

# VIII.1 The Yang-Baxter Equation

(Zhaochen Wang, Nov 23, 2015)

Definition VIII.1.1: Let  $V$  be a vector space over a field  $k$ . A linear automorphism  $c$  of  $V \otimes V$  is said to be an  $R$ -matrix if it is a solution of the Yang-Baxter equation

$$(c \otimes \text{id}_V)(\text{id}_V \otimes c)(c \otimes \text{id}_V) = (\text{id}_V \otimes c)(c \otimes \text{id}_V)(\text{id}_V \otimes c)$$

that holds in the automorphism group of  $V \otimes V \otimes V$ .

(Finding all solutions of the Yang-Baxter equation is a difficult task, as will appear from the examples given below.)

Let  $\{v_i\}_i$  be a basis of the vector space  $V$ . An automorphism  $c$  of  $V \otimes V$  is defined by the family  $(C_{ij}^{kl})_{i,j,k,l}$  of scalars determined by

$$c(v_i \otimes v_j) = \sum_{k,l} C_{ij}^{kl} v_k \otimes v_l$$

$$c: V \otimes V \rightarrow V \otimes V$$

Then  $c$  is a solution of the Yang-Baxter equation iff for all  $i, j, k, l, m, n$ , we have

$$\sum_{p,q,r,x,y,z} (C_{ij}^{pq} \delta_{kr}) (\delta_{px} C_{qr}^{yz}) (C_{xy}^{lm} \delta_{zn}) = \sum_{p,q,r,x,y,z} (\delta_{ip} C_{jk}^{qr}) (C_{pq}^{xy} \delta_{rz}) (\delta_{xl} C_{yz}^{mn}),$$

which is equivalent to

$$\sum_{p,q,r} C_{ij}^{pq} C_{qr}^{yn} C_{nk}^{lm} = \sum_{j,q,r} C_{jk}^{qr} C_{iq}^{ly} C_{yr}^{mn} \quad (1.1)$$

for all  $i, j, k, l, m, n$ .

(Solving the non-linear equations (1.1) is a highly non-trivial problem. Nevertheless, numerous solutions of the Yang-Baxter equation have been discovered in the 1980's)

Let us list a few examples.

Ex 1. For any vector space  $V$  we denote by  $T_{V,V} \in \text{Aut}(V \otimes V)$  the flip switching the two copies of  $V$ . It is defined by

$$T_{V,V}(v_1 \otimes v_2) = v_2 \otimes v_1$$

for any  $v_1, v_2 \in V$ .

The flip satisfies the Yang-Baxter equation because of the Coxeter relation  $(12)(23)(12) = (23)(12)(23)$  in the symmetry group  $S_3$ .

$$(T_{V,V} \otimes \text{id}_V)(\text{id}_V \otimes T_{V,V})(T_{V,V} \otimes \text{id}_V) = (\text{id}_V \otimes T_{V,V})(T_{V,V} \otimes \text{id}_V)(\text{id}_V \otimes T_{V,V})$$

Here is a way to generate new R-matrices from old ones.

lem VIII.1.2. If  $c \in \text{Aut}(V \otimes V)$  is an R-matrix, then so are  $\lambda c$ ,  $c^{-1}$  and  $T_{v,v} \circ c \circ T_{v,v}$ , where  $\lambda$  is any non-zero scalar.

pf: We have identities

$$\textcircled{1} \quad \lambda c \otimes \text{id}_V = \lambda (c \otimes \text{id}_V), \quad (\text{id}_V \otimes \lambda c) = \lambda (\text{id}_V \otimes c)$$

$$\Rightarrow \lambda c \otimes \text{id}_V (\text{id}_V \otimes \lambda c) \lambda c \otimes \text{id}_V = \lambda^3 (c \otimes \text{id}_V) (\text{id}_V \otimes c) (c \otimes \text{id}_V)$$

$$(\text{id}_V \otimes \lambda c) \lambda c \otimes \text{id}_V (\text{id}_V \otimes \lambda c) = \lambda^3 (\text{id}_V \otimes c) (c \otimes \text{id}_V) (\text{id}_V \otimes c)$$

$$\textcircled{2} \quad c c^{-1} \otimes \text{id}_V = (c \otimes \text{id}_V)^{-1}, \quad (\text{id}_V \otimes c^{-1}) = (\text{id}_V \otimes c)^{-1}$$

$$\Rightarrow c c^{-1} \otimes \text{id}_V (\text{id}_V \otimes c^{-1}) c c^{-1} \otimes \text{id}_V = (c \otimes \text{id}_V)^{-1} (\text{id}_V \otimes c)^{-1} (c \otimes \text{id}_V)^{-1} = (c \otimes \text{id}_V) (\text{id}_V \otimes c) (c \otimes \text{id}_V)^{-1}$$

$$(\text{id}_V \otimes c^{-1}) (c c^{-1} \otimes \text{id}_V) (\text{id}_V \otimes c^{-1}) = (\text{id}_V \otimes c)^{-1} (c \otimes \text{id}_V)^{-1} (\text{id}_V \otimes c)^{-1} = (\text{id}_V \otimes c) (c \otimes \text{id}_V) (\text{id}_V \otimes c)^{-1}$$

$$\textcircled{3} \quad c c' \otimes \text{id}_V = \sigma (\text{id}_V \otimes c) \sigma^{-1}, \quad (\text{id}_V \otimes c') = \sigma (c \otimes \text{id}_V) \sigma^{-1}$$

where  $c' = T_{v,v} \circ c \circ T_{v,v}$  and  $\sigma \in \text{Aut}(V \otimes V \otimes V)$  defined by  $\sigma(v_1 \otimes v_2 \otimes v_3) = v_3 \otimes v_2 \otimes v_1$

$$\Rightarrow c c' \otimes \text{id}_V (\text{id}_V \otimes c') (c' \otimes \text{id}_V) = \sigma (\text{id}_V \otimes c) \sigma^{-1} \sigma (c \otimes \text{id}_V) \sigma^{-1} (\text{id}_V \otimes c) \sigma^{-1}$$

$$\quad \sigma (\text{id}_V \otimes c) (c \otimes \text{id}_V) (\text{id}_V \otimes c) \sigma^{-1}$$

$$\quad \sigma (c \otimes \text{id}_V) (\text{id}_V \otimes c) (c \otimes \text{id}_V) \sigma^{-1}$$

$$(\text{id}_V \otimes c') (c' \otimes \text{id}_V) (\text{id}_V \otimes c) = \sigma (c \otimes \text{id}_V) \sigma^{-1} \sigma (\text{id}_V \otimes c) \sigma^{-1} \sigma (c \otimes \text{id}_V) \sigma^{-1}$$



Ex 2. Let us solve the Yang-Baxter equation when  $V = V_1 = V_{1,1}$  is the 2-dimensional simple module over the Hopf algebra  $U_q = U_q(\mathfrak{sl}_2)$ . (We have Chevalley generators  $E, F, K^{\pm}$ )

(Thm VI 3.5 shows that, up to isomorphism,  $\exists!$  simple  $U_q$ -module of dimension  $n+1$  and generated by a highest weight vector of weight  $\varepsilon q^n$ , denoted by  $V_{\varepsilon, n}$ , where  $\varepsilon = \pm 1$ ,  $\dim(V) = n+1$ .

For  $V_{\varepsilon, n}$ , we simplify it by  $V_n$ .)

(More precisely, let us find all  $U_q$ -automorphisms of  $V_1 \otimes V_1$  that are  $R$ -matrices. We freely use the notation of the previous chapters).

Recall that if  $v = v_0$  is a highest weight vector of  $V_1$ , then the set  $\{v_0, v_1 = Fv\}$  is a basis of  $V_1$  by Thm VI 3.5 cii). (Here  $v_p = \frac{F^p v}{[p]!}$ ,  $v_p \neq 0$  for  $p \geq 1$ ,  $[n] = \frac{q^n - q^{-n}}{q - q^{-1}}$  for an invertible element  $q$  of  $k$  different from  $\pm 1$ .)

(Thm VII 7.1. Let  $n \geq m$  be two nonnegative integers. There exists an isomorphism of  $U_q$ -modules

$$V_n \otimes V_m \cong V_{n+m} \oplus V_{n+m-2} \oplus \dots \oplus V_{n-m}$$

By the Clebsch-Gordan Thm VII 7.1, we have  $V_1 \otimes V_1 \cong V_{1+1} \oplus V_{1-1} = V_2 \oplus V_0$

(Lem VII 7.2: Let  $v^{(n)}$  be a highest weight vector of weight  $q^n$  in  $V_n$  and  $v^{(m)}$  be a highest weight vector of weight  $q^m$  in  $V_m$ . Let us define  $v_p^{(n)} = \frac{1}{[p]!} F^p v^{(n)}$  and  $v_p^{(m)} = \frac{1}{[p]!} F^p v^{(m)}$  for all  $p \geq 0$ .

Then,  $v^{(n+m-2p)} = \sum_{i=0}^p (-1)^i \frac{[m-p+i]! [n-i]!}{[m-p]! [n]!} \cdot q^{-i(m-2p+i)} \cdot v_i^{(n)} \otimes v_{p-i}^{(m)}$  is a highest weight vector of weight  $q^{n+m-2p}$  in  $V_n \otimes V_m$ )

Lem 7.2 implies that the vector  $w_0 = v_0 \otimes v_0$ ,  $t = v_0 \otimes v_1 - q^{-1} v_1 \otimes v_0$  are highest weight vectors of respective weights  $q^2$  and  $1$ . ( $q^2$ :  $m=n=1, p=0$ ,  $1$ :  $m=n=p=1$ )

We complete the set of linearly independent vectors  $\{w_0, t\}$  into a basis for  $V_1 \otimes V_1$  by

$$\text{setting } w_1 = Fw_0 = \Delta(F)(w_0) = (K^{-1} \otimes F + F \otimes 1)(v_0 \otimes v_0) = (K^{-1} v_0) \otimes (Fv_0) + (Fv_0) \otimes (id(v_0))$$

$$= q^{-1} v_0 \otimes v_1 + v_1 \otimes v_0$$

$$w_2 = \frac{1}{[2]} F^2 w_0 = \frac{1}{[2]} \cdot (K^{-1} \otimes F + F \otimes 1)(q^{-1} v_0 \otimes v_1 + v_1 \otimes v_0)$$

$$= \frac{1}{[2]} (q^{-2} v_0 \otimes \frac{1}{[2]} v_2 + q^{-1} v_1 \otimes v_1 + q v_1 \otimes v_1 + [2] v_2 \otimes v_0) = \frac{q+q^{-1}}{[2]} \cdot v_1 \otimes v_1 = v_1 \otimes v_1$$

where  $[2] = \frac{q^2 - q^{-2}}{q - q^{-1}} = q + q^{-1}$

Prop VIII.1.3. Any  $U_q$ -linear automorphism  $\varphi$  of  $V_1 \otimes V_1$  is diagonalizable and of the form  $\varphi(w_i) = \lambda w_i$  ( $i=0,1,2$ ) and  $\varphi(t) = \mu t$  where  $\lambda$  and  $\mu$  are non-zero scalars. The automorphism  $\varphi$  is an  $R$ -matrix iff  $(\lambda - \mu)(q\lambda + q^{-1}\mu)(q^{-1}\lambda + q\mu) = 0$ .

pf (1) Since  $\varphi$  is  $U_q$ -linear, then  $\forall a \in U_q, u \otimes v \in V_1 \otimes V_1, \varphi(a(u \otimes v)) = a\varphi(u \otimes v)$ .

Hence the image under  $\varphi$  of a highest weight vector is a highest weight vector of the same weight.

$$\begin{array}{ccc} V_1 \otimes V_1 & \xrightarrow{a} & V_1 \otimes V_1 \\ \varphi \downarrow & & \varphi \downarrow \\ V_1 \otimes V_1 & \xrightarrow{a} & V_1 \otimes V_1 \end{array}$$

diagram commutes.

Since  $w_0, t$  has weight  $q^2, 1$ , respectively (we assume  $q^2 \neq 1$  here), then  $\exists \lambda, \mu$  s.t.  $\varphi(w_0) = \lambda w_0, \varphi(t) = \mu t, \lambda, \mu \neq 0$

Since  $\varphi(w_i) = \varphi\left(\frac{F_i w_0}{\pm i}\right) = \frac{1}{\pm i} F_i \varphi(w_0) = \frac{\lambda}{\pm i} F_i w_0 = \lambda w_i$  for  $i=1,2$ ,

then  $\varphi \begin{pmatrix} w_0 \\ w_1 \\ w_2 \\ t \end{pmatrix} = \begin{pmatrix} \lambda & & & \\ & \lambda & & \\ & & \lambda & \\ & & & \mu \end{pmatrix} \begin{pmatrix} w_0 \\ w_1 \\ w_2 \\ t \end{pmatrix}$ . Therefore:  $\varphi \in \text{Aut}(V_1 \otimes V_1)$  is diagonalizable.

2) (The second assertion results from tedious computation.)

By first assertion, we have  $\varphi(w_i) = \lambda w_i$  ( $i=0,1,2$ ),  $\varphi(t) = \mu t$

It is clear that  $\varphi(v_0 \otimes v_0) = \varphi(w_0) = \lambda w_0 = \lambda v_0 \otimes v_0$ ,  $\varphi(v_1 \otimes v_1) = \varphi(w_2) = \lambda w_2 = \lambda v_1 \otimes v_1$

$$\begin{cases} q^{-1}\varphi(v_0 \otimes v_1) + \varphi(v_1 \otimes v_0) = \varphi(q^{-1}v_0 \otimes v_1 + v_1 \otimes v_0) = \varphi(w_1) = \lambda w_1 = \lambda(q^{-1}v_0 \otimes v_1 + v_1 \otimes v_0) \\ \varphi(v_0 \otimes v_1) - q^{-1}\varphi(v_1 \otimes v_0) = \varphi(v_0 \otimes v_1 - q^{-1}v_1 \otimes v_0) = \varphi(t) = \mu t = \mu(v_0 \otimes v_1 - q^{-1}v_1 \otimes v_0) \end{cases}$$

Then we have the matrix  $\Phi$  of  $\varphi$  w.r.t the basis  $\{v_0 \otimes v_0, v_0 \otimes v_1, v_1 \otimes v_0, v_1 \otimes v_1\}$  is given by

$$\varphi \begin{pmatrix} v_0 \otimes v_0 \\ v_0 \otimes v_1 \\ v_1 \otimes v_0 \\ v_1 \otimes v_1 \end{pmatrix} = \Phi \begin{pmatrix} v_0 \otimes v_0 \\ v_0 \otimes v_1 \\ v_1 \otimes v_0 \\ v_1 \otimes v_1 \end{pmatrix}, \quad \Phi = \begin{pmatrix} \lambda & & & \\ & \alpha & \gamma & \\ & \gamma & \beta & \\ & & & \lambda \end{pmatrix}$$

$$\text{where } \alpha = \frac{q^{-1}\lambda + q\mu}{[2]}, \quad \beta = \frac{q\lambda + q^{-1}\mu}{[2]}, \quad \gamma = \frac{\lambda - \mu}{[2]}$$

The automorphism  $\varphi \otimes \text{id}$  and  $\text{id} \otimes \varphi$  can be expressed, respectively, by the  $8 \times 8$ -matrices

$\Phi_{12}$  and  $\Phi_{23}$  in the basis consisting of the elements

$v_0 \otimes v_0 \otimes v_0, v_0 \otimes v_0 \otimes v_1, v_0 \otimes v_1 \otimes v_0, v_0 \otimes v_1 \otimes v_1, v_1 \otimes v_0 \otimes v_0, v_1 \otimes v_0 \otimes v_1, v_1 \otimes v_1 \otimes v_0, v_1 \otimes v_1 \otimes v_1$  of  $V \otimes V \otimes V$  where

$$\Phi_{12} = \begin{pmatrix} \lambda & & & & & & & \\ & \lambda & & & & & & \\ & & \alpha & \gamma & & & & \\ & & \gamma & \beta & & & & \\ & & & & \lambda & & & \\ & & & & & \lambda & & \\ & & & & & & \alpha & \gamma \\ & & & & & & \gamma & \beta \\ & & & & & & & & \lambda \end{pmatrix}_{8 \times 8}, \quad \Phi_{23} = \begin{pmatrix} \lambda & & & & & & & \\ & \lambda & & & & & & \\ & & \alpha & \gamma & & & & \\ & & \gamma & \beta & & & & \\ & & & & \lambda & & & \\ & & & & & \lambda & & \\ & & & & & & \alpha & \gamma \\ & & & & & & \gamma & \beta \\ & & & & & & & & \lambda \end{pmatrix}_{8 \times 8}$$

Here we have

$$\begin{aligned} (\varphi \otimes \text{id})(v_i \otimes v_j \otimes v_k) &= \varphi(v_i \otimes v_j) \otimes \text{id}(v_k) \\ &= \varphi(v_i \otimes v_j) \otimes v_k \text{ for } i,j,k \in \{0,1\} \end{aligned}$$

Now,  $\Phi_{12} \Phi_{23} \Phi_{12} - \Phi_{23} \Phi_{12} \Phi_{23} = \begin{pmatrix} 0 & & & & & & & \\ & K & -\alpha\beta\gamma & & & & & \\ & -\alpha\beta\gamma & L & 0 & \alpha\beta\gamma & & & \\ & & 0 & -K & 0 & \alpha\beta\gamma & & \\ & & \alpha\beta\gamma & 0 & M & 0 & & \\ & & & \alpha\beta\gamma & 0 & -L & \alpha\beta\gamma & \\ & & & & & \alpha\beta\gamma & -M & \\ & & & & & & & 0 \end{pmatrix}_{8 \times 8}$

where  $K = \alpha((\lambda - \alpha)\lambda - \gamma^2)$ ,  $L = \alpha\beta(\alpha - \beta)$  and  $M = \beta(\gamma^2 + \lambda(\beta - \lambda))$ .

Let us check that:  $K, L, M$  are multiples of  $\alpha\beta\gamma$ .

$$\lambda - \alpha = \frac{(\eta + \eta^{-1})\lambda - \eta\lambda - \eta\mu}{[\eta]} = \frac{\eta(\lambda - \mu)}{[\eta]} = \eta\gamma, \quad \lambda - \beta = \frac{(\eta + \eta^{-1})\lambda - \eta\lambda - \eta^{-1}\mu}{[\eta]} = \frac{\eta^{-1}(\lambda - \mu)}{[\eta]} = \eta^{-1}\gamma$$

$$\eta\lambda - \gamma = \frac{\eta^{-1}(\eta + \eta^{-1})\lambda - (\lambda - \mu)}{[\eta]} = \frac{\lambda + \eta^2\lambda - \lambda + \mu}{[\eta]} = \frac{\eta^{-1}(\eta^2\lambda + \eta\mu)}{[\eta]} = \eta^{-1}\alpha \quad ; \quad \text{also } \beta - \alpha = \frac{(\eta - \eta^{-1})(\lambda - \mu)}{[\eta]} \\ \eta\lambda - \gamma = \frac{\eta(\eta + \eta^{-1})\lambda - (\lambda - \mu)}{[\eta]} = \frac{\eta^2\lambda + \lambda - \lambda + \mu}{[\eta]} = \frac{\eta(\eta\lambda + \eta^{-1}\mu)}{[\eta]} = \eta\beta \quad = (\eta - \eta^{-1})\gamma$$

Therefore,  $K = \alpha(\eta\lambda - \gamma^2) = \alpha\gamma(\eta\lambda - \gamma) = \alpha\beta\eta\gamma$ ,  $L = -(\eta - \eta^{-1})\alpha\beta\gamma$ ,  $M = -\eta^{-1}\alpha\beta\gamma$ .

Then  $\Phi_{12} \Phi_{23} \Phi_{12} - \Phi_{23} \Phi_{12} \Phi_{23} = \alpha\beta\gamma \times \Psi$ , where  $\Psi$  is a non-zero  $8 \times 8$ -matrix.

i.e.  $(\varphi \otimes \text{id})(\text{id} \otimes \varphi)(\varphi \otimes \text{id}) - (\text{id} \otimes \varphi)(\varphi \otimes \text{id})(\text{id} \otimes \varphi) \begin{pmatrix} v_0 \otimes v_0 \otimes v_0 \\ \vdots \\ v_1 \otimes v_1 \otimes v_1 \end{pmatrix} = \alpha\beta\gamma \times \Psi \begin{pmatrix} v_0 \otimes v_0 \otimes v_0 \\ \vdots \\ v_1 \otimes v_1 \otimes v_1 \end{pmatrix}$

It implies  $\varphi$  is an  $R$ -matrix iff  $\alpha\beta\gamma = 0$ .

Since  $\alpha\beta\gamma = 0 \iff (\lambda - \mu)(\eta\lambda + \eta^{-1}\mu)(\eta^{-1}\lambda + \eta\mu) = 0$ , then the automorphism  $\varphi$  is an  $R$ -matrix iff  $(\lambda - \mu)(\eta\lambda + \eta^{-1}\mu)(\eta^{-1}\lambda + \eta\mu) = 0$  □

To sum up, the  $R$ -matrices of the  $U_q$ -module  $V_1 \otimes V_1$  belong to the following three types depending on a parameter  $\lambda \neq 0$ :

1. If  $\lambda = \mu$ ,  $\varphi$  is a homothety.  $(\lambda = \mu \implies \varphi \begin{pmatrix} v_0 \otimes v_0 \\ v_0 \otimes v_1 \\ v_1 \otimes v_0 \\ v_1 \otimes v_1 \end{pmatrix} = \begin{pmatrix} \lambda & & & \\ & \lambda & & \\ & & \lambda & \\ & & & \lambda \end{pmatrix} \begin{pmatrix} | \\ | \\ | \\ | \end{pmatrix} = \lambda \begin{pmatrix} v_0 \otimes v_0 \\ v_0 \otimes v_1 \\ v_1 \otimes v_0 \\ v_1 \otimes v_1 \end{pmatrix})$

2. If  $\eta\lambda + \eta^{-1}\mu = 0$ , then  $\Phi = \eta\lambda \begin{pmatrix} \eta^{-1} & & & \\ & \eta^{-1} - \eta & & \\ & & 1 & 0 \\ & & & \eta^{-1} \end{pmatrix}$

3. If  $\eta^{-1}\lambda + \eta\mu = 0$ , then  $\Phi = \eta^{-1}\lambda \begin{pmatrix} -\eta & & & \\ & 0 & & 1 \\ & & 1 & -\eta \\ & & & \eta \end{pmatrix}$

It is clear that case 2 and 3 are equivalent within a change of basis after exchange  $\eta$  and  $\eta^{-1}$ .

(As we shall see in the next example, the minimal polynomial of  $\Phi$  is of degree  $\leq 2$ .)

Ex 3. We now give an important class of  $R$ -matrices with quadratic minimal polynomial.

(Such  $R$ -matrices will be used in Chapter XII to construct isotopy invariants of links in  $\mathbb{R}^3$ )

Let  $V$  be a finite-dimensional vector space with a basis  $\{e_1, \dots, e_n\}$ . For two invertible scalars  $p, q$  and for any family  $\{r_{ij}\}_{i,j \in \mathbb{N}}$  of scalars in  $K$  s.t.  $r_{ii} = q$  and  $r_{ij}r_{ji} = p$  when  $i \neq j$ , we define an automorphism  $c$  of  $V \otimes V$  by

$$c(e_i \otimes e_j) = \begin{cases} r_{ii} e_i \otimes e_i & \text{if } i=j \\ r_{ji} e_j \otimes e_i & \text{if } i < j \\ r_{ji} e_j \otimes e_i + (q - pq^{-1}) e_i \otimes e_j & \text{if } i > j \end{cases}$$

Prop VII.1.4. The automorphism  $c$  is a solution of the Yang-Baxter equation. Moreover, we have  $c(c - q \text{id}_{V \otimes V})(c + pq^{-1} \text{id}_{V \otimes V}) = 0$  or, equivalently,  $c^2 - (q - pq^{-1})c - p \text{id}_{V \otimes V} = 0$ .

pf: (a) We first show that  $c$  is an  $R$ -matrix.

In order to simplify the proof, let us introduce the following notation.

$$c_{ijk} \triangleq e_i \otimes e_j \otimes e_k \in V \otimes V \otimes V.$$

$$[i > j] \triangleq \begin{cases} 1 & \text{if } i > j \\ 0 & \text{otherwise.} \end{cases}$$

Then  $c$  can be redefined as  $c(e_i \otimes e_j) = r_{ji} e_j \otimes e_i + [i > j] \beta e_i \otimes e_j$  where  $\beta = q - pq^{-1}$

$$\begin{aligned} & (c \otimes \text{id}) \otimes \text{id} \otimes c \otimes (\text{id} \otimes c) \otimes c_{ijk} \\ &= (c \otimes \text{id}) \otimes \text{id} \otimes c \otimes (r_{ji} c_{ijk} + [i > j] \beta c_{ijk}) = (c \otimes \text{id}) \otimes (r_{ji} (r_{ki} c_{jki}) + [i > k] \beta (c_{jik}) + \\ & \quad [i > j] \beta (r_{kj} c_{ikj}) + [j > k] \beta c_{ijk}) \\ &= (c \otimes \text{id}) \otimes (r_{ji} r_{ki} c_{jki}) + r_{ji} [i > k] \beta c_{jik} + r_{kj} [i > j] \beta c_{ikj} + [i > j] [j > k] \beta^2 c_{ijk} \\ &= r_{ji} r_{ki} (r_{kj} c_{kji}) + [j > k] \beta c_{jki}) + r_{ji} [i > k] \beta (r_{ij} c_{ijk}) + [j > i] \beta c_{jik} \\ & \quad + r_{kj} [i > j] \beta (r_{ki} c_{kij}) + [i > k] \beta c_{ikj}) + [i > j] [j > k] \beta^2 (r_{ji} c_{jik}) + [i > j] \beta c_{ijk} \\ &= r_{ji} r_{ki} r_{kj} c_{kji}) + r_{ji} r_{ki} [j > k] \beta c_{jki}) + r_{kj} r_{ki} [i > j] \beta c_{kij}) + r_{kj} [i > j] [j > k] \beta^2 c_{ijk} \\ & \quad + r_{ji} [j > i] [i > k] + [i > j] [j > k] \beta^2 c_{jik} + (r_{ji} r_{ij} [i > k] \beta + [i > j]^2 [j > k] \beta^3) c_{ijk} \end{aligned}$$

Similarly,  $(\text{id} \otimes c) \otimes (c \otimes \text{id}) \otimes \text{id} \otimes c \otimes c_{ijk}$

$$\begin{aligned} &= r_{ji} r_{ki} r_{kj} c_{kji}) + r_{ji} r_{ki} [j > k] \beta c_{jki}) + r_{kj} r_{ki} [i > j] \beta c_{kij}) + r_{ji} [i > k] [j > k] \beta^2 c_{jik} \\ & \quad + r_{kj} [i > k] [k > j] + [i > j] [j > k] \beta^2 c_{ikj} + (r_{kj} r_{ij} [i > k] \beta + [i > j] [j > k] \beta^3) c_{ijk} \end{aligned}$$

We have to show two expressions are equal for all  $i, j, k$ .

① If  $i=j=k$ ,  $(c \otimes id)(id \otimes c)(c \otimes id)(c_{ijk}) = q^3 c_{iii} = (id \otimes c)(c \otimes id)(id \otimes c)(c_{ijk})$

② If  $i, j, k$  are distinct indices,

Since  $i > j, i > k$  iff  $i > j > k$  or  $i > k > j$ . then  $\sum_{i > j} \sum_{i > k} = \sum_{i > j} \sum_{j > k} + \sum_{i > k} \sum_{k > j}$ .

It implies  $r_{kj} \sum_{i > j} \sum_{i > k} \beta^2 c_{ikj} = r_{kj} (\sum_{i > k} \sum_{k > j} + \sum_{i > j} \sum_{j > k}) \beta^2 c_{ikj}$

Since  $r_{ji} (\sum_{j > k} \sum_{i > k} + \sum_{i > j} \sum_{j > k}) \beta^2 c_{jik} = \begin{cases} r_{ji} \beta^2 c_{jik} & \text{when } i > j > k \\ 0 & \text{when } i > k > j \end{cases}$

$r_{ji} \sum_{i > k} \sum_{j > k} \beta^2 c_{jik} = \begin{cases} r_{ji} \beta^2 c_{jik} & \text{when } i > j > k \\ 0 & \text{when } i > k > j \end{cases}$

then  $r_{ji} (\sum_{j > i} \sum_{i > k} + \sum_{i > j} \sum_{j > k}) \beta^2 c_{jik} = r_{ji} \sum_{i > k} \sum_{j > k} \beta^2 c_{jik}$

Also,  $r_{ji} r_{ij} = p = r_{jk} r_{kj}$  for  $i \neq j, j \neq k$ .

Therefore, two expressions are equal.

③ If exactly two indices are equal, wlog say  $i=j \neq k$ .

Then  $(c \otimes id)(id \otimes c)(c \otimes id) - (id \otimes c)(c \otimes id)(id \otimes c)(c_{iik})$

$$\begin{aligned} &= r_{kj} (\sum_{i > j} \sum_{i > k} - \sum_{i > k} \sum_{k > j} - \sum_{j > j} \sum_{j > k}) \beta^2 c_{ikj} + r_{ji} (\sum_{j > i} \sum_{i > k} + \sum_{i > j} \sum_{j > k} - \sum_{i > k} \sum_{j > k}) \beta^2 c_{jik} \\ &+ (r_{ij} r_{ji} \sum_{i > k} \beta + \sum_{i > j} \sum_{j > k} \beta^3 - r_{jk} r_{kj} \sum_{i > k} \beta - \sum_{i > j} \sum_{j > k} \beta^3) c_{ijk} \\ &= r_{ki} (- \sum_{i > k} \sum_{k > i}) \beta^2 c_{iki} + r_{ii} (- \sum_{i > k} \sum_{i > k}) \beta^2 c_{iik} + (r_{ii}^2 \sum_{i > k} \beta - r_{ik} r_{ki} \sum_{i > k} \beta) c_{iik} \\ &= 0 - q \sum_{i > k} \beta^2 c_{iik} + (q^2 \sum_{i > k} \beta - p \sum_{i > k} \beta) c_{iik} \\ &= \sum_{i > k} \beta (q^2 - p - q\beta) c_{iik} = 0 \quad (\text{where } \beta = q - pq^{-1}) \end{aligned}$$

Therefore, automorphism  $c$  is a solution of the Yang-Baxter equation.

(b) For any  $e_i \otimes e_j$ .

If  $i=j$ , then  $(c - q id_{V \otimes V})(c + pq^{-1} id_{V \otimes V})(e_i \otimes e_i)$

$= (c - q id_{V \otimes V})(q e_i \otimes e_i + pq^{-1} e_i \otimes e_i) = q^2 (e_i \otimes e_i) - q^2 (e_i \otimes e_i) + p (e_i \otimes e_i) - p (e_i \otimes e_i) = 0$

If  $i \neq j$ , then  $(c^2 - (q - pq^{-1})c - p id_{V \otimes V})(e_i \otimes e_j)$

$$\begin{aligned} &= c(c_{j i e_j \otimes e_i} + \sum_{i > j} \beta e_i \otimes e_j) - \beta (r_{j i e_j \otimes e_i} + \sum_{i > j} \beta e_i \otimes e_j) - p e_i \otimes e_j \\ &= r_{ji} (r_{ij} e_i \otimes e_j + \sum_{j > i} \beta e_j \otimes e_i) + \sum_{i > j} \beta (r_{j i e_j \otimes e_i} + \beta e_i \otimes e_j) \\ &\quad - \beta (r_{j i e_j \otimes e_i} + \sum_{i > j} \beta e_i \otimes e_j) - p e_i \otimes e_j \\ &= p e_i \otimes e_j + r_{ji} \sum_{j > i} \beta e_j \otimes e_i + r_{ji} \sum_{i > j} \beta e_j \otimes e_i + \sum_{i > j} \beta^2 e_i \otimes e_j \\ &\quad - r_{ji} \beta e_j \otimes e_i - \sum_{i > j} \beta^2 e_i \otimes e_j - p e_i \otimes e_j = 0 \end{aligned}$$

Consider the following two special cases:

(i) If  $p=q^2$  and  $r_{ij}=q$  for all  $i, j$ , then  $c$  is homothety.

(ii) Take  $p=1$  and  $r_{ij}=1$  for  $i \neq j$ . Then  $c$  takes the form shown in Case 3 of Example 2 when  $V$  is two-dimensional. Thus, Example 2 turns out to be a special case of Ex 3.



The relation between Yang-Baxter Equation of the form  $R_{12} \circ R_{13} \circ R_{23} = R_{23} \circ R_{13} \circ R_{12}$  and the form  $(C \otimes \text{id}_V) \text{id}_V \otimes C (C \otimes \text{id}_V) = (\text{id}_V \otimes C) (C \otimes \text{id}_V) \text{id}_V \otimes C$ .

The linear maps  $R: V \otimes V \rightarrow V \otimes V$ , with  $V$  a vector space.  $R$  is a solution of Y-B Equation if

$$R_{12} \circ R_{13} \circ R_{23} = R_{23} \circ R_{13} \circ R_{12}$$

where  $R_{ij}$  denote the map  $R_{ij}: V \otimes V \otimes V \rightarrow V \otimes V \otimes V$  acting as  $R$  on  $(i,j)$  tensor factors and as the identity on the remaining factor. (i.e.  $R_{12} = R \otimes \text{id}_V$ .)

Let  $T_{v_1, v_2}: V \otimes V \rightarrow V \otimes V$  be flip switching and  $C = T_{v_1, v_2} \circ R$ , then

$$v_1 \otimes v_2 \mapsto v_2 \otimes v_1$$

$$C (C \otimes \text{id}_V) \text{id}_V \otimes C (C \otimes \text{id}_V) = (\text{id}_V \otimes C) (C \otimes \text{id}_V) \text{id}_V \otimes C \iff R_{12} \circ R_{13} \circ R_{23} = R_{23} \circ R_{13} \circ R_{12}.$$

The form  $R_{12} \circ R_{13} \circ R_{23} = R_{23} \circ R_{13} \circ R_{12}$  can also be the form of product of elements in  $A \otimes A \otimes A$  where  $A$  is a Hopf algebra.

Here,  $R = \sum R^{(1)} \otimes R^{(2)} \in A \otimes A$ . ( $R_{12} = \sum R^{(1)} \otimes R^{(2)} \otimes 1$ ,  $R_{13} = \sum 1 \otimes R^{(1)} \otimes R^{(2)}$ ,  $R_{23} = \sum 1 \otimes R^{(1)} \otimes R^{(2)}$ )

More details are in Section VIII.2, VIII.3. and related papers.