# Department of Mathematics, University of Wisconsin-Madison Math 240 — Midterm Exam II — Solutions — Spring 2024

NAME: (as it appears on Canvas)

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PROFESSOR: MIKHAIL IVANOV or LUKE JEFFREYS

### Choose your discussion section

	LEC-001				LEC-002		
302	Alexandra Bonat	Tu	8:50am	322	Yewei Xu	Мо	8:50am
304	Owen Goff	Tu	11:00am	323	Josiah Jacobsen-Grocott	Mo	9.55am
305	Owen Goff	Tu	12:05am	324	Diego Rojas La Luz	Mo	11:00am
306	Chenghuang Chen	Tu	3:30am	325	Diego Rojas La Luz	Mo	$12:05 \mathrm{pm}$
307	Alexandra Bonat	Th	7:45am	327	Yewei Xu	We	7:45am
308	Alexandra Bonat	Th	8:50am	328	Yewei Xu	We	8:50am
309	Chenghuang Chen	$\operatorname{Th}$	9.55am	329	Josiah Jacobsen-Grocott	We	9.55am
310	Robert Argus	Th	1:20pm	330	Josiah Jacobsen-Grocott	We	$1:20 \mathrm{pm}$
311	Robert Argus	$\operatorname{Th}$	12:05pm	331	Aviva Englander	We	2:25pm
312	Chenghuang Chen	$\operatorname{Th}$	3:30pm	332	Aviva Englander	We	$3:30 \mathrm{pm}$

#### **INSTRUCTIONS:**

Time: 90 minutes

Please write your name on every page.

No Calculators, No Notecards, No Notes

With the exception of the True/False questions, Multiple Choice questions, and Short Answer questions you must justify your claims and use complete sentences in proofs.

You must use correct notation to receive full credit.

For multiple choice questions with answers listed by  $\bigcirc$ , choose one answer and completely fill the circle.

For multiple choice questions with answers listed by  $\square$ , choose all of the answers that you believe are correct and completely fill each square.

Question:	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	Total
Points:	3	3	4	3	4	4	4	3	4	4	3	4	3	6	6	8	66

You may only assume the following results in written proofs:

- The sum and product of two integers is an integer.
- Every integer is either even or odd.
- For nonnegative real numbers a, b, c, and d: if a < b, then ac < bc. Likewise, if a < b and c < d, then ac < bd.
- If x is an integer, then there is no integer between x and x + 1.
- The product of two integers m and n is odd if and only if both m and n are odd.
- For an integer a, if  $a^2$  is even, then a is even.
- The square of any real number is greater than or equal to 0.
- $\sqrt{2}$  is irrational.

### The Master Theorem

Suppose T(n) satisfies the recurrence relation

$$T(n) = aT\left(\frac{n}{b}\right) + \Theta(n^d)$$

for a > 0, b > 1 and  $d \ge 0$ .

- If  $a < b^d$ , then  $T(n) = \Theta(n^d)$ .
- If  $a = b^d$ , then  $T(n) = \Theta(n \log(n))$ .
- If  $a > b^d$ , then  $T(n) = \Theta(n^{\log_b a})$ .

#### Sums of arithmetic series

For  $a, d \in \mathbb{R}$  and  $n \in \mathbb{Z}^+$ ,

$$\sum_{i=0}^{n-1} (a+id) = an + \frac{d(n-1)n}{2}.$$

### Sums of geometric series

For  $a, r \in \mathbb{R}$ ,  $r \neq 1$ , and  $n \in \mathbb{Z}^+$ ,

$$\sum_{i=0}^{n-1} ar^i = \frac{a(r^n - 1)}{r - 1}.$$

Any other results you wish to use must be proven.

1. (3 points) What is smallest positive integer k such that the function

$$f(n) = (n\log(n) + 1)^2$$

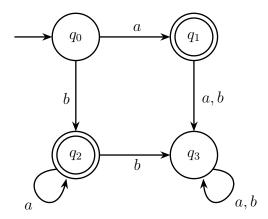
is  $O(n^k)$ ?

- $\bigcirc k = 1$
- $\bigcirc k = 2$
- $\bigcirc k = 4$
- 2. (3 points) Consider the functions

$$f(n) = 5 \log \log(n), \quad g(n) = n! - 5, \quad h(n) = 6^n + 2n, \quad k(n) = n^3 + 5.$$

Which of the following is a correct ordering of these functions by **increasing** growth rate?

- $\bigcap f(n), h(n), g(n), k(n)$
- $\bigcirc g(n), k(n), f(n), h(n)$
- $\bigcap h(n), k(n), f(n), g(n)$
- 3. (4 points) Consider the following finite state machine:



Select from the list below the statements that are true:

- $\blacksquare$  the string a is accepted by the finite state machine
- $\Box$  the string ab is accepted by the finite state machine
- $\Box$  the machine accepts all strings that start with a b
- the machine accepts all strings of the form b followed by any number of as

4. (3 points) The inductive step of a proof by induction shows that for a positive integer k, if  $11^k - 6$  is divisible by 5, then  $11^{k+1} - 6$  is also divisible by 5. In which step of the proof is the **inductive hypothesis** used?

$$11^{k+1} - 6 = 11(11^k) - 6$$
 (Step 1)  
 $= 11(5m+6) - 6$  (Step 2)  
 $= 55m + 66 - 6$  (Step 3)  
 $= 5(11m+12)$  (Step 4)

.

- O Step 1
- Step 2
- O Step 3
- O Step 4
- 5. (4 points) Recursively define the set  $S \subseteq \mathbb{Z}$  by

Basis:  $2 \in S$ 

Recursive rule: If  $n \in S$ , then  $2n - 1 \in S$ .

Select from the list below the elements that are in S:

- 5
- 9
- 6. (4 points) Consider the following program:

Algorithm  $\mathbf{mystic}(n)$  where  $n \in \mathbb{Z}^+$ 

- (1) If n = 0 return 0
- (2) else return  $((n \mod 2) + \mathbf{mystic}(\lfloor \frac{n}{2} \rfloor))$ .

Select from the list below the statements that are true:

- This program is recursive
- $\blacksquare$  mystic(2)=1
- $\square$  n > 0 is a loop invariant
- ☐ This program never terminates

7. (4 points) Consider the algorithm below which takes as input two 2-dimensional arrays A[i, j] and B[i, j], where each array has n rows and n columns.

Algorithm  $\mathbf{sum}(A, B)$  where input A, B are square arrays with elements from  $\mathbb{Z}$ .

- (1) for i = 1 to n
- (2) for j = 1 to n
- (3)  $C[i,j] \leftarrow 0$
- (4) for i = 1 to n
- (5) for j = 1 to n
- (6)  $C[i,j] \leftarrow A[i,j] + B[i,j]$
- (7) return C

The notation  $C[i, j] \leftarrow 0$  means the same thing as C[i, j] := 0.

Let f(n) be the number of arithmetic operations (additions and assignments) performed while executing the program on two arrays with n rows and n columns. Find the asymptotic growth rate of f(n) in big theta notation. Fill in your answer below.

$$f(n) = \Theta(n^2).$$

8. (3 points) Select the values of x and y that make the following equation true:

$$\sum_{i=0}^{4} (i+2) = \sum_{j=x}^{y} (j-5)$$

- $\bigcirc \ x = 2, y = 5$
- x = 7, y = 11
- $\bigcirc \ x = 5, y = 9$
- $\bigcirc x = 7, y = 12$
- 9. (4 points) Complete the table below by filling in the values of  $f_2$ ,  $f_3$ ,  $f_4$  and  $f_5$  for the recurrence relation:

$$f_0 = 1$$
,  $f_1 = 1$ ,  $f_n = (f_{n-1} - 2) \cdot f_{n-2}$ ,  $n \ge 2$ .

You can use  $f_6 = -55$  to check your working.

n	0	1	2	3	4	5	6
$f_n$	1	1	-1	-3	5	-9	-55

- 10. (4 points) From the recurrence relations below, select those that are linear and homogeneous:

  - $b_n = \sqrt{2}b_{n-3} 4b_{n-10}$
  - $c_n = 2(c_{n-1} c_{n-2})$

- 11. (3 points) Consider the recurrence relation  $a_n = 2a_{n-2} a_{n-1}$ . Choose the correct expression for the general solution for  $a_n$ , where  $c_1$  and  $c_2$  are constants.
  - $\bigcirc c_1(-2)^n + c_2(-1)^n.$
  - $\bigcirc c_1 1^n + c_2 2^n$ .
  - $\bigcirc c_1(-1)^n + c_2 2^n.$
  - $c_1(-2)^n + c_2 1^n.$
- 12. (4 points) Recall that the set of finite length binary strings  $B = \{0, 1\}^*$  is recursively defined by

Basis:  $\lambda \in B$ 

Recursive rule: If  $w \in B$ , then  $w0 \in B$  and  $w1 \in B$ .

Define the function  $g: B \to B$  by

Basis:  $g(\lambda) = \lambda$ 

**Recursive rule:** If  $w \in B$ , then g(w0) = 1g(w) and g(w1) = 0g(w).

Select all of the statements below that are true.

- g(0) = 1
- g(01) = 01
- $\Box g(00) = 10$
- 13. (3 points) It is determined that the time complexity T(n) of a divide and conquer algorithm satisfies the recurrence relation:

$$T(n) = 2T\left(\left\lfloor \frac{n}{3} \right\rfloor\right) + T\left(\left\lceil \frac{n}{3} \right\rceil\right) + 6n - 5.$$

After simplifying this recurrence relation, which of the following statements is true according to the Master Theorem?

- $T(n) = \Theta(n \log(n))$
- $\bigcirc T(n) = \Theta(n)$
- $\bigcirc T(n) = \Theta(\log_3 2)$
- $\bigcirc T(n) = \Theta(\log(n))$

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14. (6 points) Prove by induction that for all integers  $n \ge 1$  we have  $2n^2 + n \le 5^n$ .

**Solution:** We use induction on n.

**Base case:** When n = 1,  $2n^2 + n = 2(1)^2 + 1 = 3 \le 5 = 5^1 = 5^n$ .

**Inductive step:** Assume that  $2k^2 + k \le 5^k$  for some integer  $k \ge 1$ . Now, considering the statement for k + 1, we have

$$2(k+1)^{2} + (k+1) = 2(k^{2} + 2k + 1) + k + 1$$

$$= 2k^{2} + 4k + 2 + k + 1$$

$$= 2k^{2} + 3 + 5k$$

$$\leq 2k^{2} + 3k^{2} + 5k$$

$$= 5k^{2} + 5k$$

$$\leq 10k^{2} + 5k$$

$$= 5(2k^{2} + k)$$

$$\leq 5 \cdot 5^{k} \text{ by the inductive hypothesis}$$

$$= 5^{k+1}.$$

So we also have that  $2(k+1)^2 + (k+1) \le 5^{k+1}$ .

Hence, by induction, we have  $2n^2 + n \le 5^n$  for all  $n \ge 1$ .

- 15. (6 points) Consider the function  $f(n) = n^3 + 5n 6$ .
  - (a) (3 points) Prove directly from the definition (i.e., by specifying witnesses c and  $n_0$ ) that  $f(n) = O(n^3)$ .

**Solution:** Let c=6 and  $n_0=1$ . Then, since  $n \leq n^3$  if  $n \geq 1$ , for  $n \geq n_0$  we have

$$f(n) = n^3 + 5n - 6 \le n^3 + 5n \le n^3 + 5n^3 = 6n^3 = c \cdot n^3.$$

Hence  $f(n) = O(n^3)$ .

(b) (3 points) Prove directly from the definition (i.e., by specifying witnesses c and  $n_0$ ) that  $f(n) = \Omega(n^3)$ .

**Solution:** Let c = 1 and  $n_0 = 2$ . For  $n \ge n_0$  we will have,

$$f(n) = n^3 + 5n - 6 \ge n^3 = c \cdot n^3$$

since if  $n \ge \frac{6}{5}$  then  $5n - 6 \ge 0$ . Hence,  $f(n) = \Omega(n^3)$ .

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16. (8 points) Consider the algorithm **double\_power**, computing  $x^{2^n}$ , where  $x \in \mathbb{R}$ ,  $n \in \mathbb{N}$ .

Algorithm **double\_power**(x, n) where  $x \in \mathbb{R}$ ,  $n \in \mathbb{N}$ 

- $(1) i \leftarrow 0$
- (2)  $p \leftarrow x$
- (3) while (i < n)
- (4)  $i \leftarrow i+1$
- (5)  $p \leftarrow p^2$
- (6) return p

{output is  $x^{2^n}$ }

The notation  $i \leftarrow 0$  means the same thing as i := 0.

Use the loop invariants  $i \leq n$  and  $p = x^{2^i}$  to prove program correctness. You do not need to prove that these are loop invariants. You must argue both partial correctness and termination.

#### **Solution:**

**Partial correctness:** Assume that the input is valid and that the program terminates. If the program terminates, then the while loop condition is false and so we must have  $i \ge n$ . We simultaneously have the loop invariant  $i \le n$ . If we have both, then we must have i = n. We also have the loop invariant  $p = x^{2^i}$ . Since i = n when the program terminates, the program returns  $p = x^{2^n}$  which is the correct output. Hence the program is partially correct.

**Termination:** If the input is valid, after n iterations of the while loop we will have i = n. So, the loop condition will be false and the program will terminate after the return statement on line (6).

As we have partial correctness and termination, the program is correct.

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