dots, \alpha_m}^{\alpha_1}) \otimes \text{res}_{\alpha_1, \alpha_2, \dots, \alpha_m}$ .

By an argument used to show  $A$  is coassociative, one shows

$$\text{res}_\alpha^n = (1 \otimes \dots \otimes 1 \otimes \text{res}_{\alpha_m, \dots, \alpha_m}^{\alpha_1}) \otimes \dots \otimes \text{res}_{\alpha_1, \alpha_2, \dots, \alpha_m}$$

For each  $n$  let  $\mathbb{1}_{GL_n}$  denote the trivial representation of  $GL_n$ . Note that

$\mathbb{1}_{GL_1} = \mathbb{1}_{\mathbb{F}_q^\times}$  is cuspidal, so that  $A(\mathbb{1}_{GL_1})$  appears as a factor in our

decomposition  $A = \bigotimes_{p \in \mathbb{C}} A(p)$ .

Def: The unipotent characters of  $GL_n$  are precisely those  $\chi \in \text{Irr}(GL_n)$  such that  $\langle \chi, (1_{GL_n})^n \rangle \neq 0$ ; i.e., they are the elements of the PSH basis of  $A(1_{GL_n})$  in degree  $n$ .

Fact: (1)  $(1_{GL_n})^n$  is the character of the representation  $\mathbb{C}[GL_n/B]$  of  $GL_n$ , where  $B$  is the subgroup of upper triangular matrices.

(2) The unipotent representations of  $GL_n$  are the irreducible subrepresentations of  $\mathbb{C}[GL_n/B]$ . Equivalently, they are the irreducible representations  $V$  of  $GL_n$  such that  $V^B \neq 0$ .

Pf: (1) By exercise 4.31(d),  $(1_{GL_n})^n = \text{Ind}_B^{GL_n} \text{Inf}_{GL_n^B}^B (1_B \otimes \dots \otimes 1_B)$   
 $= \text{Ind}_B^{GL_n} (1_B)$   
 $= \mathbb{C}[GL_n] \otimes_{\mathbb{C}[B]} \mathbb{C} = \mathbb{C}[GL_n/B]$ .

(2)  $V$  appears as a subrep of  $\mathbb{C}[GL_n/B]$  iff  $\langle \chi_V, (1_{GL_n})^n \rangle \neq 0$ .

But by adjointness of Ind and Res, we have

$$\langle \chi_V, \text{Ind}_B^{GL_n}(1_B) \rangle = \langle \text{Res}_B^{GL_n} \chi_V, 1_B \rangle, \text{ and}$$

the right is nonzero iff  $1_B$  is a subrep of  $\text{Res}_B^{GL_n} V$ .

QED.

Note that  $GL_n/B$  is the variety of complete flags in  $\mathbb{F}_2^n$ , and the rep  $\mathbb{C}[GL_n/B]$  corresponds to the canonical action of  $GL_n$  on such. In particular, when  $n=2$   $GL_2/B = \mathbb{P}^1(\mathbb{F}_2)$ , the projective line.

Prop(4.50): We can choose the PSH isomorphism  $\Lambda \xrightarrow{\cong} A(1_{GL_n})$  so that  $h_n \mapsto 1_{GL_n}$ .

PF: By thm (B.18),  $\chi_{\mathbb{C}[GL_2/B]} = (\mathbb{1}_{GL_1})^2$  is a sum of two irreducible characters. One is  $\mathbb{1}_{GL_2}$ :  $\sum_{C \in GL_2/B} C$  is  $GL_2$ -invariant.

Denote the other by  $St_2$ , so  $(\mathbb{1}_{GL_1})^2 = \mathbb{1}_{GL_2} + St_2$ .

To prove our prop, by thm's (B.18) and (B.20) it will be sufficient

to show that  $St_2^\perp \mathbb{1}_{GL_n} = 0$  for all  $n$ . But

$$\Delta(\mathbb{1}_{GL_n}) = \sum_{i+j=n} \text{res}_{i,j}(\mathbb{1}_{GL_n}) = \sum_{i+j=n} \mathbb{1}_{GL_i} \otimes \mathbb{1}_{GL_j}. \text{ So}$$

$$St_2^\perp \mathbb{1}_{GL_n} = \sum_{i+j=n} \langle St_2, \mathbb{1}_{GL_i} \rangle \mathbb{1}_{GL_j} = \langle St_2, \mathbb{1}_{GL_2} \rangle \mathbb{1}_{GL_{n-2}} = 0$$

Since  $St_2, \mathbb{1}_{GL_2}$  are distinct irred reps of  $GL_2$ .

QED.

Note that the above iso  $\Lambda \approx A(\mathbb{1}_{GL})$  induces bijections

$$\left\{ \begin{array}{l} \text{unipotent characters} \\ \text{of } GL_n \end{array} \right\} \leftrightarrow \text{Par}(n), \text{ via Schur Functions.}$$

We denote by  $\chi^\lambda$  the unipotent character corresponding to  $\lambda \in \text{Par}(n)$ .

In particular,  $\mathbb{1}_{GL_n} = \chi^{(n)}$ , as  $h_n = S_{(n)}$  in  $\Lambda$ .

(Generalizing the notation  $St_2$ , we denote  $St_n = \chi^{(1^n)}$ , the image of  $S_{(1^n)} = e_n$  in  $A(\mathbb{1}_{GL})$ . The  $St_n$  are called the Steinberg characters)

Example: Consider  $GL_2(\mathbb{F}_q)$ . By the above proof,  $GL_2$  has exactly two unipotent characters,  $\mathbb{1}_{GL_2}$  and  $St_2$ , and  $\mathbb{1}_{GL_2} + St_2 = \chi_{\mathbb{C}[GL_2/B]}$ .

As noted earlier,  $GL_2/B = \mathbb{P}^1(\mathbb{F}_q)$ , so

$$\chi_{\mathbb{C}[\mathbb{P}^1]}(g) = \#(\text{Points of } \mathbb{P}^1(\mathbb{F}_q) \text{ fixed by } g),$$

$$St_2(g) = \#(\text{Points of } \mathbb{P}^1(\mathbb{F}_q) \text{ fixed by } g) - 1$$

In particular,  $\dim St_2 = St_2(\mathbb{I}_2) = |\mathbb{P}^1(\mathbb{F}_q)| - 1 = q$ .



Now consider  $GL_2(\mathbb{F}_2)$ . One checks that the action of  $GL_2$  on  $\mathbb{P}^1(\mathbb{F}_2) = \left\{ \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix}, \begin{pmatrix} 1 \\ 1 \end{pmatrix} \right\}$  sets up an isomorphism  $GL_2(\mathbb{F}_2) \cong S_3$ .

The conjugacy classes are then

1-cycle	2-cycles	3-cycles
$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$	$\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$	$\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$

Hence, besides  $1_{GL_2}, St_2$ , there is one more irreducible character: it is given by the sign character  $Sgn$  of  $S_3$ . By our earlier calculation,  $1_{GL_2} = 1$ , so  $Sgn$  must be cuspidal (the only rep which is both unipotent and cuspidal is  $1_{GL_1}$ , as a fixed point of  $B$  if such for any  $K_{ij}$ ).

We include all this information in the following table:

	1-cycles	2-cycles	3-cycles	
$1_{GL_2} = \chi^{(2)}$	1	1	1	unipotent
$St_2 = \chi^{(1,1)}$	2	0	-1	unipotent
$Sgn$	1	-1	1	cuspidal