

REVIEW OF GROUP ACTIONS

Let  $G$  be a group and  $\Omega$  a set. (In this context, the members of  $\Omega$  are often called “points”.) Suppose that we have a particular rule that determines a unique point in  $\Omega$  whenever we are given a point in  $\Omega$  and an element of  $G$ . Specifically, if  $\alpha \in \Omega$  and  $g \in G$  are our input data, this rule (denoted by a dot) determines a unique point  $\alpha \cdot g \in \Omega$ . (More formally, “dot” can be thought of as a function from the Cartesian product  $\Omega \times G$  to the set  $\Omega$ .) In this situation, we say that  $G$  **acts** on  $\Omega$  if the following two conditions hold for all points  $\alpha \in \Omega$  and all elements  $g, h \in G$ .

- (1)  $\alpha \cdot 1 = \alpha$ .
- (2)  $(\alpha \cdot g) \cdot h = \alpha \cdot (gh)$ .

The basic example of a group action is the case where  $G$  is a subgroup of  $\text{Sym}(\Omega)$ , the symmetric group on  $\Omega$ , and dot is defined so that  $\alpha \cdot g$  is just the result of applying  $g$  to  $\alpha$ , so that  $\alpha \cdot g = (\alpha)g$ .

In general, if  $G$  acts on  $\Omega$ , we can write  $\theta_g$  to denote the function  $\Omega \rightarrow \Omega$  induced by a group element  $g$ . In other words, the value of the function  $\theta_g$  on the point  $\alpha$  is precisely  $\alpha \cdot g$ . In symbols, we write  $(\alpha)\theta_g = \alpha \cdot g$ . The following is utterly routine:

**LEMMA 1.** *Let  $G$  act on  $\Omega$ .*

- (a)  $\theta_g \theta_h = \theta_{gh}$  for  $g, h \in G$ .
- (b) *The map  $g \mapsto \theta_g$  is a group homomorphism from  $G$  into  $\text{Sym}(\Omega)$ .*
- (c) *The set  $N = \{g \in G \mid \theta_g = \text{identity map}\}$  is a normal subgroup of  $G$ .*
- (d) *If  $N$  is as above, then  $G/N$  is isomorphic to a subgroup of  $\text{Sym}(\Omega)$ .*

We will not bother to write a detailed proof, but we make some remarks. The fact (asserted in (b)) that  $\theta_g$  is a permutation of  $\Omega$  is an immediate consequence of (a). Also, the normal subgroup described in (c) is called the **kernel** of the action. It is equal to the set of all of those elements  $g \in G$  that fix all the points of  $\Omega$ .

An extremely important example of a group action is the action on the right cosets of a subgroup. If  $H \subseteq G$ , let  $\Omega = \{Hx \mid x \in G\}$ , the set of right cosets of  $H$  in  $G$ . If  $Hx$  is any right coset and  $g \in G$ , we can “translate”  $Hx$  by  $g$  by multiplying each element of  $Hx$  on the right by  $g$ . This gives  $(Hx)g = Hxg$ , which is another right coset of  $H$ . We can thus define an action of  $G$  on  $\Omega$  by setting  $(Hx) \cdot g = (Hx)g$ , and it is a triviality to check that this is indeed an action.

Given a subgroup  $H \subseteq G$ , we define the **core** of  $H$  in  $G$  to be the subgroup obtained by intersecting all conjugates of  $H$  in  $G$ . Symbolically, we write  $\text{core}_G(H) = \bigcap H^x$ , where  $x$  runs over  $G$ . We have the following.

**THEOREM 2.** *Let  $H \subseteq G$ . Then  $\text{core}_G(H)$  is the kernel of the natural action of  $G$  on the right cosets of  $H$ . Also,  $\text{core}_G(H)$  is a normal subgroup of  $G$  contained in  $H$  and it contains every other such normal subgroup.*

**Proof.** First, suppose that  $N \triangleleft G$  and  $N \subseteq H$ . Then  $N = N^x \subseteq H^x$  for all  $x \in G$ , and thus  $N \subseteq \bigcap H^x = \text{core}_G(H)$ .

If we take  $M$  to be the kernel of the action of  $G$ , then of course  $M \triangleleft G$  and we claim that also  $M \subseteq H$ . To see this, let  $m \in M$  and note that  $m \in Hm = (H) \cdot m = H$ , where the last equality holds because  $m$  fixes the coset  $H1 = H$ . We conclude from the first paragraph that  $M \subseteq \text{core}_G(H)$ .

If  $g \in \text{core}_G(H)$  and  $x \in G$ , then  $g \in H^x = x^{-1}Hx$ , and thus  $xg \in Hx$ . It follows that  $Hxg \subseteq HHx = Hx$ , and thus  $(Hx) \cdot g = Hx$  for every element  $x \in G$ . In other words,  $\text{core}_G(H)$  is contained in the kernel  $M$  of the action, and this completes the proof. ■

The above result can be used to prove “nonsimplicity” theorems such as Corollary 4, below, which is often called the “ $n!$ -theorem”.

**COROLLARY 3.** *Suppose  $H \subseteq G$  and  $|G : H| = n < \infty$ . Let  $N = \text{core}_G(H)$ . Then  $G/N$  is isomorphic to a subgroup of  $\text{Sym}(n)$ , and thus  $|G : N|$  divides  $n!$ .*

**Proof.** We know that  $N$  is the kernel of the action of  $G$  on  $\Omega = \{Hx \mid x \in G\}$ , which has  $n$  points. Also, by Lemma 1(d), we have that  $G/N$  is isomorphic to a subgroup of  $\text{Sym}(\Omega) \cong \text{Sym}(n)$ . ■

**COROLLARY 4.** *Suppose  $H \subseteq G$  with  $|G : H| = n$ , where  $1 < n < \infty$ . If  $|G|$  does not divide  $n!$ , then  $\text{core}_G(H)$  is a nonidentity proper normal subgroup of  $G$ , and thus  $G$  is not simple.*

**Proof.** Write  $N = \text{core}_G(H)$ . Then  $N \triangleleft G$  and  $N \subseteq H < G$ , so that  $N$  is proper. If  $N = 1$ , then  $|G| = |G : N|$  would divide  $n!$ . ■

In addition to proving nonsimplicity theorems, group actions can also be used to count things. The key to this is the notion of an “orbit” of an action. Suppose  $G$  acts on  $\Omega$  and let  $\alpha \in \Omega$  be a point. Then the **orbit** of  $\alpha$  in this action, denoted  $\mathcal{O}_\alpha$ , is the set of all points of the form  $\alpha \cdot x$  as  $x$  runs over  $G$ . The following is nearly trivial.

**LEMMA 5.** *Let  $G$  act on  $\Omega$ . If  $\alpha \in \Omega$ , then  $\mathcal{O}_\alpha$  contains  $\alpha$  and it is the only orbit of this action that contains  $\alpha$ .*

**Proof.** Certainly  $\alpha = \alpha \cdot 1 \in \mathcal{O}_\alpha$ . If also  $\alpha \in \mathcal{O}_\beta$  with  $\beta \in \Omega$ , we must show that  $\mathcal{O}_\beta = \mathcal{O}_\alpha$ . To see this, note that since  $\alpha \in \mathcal{O}_\beta$ , we can write  $\alpha = \beta \cdot g$  for some element  $g \in G$ . Now if  $\gamma \in \mathcal{O}_\alpha$ , then for some element  $x \in G$  we have  $\gamma = \alpha \cdot x = (\beta \cdot g) \cdot x = \beta \cdot (gx)$ , and thus  $\gamma$  lies in  $\mathcal{O}_\beta$ . This shows that if  $\alpha \in \mathcal{O}_\beta$ , then  $\mathcal{O}_\alpha \subseteq \mathcal{O}_\beta$ .

To prove the reverse containment, we observe that  $\alpha \cdot g^{-1} = (\beta \cdot g) \cdot g^{-1} = \beta \cdot 1 = \beta$ , and thus  $\beta \in \mathcal{O}_\alpha$ . By the result of the previous paragraph, we have  $\mathcal{O}_\beta \subseteq \mathcal{O}_\alpha$ . Thus  $\mathcal{O}_\beta = \mathcal{O}_\alpha$ , as required. ■

As usual, suppose that  $G$  acts on  $\Omega$ . Since every point of  $\Omega$  lies in exactly one orbit, it follows that  $\Omega$  is the disjoint union of all the orbits. If the whole set  $\Omega$  consists of a single orbit, then we say that the action is **transitive**. (For example, the action of a group on the right cosets of any subgroup is transitive.) In general, if  $\mathcal{O}$  is any orbit, then  $G$  acts transitively on  $\mathcal{O}$ .

If  $\alpha \in \Omega$ , we write  $G_\alpha = \{x \in G \mid \alpha \cdot x = \alpha\}$ . It is easy to see that  $G_\alpha$  is a subgroup of  $G$ . It is called the **stabilizer** of  $\alpha$  in  $G$ . For example, it is easy to check that in the action

of  $G$  on the right cosets of a subgroup  $H$ , the stabilizer in  $G$  of the coset  $Hx$  is exactly the conjugate  $H^x$ .

**THEOREM 6.** *Let  $G$  act on  $\Omega$  and let  $\mathcal{O}$  be any orbit. Choose  $\alpha \in \mathcal{O}$  and let  $H = G_\alpha$  be the stabilizer of  $\alpha$  in  $G$ . Then there is a bijection from the set of right cosets of  $H$  in  $G$  onto  $\mathcal{O}$ .*

**Proof.** First, note that  $\mathcal{O} = \mathcal{O}_\alpha$ , so that the members of  $\mathcal{O}$  are exactly the points of the form  $\alpha \cdot x$  for  $x \in G$ . Let  $\mathcal{C} = \{Hx \mid x \in G\}$  be the set of right cosets of  $H$  in  $G$ . We wish to define a function  $\varphi : \mathcal{C} \rightarrow \mathcal{O}$  by setting  $\varphi(Hx) = \alpha \cdot x$ , but we must check that this is well defined. If  $Hx = Hy$ , therefore, we must establish that  $\alpha \cdot x = \alpha \cdot y$ . But  $y \in Hy = Hx$ , and so we can write  $y = hx$  for some element  $h \in H$ . Then  $\alpha \cdot y = \alpha \cdot (hx) = (\alpha \cdot h) \cdot x = \alpha \cdot x$ , where the last equality follows because  $h \in H = G_\alpha$ , so that  $\alpha \cdot h = \alpha$ . We can now define  $\varphi$  as above, and our task is to show that it is both surjective and injective.

For surjectivity, assume that  $\beta \in \mathcal{O}$ . We must find  $Hx \in \mathcal{C}$  such that  $\varphi(Hx) = \beta$ . We know, however, that  $\beta = \alpha \cdot x$ , for some element  $x \in G$ , and we have  $Hx \in \mathcal{C}$ . Then  $\varphi(Hx) = \alpha \cdot x = \beta$ , as required.

To prove that  $\varphi$  is injective, we suppose that  $\varphi(Hx) = \varphi(Hy)$  and we show that  $Hx = Hy$ . We have  $\alpha \cdot x = \alpha \cdot y$ , and thus  $\alpha = (\alpha \cdot x) \cdot x^{-1} = (\alpha \cdot y) \cdot x^{-1} = \alpha \cdot (yx^{-1})$ . In other words,  $yx^{-1} \in G_\alpha = H$ . This yields  $H = Hyx^{-1}$ , and from this, we deduce that  $Hx = Hy$ , as required. ■

**COROLLARY 7. (The Fundamental Counting Principle.)** *Let  $G$  act on  $\Omega$  and suppose that  $\mathcal{O}$  is a finite orbit. Then  $|\mathcal{O}| = |G : H|$ , where  $H$  is the stabilizer of any point in  $\Omega$ . In particular, if  $G$  is finite, then  $|\mathcal{O}| = |G|/|H|$  and this is a divisor of  $|G|$ . ■*

There are a number of important immediate consequences of the FCP.

**COROLLARY 8.** *Let  $x \in G$ , where  $G$  is finite. Then the size of the conjugacy class of  $x$  in  $G$  is equal to  $|G : \mathbf{C}_G(x)|$ .*

**Proof.** The group  $G$  acts on itself by conjugation and the conjugacy class of  $x$  is exactly the orbit of  $x$  in this action. The stabilizer of  $x$  is  $\mathbf{C}_G(x)$  and the result follows by the FCP. ■

**COROLLARY 9.** *Let  $X$  be any subset of  $G$ , where  $G$  is finite. Then the number of distinct conjugates of  $X$  in  $G$  is equal to  $|G : \mathbf{N}_G(X)|$ .*

**Proof.** The group acts by conjugation on the set of all of its subsets. The orbit of  $X$  is the set of distinct conjugates of  $X$  and the stabilizer of  $X$  is  $\mathbf{N}_G(X)$ . ■

**COROLLARY 10.** *Let  $H, K \subseteq G$  be finite subgroups. Then  $|HK| = |H||K|/|H \cap K|$ .*

**Proof.** Let  $K$  act on the set of right cosets of  $H$  in  $G$  by right multiplication. Then  $HK = \bigcup Hk$  as  $k$  runs over  $K$ , and thus  $HK$  is the union of all right cosets of  $H$  in the orbit containing  $H$  under this action of  $K$ . These cosets are disjoint and each has size equal to  $|H|$ , and so we see that  $|HK| = n|H|$ , where  $n$  is the size of the orbit. By the FCP, we know that  $n = |K : D|$ , where  $D$  is the stabilizer in  $K$  of  $H$ .

An element  $k \in K$  lies in  $D$  precisely when  $Hk = H$ , and this happens precisely when  $k \in H$ . This shows that  $D = H \cap K$ , and thus  $n = |K : D| = |K|/|H \cap K|$ . The result now follows. ■

The FCP also provides a tool for counting the number of orbits in an action. To explain this, we define the integer-valued function  $\chi$  on  $G$  corresponding to its action on a finite set  $\Omega$ . This function, the **permutation character** associated with the action, is defined by the formula  $\chi(g) = |\{\alpha \in \Omega \mid \alpha \cdot g = \alpha\}|$ . In other words, the value of the character at  $g \in G$  is the number of fixed points of  $g$  in  $\Omega$ . The following result, often incorrectly attributed to W. Burnside, says that the number of orbits of a finite group acting on a finite set is the average value of the associated permutation character.

**THEOREM 11.** *Let  $G$  act on  $\Omega$ , where both  $G$  and  $\Omega$  are finite, and suppose there are exactly  $n$  orbits in this action. Then*

$$n = \frac{1}{|G|} \sum_{g \in G} \chi(g),$$

where  $\chi$  is the associated permutation character.

**Proof.** Let  $\mathcal{P}$  be the set of ordered pairs  $(g, \alpha)$  with  $g \in G$  and  $\alpha \in \Omega$  and such that  $\alpha \cdot g = \alpha$ . Then for each element  $g \in G$ , there are precisely  $\chi(g)$  members of  $\mathcal{P}$  having  $g$  as first entry and we see that  $|\mathcal{P}| = \sum \chi(g)$ . Similarly, for  $\alpha \in \Omega$ , exactly  $|G_\alpha|$  pairs in  $\mathcal{P}$  have second entry  $\alpha$ , and this yields  $|\mathcal{P}| = \sum |G_\alpha|$ , where this sum runs over  $\alpha \in \Omega$ . We now have

$$\frac{1}{|G|} \sum_{g \in G} \chi(g) = \frac{|\mathcal{P}|}{|G|} = \sum_{\alpha \in \Omega} \frac{|G_\alpha|}{|G|} = \sum_{\alpha \in \Omega} \frac{1}{|\mathcal{O}_\alpha|},$$

where the last equality is a consequence of the FCP.

We compute the part of the final sum above contributed by the points lying in some particular orbit  $\mathcal{O}$ . For each point  $\alpha$  in  $\mathcal{O}$ , we see that  $\mathcal{O}_\alpha = \mathcal{O}$ , and thus the contribution to the sum coming from  $\alpha$  is  $1/|\mathcal{O}|$ . The total contribution from all points in  $\mathcal{O}$  is thus  $|\mathcal{O}|$  times  $1/|\mathcal{O}|$ . In other words, each orbit contributes a total of 1 to the sum on the right, which is therefore equal to  $n$ , as required. ■

We close with the following Corollary.

**COROLLARY 12** *Let  $H < G$ , where  $G$  is a finite group. Then there exists an element  $g \in G$  that lies in no conjugate of  $H$ .*

**Proof.** Let  $G$  act on the set  $\Omega$  of right cosets of  $H$  in  $G$ . Since the stabilizer of the coset (point)  $Hx$  is  $H^x$ , our problem is to find some element of  $G$  that fixes no point of  $\Omega$ . We seek  $g \in G$ , in other words, with  $\chi(g) = 0$ .

Our action is transitive, and so the average value of the permutation character  $\chi$  is 1. But  $\chi(1) = |\Omega| = |G : H| > 1$  and  $\chi$  has an above-average value at the identity 1. It follows that  $\chi$  must have a below-average value at some element  $g \in G$ . Thus  $\chi(g) < 1$ , but since  $\chi(g)$  is a non-negative integer, we deduce that  $\chi(g) = 0$ , as required. ■

We mention that the conclusion of Corollary 12 can fail if  $G$  is an infinite group.