

FREE GROUPS IN NORMAL SUBGROUPS OF THE MULTIPLICATIVE GROUP OF A DIVISION RING

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ABSTRACT. Let D be a division ring with center Z and multiplicative group $D^\bullet = D \setminus \{0\}$, and let N be a normal subgroup of D^\bullet . We investigate various conditions under which N must contain a free noncyclic subgroup. In one instance, assuming that the transcendence degree of Z over its prime field is infinite, and that N contains a nonabelian solvable subgroup, we use a construction method due to Chiba to exhibit free generators of the free subgroup.

1. INTRODUCTION

Let D be a non-commutative division ring with center Z and multiplicative group $D^\bullet = D \setminus \{0\}$. Not much is known about the structure of D^\bullet , and the first clue as to how bad its behavior can be is the conjecture of Lichtman [15], which asserts

Conjecture 1.1. *D^\bullet contains a noncyclic free subgroup.*

Perhaps the best general result in this regard is due to Chiba [1]. He showed that if D is noncommutative and if $Z = \mathfrak{Z}(D)$ is uncountable, then D^\bullet contains a noncyclic free subgroup. Analogous to the above, but much more difficult is

Conjecture 1.2. *Let N be a non-central normal subgroup of D^\bullet . Then N contains a noncyclic free subgroup.*

Conjecture 1.2 was proved by the first author in [3] when D is finite dimensional over Z , and by Lichtman in various other instances, see for example papers [16], [17] and [18].

The goal of the present work is to give more support to Conjecture 1.2 and, at the same time, to exhibit the generators of the free subgroup in N . For example, in section 3, we offer a new proof of a result of Lichtman showing that Conjecture 1.2 is true when N contains a nonabelian nilpotent subgroup. Next, in section 4, we prove the validity of the conjecture when N contains a nonabelian finite subgroup. Finally, if $\text{tr. deg}(Z/P)$, the transcendence degree of Z over its prime field P , is infinite, then in section 5 we prove that Conjecture 1.2 is true when N contains a nonabelian solvable subgroup. The interested reader can find more on the history and development of this subject in [14].

2. CROSSED PRODUCT RESULTS

We start by discussing certain preliminary results concerning crossed products and rings related to crossed products.

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The first lemma uses the notation of [6]. Let K be a cyclic Galois extension of the field F , with Galois group $\langle \sigma \rangle$ of order n , let x be a symbol and let $0 \neq b \in F$. Then we denote by $A = (K, \langle \sigma \rangle, x, b)$ the cyclic algebra of degree n and center F , generated by K and x , with relations $x^{-1}\alpha x = \sigma(\alpha)$ for all $\alpha \in K$ and $x^n = b$. Specifically, every element u of A is uniquely writable as a sum $u = \sum_{i=0}^{n-1} \alpha_i x^i$ with $\alpha_i \in K$. Recall that this element u is said to have *full support* if all the coefficients α_i are nonzero. The following is [6, Proposition 9].

Lemma 2.1. *Let $A = (K, \langle \sigma \rangle, x, b)$ be a cyclic algebra of degree n with center F and let $\alpha, \beta \in F$ be distinct nonzero elements of F with $\alpha^n \neq b^{-1}$ and $\beta^n \neq b^{-1}$. Then*

$$u = \frac{1 - \alpha x}{1 - \beta x}$$

is a unit in A . Furthermore, u and u^{-1} have full support and this support lies in $P(\alpha, \beta, b)$, the subfield of F generated by α, β, b , and the prime subfield P .

Of course, cyclic algebras are examples of certain more general rings called crossed products and indeed of crossed products over a field. Specifically, let K be a field and let G be a multiplicative group that acts on K as field automorphisms. Then we let $A = K * G$ be an associative ring in which every element u is a finite sum $u = \sum_g \alpha_g \bar{g}$, where $\alpha_g \in K$ and \bar{g} is a copy of $g \in G$ in A . Addition is obvious and multiplication is determined by $\bar{g}^{-1} \alpha \bar{g} = \sigma_g(\alpha)$ for all $\alpha \in K$, where σ_g is the field automorphism associated with $g \in G$. Furthermore, for $g, h \in G$, we have $\bar{g}\bar{h} = \kappa_{g,h} \overline{gh}$ for some $0 \neq \kappa_{g,h} \in K$. It is easy to determine the conditions on the various $\kappa_{g,h}$ and σ_g that are equivalent to the associativity of A . For example, since K is commutative, the map $g \mapsto \sigma_g$ must be a group homomorphism. If all $\kappa_{g,h} = 1$, then A is indeed associative and $K * G$ is called a skew group ring. If all $\sigma_g = 1$, then K is central and $K * G$ is called a twisted group ring. When all parameters are equal to 1, then $K * G = K[G]$ is the ordinary group ring. In all cases, one can assume that $\bar{1} = 1$ is the identity element of A . The following result is well known, so we just sketch the proof.

Lemma 2.2. *Let $K * G$ be a crossed product of G over the field K and assume that G acts faithfully on K . Then $K * G$ is a simple ring with center $F = K^G$, the fixed subfield of K under the action of G . If, in addition, $K * G$ is an Ore ring with ring of fractions S , then F is also the center of S .*

Proof. We first show that $K = K\bar{1}$ is self-centralizing in $K * G$. To this end, let $u = \sum_g \alpha_g \bar{g}$ be in the centralizer of K . Then, for all $\beta \in K$, we have $u\beta = \beta u$ and uniqueness of coefficient implies that $\alpha_g \beta = \alpha_g \sigma_{g^{-1}}(\beta)$. In particular, if g is in the support of u , then $\alpha_g \neq 0$, so $\sigma_{g^{-1}}$ is the identity automorphism. Faithfulness now implies that $g = 1$, and with this it is clear that $\mathfrak{C}_{K * G}(K) = K$ and that $\mathfrak{Z}(K * G) = F = K^G$. Finally, let I be a nonzero ideal of $K * G$ and choose $0 \neq v \in I$ to have minimal support size. Since I is an ideal, we can multiply v by some \bar{h} with $h \in G$ to guarantee that 1 is in the support of v . Now, for all $\beta \in K$, we see that $v\beta - \beta v \in I$ and these elements all have smaller support than v since the identity term drops out. Thus, by minimality, $v\beta - \beta v = 0$ and v is contained in $\mathfrak{C}_{K * G}(K) = K$. Therefore v is invertible, and $v \in I$ implies that $I = K * G$.

Finally, suppose $R = K * G$ is an Ore ring with ring of fractions S . Then certainly $F \subseteq \mathfrak{Z}(S)$. On the other hand, if $s \in \mathfrak{Z}(S)$, let $J = \{r \in R \mid sr \in R\}$. Then J

is clearly a 2-sided ideal of R since s is central, and $J \neq 0$ since s is a fraction. Thus, since R is simple, we have $J = R$ and $1 \in J$. It follows that $s \in R$ and hence $s \in \mathfrak{Z}(R) = F$. \square

In particular, this explains why F is the center of the cyclic algebra $A = (K, \langle \sigma \rangle, x, b)$. An interesting example of a twisted group ring of the four group $\mathbb{Z}_2 \times \mathbb{Z}_2$ is a generalized quaternion algebra which we denote by \mathbb{H}_p . Specifically, let F_0 be a field of characteristic $p \neq 2$ and let $F = F_0(a, b)$ be the rational function field over F_0 in the variables a and b . Then \mathbb{H}_p is the four-dimensional F -algebra with basis $1, \mathbf{i}, \mathbf{j}, \mathbf{k}$ subject to the relations $\mathbf{i}^2 = a$, $\mathbf{j}^2 = b$, $\mathbf{ij} = -\mathbf{ji} = \mathbf{k}$. We will sometimes denote this algebra by the symbol $\frac{(a,b)}{F}$.

Lemma 2.3. *Let R be a ring with units u and v , and suppose there exists a homomorphism $\psi: R \rightarrow \mathbb{H}_p$, for some \mathbb{H}_p with $p \neq 2$. If $\psi(u) = (1 + \mathbf{i})/(1 - \mathbf{i})$ and $\psi(v) = (1 + \mathbf{j})/(1 - \mathbf{j})$, then the group $\langle u, v \rangle$ is free of rank 2.*

Proof. We know that $1 \pm \mathbf{i}$ and $1 \pm \mathbf{j}$ are units in \mathbb{H}_p and that $\langle 1 + \mathbf{i}, 1 + \mathbf{j} \rangle$ is free of rank 2 by [5, Proposition 16]. Thus $H = \langle (1 + \mathbf{i})^2, (1 + \mathbf{j})^2 \rangle$ is also free of rank 2. Since noncyclic free groups have trivial center, it follows that the group generated by H and F^\bullet is their direct product, and hence $(1 + \mathbf{i})/(1 - \mathbf{i}) = (1 + \mathbf{i})^2/(1 - a)$ and $(1 + \mathbf{j})/(1 - \mathbf{j}) = (1 + \mathbf{j})^2/(1 - b)$ generate a free group of rank 2. Since $\psi(u) = (1 + \mathbf{i})/(1 - \mathbf{i})$ and $\psi(v) = (1 + \mathbf{j})/(1 - \mathbf{j})$, the same is clearly true of the group $\langle u, v \rangle$. \square

Next we consider one more method for constructing free subgroups of units. Let K be a field admitting an automorphism σ and let $K[T; \sigma]$ denote the skew polynomial ring over K in the variable T . By definition, $\alpha T = T\sigma(\alpha)$ for all $\alpha \in K$. Since this ring is a Noetherian domain, it is an Ore domain with division ring of fractions $K(T; \sigma)$.

Proposition 2.4. *Let K , σ and $K[T; \sigma]$ be as above, and let Z be a subfield of the fixed field of σ . Suppose there exists $a \in K$ such that the field $Z(a, \sigma(a), \sigma^2(a), \dots)$ is not finitely generated over Z . Setting $A = aT$ and $B = \sigma(a)T$ in $K[T; \sigma]$, we have*

- i. *The Z -subalgebra of $K[T; \sigma]$ generated by A and B is free on those two generators.*
- ii. *The elements $1 + A$ and $1 + B$ in $K(T; \sigma)^\bullet$ generate a free group of rank 2.*
- iii. *If N is a normal subgroup of $K(T; \sigma)^\bullet$ containing the element T , then N contains a noncyclic free subgroup.*

Proof. (i) Set $a_i = \sigma^i(a)$ for $i = 0, 1, 2, \dots$, and suppose by way of contradiction that $Z[A, B]$ is not free on the generators A and B . Since $K[T; \sigma]$ is graded by the powers of T , and since A and B are homogeneous of degree 1, it follows that there exists a Z -linear dependence relation among the monomials in A and B , with all such monomials having the same degree n . Furthermore, we can assume that $n \geq 1$ is minimal with this property, and that the number of terms appearing in this relation is minimal for n .

Let us write the relation as

$$\sum_I \alpha_I B^{i_1} A^{j_1} B^{i_2} A^{j_2} \dots,$$

where $I = (i_1, j_1, i_2, j_2, \dots)$, at least one of i_1 or j_1 is positive, and $0 \neq \alpha_I \in Z$. Furthermore, since the monomials all have degree n , we have $i_1 + j_1 + i_2 + j_2 + \dots = n$. If all i_1 are positive, then we can cancel a factor of B on the left and obtain a relation of degree $n - 1$, contradicting the minimality of n . Similarly, if all i_1 are 0, then we can cancel a factor of A , again a contradiction. Thus some, but not all i_1 are zero.

Now write $A = aT = a_0T$ and $B = \sigma(a)T = a_1T$. Using $a_iT = Ta_{i+1}$ in the dependence relation and shifting all factors of T to the left, we obtain, after canceling the factor of T^n , an algebraic relation over Z in a_0, a_1, \dots, a_{n+1} . Furthermore, a_{n+1} occurs precisely when we shift T^{n-1} past $B = a_1T$ and these correspond to the terms that have a left-most factor of B . In other words, the linear dependence relation becomes an expression of the form

$$a_{n+1}f(a_0, a_1, \dots, a_n) + g(a_0, a_1, \dots, a_n) = 0,$$

where f and g are suitable polynomials over Z .

Notice that $a_{n+1}f(a_0, a_1, \dots, a_n)$ corresponds to the sum of those terms in the relation with $i_1 > 0$ and $g(a_0, a_1, \dots, a_n)$ corresponds to the sum of those terms with $i_1 = 0$. Thus, the minimality of the number of terms in this relation implies that $a_{n+1}f(a_0, a_1, \dots, a_n) \neq 0$. It follows that $a_{n+1} \in Z(a_0, a_1, \dots, a_n)$ and, by applying σ^m to this expression, we get $a_{m+n+1} \in Z(a_m, a_{m+1}, \dots, a_{m+n})$. By induction, $Z(a_0, a_1, a_2, \dots) = Z(a_0, a_1, \dots, a_n)$, and this field is finitely generated, a contradiction.

(ii) We follow the argument of Magnus in [19, Theorem 5.6], but we work in the natural Laurent power series ring extension L of $K[T; \sigma]$, obtained from the power series ring $K[[T; \sigma]]$ by adjoining T^{-1} . Notice that this larger ring is also graded by the powers of T , and this grading extends the total degree grading in $Z[A, B]$. Furthermore, since $K[T; \sigma]$ is an Ore domain and L is a division ring, it follows that if $r \in K[T; \sigma]$ and if $r^{-1} \in K[[T; \sigma]]$, then $r^{-1} \in K(T; \sigma)$. Now $A = aT$ and $B = \sigma(a)T$, so it follows that $1 + A$ and $1 + B$ are invertible in $K[[T; \sigma]]$. Hence these inverses are the same as those in $K(T; \sigma)$. To prove that $\langle 1 + A, 1 + B \rangle$ is free of rank 2, it suffices to show that if

$$W = (1 + A)^{i_1}(1 + B)^{j_1}(1 + A)^{i_2}(1 + B)^{j_2} \dots (1 + A)^{i_n}(1 + B)^{j_n}$$

is a nonempty reduced word in the units $1 + A$ and $1 + B$, then $W \neq 1$.

Suppose first that $\text{char } K = 0$. Then

$$(1 + A)^{i_m} = 1 + i_m A + A^{2*}$$

for all integers i_m positive or negative, and where $*$ indicates a power series in A . There is, of course, a similar expression for $(1 + B)^{j_m}$. Since A and B generate a free Z -algebra, it follows that the monomial $ABAB \dots AB = (AB)^n$ can occur in only one way in the support of W . Indeed, since the number of adjacencies of A and B in $(AB)^n$ is the same as the number of adjacencies of $1 + A$ and $1 + B$ in W , we can only obtain the monomial $(AB)^n$ in the support of W by not using the 1 summands in the above expressions for $(1 + A)^{i_m}$ and $(1 + B)^{j_m}$. With this, it is clear that the monomial $(AB)^n$ occurs in the support of W with coefficient $i_1 j_1 i_2 j_2 \dots i_n j_n \neq 0$, and thus $W \neq 1$, as required.

Finally suppose $\text{char } K = p > 0$ and write each exponent of $1 + A$ and $1 + B$ in W as a power of p times a p' -factor. Say $i_m = p^{\lambda_m} \mu_m$ and $j_m = p^{\lambda'_m} \mu'_m$. Now note that

$$(1 + A)^{p^{\lambda_m} \mu_m} = (1 + A^{p^{\lambda_m}})^{\mu_m} = 1 + \mu_m A^{p^{\lambda_m}} + A^{2p^{\lambda_m} *}$$

for all $\lambda_m \geq 0$ and all integers μ_m . Of course, $*$ again indicates a power series in A , and there is a similar expression for $(1+B)^{p^{\lambda'_m} \mu'_m}$. Since $Z[A, B]$ is free on the two generators, it follows as above that the monomial $A^{p^{\lambda_1} B^{p^{\lambda'_1}} A^{p^{\lambda_2} B^{p^{\lambda'_2}} \dots A^{p^{\lambda_n} B^{p^{\lambda'_n}}}$ can occur in only one way in the support of W . Indeed, it occurs with coefficient $\mu_1 \mu'_1 \mu_2 \mu'_2 \dots \mu_n \mu'_n \neq 0$, and again $W \neq 1$.

(iii) Now we work in $K(T; \sigma)$. Since conjugation by T acts like σ on K , we see that $T^{-1}AT = B$ and hence $T^{-1}(1+A)T = 1+B$. Thus $[T, 1+A] = (1+B)^{-1}(1+A)$ and $[T, (1+A)^{-1}] = (1+B)(1+A)^{-1}$. Since $1+A$ and $1+B$ generate a free group of rank 2, the same is therefore true of $(1+B)^{-1}(1+A)$ and $(1+B)(1+A)^{-1}$. Thus $[T, 1+A]$ and $[T, (1+A)^{-1}]$ generate a noncyclic free group, and this group is contained in $N \triangleleft K(T; \sigma)^\bullet$ since $T \in N$ by assumption. \square

3. WHEN N CONTAINS A NONABELIAN NILPOTENT SUBGROUP

Let $N \triangleleft D^\bullet$, so that N is a normal subgroup of D^\bullet , the multiplicative group of the division ring D . In [16, Theorem 2], Lichtman proved the existence of a free subgroup in N under the assumption that N contains a nonabelian nilpotent-by-finite group. We offer another proof of this result below, explicitly exhibiting the free generators when N contains a nonabelian torsion-free nilpotent subgroup. As usual, we write $[x, y] = x^{-1}y^{-1}xy$ for the group commutator.

Theorem 3.1. *Let D be a division ring with center Z and prime subfield P . Denote the characteristic of Z by $p \geq 0$, and let $N \triangleleft D^\bullet$. If N contains a nonabelian nilpotent-by-finite subgroup, then N contains a noncyclic free subgroup. In the special case where N contains a nonabelian torsion-free nilpotent subgroup H , we can choose $x, y \in H$ so that $[x, y] = x^{-1}y^{-1}xy = \lambda \neq 1$, and such that λ commutes with both x and y . Since λ has infinite order, we have the following three possibilities.*

- i. λ is transcendental over P and $p \neq 2$. In this case $[x, (1+y)]$ and $[y, (1+x)]$ generate a noncyclic free subgroup of N .
- ii. λ is transcendental over P and $p = 2$. In this case, if $u = [x, (1+y)]$ and $v = x^2 + xy + y^2$, then u and $u^v = v^{-1}uv$ generate a free subgroup of N of rank 2.
- iii. $p = 0$ and λ is algebraic over \mathbb{Q} . Here we can find a nonnegative integer n such that if $u = [y, (1+x^{2^n})]$ and $v = [x^{2^n}, (1+y)]$, then u and v generate a noncyclic free subgroup of N .

Proof. Let G be the nonabelian nilpotent-by-finite group contained in N and let H be the normal nilpotent subgroup of G of finite index.

Suppose first that H is abelian and denote by $P(H)$ the subfield of D generated by P and H . Then the finite group G/H acts as automorphisms on $P(H)$, and the division subring $D_0 = P(G)$ generated by P and G is easily seen to be finite dimensional over the central subfield $P(H)^{G/H}$. In this situation, the normal subgroup $N_0 = N \cap D_0^\bullet$ of D_0^\bullet is non-central since it contains G . By [3, Theorem 2.1], N_0 contains a noncyclic free subgroup and hence the same is true for N .

Thus we can assume that H is nilpotent, but not abelian. In this case, by choosing $x \in \mathfrak{Z}_2(H) \setminus \mathfrak{Z}(H)$ and $y \in H \setminus \mathfrak{C}_H(x)$, we have $[x, y] = \lambda \neq 1$, and $\lambda \in \mathfrak{Z}(H)$ so $[x, \lambda] = 1 = [y, \lambda]$. If λ is an m th root of unity for some $m > 1$, then x^m, y^m and λ are central in $\langle x, y \rangle$, so the latter group is nonabelian and center-by-finite. In particular, it is abelian-by-finite, and the result of the previous paragraph guarantees that N contains a noncyclic free group in this case.

In other words, it suffices to assume that $G = \langle x, y \rangle$ with λ having infinite order. Notice that the structure of G is essentially symmetric in x and y . From $x^{y^i} = x\lambda^i$, for all integers i , we see that the group $\langle y \rangle$ is infinite cyclic and acts faithfully on the field $P(\lambda, x)$, where P is the prime subfield of D . Now there is a natural homomorphism from the skew group ring $P(\lambda, x)*\langle y \rangle$ to D and, since the skew group ring is simple by Lemma 2.2, it follows that $P(\lambda, x)*\langle y \rangle$ embeds in D . In particular, the powers of y are linearly independent over $P(\lambda, x)$. Similarly, the powers of x are linearly independent over $P(\lambda, y)$ and hence over $P(\lambda)$. It follows that the elements $x^i y^j$ are linearly independent over $P(\lambda)$, so the subring of D generated by $P(\lambda), x, x^{-1}, y$ and y^{-1} is naturally isomorphic to a twisted group ring over $P(\lambda)$ of the group $G/\langle \lambda \rangle \cong \mathbb{Z} \times \mathbb{Z}$.

Suppose that λ is transcendental over P . Then $P(\lambda)$ contains the group ring $P[\langle \lambda \rangle]$ and the subring of D generated by P and G is naturally isomorphic to $P[G]$. By the Hilbert Basis Theorem, $P[G]$ is a Noetherian domain and hence, by Goldie's theorem, $P[G]$ is an Ore domain. It follows that $P(G)$, the division subring of D generated by P and G , is the Ore ring of fractions of $P[G]$.

If $p = \text{char } P \neq 2$, we construct a subring R of $P(G)$ as follows. First note that $I = (1 + \lambda)P[G]$ is a strongly prime ideal of the group ring with

$$\psi: P[G] \rightarrow P[G]/I \cong \mathbb{H}_p = \frac{(\bar{x}^2, \bar{y}^2)}{P(\bar{x}^2, \bar{y}^2)}$$

since x^2 and y^2 become central in the quotient. Furthermore, $M = P[G] \setminus I$ is a multiplicatively closed right and left divisor set of $P[G]$ by [11, Lemma 3.2]. Thus we can form $R = M^{-1}P[G] \subseteq P(G)$ and extend the homomorphism $\psi: R \rightarrow \mathbb{H}_p$. Notice that $u = (1 + x)/(1 + \lambda x)$ and $v = (1 + y)/(1 + \lambda^{-1}y)$ are units of R with $\psi(u) = (1 + \mathbf{i})/(1 - \mathbf{i})$ and $\psi(v) = (1 + \mathbf{j})/(1 - \mathbf{j})$. Thus, by Lemma 2.3, $\langle u, v \rangle$ is free of rank 2. Since $[x, 1 + y] = v$ and $[y, 1 + x] = u$ are elements of $N \triangleleft D^\bullet$, part (i) of the result now follows.

Part (ii), namely when λ is transcendental over P but $p = 2$, is an immediate consequence of [6, Theorem 27(ii)]. Indeed, by that result, in suitably translated notation, if $u = (1 + y)/(1 + \lambda^{-1}y)$ and $v = x^2 + xy + y^2$, then u and u^v generate a free group of rank 2. This case now follows since the commutator $[x, 1 + y] = u$ is contained in N .

Finally assume that λ is algebraic over P . In particular, since λ has infinite multiplicative order, it follows that $\text{char } P = 0$ and hence that $P = \mathbb{Q}$ is the field of rationals. This situation has been studied in [11], where almost all the work involves constructing a suitable subring S of D . Indeed, by the proof of [11, Theorem 1.3(ii)], there exists an integer $n \geq 0$ and a subring S of $P(G)$ that contains $z = x^{2^n}$, y and λ^{2^n} . Furthermore, $1 + z$, $1 + \lambda^{2^n} z$, $1 + y$ and $1 + \lambda^{-2^n} y$ are units of S , and there exists a homomorphism $\psi: S \rightarrow \mathbb{H}_p$, for some $p > 2$, with $\psi(z) = \mathbf{i}$, $\psi(y) = \mathbf{j}$ and $\psi(\lambda^{2^n}) = -1$. Thus, by Lemma 2.3, $u = (1 + z)/(1 + \lambda^{2^n} z)$ and $v = (1 + y)/(1 + \lambda^{-2^n} y)$ generate a free group of rank 2 in S^\bullet . Since $u = [y, 1 + z] = [y, 1 + x^{2^n}]$ and $v = [z, 1 + y] = [x^{2^n}, 1 + y]$ both belong to the normal subgroup $N \triangleleft D^\bullet$, part (iii) is clearly proved. \square

We remark that the abelian-by-finite group $G = \langle x, y \rangle$, with $[x, y] = \lambda$ central of finite order $m > 1$, can be studied in a concrete manner using the techniques of the next section. However, we will not pursue this further.

4. WHEN N CONTAINS A NONABELIAN FINITE SUBGROUP

Note that, in a previous paper [10], we showed how to construct a free group from a finite nonabelian group of units in an algebra over a nonabsolute field. Here we extend this result by constructing the free subgroup inside a normal subgroup of D^\bullet .

Theorem 4.1. *Let D be a division ring and let $N \triangleleft D^\bullet$. If N contains a finite nonabelian subgroup G , then $\text{char } D = 0$ and we can choose $x, y \in G$ so that y normalizes the cyclic group $\langle x \rangle$ and acts on it like an automorphism of prime order. Furthermore, there exists an integer $m \in \mathbb{Z}$ such that if $u = [y, m - x]$ and if $v = (2 + x)/(2 - x)$, then u and u^v generate a free subgroup of N of rank 2.*

To prove this, we require the following two lemmas that consider certain valuations defined on a field. The first is [4, Proposition 2.4].

Lemma 4.2. *Let ν be a nonarchimedean valuation defined on the field F , let $m \geq 2$ be an integer, and let $u, v \in F^{m \times m}$ be invertible $m \times m$ matrices. Assume that*

- i. *u is a diagonal matrix and its diagonal has a unique entry with maximal ν -value and a unique entry with minimal ν -value.*
- ii. *all the entries of v and v^{-1} have zero ν -value.*

Then the pair $(u, v^{-1}uv)$ generates a noncyclic free group.

The next lemma is the characteristic 0 version of [9, Lemma 2.2].

Lemma 4.3. *Let \mathbb{Q} be the field of rationals, and let $n > 1$ be an integer. Then there exists infinitely many primes p such that, if \mathbb{Q}_p denotes the field of p -adic rationals with ν_p its p -adic valuation, then for any $\varepsilon \in \mathbb{Q}_p$ an n -th root of 1, there exist infinitely many integers $m \in \mathbb{Z}$ such that $\nu_p(m - \varepsilon) > 0$ while $\nu(m - \delta) = 0$ for all remaining $\delta \in \mathbb{Q}_p$ with $\delta^n = 1$.*

With these in hand, we can now offer the

Proof of Theorem 4.1. If P is the prime subfield of D , then the linear span PG of G over P is a finite-dimensional noncommutative division ring. Thus P must have characteristic 0, and in particular $P = \mathbb{Q}$.

Next, since G is finite, we can assume that G has minimal order as a nonabelian subgroup of N . It follows that all proper subgroups of G are abelian, so G is solvable by [20] and G properly contains its commutator subgroup G' . Choose $H \supseteq G'$ to be a maximal subgroup of G . Then $H \triangleleft G$ since $H \supseteq G'$, and H is abelian since it is a proper subgroup of G . Furthermore, $H = \langle x \rangle$ is cyclic, being a finite abelian subgroup of D^\bullet , and G/H has prime order q , since H is maximal. Now H cannot be central in G , since G is nonabelian, so $G = \langle x, y \rangle$ has the appropriate structure, and $h_0 = y^q \in H$. Let y act on the field $P(x) = P(H)$ as the automorphism σ of order q .

Now there is a natural homomorphism from the cyclic algebra $P(H) * (G/H) = (P(x), \langle \sigma \rangle, y, h_0)$ to D and, since G/H acts faithfully on $P(H)$, Lemma 2.2 implies that this homomorphism is an embedding. In particular, the elements $1, y, \dots, y^{q-1}$ are linearly independent over the field $F = P(x)$, and we let $B = \sum_{i=0}^{q-1} Fy^i$ denote the corresponding cyclic algebra contained in D . Of course, B is a left F -vector space with basis $\{1, y, \dots, y^{q-1}\}$, and B acts as F -linear transformations on B via the right regular representation. In this way, and using this basis, we obtain a monomorphism $\theta: B \rightarrow F^{q \times q}$.

Using $\alpha = -1/2$ and $\beta = 1/2$ in Lemma 2.1, we see that $v = (2 + y)/(2 - y)$ is a unit of B , with v and v^{-1} having full support. It follows that all entries of the matrices $\theta(v)$ and $\theta(v^{-1})$ are nonzero. Furthermore, by writing each such entry as a/b , a ratio of algebraic integers, and by considering the Galois norm $F \rightarrow \mathbb{Q}$ applied to these numerators and denominators, it follows that there exists a finite set \mathcal{P} of rational primes, such that if $p \notin \mathcal{P}$ and if ν_p is a p -adic valuation, then all entries of $\theta(v)$ and $\theta(v^{-1})$ have ν_p -value 0.

For the sake of clarity, let us write ε for the n th root of unity x in F , so that $F = P(\varepsilon)$. Then it is easy to see that $\theta(x)$ is a diagonal matrix with diagonal entries $\varepsilon, \sigma^{-1}(\varepsilon), \dots, \sigma^{-(q-1)}(\varepsilon)$, in that order. Since these are q distinct n th roots of unity in F , Lemma 4.3 implies that there exists an integer m and a prime $p \notin \mathcal{P}$ such that $F \subseteq \mathbb{Q}_p$, $\nu_p(m - \varepsilon) > 0$ and $\nu_p(m - \sigma^i(\varepsilon)) = 0$ for $i = 1, 2, \dots, q - 1$. Here, of course, ν_p is the p -adic valuation associated with \mathbb{Q}_p . Since $u = [y, m - x] = (m - x)/(m - x^{y^{-1}})$, it follows that $\theta(u)$ is a diagonal matrix with diagonal entries $(m - \sigma^{-i}(\varepsilon))/(m - \sigma^{-i+1}(\varepsilon))$ for $i = 0, 1, \dots, q - 1$. In particular, one entry has positive ν_p -value, one entry has negative ν_p -value, and all the remaining entries have zero ν_p -value.

It now follows from Lemma 4.2 that $\theta(u)$ and $\theta(u^v) = \theta(v)^{-1}\theta(u)\theta(v)$ generate a free group of rank 2. Hence the same is true for u and u^v , and of course since $y \in N \triangleleft D^\bullet$ and $u = [y, m - x]$, both u and u^v are contained in N . \square

Obviously, there are numerous possibilities for the element v above, and we just took a particularly simple one. Of course, the integer m , used in defining u , depends somewhat on this choice of v .

5. WHEN N CONTAINS A NONABELIAN SOLVABLE SUBGROUP

Our final result applies a clever technique introduced by Chiba [1] to construct free subgroups in D^\bullet . We extend it to prove

Theorem 5.1. *Let D be a division ring with center Z , and suppose that Z has infinite transcendence degree over its prime subfield P . If $N \triangleleft D^\bullet$ and N contains a nonabelian solvable subgroup, then N contains a noncyclic free subgroup.*

We will need a number of lemmas given below. To start with, let A be an F -algebra and let $A[y]$ be the polynomial ring in the commuting indeterminate y over A . Then we have the lemma of [7, Section 2].

Lemma 5.2. *Assume that $\text{char } F = p > 0$ and let α and β be elements of A such that $\alpha^2 = \beta^2 = 0$ and $\beta\alpha$ is not nilpotent. Let us define elements in $A[y]$ by*

$$\begin{aligned} x_1 &= 1 + y\alpha, \\ x_2 &= 1 + y\beta\alpha\beta, \\ x_3 &= 1 + y(1 - \beta)\alpha\beta\alpha(1 + \beta). \end{aligned}$$

*Then x_1, x_2 and x_3 are units of order p in the polynomial ring $A[y]$, and $\langle x_1, x_2, x_3 \rangle$ is naturally isomorphic to the free product $\mathbb{Z}_p * \mathbb{Z}_p * \mathbb{Z}_p$ of three copies of the cyclic group of order p .*

In characteristic 0, we have

Lemma 5.3. *Let A be an algebra over a field F of characteristic 0, and let $\alpha, \beta \in A$ satisfy $\alpha^2 = \beta^2 = 0$. If $\alpha\beta$ is transcendental over F , then $1 + \alpha$ and $1 + \beta$ are units of A generating a free group of rank 2.*

Proof. Since $\text{char } F = 0$, we have $F \supseteq \mathbb{Q}$, and hence $\alpha\beta$ is transcendental over the rational field \mathbb{Q} . With this, we can assume that $F = \mathbb{Q}$ and then that A is generated by \mathbb{Q} , α and β . The argument of [8, Lemma 1.2] now shows that A is isomorphic to a \mathbb{Q} -subalgebra of the 2×2 matrix algebra $\mathbb{C}[t]^{2 \times 2}$ over the complex polynomial ring $\mathbb{C}[t]$. Indeed, here α corresponds to te_{21} and β corresponds to the matrix unit e_{12} . [8, Lemma 1.2(i)] now yields the result. \square

We will need the following fact, [2, Lemma 7.1], concerning algebraically independent elements in division rings.

Lemma 5.4. *Let D be a division ring with center Z , and let D_0 be a division subring of D with center Z_0 . Then any subset of Z that is algebraically independent over Z_0 is also algebraically independent over D_0 .*

Again, let D be a division ring with center Z , and let $D[X, Y]$ be the polynomial ring over D in the commuting indeterminates X and Y . Then $D[X, Y]$ is a Noetherian domain, so by Goldie's theorem it has a division ring of fractions which we denote by $D(X, Y)$. In the following, we use $[a, b]_\ell = ab - ba$ for the Lie bracket of a and b . Let $R = D[X][[Y]]$ be the power series ring in Y with coefficients in $D[X]$, so that $R \supseteq D[X, Y]$. We start with two simple observations.

Lemma 5.5. *With the above notation, we have*

- i. *If $r \in D[X, Y]$ and $r^{-1} \in R$, then $r^{-1} \in D(X, Y)$.*
- ii. *Let a and b be noncommuting elements of D^\bullet and suppose that $ab = bc + d$ for some $c, d \in D$ such that a, c and d all commute. Then there exists an element $\bar{b} \in D^\bullet$ that does not commute with a such that $a\bar{b} = \bar{b}c + \bar{d}$. Furthermore, $\bar{d} = 0$ if $a \neq c$, and $\bar{d} = 1$ if $a = c$.*

Proof. (i) Let L be the ring of Laurent series in Y over the division ring $D(X)$. Then L is a division ring containing R and $D[X, Y]$. Since the latter polynomial ring is an Ore domain, the set of fractions of $D[X, Y]$ in L is precisely $D(X, Y)$. With this, (i) is clear.

(ii) Suppose first that $a \neq c$ and let $\bar{b} = b + \beta$ for some $\beta \in Z(a, c, d)$. Then a does not commute with \bar{b} , and $a\bar{b} - \bar{b}c = a\beta - \beta c + d$, where the right-hand side is an expression in the commutative field $Z(a, c, d)$. In particular, since $a \neq c$, we can set $\beta = d/(c - a)$ and get $\bar{d} = 0$. On the other hand, if $a = c$, then $d \neq 0$ since a and b do not commute. Thus, setting $\bar{b} = bd^{-1}$ yields the result since d and a commute. \square

We continue with the same notation. In particular, D is a division ring with center Z , $D(X, Y)$ is the division ring of fractions of the polynomial ring $D[X, Y]$, and $R = D[X][[Y]]$. The following lemma introduces additional notation and structure.

Lemma 5.6. *Let a and b be noncommuting elements of D , so that $[a, b]_\ell \neq 0$.*

- i. *R has a left R -module structure denoted by \circ , where $D[[Y]]$ acts on R by left multiplication and where X acts via right multiplication by a . Furthermore, if F is any commutative subring of R centralizing a , then R becomes an (R, F) -bimodule with F acting on R via right multiplication.*
- ii. *Let $M = 1F + bF$ be the rank 2 free right F -submodule of R and let us define $S = \{r \in R \mid r \circ M \subseteq M\}$. Then S is a subring of R and the restriction map determines a natural homomorphism $\theta: S \rightarrow F^{2 \times 2}$, using the F -basis*

$\{1, b\}$ of M . Furthermore, if $s \in S$ is invertible in R and if $\theta(s)$ is invertible in $F^{2 \times 2}$, then $s^{-1} \in S$.

iii. If we define

$$\begin{aligned} d_{11} &= -b[a, b]_{\ell}^{-1}(bab^{-1} - X) \\ d_{21} &= -b^2[a, b]_{\ell}^{-1}(bab^{-1} - X) \\ d_{12} &= [a, b]_{\ell}^{-1}(a - X) \\ d_{22} &= b[a, b]_{\ell}^{-1}(a - X) \end{aligned}$$

then each d_{ij} is contained in S and $\theta(d_{ij}) = e_{ij}$, the matrix unit that has entry 1 in the i, j -position and 0's elsewhere.

Proof. (i) Since right and left multiplication commute as operators, this fact is clear for the smaller ring $D[[Y]][X]$. For the larger ring R , we can verify this directly or use the fact that it is a limit with respect to the variable Y of the polynomial ring $D[X, Y]$.

(ii) Since F centralizes a , but b does not, it follows that 1 and b are F -linearly independent, and M is indeed a rank 2 free right F -submodule of R . Of course, S is a subring of R and M is a left S -module. Indeed, S acts like F -linear transformations on M , so we obtain the homomorphism θ using the basis $\{1, b\}$. Finally, if $s \in S$ is invertible in R , and if $\theta(s)$ is invertible in $F^{2 \times 2}$, then the latter implies that $s \circ M = M$. Applying $s^{-1} \in R$ yields $M = s^{-1}s \circ M = s^{-1} \circ M$ and $s^{-1} \in S$.

(iii) First observe that $(a - X) \circ 1 = a1 - 1a = 0$ and $(a - X) \circ b = ab - ba = [a, b]_{\ell}$. Also $(bab^{-1} - X) \circ 1 = bab^{-1} - a = -[a, b]_{\ell}b^{-1}$ and $(bab^{-1} - X) \circ b = ba - ba = 0$. The remaining computations are now immediate. \square

At this point, we bring the normal subgroup N into play and assume that $a \in N$. Unlike the work in [1] where the free subgroup is allowed to be anywhere within the division ring, we need to force the free subgroup to be contained in N . This requires keeping better track of the element a , and we do this by assuming that $ab = bc + d$, where c and d commute with each other and with a . In view of Lemma 5.5(ii), we can suitably modify b and obtain two special cases according to whether $a \neq c$ or $a = c$. As we see below, the element a belongs to S but does not act on M as a scalar matrix. Because of this, it is less clear which elements of $F^{2 \times 2}$ actually belong to the image $\theta(S)$. We settle this in the next result. Again, we use much of the previous notation. In particular, we use the elements d_{ij} as defined in Lemma 5.6(iii).

Lemma 5.7. *Let a and b be noncommuting elements of D^{\bullet} .*

- i. *Assume that $ab = bc$ for some $c \in D^{\bullet}$ that commutes with a . Let $F_0 = Z[a, a^{-1}, c, c^{-1}]$ and set $F = F_0[[Y]]$. Then $\theta(S \cap D[X])$ contains $F_0^{2 \times 2}$.*
- ii. *Now suppose that $ab - ba = 1$. If $F_0 = Z[a, a^{-1}]$ and $F = F_0[[Y]]$, then again $\theta(S \cap D[X])$ contains $F_0^{2 \times 2}$.*

Proof. We already know that each d_{ij} is contained in $S \cap D[X]$ and that $\theta(d_{ij}) = e_{ij}$. Because of this, it suffices to show that $\theta(S \cap D[X])$ contains $F_0 e_{11}$. Indeed, since θ is a Z -linear map, we need only show that $\theta(S \cap D[X])$ contains the additive generators of F_0 times e_{11} .

We consider part (ii) first. Here $ab = ba + 1$, so

$$\theta(a) = \begin{bmatrix} a & 0 \\ 1 & a \end{bmatrix}.$$

Notice that $\theta(a)$ is invertible in $F^{2 \times 2}$ and that a is invertible in R . Thus, by Lemma 5.6(ii), we have $a^{-1} \in S$. Now, for any positive or negative integer k , the 1, 1-entry of $\theta(a^k)$ is a^k . Thus $\theta(d_{11}a^k d_{11}) = a^k e_{11}$ and this case is proved.

Finally, for part (i), we see that $\theta(a) = \text{diag}(a, c)$ and hence $\theta(a^k) = \text{diag}(a^k, c^k)$. Furthermore, $a^{-1} \in S$, as above. In particular, if k and ℓ are both integers, then $\theta(d_{11}a^k d_{11} \cdot d_{12}a^\ell d_{21}) = a^k c^\ell e_{11}$, and the lemma is proved. \square

Since the above formulas are somewhat unpleasant, we abbreviate them so that if $f \in F_0$, then $f \# d_{ij}$ is the element we constructed in $S \cap D[X]$ with $\theta(f \# d_{ij}) = f e_{ij}$.

We now state our main applications of Chiba's method. Since there are two cases, according to whether $a = c$ or not, and since each case involves three different field characteristics, we split the conclusions into two different propositions. We start with the $a \neq c$ case.

Proposition 5.8. *Let D be a division ring with center Z , and let N be a normal subgroup of $D(X, Y)^\bullet$. Suppose there exist noncommuting elements $a \in N \cap D^\bullet$ and $b \in D^\bullet$ such that $ab = bc$ for some $c \in D^\bullet$ that commutes with a . Then N contains a noncyclic free subgroup. Specifically, we have*

- i. *If $\text{char } Z = 0$, then the elements $[a, 1 + Yd_{12}]$ and $[a, 1 + Yd_{21}]$ generate a noncyclic free subgroup of N .*
- ii. *If $\text{char } Z = p > 2$, set $u = [a, 1 + Yd_{12}]$ and $v = [a, 1 + Yd_{21}]$. Then uv and vu generate a free subgroup of N of rank 2.*
- iii. *If $\text{char } Z = p \geq 2$, set $u = [a, 1 + Yd_{12}]$, $v = [a, 1 + Yd_{21}]$ and*

$$w = 1 + Y(1 - ca^{-1})^2 \# (d_{11} - d_{22} + d_{12} - d_{21}).$$

Then $[u, w]$ and $[v, w]$ generate a noncyclic free subgroup of N .

Proof. Notice that $a \neq c$ since a and b do not commute. We will work in $R = D[X][[Y]]$, a domain that contains some, but not all of, $D(X, Y)$. Here, using $F = Z[a, a^{-1}, c, c^{-1}][[Y]]$ in the previous two lemmas, we see that $a \in S$ with $\theta(a) = \text{diag}(a, c)$ and $Y \in S$ with $\theta(Y) = \text{diag}(Y, Y)$. Notice that $a, 1 + Yd_{12}$ and $1 + Yd_{21}$ are invertible in R and that their images under θ are invertible in $F^{2 \times 2}$. Thus $a^{-1}, (1 + Yd_{12})^{-1}$ and $(1 + Yd_{21})^{-1}$ are contained in S , by Lemma 5.6(ii). Of course, these inverses are also contained in $D(X, Y)$ by Lemma 5.5(i). Thus $u = [a, 1 + Yd_{12}]$ and $v = [a, 1 + Yd_{21}]$ are contained in $N \triangleleft D(X, Y)^\bullet$. Furthermore,

$$\theta(u) = \begin{bmatrix} 1 & (1 - ca^{-1})Y \\ 0 & 1 \end{bmatrix} \quad \text{and} \quad \theta(v) = \begin{bmatrix} 1 & 0 \\ (1 - ca^{-1})Y & 1 \end{bmatrix}.$$

If $\text{char } Z = 0$, we apply Lemma 5.3 with $\alpha = (1 - ca^{-1})Ye_{12}$ and with $\beta = (1 - ca^{-1})Ye_{21}$. Then $\alpha\beta = (1 - ca^{-1})^2 Y^2 e_{11}$ is transcendental over $Z(a, c)$, so $\theta(u)$ and $\theta(v)$ generate a free group of rank 2, and hence the same is true of u and v . This proves part (i).

On the other hand, if $\text{char } Z = p > 0$, set

$$w = 1 + Y(1 - ca^{-1})^2 \# (d_{11} - d_{22} + d_{12} - d_{21}),$$

using the notation of Lemma 5.7, so that

$$\theta(w) = 1 + Y(1 - ca^{-1})^2 (e_{11} - e_{22} + e_{12} - e_{21}).$$

Again, w is a unit of S and, by Lemma 5.2 with $y = Y$, $\alpha = (1 - ca^{-1})e_{12}$ and $\beta = e_{21}$, we see that $\langle \theta(u), \theta(v), \theta(w) \rangle$ is naturally isomorphic to the free product $\mathbb{Z}_p * \mathbb{Z}_p * \mathbb{Z}_p$. In particular, if $p > 2$, then $\theta(u)\theta(v)$ and $\theta(v)\theta(u)$ generate a free group of rank 2, so the same is true of uv and vu . Thus part (ii) is proved.

Finally, if $p \geq 2$, then $[\theta(u), \theta(w)]$ and $[\theta(v), \theta(w)]$ generate a noncyclic free group, so the same is true of $[u, w]$ and $[v, w]$. The result follows. \square

Next we consider the situation where $a = c$. Thus, in view of Lemma 5.5(ii), we can assume that $[a, b]_\ell = ab - ba = 1$. Obviously this simplifies the formulas for the various d_{ij} given in Lemma 5.6(iii).

Proposition 5.9. *Let D be a division ring with center Z , and let N be a normal subgroup of $D(X, Y)^\bullet$. Suppose there exist elements $a \in N \cap D^\bullet$ and $b \in D^\bullet$ such that $ab - ba = 1$. Then N contains a noncyclic free subgroup. Specifically, we have*

- i. *If $\text{char } Z = 0$, set $u = 1 + Yd_{12}$. Then a and a^u generate a noncyclic free subgroup of N .*
- ii. *If $\text{char } Z = p > 2$, set $x = 1 + Yd_{11}$ and $v = 1 + Ya^{-1}\#d_{12}$. Then $[a, x][a, x]^v$ and $[a, x]^v[a, x]$ generate a free subgroup of N of rank 2.*
- iii. *If $\text{char } Z = p \geq 2$, set $x = 1 + Yd_{11}$, $v = 1 + Ya^{-1}\#d_{21}$ and*

$$w = 1 + Ya^{-2}\#(d_{11} - d_{22} + d_{12} - d_{21}).$$

Then the triple commutators $[a, x, v]$ and $[a, x, w]$ generate a noncyclic free subgroup of N .

Proof. Clearly a and b do not commute. Again, we work in $R = D[X][[Y]]$ and we use $F = Z[a, a^{-1}][[Y]]$ in Lemmas 5.6 and 5.7. Notice that $a, Y \in S$ with

$$\theta(a) = \begin{bmatrix} a & 0 \\ 1 & a \end{bmatrix} \quad \text{and} \quad \theta(Y) = \begin{bmatrix} Y & 0 \\ 0 & Y \end{bmatrix}.$$

Also $a, x = 1 + Yd_{11}, u = 1 + Yd_{12}, v = 1 + Ya^{-1}\#d_{12}$ and w are invertible in R and their images under θ are invertible in $F^{2 \times 2}$. Thus the inverses of these elements are contained in S by Lemma 5.6(ii), and then in $S \cap D(X, Y)$ by Lemma 5.5(i).

If $\text{char } Z = 0$, write $\mathcal{A} = \text{diag}(a, a) \in F^{2 \times 2}$, so that $\theta(a) = \mathcal{A}(1 + \bar{\alpha})$, where $\bar{\alpha} = a^{-1}e_{21}$. Also $\theta(u) = 1 + \bar{\beta}$, where $\bar{\beta} = Ye_{12}$. Since $\bar{\alpha}^2 = \bar{\beta}^2 = 0$ and since $\bar{\alpha}\bar{\beta} = a^{-1}Ye_{22}$ is transcendental over Z , it follows from Lemma 5.3 that $1 + \bar{\alpha}$ and $1 + \bar{\beta}$ generate a free rank 2 subgroup of $\text{GL}_2(F)$. Since this free subgroup has trivial center, we conclude that $\theta(a) = \mathcal{A}(1 + \bar{\alpha})$ and $\theta(u) = 1 + \bar{\beta}$ generate a noncyclic free group. Hence the same is true of the group $\langle a, u \rangle$ and then of $\langle a, a^u \rangle$. This proves (i).

Now suppose $\text{char } Z = p \geq 2$ and note that $\theta([a, x]) = 1 + Y\alpha$ where $\alpha = a^{-1}e_{21}$. Then applying Lemma 5.2 with $y = Y$ and $\beta = e_{12}$, we see that the group $\langle \theta([a, x]), \theta(v), \theta(w) \rangle$ is naturally isomorphic to the free product $\mathbb{Z}_p * \mathbb{Z}_p * \mathbb{Z}_p$. If $p > 2$, it follows that $\theta([a, x])\theta([a, x])^{\theta(v)}$ and $\theta([a, x])^{\theta(v)}\theta([a, x])$ generate a free subgroup of $\text{GL}_2(F)$, so (ii) is proved. Finally, for all $p \geq 2$, the commutators $[\theta([a, x]), \theta(v)]$ and $[\theta([a, x]), \theta(w)]$ generate a free subgroup of $\text{GL}_2(F)$, so the result follows. \square

As a consequence, we have

Corollary 5.10. *Let D be a division ring with uncountable center Z , and let N be a normal subgroup of D^\bullet . Suppose there exist noncommuting elements $a \in N$ and*

$b \in D^\bullet$ such that $ab = bc + d$ for suitable elements $c, d \in D$, such that a, c and d all commute. Then N contains a noncyclic free subgroup.

Proof. According to Lemma 5.5(ii), we can assume that either $a \neq c$ and $d = 0$, or $a = c$ and $d = 1$. Now let D_0 be the division subring of D generated by a, b, c and d , and let $Z_0 = \mathfrak{Z}(D_0)$. Then D_0 and Z_0 are countable. But, by assumption, Z is uncountable, so the field extension ZZ_0/Z_0 must have infinite transcendence degree. In particular, we can choose $X, Y \in Z$ that are algebraically independent over Z_0 . By Lemma 5.4, X and Y are also algebraically independent over D_0 , so the subring of D generated by D_0, X and Y is clearly the polynomial ring $D_0[X, Y]$. Since the latter ring is an Ore domain, we have $D_0(X, Y) \subseteq D$. Now $N_0 = N \cap D_0(X, Y)^\bullet$ is a normal subgroup of $D_0(X, Y)^\bullet$ and $a \in N_0$, so Propositions 5.8 and 5.9 imply that N_0 contains a noncyclic free subgroup. Hence the same is true of $N \supseteq N_0$. \square

Finally, we combine a number of these techniques to prove our main result.

Proof of Theorem 5.1. Let \bar{G} be the given nonabelian solvable subgroup of N and let G be the next to the last nonidentity term in its derived series. Then G is also nonabelian, but G' is abelian. Next, let H be a maximal abelian subgroup of G containing G' . Then $H \triangleleft G$ and maximality implies that G/H acts faithfully on H . Since H is not central in G , we can choose $a \in H$ and $b \in G \setminus H$ such that a and b do not commute. Furthermore, since H is abelian and $a \in H \triangleleft G$, all conjugates a^{b^m} commute. Of course, both a and b are contained in N .

Now let F be the subfield of D generated by P and all the $\langle b \rangle$ -conjugates of a . Then $\langle b \rangle$ acts nontrivially on F and we let b^n generate the cyclic subgroup of $\langle b \rangle$ that is the centralizer of F in $\langle b \rangle$. We of course allow the possibility that $n = 0$. Set $F_0 = F(b^n)$ and note that $\langle b \rangle / \langle b^n \rangle$ acts faithfully on F_0 . Furthermore, there is a natural homomorphism from a suitable crossed product $R = F_0 * (\langle b \rangle / \langle b^n \rangle)$ into D . Since this crossed product is simple, by Lemma 2.2, the homomorphism is an embedding, and we can view R as a subring of D . Furthermore, since $\langle b \rangle / \langle b^n \rangle$ is a cyclic group, the Hilbert basis theorem implies that R is a Noetherian domain. Hence, by Goldie's theorem, R has a division ring of fractions D_0 , and D_0 is contained naturally in D .

Suppose first that F_0 is a finitely generated field extension of P , and let $Z_0 = \mathfrak{Z}(R)$. Then, by Lemma 2.2, Z_0 is a subfield of F_0 and $Z_0 = \mathfrak{Z}(D_0)$. Since F_0 is finitely generated, we have $\text{tr. deg}(F_0/P) < \infty$ and hence $\text{tr. deg}(Z_0/P) < \infty$. On the other hand, by assumption, $\text{tr. deg}(Z/P) = \infty$. Thus, by considering the field extension ZZ_0/Z_0 , we see that there exist elements $X, Y \in Z$ that are algebraically independent over Z_0 . By Lemma 5.4, X and Y are also algebraically independent over D_0 . But $X, Y \in Z$, so the subring of D generated by D_0, X and Y is clearly the polynomial ring $D_0[X, Y]$. Furthermore, D contains $D_0(X, Y)$. Now $a, b \in D_0$ and $ab = bc$, where $c = b^{-1}ab \neq a$ and c commutes with a . Since $a \in N_0 = N \cap D_0(X, Y)^\bullet \triangleleft D_0(X, Y)^\bullet$, Proposition 5.8 implies that N_0 contains a noncyclic free subgroup, and therefore the same is true for $N \supseteq N_0$.

Finally, suppose F_0 is not finitely generated over P , and let F_+ be the subfield of F_0 generated over P by all conjugates a^{b^m} with $m \geq 0$. Similarly, let F_- be the subfield of F_0 generated by all conjugates a^{b^m} with $m \leq 0$. Then one of F_+ or F_- is not finitely generated over P and, by replacing b by b^{-1} if necessary, we can assume that F_+ is not finitely generated over P . Note that there must be infinitely many $\langle b \rangle$ -conjugates of a , so $\langle b \rangle$ is infinite cyclic and $n = 0$ in this case. If b acts on F_0 as

the automorphism σ , we see that the subring of $R = F_0 * \langle b \rangle$ generated by F_0 and b is isomorphic to the skew polynomial ring $F_0[T; \sigma]$, where T plays the role of b . Furthermore, this ring satisfies all the hypotheses of Proposition 2.4. In particular, since $T = b \in N_1 = N \cap F_0(T; \sigma)^\bullet \triangleleft F_0(T; \sigma)^\bullet$, we conclude from part (iii) of that proposition that N_1 contains a noncyclic free subgroup. Hence the same is true for the normal subgroup $N \supseteq N_1$. \square

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