EUCLIDEAN DISTANCE DEGREE OF THE MULTIVIEW VARIETY

LAURENTIU G. MAXIM, JOSE ISRAEL RODRIGUEZ, AND BOTONG WANG

ABSTRACT. The Euclidean distance degree of an algebraic variety is a well-studied topic in applied algebra and geometry. It has direct applications in geometric modeling, computer vision, and statistics. We use non-proper Morse theory to give a topological interpretation of the Euclidean distance degree of an affine variety in terms of Euler characteristics. As a concrete application, we solve the open problem in computer vision of determining the Euclidean distance degree of the affine multiview variety.

1. INTRODUCTION

To any $\boldsymbol{\alpha} = (\alpha_1, \ldots, \alpha_n) \in \mathbb{C}^n$, one associates the *squared Euclidean distance function* $f_{\boldsymbol{\alpha}} : \mathbb{C}^n \to \mathbb{C}$ given by

$$f_{\boldsymbol{\alpha}}(z_1, \ldots, z_n) := \sum_{1 \leq i \leq n} (z_i - \alpha_i)^2.$$

Note that $f_{\boldsymbol{\alpha}}$ is not the square of an actual distance; the terminology is derived from the fact that, for $\boldsymbol{\alpha} \in \mathbf{R}^n$, $f_{\boldsymbol{\alpha}}$ is the complexification of the square of the usual Euclidean distance on $\mathbf{R}^n$. If X is an irreducible closed subvariety of $\mathbb{C}^n$ then, for generic choices of $\boldsymbol{\alpha}$, the function $f_{\boldsymbol{\alpha}}|_{X_{\mathrm{reg}}}$ has finitely many critical points on the smooth locus X_{reg} of X. Moreover, this number of critical points is independent of the generic choice of $\boldsymbol{\alpha}$, so it defines an invariant of the embedding of X in $\mathbb{C}^n$ called the *Euclidean distance degree* (or ED degree); see [5]. It is denoted by $\mathrm{EDdeg}(X)$.

The motivation for studying ED degrees comes from the fact that many models in data science or engineering are realized as real algebraic varieties, for which one needs to solve a *nearest point problem*. Specifically, for such a real algebraic variety $X \subset \mathbb{R}^n$, one needs to solve the following:

Problem 1 (Nearest point). Given $\boldsymbol{\alpha} \in \mathbb{R}^n$, compute $\boldsymbol{\alpha}^* \in X_{\mathrm{reg}}$ that minimizes the squared Euclidean distance from the given point $\boldsymbol{\alpha}$.

The standard approach to Problem 1 is to list and examine all critical points of the squared Euclidean distance function on the regular locus of the real variety X. In practice, algorithms (e.g., in numerical algebraic geometry) find all complex critical points of the squared Euclidean distance function $f_{\boldsymbol{\alpha}}$ on the regular part of the Zariski closure of X in $\mathbb{C}^n$, and then sort out the real ones. Thus, the ED degree gives an algebraic measure of complexity of the nearest point problem.

This paper deals with a very specific nearest point problem, motivated by the *triangulation problem* in computer vision and the *multiview conjecture* of [5, Conjecture 3.4]. In computer vision, triangulation refers to the process of reconstructing a point in 3D space from its camera projections onto several images. The triangulation problem has many practical applications, e.g., in tourism, for reconstructing the 3D structure of a tourist attraction based on a large number of online pictures [1]; in robotics, for creating a virtual 3D space from multiple cameras mounted on an autonomous vehicle; in filmmaking, for adding animation and graphics to a movie scene after everything is already shot, etc.

The triangulation problem is in theory trivial to solve: if the image points are given with infinite precision, then two cameras suffice to determine the 3D point. In practice, however, various sources of "noise" (such as pixelation or lens distortion) lead to inaccuracies in the measured image coordinates. The problem, then, is to find a 3D point which optimally fits the measured image points.

A 3D world point gives rise to n 2D projections in n given cameras. Roughly speaking, the space of all possible n-tuples of such projections is the *affine multiview variety* X_n; see [5, Example 3.1] and Section 4 for more details. The above optimization problem translates into finding a point $\boldsymbol{\alpha}^* \in X_n$ of minimum distance to a (generic) point $\boldsymbol{\alpha} \in \mathbb{R}^{2n}$ obtained by collecting the 2D coordinates of n "noisy" images of the given 3D point. Once $\boldsymbol{\alpha}^*$ is obtained, a 3D point is recovered (as already mentioned in the previous paragraph) by triangulating any two of its n projections. In order to find such a minimizer algebraically, one regards X_n as a complex algebraic variety and examines all complex critical points of the squared Euclidean distance function $f_{\boldsymbol{\alpha}}$ on X_n. Under the assumption that $n \geq 3$ and the n cameras are in general position, the complex algebraic variety X_n is smooth, so one is then interested in computing the Euclidean distance degree $\mathrm{EDdeg}(X_n)$ of the affine multiview variety X_n.

An explicit conjectural formula for the Euclidean distance degree $\mathrm{EDdeg}(X_n)$ was proposed in [5, Conjecture 3.4], based on numerical computations from [18] for configurations involving $n \leq 7$ cameras:

Conjecture 1.1. [5] *The Euclidean distance degree of the affine multiview variety X_n is given by:*

$$\text{(1)} \qquad \mathrm{EDdeg}(X_n) = \frac{9}{2}n^3 - \frac{21}{2}n^2 + 8n - 4.$$

It was recently shown in [8] that

$$\mathrm{EDdeg}(X_n) \leq 6n^3 - 15n^2 + 11n - 4,$$

for any $n \geq 2$.

In this paper we give a proof of Conjecture 1.1 for $n \geq 3$ cameras in general position. In order to achieve this task, we first interpret the ED degree in terms of an Euler-Poincaré characteristic, as follows (see Theorem 3.8):

Theorem 1.2. *Suppose X is a smooth closed subvariety of $\mathbb{C}^n$. Then for general $\boldsymbol{\beta} = (\beta_0, \ldots, \beta_n) \in \mathbb{C}^{n+1}$ we have:*

$$\text{(2)} \qquad \mathrm{EDdeg}(X) = (-1)^{\dim X} \chi\big(X \cap U_{\boldsymbol{\beta}}\big),$$

where $U_{\boldsymbol{\beta}} := \mathbb{C}^n \setminus \{(z_1, \ldots, z_n) \in \mathbb{C}^n \mid \sum_{1 \le i \le n}(z_i - \beta_i)^2 + \beta_0 = 0\}$.

Given formula (2) and the fact that the affine multiview variety X_n is smooth, we prove Conjecture 1.1 by computing the Euler-Poincaré characteristic $\chi\big(X_n \cap U_{\boldsymbol{\beta}}\big)$ for a generic $\boldsymbol{\beta} \in \mathbb{C}^{n+1}$. This is done in this paper by regarding the affine multiview variety X_n as a Zariski open subset in its closure Y_n in $(\mathbb{P}^2)^n$, and using additivity properties of the Euler-Poincaré characteristic together with a detailed study of the topology of the divisor $Y_n \setminus X_n$ "at infinity".

Theorem 1.2 is proved using Morse theory. We study real Morse functions of the form $\log|f|$, where f is a nonvanishing holomorphic Morse function on a complex manifold. Such a Morse function has the following important properties:

(1) The critical points of $\log|f|$ coincide with the critical points of f.
(2) The index of every critical point of $\log|f|$ is equal to the complex dimension of the manifold on which f is defined.

However, as a real-valued Morse function $\log|f|$ is almost never proper. So we use here the non-proper Morse theory techniques developed by Palais-Smale [14] (see also [10]).

Alternatively one can derive Theorem 1.2 (and its generalization to singular varieties as in Theorem 1.3 below) by using more general results from stratified Morse theory as in [17], [19, Chapter 6], or [16]. However, our proof of Theorem 1.2 is more elementary and it suffices to prove Conjecture 1.1 which motivated this work. Moreover, it may also be of independent interest.

In the presence of singularities, formula (2) is no longer true. Instead, one needs to replace the Euler-Poincaré characteristic on the right hand side by the Euler characteristic of the local Euler obstruction function to capture the topology of singularities. More precisely, the results in [17], [19, Chapter 6], or [16] can be used to prove the following generalization of Theorem 1.2 to the singular setting (see Theorem 3.11):

Theorem 1.3. *Let* X *be an irreducible closed subvariety of* $\mathbb{C}^n$. *Then for a general* $\boldsymbol{\beta} \in \mathbb{C}^{n+1}$ *we have:*

$$\text{(3)} \qquad \text{EDdeg}(X) = (-1)^{\dim X}\chi(\text{Eu}_X|_{U_{\boldsymbol{\beta}}}),$$

where Eu_X *is the local Euler obstruction function on* X.

With formula (3) one can compute the ED degree of a singular affine variety by understanding the Euler characteristic of the local Euler obstruction function on X. The ED degree of singular varieties comes up in many instances in applications, the most familiar being the Eckhart-Young theorem (see [5, Example 2.3]) which is used in low-rank approximation.

Let us also recall here that if Y is an irreducible closed subvariety of $\mathbb{P}^n$, the (projective) Euclidean distance degree of Y is defined by $\text{EDdeg}_{\text{proj}}(Y) = \text{EDdeg}(C(Y))$, where $C(Y)$ is the affine cone of Y in $\mathbb{C}^{n+1}$. The affine cone acquires a complicated singularity at the cone point, so the computation of $\text{EDdeg}_{\text{proj}}(Y)$ via formula (3) is in general very difficult. Instead, one aims in this case to describe $\text{EDdeg}(C(Y))$ in terms of the topology of Y itself. This problem has been addressed by Aluffi and Harris in [3, Theorem 8.1] (building on preliminary results from [5]) in the special case when Y is a smooth projective variety. A formula computing the (projective) Euclidean distance degree of any projective variety

Y has been recently obtained in [12], by employing techniques similar to those used in this paper.

The paper is organized as follows. In Section 2, we introduce the necessary material on the non-proper Morse theory of Palais-Smale and explain a holomorphic analogue of this theory. In Section 3, we apply the results from Section 2 to study the topology of smooth affine varieties (Theorem 3.1). As a further application, we prove Theorem 1.2 on a topological formula for the Euclidean distance degree of smooth affine varieties. We also indicate here how results of [17] and [16] can be employed to compute the Euclidean distance degree of any affine variety. Section 4 is devoted to the proof of Conjecture 1.1.

2. Non-proper Morse theory

Classical Morse theory (e.g., see [13]) relates the number of critical points of a Morse function on a space with the topology of the space. In this paper, we are interested in real-valued Morse functions that are induced from holomorphic Morse functions.

2.1. **Non-proper Morse theory and Palais-Smale conditions.** First, we recall the definition of Morse functions.

Definition 2.1. Let M be a smooth manifold. A smooth function $f : M \to \mathbb{R}$ is a *real-valued Morse function* if all of its critical points are non-degenerate.

In literature, Morse functions are often required to be proper and to have distinct critical values. In this paper, we deal with non-proper functions. Since we use Morse theory only to count the number of cells attached, we also do not require the critical values to be distinct. In fact, the critical values can be made distinct by a perturbation argument, while keeping the critical points unchanged.

Definition 2.2. Let M be a complex manifold. A holomorphic function $f : M \to \mathbb{C}$ is a *holomorphic Morse function* if all of its critical points are non-degenerate. A $\mathbb{C}^*$-valued holomorphic function is a $\mathbb{C}^*$-*valued holomorphic Morse function* if it is a holomorphic Morse function when regarded as a $\mathbb{C}$-valued function.

Let $f : M \to \mathbb{R}$ be a smooth function on a manifold M. For any real numbers $a < b$, we define $f^{a,b} := f^{-1}\big([a,b]\big)$ and $f^a := f^{-1}\big((-\infty, a]\big)$. The following result is due to Palais-Smale[1]:

Theorem 2.3 ([14]). *Let M be a complete Riemannian manifold, and let $f : M \to \mathbb{R}$ be a real-valued Morse function satisfying the following condition:*

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[1]The proof was skipped in the original paper of Palais-Smale [14], but a proof is sketched in [10, Theorem 3.1].

(PS1) *If S is a subset of M on which $|f|$ is bounded but on which $||\nabla f||$ is not bounded away from zero, then there exists a critical point of f in the closure of S.*

Then the following properties hold:

(1) *For any real numbers $a < b$, there are finitely many critical points of f in $f^{a,b}$.*

(2) *Let a, b be regular values of f. Suppose that there are r critical points of f in $f^{a,b}$ having index $d_1, \ldots, d_r$, respectively. Then f^b has the homotopy type of f^a with r cells of dimensions $d_1, \ldots, d_r$ attached.*

(3) *If c is a regular value of f, then f has the structure of a fiber bundle in a small neighborhood of c.*

In [10, Theorem 3.2], a circle-valued analogue of Theorem 2.3 was proved. In this paper, we develop holomorphic versions of the Palais-Smale condition (PS1).

2.2. **Holomorphic Palais-Smale conditions.** Let M be a complex manifold with a complete Hermitian metric h. Let $f : M \to \mathbb{C}$ be a holomorphic Morse function on M. We introduce the following Palais-Smale condition of a $\mathbb{C}$-valued holomorphic Morse function:

(PS2) If S is a subset of M on which $|f|$ is bounded but on which $||\nabla f||_h$ is not bounded away from zero, then there exists a critical point of f in the closure of S.

Similarly, we can define a Palais-Smale condition for a $\mathbb{C}^*$-valued function. Let $f : M \to \mathbb{C}^*$ be a holomorphic Morse function on M. We call the following the Palais-Smale condition of a $\mathbb{C}^*$-valued holomorphic Morse function:

(PS3) If S is a subset of M on which $\log |f|$ is bounded but on which $||\nabla \log f||_h$ is not bounded away from zero, then there exists a critical point of f in the closure of S.

Here we notice that even though $\log f$ is a multivalued funtion, $d \log f = \frac{df}{f}$ is well-defined, and hence $\nabla \log f$ is well-defined.

Lemma 2.4. *Let M be a complex manifold with a Hermitian metric h. Denote the associated Riemannian metric of h by g, i.e., g is the real part of h. Let $f : M \to \mathbb{C}$ be a holomorphic function. Then*

$$||\nabla f||_h = \sqrt{2} \cdot ||\nabla f_1||_g$$

where $f_1 = \operatorname{Re} f$ is the real part of f.

Proof. Notice that a Riemannian (resp., Hermitian) metric on a real (resp., complex) vector space induces a Riemannian (resp., Hermitian) metric on the dual vector space. Thus, we can also consider h and g as metrics on the complex and real cotangent vector bundle of M, respectively. Then, by the definition of gradient, we have

$$||\nabla f||_h = ||df||_h \text{ and } ||\nabla f_1||_g = ||df_1||_g.$$

Since g is the associated Riemannian metric of h, we have

(4) $$||v||_h^2 = \langle v, v \rangle_h = \langle \operatorname{Re} v, \operatorname{Re} v \rangle_g + \langle \operatorname{Im} v, \operatorname{Im} v \rangle_g = ||\operatorname{Re} v||_g^2 + ||\operatorname{Im} v||_g^2$$

for any complex cotangent vector v at any point on M. Denote $\operatorname{Im} f$ by f_2. By the Cauchy-Riemann equation, we have

(5) $$||df_1||_g = ||df_2||_g$$

at every point of M. By equations (4) and (5), we have $||df||_h = \sqrt{2}||df_1||_g$. $\square$

Lemma 2.5. *Let M be a complex manifold with a Hermitian metric h. If $f : M \to \mathbb{C}^*$ is a $\mathbb{C}^*$-valued holomorphic Morse function satisfying the Palais-Smale condition (PS3), then $\log|f|$ is a real-valued Morse function satisfying the Palais-Smale condition (PS1). Moreover, f and $\log|f|$ have the same critical points, and the index of all critical points of $\log|f|$ is the complex dimension of M.*

Proof. In the notations of Lemma 2.4, it suffices to prove that for any subset S of M, the function $||d \log f||_h$ is bounded away from zero on S if and only if $\left|\left|d \log|f|\right|\right|_g$ is bounded away from zero on S.

Let $\tilde{f} : \widetilde{M} \to \mathbb{C}$ be the lifting of $f : M \to \mathbb{C}^*$ by the exponential map $\exp : \mathbb{C} \to \mathbb{C}^*$ defined by $\exp(z) = e^z$. Then there is a natural infinite cyclic covering map $\pi : \widetilde{M} \to M$, which induces a Hermitian metric $\tilde{h}$ on $\widetilde{M}$. Denote the associated Riemannian metric by $\tilde{g}$. Let $\widetilde{S} = \pi^{-1}(S)$. We claim that the following statements are equivalent:

  (1) On S, the function $||d \log f||_h$ is bounded away from zero.
  (2) On $\widetilde{S}$, the function $||d\tilde{f}||_{\tilde{h}}$ is bounded away from zero.
  (3) On $\widetilde{S}$, the function $||d \operatorname{Re} \tilde{f}||_{\tilde{g}}$ is bounded away from zero.
  (4) On S, the function $\left|\left|d \log|f|\right|\right|_g$ is bounded away from zero.

The equivalences (1)$\Longleftrightarrow$(2) and (3)$\Longleftrightarrow$(4) follow immediately from the construction. The equivalence (2)$\Longleftrightarrow$(3) follows from Lemma 2.4. Thus, the function $\log|f|$ satisfies (PS1). Notice that $\log|f| = \operatorname{Re} \log f$, so by the Cauchy-Riemann equations the critical points of f are the same as the critical points of $\log|f|$. Moreover, by the holomorphic Morse lemma [21, Section 2.1.2], the index of each critical point of $\log|f|$ is equal to the complex dimension of M. $\square$

Lemma 2.6. *Let M be a complex manifold with a Hermitian metric h. Suppose $f : M \to \mathbb{C}$ is a holomorphic Morse function satisfying the $\mathbb{C}$-valued Palais-Smale condition (PS2). Then, for any $t \in \mathbb{C}$, the restriction $f|_{U_t} : U_t \to \mathbb{C}^*$ satisfies the $\mathbb{C}^*$-valued Palais-Smale condition (PS3), with $U_t := f^{-1}(\mathbb{C} \setminus \{t\})$ endowed with the same metric h.*

Proof. The $\mathbb{C}^*$-valued Palais-Smale condition (PS3) for $f|_{U_t}$ states that there does not exists $S \subset U_t$ such that:

  (1) $\log|f - t|$ is bounded on S;
  (2) $||d \log(f - t)||_h$ is not bounded away from zero on S;
  (3) f has no critical point in the closure of S in U_t.

On the other hand, the holomorphic Palais-Smale condition (PS2) for f states that there does not exists $S \subset M$ such that:

  (4) $|f|$ is bounded;
  (5) $||df||_h$ is not bounded away from zero on S;
  (6) f has no critical point in the closure of S in M.

Notice that $d \log(f - t) = \frac{df}{f-t}$. When $\log|f - t|$ is bounded, $||df||_h$ is not bounded away from zero on S if and only if $||d \log(f - t)||_h = ||\frac{df}{f-t}||_h$ is not bounded away from zero on S. Thus, (1) + (2) $\Rightarrow$ (5). Evidently, (1) $\Rightarrow$ (4) and (3) $\Rightarrow$ (6). Therefore, (1) + (2) + (3) $\Rightarrow$ (4) + (5) + (6), and the assertion in the theorem follows. $\square$

The following result is analogous to Theorem 4.10 of [10], and the proof is essentially the same.

Theorem 2.7. *Let X be a smooth closed subvariety of $\mathbb{C}^n$ with the induced Hermitian metric from the Euclidean metric on $\mathbb{C}^n$. Then for a general linear function l on $\mathbb{C}^n$, its restriction $l|_X$ to X is a holomorphic Morse function satisfying the $\mathbb{C}$-valued Palais-Smale condition (PS2).*

Proof. Denote the complex vector space $\mathbb{C}^n$ by V, and denote its dual vector space by $V^\vee$. We define a closed subvariety $\mathcal{Z} \subset V \times V^\vee$ by

$$\mathcal{Z} = \{(x, l) \in V \times V^\vee \mid x \text{ is a critical point of } l|_X\}.$$

If we consider $V \times V^\vee$ as the cotangent space of V, then $\mathcal{Z}$ is equal to the conormal bundle of X in V. Now, consider the second projection $p : V \times V^\vee \to V^\vee$. Since $\dim \mathcal{Z} = \dim V^\vee = n$, the restriction $p|_{\mathcal{Z}} : \mathcal{Z} \to V^\vee$ is generically finite. Therefore, there exists a nonempty Zariski open subset $U \subset V^\vee$ on which the map $p|_{\mathcal{Z}}$ is finite and étale, that is, a finite (unramified) covering map (e.g., see [20, Corollary 5.1]). If the map $p|_{\mathcal{Z}} : \mathcal{Z} \to V^\vee$ is not dominant, one may have $\mathcal{Z} \cap p^{-1}(U) = \emptyset$, and we get a covering map from the empty set; the arguments in the rest of the proof still apply to this situation.

We will show that for any $l \in U$, its restriction $l|_X$ is a holomorphic Morse function satisfying the $\mathbb{C}$-valued Palais-Smale condition (PS2). So let us fix $l \in U$.

First, there exists a bijection between the intersection $\mathcal{Z} \cap p^{-1}(l)$ and the critical points of $l|_X$. Moreover, a critical point of $l|_X$ is non-degenerate if and only if the intersection $\mathcal{Z} \cap p^{-1}(l)$ is transverse at the corresponding point. By our construction, $p|_{\mathcal{Z}} : \mathcal{Z} \to V^\vee$ is étale at l, which means that the intersection $\mathcal{Z} \cap p^{-1}(l)$ is transverse. Therefore, $l|_X$ is a holomorphic Morse function on X.

Next, we prove that $l|_X$ satisfies the Palais-Smale condition (PS2). Suppose $x_i \in X$, for $i = 1, 2, \ldots$, is a sequence of points in S such that the sequence $\|\nabla(l|_X)_{x_i}\|_h$ converges to zero. By [10, Lemma 6.2], there exist $l_i \in V^\vee$ such that $\|l_i - l\|_h = \|\nabla(l|_X)_{x_i}\|_h$, and $(l_i)|_X$ has a critical point at x_i. Here the metric on $V^\vee$ is the induced Hermitian metric from the standard Euclidean Hermitian metric on $V = \mathbb{C}^n$. Then we have a sequence $(x_i, l_i) \in \mathcal{Z}$ with l_i converging to l in $V^\vee$. Since the map $p|_{\mathcal{Z}} : \mathcal{Z} \to V^\vee$ is finite and étale near $l \in V^\vee$, there exists a subsequence of (x_i, l_i) converging to a point in $V \times V^\vee$ (see [10, Lemma 6.1] for a precise proof of this fact). The limit point is of the form (x_0, l). Since $\mathcal{Z}$ is closed in $V \times V^\vee$, we have $(x_0, l) \in \mathcal{Z}$. Hence, the point x_0 is a critical point of $l|_X$, which is in the closure of S. $\qquad\square$

Remark 2.8. In fact, the proof of Theorem 2.7 shows that $l|_X$ satisfies a stronger condition than (PS2), where we do not require that $|l|_X|$ is bounded on S. In other words, we have shown that if S is a subset of X on which $\|\nabla l|_X\|$ is not bounded away from zero, then there exists a critical point of $l|_X$ in the closure of S. Now, by Lemma 2.4, for a general linear function $l : \mathbb{C}^n \to \mathbb{C}$, the real valued function $\operatorname{Re} l|_X : X \to \mathbb{R}$ satisfies (PS1).

Remark 2.9. For a smooth affine variety $X \subset \mathbb{C}^n$, the holomorphic Palais-Smale condition (PS2) for $l|_X$ essentially means that fiberwise there is no critical point at infinity.

Given a linear map $l : \mathbb{C}^n \to \mathbb{C}$, we can compactify fiberwise and obtain a proper map $\bar{l} : \mathbb{P}^{n-1} \times \mathbb{C} \to \mathbb{C}$, extending l. Let $\overline{X}$ be the closure of X in $\mathbb{P}^{n-1} \times \mathbb{C}$. Suppose $\overline{X}$ is also smooth. Then $l|_X$ satisfies (PS2) if and only if the function $l_{\overline{X}} : \overline{X} \to \mathbb{C}$ has no critical point in the boundary $\overline{X} \setminus X$.

Remark 2.10. The proof of Theorem 2.7 shows that the number of critical points of $l|_X$ is the degree of the projection $p|_{\mathcal{Z}} : \mathcal{Z} \to V^{\vee}$.

3. Topology of affine varieties and the Euclidean distance degree

In this section, we apply the results from Section 2 to study the topology of smooth affine varieties. As a further application, we derive a topological formula for the Euclidean distance degree of smooth affine varieties. Moreover, by using results of [17, 16], we also show how to compute the Euclidean distance degree of a possibly singular affine variety in terms of the local Euler obstruction function.

3.1. **Euler-Poincaré characteristic and the number of critical points.**

Theorem 3.1. *Let X be a smooth closed subvariety of dimension d in $\mathbb{C}^n$. Let $l : \mathbb{C}^n \to \mathbb{C}$ be a general linear function, and let H_c be the hyperplane in $\mathbb{C}^n$ defined by the equation $l = c$ for a general $c \in \mathbb{C}$. Then:*
  (1) X is homotopy equivalent to $X \cap H_c$ with finitely many d-cells attached;
  (2) the number of d-cells is equal to the number of critical points of $l|_X$;
  (3) the number of critical points of $l|_X$ is equal to $(-1)^d \chi(X \setminus H_c)$.

Proof. Let $\mathcal{B}_X$ denote the bifurcation set for the function $l|_X : X \to \mathbb{C}$. It is well-known that $\mathcal{B}_X$ is finite and that $l|_X$ is a smooth fiber bundle over $\mathbb{C} \setminus \mathcal{B}_X$. Let $c \in \mathbb{C} \setminus \mathcal{B}_X$, and denote by $B_\epsilon(c)$ the disc in $\mathbb{C}$ centered at c with radius $\epsilon > 0$. Since c is not a bifurcation point, the map $l|_X : X \to \mathbb{C}$ is a fiber bundle near $c \in \mathbb{C}$. Thus, for ϵ sufficiently small, $l|_X^{-1}(c)$ is a deformation retract of $l|_X^{-1}(B_\epsilon(c))$.

By Theorem 2.7 and Lemma 2.6, the function $l|_{U_c} : U_c \to \mathbb{C}^*$ is a $\mathbb{C}^*$-valued holomorphic Morse function satisfying the Palais-Smale condition (PS3), where $U_c := X \setminus H_c$ is endowed with the Euclidean Hermitian metric induced from $\mathbb{C}^n$. By Lemma 2.5, the function $\log |l|_{U_c}| : U_c \to \mathbb{R}$ is a real-valued Morse function satisfying the condition (PS1). Moreover, the holomorphic function $l|_{U_c}$ and the real-valued function $\log |l|_{U_c}|$ have the same critical points and the index of all critical points of $\log |l|_{U_c}|$ is exactly d. It then follows from Theorem 2.3 that, for $\epsilon > 0$ sufficiently small, $l|_X^{-1}(B_{\frac{1}{\epsilon}}(c))$ is homotopy equivalent to $l|_X^{-1}(B_\epsilon(c))$ with finitely many d-cells attached. Moreover, the number of d-cells is equal to the number of critical points of $l|_{U_c}$, which is equal to the number of critical points of $l|_X$. For $\epsilon > 0$ sufficiently small so that $\mathcal{B}_X \subset B_{\frac{1}{\epsilon}}(c)$, we have that $l|_X^{-1}(B_{\frac{1}{\epsilon}}(c))$ is homotopy equivalent to X. Thus, the first two assertions follow from Theorem 2.3.

By the additivity of the Euler characteristics for complex algebraic varieties, we have

$$\chi(X \setminus H_c) = \chi(X) - \chi(X \cap H_c) = (-1)^d \cdot (\text{the number of critical points of } l|_X),$$

where the second equality follows from assertions (1) and (2). $\square$

Remark 3.2. The above proof also shows that, for general $c \in \mathbb{C}$, the complement $U_c = X \setminus H_c$ of a generic hyperplane section of X is obtained (up to homotopy) from a fiber bundle over $S^1 \simeq B_\epsilon(c) \setminus \{c\}$ with fiber homotopy equivalent to a finite CW-complex, by attaching finitely many d-cells. In particular, it follows as in [10, Section 2] that U_c satisfies a weak form of generic vanishing for rank-one local systems, and the number of d-cells (hence also the number of critical points of $l|_X$) equals (up to a sign) the middle Novikov (or L^2) Betti number of U_c corresponding to the homomorphism $l_* : \pi_1(U_c) \to \mathbb{Z}$ induced by l.

Remark 3.3. The assertion (1) of Theorem 3.1 is a special case of the *affine Lefschetz theorem*, see [7, Theorem 5], though the proof presented here is more elementary. See also Theorem 3.10 for a generalization of Theorem 3.1 to the singular context.

3.2. **Examples.** When $V = \mathbb{C}^n$ and $V \times V^\vee$ is the cotangent space of V, the *conormal variety* of a smooth codimension c variety X in V has defining equations given by the following. Let $(z_1, \ldots, z_n)$ denote the coordinates of V, $(u_1, \ldots, u_n)$ denote the coordinates of $V^\vee$, and let $\{f_1, \ldots, f_k\}$ denote a set of polynomials generating the ideal of X. If $\mathrm{Jac}(f_1, \ldots, f_k)$ denotes the $k \times n$ matrix of partial derivatives where the (i, j)th entry is given by $\partial f_i / \partial z_j$, then the ideal of the conormal variety is generated by $\langle f_1, \ldots, f_k \rangle$ and the collection of $(c+1) \times (c+1)$ minors of the $(k+1) \times n$ matrix $\begin{bmatrix} u_1, \ldots, u_n \\ \mathrm{Jac}(f_1, \ldots, f_k) \end{bmatrix}$.

Readers with an optimization background can compare these defining equations to those of the conormal variety of a projective variety presented in Chapter 5 of [4, p. 215].

Example 3.4. Let $X \subset V = \mathbb{C}^2$ be the zero locus of $f(x, y) = y - x^2$. Let u, v be the dual coordinates in $V^\vee$. The conormal variety $\mathcal{Z} \subset V \times V^\vee$ is defined by equations

$$y = x^2, \text{ and } u\frac{\partial f}{\partial y} - v\frac{\partial f}{\partial x} = 0,$$

that is, by

$$y = x^2, \text{ and } u - 2vx = 0.$$

In this case, the second projection $p|_{\mathcal{Z}} : \mathcal{Z} \to V^\vee$ is a birational map. It is finite and étale, i.e., an isomorphism in this case, over $U = \{v \neq 0\}$. Therefore, for any linear function of the form $l = \alpha x + y$, the function $l|_X$ is a holomorphic Morse function satisfying (PS2), as observed in the proof of Theorem 2.7.

When $l = \alpha x + y$, the function $l|_X$ has one critical point. On the other hand, since $X \cong \mathbb{C}$ and X has degree two, we have

$$\chi(X \setminus H_c) = \chi(X) - \chi(X \cap H_c) = 1 - 2 = -1.$$

Example 3.5. Let $X \subset V = \mathbb{C}^2$ be defined by the equation $x(x+1)y = 1$. Let u, v be the dual coordinates in $V^\vee$. Then the conormal variety $\mathcal{Z} \subset V \times V^\vee$ is defined by equations

$$x(x+1)y = 1, \text{ and } (2x+1)yv - x(x+1)u = 0.$$

The second projection $p|_{\mathcal{Z}} : \mathcal{Z} \to V^\vee$ is of degree four. The map is finite over $U' = \{v \neq 0\}$.

For a general linear function $l = \alpha x + \beta y$, the restriction $l|_X$ is equal to $\alpha x + \frac{\beta}{x(x+1)}$ on X. Since x is a coordinate function of X with $x \neq 0, 1$, we know that $l|_X$ has four

critical points. On the other hand, X is a curve of degree three, which is isomorphic to $\mathbb{C} \setminus \{0, 1\}$. Thus, $\chi(X \setminus H_c) = \chi(X) - \chi(X \cap H_c) = -1 - 3 = -4$.

The linear function $l = x$ has no critical point on X. The intersection $X \cap H_c$ consists of one or zero points depending on whether $c(c - 1) = 0$. Thus,

$$\chi(X \setminus H_c) = \chi(X) - \chi(X \cap H_c) = -1 \text{ or } -2.$$

The number of critical points of $l|_X$ is not equal to $(-1)^{\dim X} \chi(X \setminus H_c)$ in either case. We can also see that (PS2) fails in this case, because as $x \to 0$ and $y \to \infty$ in X, the function $l|_X$ is bounded and the norm $||dl|_X|| \to 0$.

Example 3.6. Let X be a curve in $\mathbb{C}^2$ defined by a general degree d polynomial $f(x, y) = 0$. In particular, X is smooth. For a general linear function $l = \alpha x + \beta y$ on $\mathbb{C}^2$, the critical points of $l|_X$ are defined by

$$f(x, y) = 0, \text{ and } \alpha \frac{\partial f}{\partial y} - \beta \frac{\partial f}{\partial x} = 0.$$

By Bezout's theorem, $l|_X$ has $d(d - 1)$ critical points.

On the other hand, the compactification $\overline{X}$ is a smooth curve of genus $g = \frac{(d-1)(d-2)}{2}$. Thus, $\chi(X) = (2 - 2g) - d = 2d - d^2$, and $\chi(X \setminus H_c) = \chi(X) - \chi(X \cap H_c) = d - d^2$.

Example 3.7. Let X be a smooth closed subvariety of $\mathbb{C}^n$ whose defining equation does not involve the last coordinate of $\mathbb{C}^n$. Then any linear function l on $\mathbb{C}^n$ involving the last coordinate has no critical point on X. On the other hand, the projection on the first $n - 1$ coordinates induces a $\mathbb{C}^*$-bundle structure on $X \setminus H_c$. Thus, $\chi(X \setminus H_c) = 0$.

3.3. **Euclidean distance degree.** In this section we give a topological interpretation of the Euclidean distance degree of an affine variety in terms of an Euler characteristic invariant. (Other such interpretations can be derived in the smooth setting by using Remark 3.2.) We begin with the following application of Theorem 3.1:

Theorem 3.8. *Let X be a smooth closed subvariety of $\mathbb{C}^n$, and let $z_1, \ldots, z_n$ be the coordinates of $\mathbb{C}^n$. For a general $\boldsymbol{\beta} = (\beta_0, \ldots, \beta_n) \in \mathbb{C}^{n+1}$, let $U_{\boldsymbol{\beta}}$ denote the complement of the hypersurface $\sum_{1 \le i \le n}(z_i - \beta_i)^2 + \beta_0 = 0$ in $\mathbb{C}^n$. Then*

$$(6) \qquad \qquad \mathrm{EDdeg}(X) = (-1)^{\dim X} \chi(X \cap U_{\boldsymbol{\beta}}).$$

Proof. Consider the closed embedding

$$i : \mathbb{C}^n \hookrightarrow \mathbb{C}^{n+1}; \quad (z_1, \ldots, z_n) \mapsto (z_1^2 + \cdots + z_n^2, z_1, \ldots, z_n).$$

Let $w_0, \ldots, w_n$ be the coordinates of $\mathbb{C}^{n+1}$. Notice that function $\sum_{1 \le i \le n}(z_i - \beta_i)^2 + \beta_0$ on $\mathbb{C}^n$ is equal to the pullback of the function

$$(7) \qquad \qquad w_0 + \sum_{1 \le i \le n} -2\beta_i w_i + \sum_{1 \le i \le n} \beta_i^2 + \beta_0$$

on $\mathbb{C}^{n+1}$. The theorem follows by applying Theorem 3.1(3) to the smooth affine variety $i(X) \subset \mathbb{C}^{n+1}$. $\qquad \square$

Remark 3.9. Notice that we translate the squared Euclidean distance function on $\mathbb{C}^n$ by $\beta_0 \in \mathbb{C}$ to ensure that (7) is a generic affine linear function on $\mathbb{C}^{n+1}$.

Let us next show how to compute the ED degree of a singular affine variety. We first remark that Theorem 3.1 is a special case of the following result.

Theorem 3.10. [17, Equation (2)] *Let X be an irreducible closed subvariety in $\mathbb{C}^n$. Let $l : \mathbb{C}^n \to \mathbb{C}$ be a general linear function, and let H_c be the hyperplane in $\mathbb{C}^n$ defined by the equation $l = c$ for a general $c \in \mathbb{C}$. Then the number of critical points of $l|_{X_{\mathrm{reg}}}$ is equal to $(-1)^{\dim X} \chi(\mathrm{Eu}_X|_{U_c})$, where $U_c = X \setminus H_c$ and Eu_X is the local Euler obstruction function on X.*

Theorem 3.10 can also be derived from [16, Theorem 1.2], by letting $\alpha := (-1)^{\dim X}\mathrm{Eu}_X$ and $k = 0$ in their formula (3) [2]. In fact, the paper [16] deals with a variant of non-proper Morse theory for complex affine varieties, which uses projective compactifications and transversality at infinity to conclude that there are no singularities at infinity in the context of stratified Morse theory (see also [19, Chapter 6]).

Furthermore, Theorem 3.10 can be used as in the proof of Theorem 3.8 to compute the ED degree of a (possibly) singular affine variety as follows.

Theorem 3.11. *Let X be an irreducible closed subvariety of $\mathbb{C}^n$. Then for a general $\boldsymbol{\beta} \in \mathbb{C}^{n+1}$ we have:*

$$(8) \qquad \mathrm{EDdeg}(X) = (-1)^{\dim X}\chi(\mathrm{Eu}_X|_{U_{\boldsymbol{\beta}}}).$$

Remark 3.12. If X is smooth, one has the identity $\mathrm{Eu}_X = 1_X$, so Theorem 3.8 is indeed a special case of Theorem 3.11.

4. Application to computer vision

In this section, we use Theorem 3.8 to determine the ED degree of a variety coming from computer vision.

We consider a *camera* as a 3×4 matrix of full rank that defines a linear map from $\mathbb{P}^3$ to $\mathbb{P}^2$ sending a point $y \in \mathbb{P}^3$ to its image $A \cdot y \in \mathbb{P}^2$. This map is well-defined everywhere except at the kernel of A. This kernel corresponds to a point P_i in $\mathbb{P}^3$ that is called the *center of the camera*. The *multiview variety* Y_n associated to n cameras $A_1, A_2, \ldots, A_n$ is the closure of the image of the map

$$\mathbb{P}^3 \dashrightarrow (\mathbb{P}^2)^n, \quad y \mapsto (A_1 \cdot y, \ldots, A_n \cdot y).$$

Consider a general affine chart $\mathbb{C}^{2n}$ of $(\mathbb{P}^2)^n$. This amounts to choosing a general affine chart $\mathbb{C}^2$ of each $\mathbb{P}^2$. Define the *affine multiview variety* X_n to be the restriction of Y_n to this chart, i.e., $X_n = Y_n \cap \mathbb{C}^{2n}$.

Problem 2. If each camera A_i is given by a 3×4 matrix of real numbers, then the data

$$\boldsymbol{\alpha} = (v_1, w_1, v_2, w_2, \ldots, v_n, w_n) \in \mathbb{R}^{2n}$$

represents n noisy images (v_i, w_i) of a point in $\mathbb{R}^3$ taken by the n cameras. The problem of minimizing the squared Euclidean distance function $f_{\boldsymbol{\alpha}}$ on X_n is called *n-view triangulation* in the computer vision literature.

---

[2]We are grateful to J. Schürmann for bringing the references [17], [19] and [16] to our attention.

The motivation for paper [5] was to study the ED degree of X_n and solve n-view triangulation (see also [2, 9, 18]). Our main application of Theorem 3.8 gives a closed form expression for the ED degree of X_n when the cameras are in general position:

$$\text{(9)} \qquad \qquad \text{EDdeg}(X_n) = \frac{9}{2}n^3 - \frac{21}{2}n^2 + 8n - 4.$$

This formula was conjecture in [5, Example 3.3] and it agrees with the computations done in [18] with $n = 2, 3, \ldots, 7$.

The center of a camera $P \in \mathbb{P}^3$ defines a natural map $F_P : \text{bl}_P \mathbb{P}^3 \to \mathbb{P}^2$ where $\text{bl}_P \mathbb{P}^3$ is the blowup of $\mathbb{P}^3$ at P. Therefore, we have a proper map $F : \text{bl}_{P_1,\ldots,P_n} \mathbb{P}^3 \to (\mathbb{P}^2)^n$. For the remainder of this section we will assume that $n \geq 3$ and that the cameras are in general position. Notice that in this case F is a closed embedding. By definition, Y_n is equal to the image of F, and hence Y_n is isomorphic to $\text{bl}_{P_1,\ldots,P_n} \mathbb{P}^3$. Let $F_i : Y_n \to \mathbb{P}^2$ denote the projection of Y_n to the i-th factor of $(\mathbb{P}^2)^n$.

Now we are going to compute the ED degree of the affine multiview variety X_n. Since X_n is a smooth affine variety, by Theorem 3.8 we have that

$$\text{EDdeg}(X_n) = -\chi(X_n \cap U_{\boldsymbol{\beta}}),$$

where $\boldsymbol{\beta} = (\beta_0, \beta_1, \ldots, \beta_{2n})$ is a general point in $\mathbb{C}^{2n+1}$ and $U_{\boldsymbol{\beta}}$ is the complement of the hypersurface $\sum_{1 \leq i \leq 2n}(z_i - \beta_i)^2 + \beta_0 = 0$ in $\mathbb{C}^{2n}$.

Write each $\mathbb{P}^2$ as $\mathbb{C}^2 \cup \mathbb{P}^1_\infty$, where $\mathbb{C}^2$ is the chosen affine chart and $\mathbb{P}^1_\infty$ is the line at infinity. Denote the hypersurface $\mathbb{P}^2 \times \cdots \times \mathbb{P}^2 \times \mathbb{P}^1_\infty \times \mathbb{P}^2 \times \cdots \times \mathbb{P}^2$ in $(\mathbb{P}^2)^n$ by $H_{\infty,i}$, where $\mathbb{P}^1_\infty$ is the i-th factor. Let $H_\infty = \bigcup_{1 \leq i \leq n} H_{\infty,i}$. Denote by H_Q the closure of the hypersurface $\sum_{1 \leq i \leq 2n}(z_i - \beta_i)^2 + \beta_0 = 0$ in $(\mathbb{P}^2)^n$. In the remaining of this proof, we will use the following notations:

$$D_Q := Y_n \cap H_Q, \quad D_{\infty,i} := Y_n \cap H_{\infty,i}, \quad D_\infty := Y_n \cap H_\infty.$$

Notice that H_∞ is the complement of the affine chart $\mathbb{C}^{2n}$ in $(\mathbb{P}^2)^n$, thus D_∞ is the complement of X_n in Y_n.

The main result of this section is the following.

Theorem 4.1. *Suppose the blowup points in $\mathbb{P}^2$, the affine chart in each $\mathbb{P}^2$ and the $\boldsymbol{\beta} \in \mathbb{C}^{2n+1}$ are general. Then*

(1) $\chi(Y_n) = 2n + 4$;

(2) $\chi(D_Q) = 4n^3 - 9n^2 + 9n$;

(3) $\chi(D_\infty) = \frac{n^3}{6} - \frac{3n^2}{2} + \frac{16n}{3}$;

(4) $\chi(D_Q \cap D_\infty) = -\frac{n^3}{3} + \frac{13n}{3}$.

Since the Euler characteristic is additive in the complex algebraic setting, Theorem 4.1 then yields:

$$
\begin{aligned}
\chi(X_n \cap U_{\boldsymbol{\beta}}) &= \chi(X_n \setminus D_Q) \\
&= \chi\big(Y_n \setminus (D_\infty \cup D_Q)\big) \\
&= \chi(Y_n) - \chi(D_Q) - \chi(D_\infty) + \chi(D_Q \cap D_\infty) \\
&= -\frac{9}{2}n^3 + \frac{21}{2}n^2 - 8n + 4.
\end{aligned}
$$

Therefore, equation (9) follows from Theorem 4.1 and Theorem 3.8.

The rest of this section will be devoted to prove the above theorem. We will first prove the statements (1), (3), (4).

Proof of Theorem 4.1 (1), (3), (4). We start with statement (1). Since Y_n is isomorphic to the blowup of n points in $\mathbb{P}^3$, and since blowing up each point increases the Euler characteristic by 2, (1) follows.

Next, we prove (3). Denote the blowup map by $\pi : Y_n \to \mathbb{P}^3$, and let $E_i = \pi^{-1}(P_i)$ be the divisor in Y_n corresponding to blowing up P_i. By construction, $Y_n \cap H_{\infty,i}$ is the preimage of a general hyperplane in $\mathbb{P}^2$ under the projection $F_i : Y_n \to \mathbb{P}^2$. In particular, $D_{\infty,i} = Y_n \cap H_{\infty,i}$ does not intersect E_j for $j \neq i$. Thus, $D_{\infty,i}$ is isomorphic to the blowup of $\mathbb{P}^2$ at a point, and $\pi(D_{\infty,i})$ is a general hyperplane in $\mathbb{P}^3$ passing through P_i. Therefore, for any distinct pair $i, j \in \{1, \ldots, n\}$, the intersection $D_{\infty,i} \cap D_{\infty,j} = Y_n \cap H_{\infty,i} \cap H_{\infty,j}$ is isomorphic to $\mathbb{P}^1$. For any distinct triple $i, j, k \in \{1, \ldots, n\}$, the intersection $D_{\infty,i} \cap D_{\infty,j} \cap D_{\infty,k} = Y_n \cap H_{\infty,i} \cap H_{\infty,j} \cap H_{\infty,k}$ consists of a point. The intersections of Y_n with four or more hyperplanes at infinity are empty. Altogether, we have:

- $\chi(D_{\infty,i}) = 4$;
- $\chi(D_{\infty,i} \cap D_{\infty,j}) = 2$;
- $\chi(D_{\infty,i} \cap D_{\infty,j} \cap D_{\infty,k}) = 1$.

Thus, by the inclusion-exclusion principle for $D_\infty = \bigcup_{1 \leq i \leq n} D_{\infty,i}$, we get

$$\chi(D_\infty) = 4n - 2\binom{n}{2} + \binom{n}{3} = \frac{n^3}{6} - \frac{3n^2}{2} + \frac{16n}{3}.$$

To prove (4), we recall that H_Q is the closure of the affine hypersurface

$$(10) \qquad \sum_{1 \leq i \leq 2n} (z_i - \beta_i)^2 + \beta_0 = 0$$

in $(\mathbb{P}^2)^n$. We introduce homogeneous coordinates x_i, y_{2i-1}, y_{2i} with $z_{2i-1} = \frac{y_{2i-1}}{x_i}$ and $z_{2i} = \frac{y_{2i}}{x_i}$ for $1 \leq i \leq n$. Then the homogenization of (10), and hence the equation of H_Q, is

$$(11) \quad \big((y_1 - \beta_1 x_1)^2 + (y_2 - \beta_2 x_1)^2\big)x_2^2 \cdots x_n^2 + \cdots$$
$$+ x_1^2 \cdots x_{n-1}^2\big((y_{2n-1} - \beta_{2n-1}x_n)^2 + (y_{2n} - \beta_{2n}x_n)^2\big) + \beta_0 x_1^2 \cdots x_n^2 = 0,$$

and the hyperplane $H_{\infty,i}$ is defined by $x_i = 0$. Therefore,

$$(12) \quad H_{\infty,i} \cap H_Q =$$
$$\{y_{2i-1} + \sqrt{-1}y_{2i} = x_i = 0\} \cup \{y_{2i-1} - \sqrt{-1}y_{2i} = x_i = 0\} \cup \bigcup_{j \neq i}\{x_i = x_j = 0\}.$$

We next introduce the following notations:

$$K_i^+ := Y_n \cap \{y_{2i-1} + \sqrt{-1}y_{2i} = x_i = 0\}$$
$$K_i^- := Y_n \cap \{y_{2i-1} - \sqrt{-1}y_{2i} = x_i = 0\}$$
$$L_{i,j} := Y_n \cap \{x_i = x_j = 0\}, j \neq i.$$

By construction, K_i^+ is equal to $F_i^{-1}([0, -\sqrt{-1}, 1])$. Recall that $H_{\infty,i}$ is the strict trans-formation of a general hyperplane passing through P_i. In other words, $\pi(D_{\infty,i}) = \pi(Y_n \cap H_{\infty,i})$ is a general hyperplane in $\mathbb{P}^3$ passing through P_i. Then, $\pi(K_i^+)$ is a general line in $\pi(D_{\infty,i})$ passing through P_i. So is $\pi(K_i^-)$. Moreover, $\pi(D_{\infty,i}) \cap \pi(D_{\infty,j})$ is a line in $\mathbb{P}^3$, and it is isomorphic to $D_{\infty,i} \cap D_{\infty,j}$ via the map π. Therefore, we conclude the following:

(1) $K_i^\pm \cap K_j^\pm = \emptyset$, for $i \neq j$;
(2) $K_i^\pm \cap L_{i,j}$ consists of a point, for $j \neq i$;
(3) $K_i^\pm \cap L_{j,k} = \emptyset$, for distinct i, j, k;
(4) $L_{i,j} \cap L_{i,k} = L_{i,j} \cap L_{i,k} \cap L_{j,k}$ consists of a point, for distinct i, j, k;
(5) $L_{i,j} \cap L_{k,l} = \emptyset$, for distinct i, j, k, l;
(6) $K_i^\pm \cap L_{i,j} \cap L_{i,k} = \emptyset$, for distinct i, j, k, l.

Notice that

$$D_Q \cap D_\infty = Y_n \cap H_Q \cap H_\infty = \bigcup_i K_i^+ \cup \bigcup_i K_i^- \cup \bigcup_{i \neq j} L_{i,j}.$$

Thus, by the inclusion-exclusion principle, we have

$$\chi(D_Q \cap D_\infty) = 2n + 2n + 2\binom{n}{2} - 2n(n-1) - 2\binom{n}{3} = -\frac{n^3}{3} + \frac{13n}{3}.$$

$\square$

The computation of $\chi(D_Q)$ is more difficult, since D_Q is a hypersurface in Y_n with a one-dimensional singular locus. A general formula for computing the Euler characteristic of such singular hypersurfaces is given by the following result.

Theorem 4.2. [15], [11, Theorem 10.4.4] *Let X be a smooth complex projective variety, and let V be a very ample divisor in X. Let $V = \bigsqcup_{S \in \mathcal{S}} S$ be a Whitney stratification of X. Let W be another divisor on X that is linearly equivalent to V. Suppose W is smooth and W intersects V transversally in the stratified sense (with respect to the above Whitney stratification). Then we have*

(13) $$\chi(W) - \chi(V) = \sum_{S \in \mathcal{S}} \mu_S \cdot \chi(S \setminus W)$$

where μ_S is the Euler characteristic of the reduced cohomology of the Milnor fiber at any point $x \in S$.

The notion of Milnor fiber is essential in the study of hypersurface singularities. Here we give a precise definition of the constants μ_S, and we refer the readers to [11, Chapter 10] for more details. Choose any Whitney stratum $S \in \mathcal{S}$ and any point $x \in S$. In a sufficiently small ball $B_{\varepsilon,x}$ centered at x, the hypersurface V is equal to the zero locus of a holomorphic function f. The Milnor fiber of V at $x \in S$ is given by

$$F_x = B_{\varepsilon,x} \cap \{f = t\}$$

for $0 < |t| \ll \varepsilon$. The topological type of the Milnor fiber F_x is independent of the choice of the local equation f at x, and it is constant along the given stratum containing x; in

particular,

$$\mu_S := \sum_{k \geq 1} (-1)^k \dim \widetilde{H}^k(F_x; \mathbb{Q})$$

is a well-defined intrinsic invariant of the stratum S of V.

Remark 4.3. Note that only singular strata of V contribute to the right-hand side of formula (13) since the Milnor fiber at a smooth point is contractible.

We will prove Theorem 4.1 (2) in the following three steps.

(1) Compute the Euler characteristic of a smooth divisor in Y_n that is linearly equivalent to $D_Q = Y_n \cap H_Q$.
(2) Find the singular locus of D_Q and construct a Whitney stratification $\mathcal{S}$ of D_Q.
(3) Compute the constants μ_S for each (singular) stratum $S \in \mathcal{S}$.

In $(\mathbb{P}^2)^n$, the hypersurface H_Q is defined by the homogeneous equation (11). From this equation, it is clear that we have a linear equivalence of divisors in $(\mathbb{P}^2)^n$,

$$H_Q \equiv 2H_{\infty,1} + \cdots + 2H_{\infty,n}.$$

Recall that Y_n is a subvariety of $(\mathbb{P}^2)^n$ and F_i is the projection from Y_n to the i-th factor of $(\mathbb{P}^2)^n$. Thus, as divisors of Y_n, we have

$$D_Q \equiv 2Y_n \cap H_{\infty,1} + \cdots + 2Y_n \cap H_{\infty,n}$$
$$\equiv 2F_1^*(H_{\mathbb{P}^2}) + \cdots + 2F_n^*(H_{\mathbb{P}^2}),$$

where $H_{\mathbb{P}^2}$ denotes a line in $\mathbb{P}^2$. By the construction of Y_n and F_i,

$$F_i^*(H_{\mathbb{P}^2}) \equiv D_H - E_i$$

where D_H is the preimage of a general hyperplane of $\mathbb{P}^3$ under $\pi : Y_n \to \mathbb{P}^3$, and $E_i = \pi^{-1}(P_i)$. Therefore,

$$(14) \qquad D_Q \equiv 2nD_H - 2E_1 - \cdots - 2E_n.$$

Clearly, H_Q is a very ample divisor in $(\mathbb{P}^2)^n$, and hence the divisor D_Q in Y_n is also very ample. Therefore, a general divisor, denoted by D', in the linear system $\Gamma(Y_n, \mathcal{O}(D_Q))$ is smooth. Thus we have a short exact sequence of vector bundles on D',

$$(15) \qquad 0 \to T_{D'} \to T_{Y_n}|_{D'} \to N_{D'/Y_n} \to 0.$$

By the adjunction formula, we have

$$N_{D'/Y_n} \cong \mathcal{O}_{Y_n}(D')|_{D'}.$$

By (15) and the Whitney sum formula for the total Chern class, we have

$$c(T_{Y_n}|_{D'}) = c(T_{D'})c\big(\mathcal{O}_{Y_n}(D')|_{D'}\big)$$

or equivalently,

$$(16) \qquad c(T_{D'}) = \frac{c(T_{Y_n}|_{D'})}{(1 + [D'])|_{D'}}.$$

By the standard formula for the total Chern class of the projective space and of a blowup (see, e.g., [6, Example 3.2.11, Example 15.4.2]), we have

$$(17) \qquad c(T_{Y_n}) = (1 + [D_H])^4 + \sum_{1 \le i \le n} \left((1 + [E_i])(1 - [E_i])^3 - 1 \right).$$

Since $D_Q \equiv D'$, by (14), (16) and (17), we have
(18)

$$c(T_{D'}) = \left((1 + [D_H])^4 + \sum_{1 \le i \le n} \left((1 + [E_i])(1 - [E_i])^3 - 1 \right) \right) \cdot \left(1 + 2n[D_H] - 2 \sum_{1 \le i \le n} [E_i] \right)^{-1} \Bigg|_{D'}.$$

Since $[D_H] \cdot [E_i] = 0$ and $[E_i] \cdot [E_j] = 0$ for any $i \ne j$, (18) implies

$$(19) \qquad c_2(T_{D'}) = (4n^2 - 8n + 6)[D_H]^2 \big|_{D'}.$$

By the Gauss-Bonnet theorem, we have

$$(20) \qquad \chi(D') = \int_{D'} (4n^2 - 8n + 6)[D_H]^2 \big|_{D'} = \int_{Y_n} (4n^2 - 8n + 6)[D_H]^2 \cdot [D'].$$

Since $[D'] = 2n[D_H] - 2\sum_{1 \le i \le n}[E_i]$ and since $[D_H] \cdot [E_i] = 0$ for every i, we have

$$(21) \quad \int_{Y_n} (4n^2 - 8n + 6)[D_H]^2 \cdot [D'] = \int_{Y_n} (8n^3 - 16n^2 + 12n)[D_H]^3 = 8n^3 - 16n^2 + 12n.$$

In summary, we have proved the following result:

Proposition 4.4. *For a general divisor D' in the linear system $\Gamma(Y_n, \mathcal{O}(D_Q))$, we have*

$$(22) \qquad \chi(D') = 8n^3 - 16n^2 + 12n.$$

Recall that the hypersurface $H_Q \subset (\mathbb{P}^2)^n$ is defined by equation (11), which can be rewritten as

(23)
$$\left((y_1^2 + y_2^2) x_2^2 \cdots x_n^2 + \cdots + x_1^2 \cdots x_{n-1}^2 (y_{2n-1}^2 + y_{2n}^2) \right) + (\beta_1^2 + \cdots + \beta_{2n}^2 + \beta_0) x_1^2 \cdots x_{2n}^2$$
$$- 2 \left((\beta_1 y_1 + \beta_2 y_2) x_1 x_2^2 \cdots x_n^2 + \cdots + x_1^2 \cdots x_{n-1}^2 (\beta_{2n-1} y_{2n-1} + \beta_{2n} y_{2n}) x_n \right) = 0$$

If we consider y_{2i-1}, y_{2i} and x_i as sections of line bundles Y_n, then $D_Q = Y_n \cap H_Q$ is a general divisor in the linear system given by a subspace of

$$\Gamma(Y_n, \mathcal{O}(2nD_H - 2E_1 - \cdots - 2E_n))$$

generated by the sections

- $\left((y_1^2 + y_2^2) x_2^2 \cdots x_n^2 + \cdots + x_1^2 \cdots x_{n-1}^2 (y_{2n-1}^2 + y_{2n}^2) \right)$,
- $x_1^2 \cdots x_{2n}^2$,
- $y_{2i-1} x_i \cdot x_1^2 \cdots \widehat{x_i^2} \cdots x_n^2$ and $y_{2i} x_i \cdot x_1^2 \cdots \widehat{x_i^2} \cdots x_n^2$, where $\widehat{\cdot}$ means that the respective term is removed.

It is easy to see that the base locus of this linear system is equal to $\bigcup_{i<j} D_{\infty,i} \cap D_{\infty,j}$. By the Bertini theorem, D_Q is smooth away from its base locus. On the other hand, D_Q has multiplicity at least 2 along $\bigcup_{i<j} D_{\infty,i} \cap D_{\infty,j}$. Thus, we have proved the following:

Proposition 4.5. *The singular locus of D_Q is equal to*

$$\bigcup_{i<j} D_{\infty,i} \cap D_{\infty,j}.$$

Therefore, a Whitney stratification of D_Q must be a refinement of the stratification

$$D_Q = S_0 \sqcup \bigsqcup_{i<j} S_{i,j} \sqcup \bigsqcup_{i<j<k} S_{i,j,k},$$

with

$$S_0 = (D_Q)_{\mathrm{reg}} = D_Q \setminus \bigcup_{i<j} D_{\infty,i} \cap D_{\infty,j},$$

$$S_{i,j} = D_{\infty,i} \cap D_{\infty,j} \setminus \bigcup_{k\neq i, k\neq j} D_{\infty,i} \cap D_{\infty,j} \cap D_{\infty,k},$$

$$S_{i,j,k} = D_{\infty,i} \cap D_{\infty,j} \cap D_{\infty,k}.$$

Considering x_i, y_{2i-1}, y_{2i} as sections of line bundles on Y_n, then D_Q is defined as the zero section of (11). Generically along $S_{i,j}$, the divisor D_Q is analytically isomorphic to the product of a plane node and a disc, or, equivalently, the germ of $xy = 0$ at the origin of $\mathbb{C}^3$ with coordinates x, y, z. However, if a point in $S_{i,j}$ satisfies $(y_{2i-1} - \beta_{2i-1}x_i)^2 + (y_{2i} - \beta_{2i}x_i)^2 = 0$ or $(y_{2j-1} - \beta_{2j-1}x_j)^2 + (y_{2j} - \beta_{2j}x_j)^2 = 0$, then the germ of D_Q at that point is isomorphic to the Whitney umbrella, or, equivalently, the germ of $xy^2 = z^2$ at the origin of $\mathbb{C}^3$. When the choice of $\boldsymbol{\beta} = (\beta_1, \ldots, \beta_{2n})$ is general, the equation

$$\left((y_{2i-1} - \beta_{2i-1}x_i)^2 + (y_{2i} - \beta_{2i}x_i)^2\right)\left((y_{2j-1} - \beta_{2j-1}x_j)^2 + (y_{2j} - \beta_{2j}x_j)^2\right) = 0$$

defines 4 distinct points in $S_{i,j}$. Now, denote the subset consisting of these 4 points in $S_{i,j}$ by $S_{i,j}^1$, and denote its complement in $S_{i,j}$ by $S_{i,j}^0$. From the equation (11), it is easy to see that D_Q has the same singularity type along $S_{i,j}^0$. More precisely, by the above discussion, we know that along $S_{i,j}^0$, D_Q as a divisor in Y_n has a local equation given by $xy = 0$ for a suitable choice of local coordinates. Thus, locally along $S_{i,j}^0$, the triple $Y_n \setminus D_Q, D_Q \setminus S_{i,j}^0, S_{i,j}^0$ form a Whitney stratification. Since S_0 is the smooth locus of D_Q, the above analysis yields the following:

Proposition 4.6. *The stratification*

$$D_Q = S_0 \sqcup \bigsqcup_{i<j} S_{i,j}^0 \sqcup \bigsqcup_{i<j} S_{i,j}^1 \sqcup \bigsqcup_{i<j<k} S_{i,j,k}$$

is a Whitney stratification of D_Q.

Next, we will describe the singularity type of D_Q along each stratum, and compute the Euler characteristic of the reduced cohomology of the corresponding Milnor fiber. The divisor D_Q is smooth along S_0. We have argued above that along $S_{i,j}^0$, the divisor D_Q is locally isomorphic to the germ of $xy = 0$ at the origin of $\mathbb{C}^3$. Moreover, along $S_{i,j}^1$, the divisor D_Q is locally isomorphic to the germ of $xy^2 = z^2$ at the origin.

Near $S_{i,j,k}$, the holomorphic functions $x := \frac{x_i}{y_{2i}}, y := \frac{x_j}{y_{2j}}$ and $z := \frac{x_k}{y_{2k}}$ form a coordinate system of Y_n. Thus, locally D_Q is defined by an equation of holomorphic functions of

the form

(24) $$u_1 x^2 y^2 + u_2 x^2 z^2 + u_3 y^2 z^2 = u_4 x^2 y^2 z^2$$

where u_1, u_2, u_3, u_4 are locally nonvanishing holomorphic functions. After a coordinate change, equation (24) becomes

(25) $$x^2 y^2 + x^2 z^2 + y^2 z^2 = x^2 y^2 z^2.$$

Proposition 4.7. *Let μ_0, $\mu_{i,j}^0$, $\mu_{i,j}^1$ and $\mu_{i,j,k}$ be the Euler characteristic of the reduced cohomology of the Milnor fiber of D_Q along the strata S_0, $S_{i,j}^0$, $S_{i,j}^1$ and $S_{i,j,k}$, respectively. Then:*

   (1) $\mu_0 = 0$;
   (2) $\mu_{i,j}^0 = -1$;
   (3) $\mu_{i,j}^1 = 1$;
   (4) $\mu_{i,j,k} = 15$.

Proof. Since D_Q is smooth along S_0, assertion (1) follows from Remark 4.3. The Milnor fiber of D_Q along the stratum $S_{i,j}^0$ can be described as

$$\{(x,y,z) \in \mathbb{C}^3 \mid xy = 1\} \cong \mathbb{C}^* \times \mathbb{C},$$

hence it is homotopy equivalent to a circle. Thus, (2) follows.

Since $xy^2 - z^2$ is a graded homogeneous function, its local Milnor fiber at the origin is equal to the global Milnor fiber $F_t = \{xy^2 - z^2 = t\}$ in $\mathbb{C}^3$. The projection

$$F_t \to \mathbb{C}^2, (x,y,z) \mapsto (x,y)$$

is a proper degree 2 map, with ramification locus $\{xy = t\}$. Thus, the ramification locus is isomorphic to $\mathbb{C}^*$. Therefore,

$$\chi(F_t) = 2\chi(\mathbb{C}^2) - \chi(\mathbb{C}^*) = 2.$$

In other words, the reduced Euler characteristic is equal to 1, and hence (3) follows. In fact, it can easily be seen, as an application of the Thom-Sebastiani theorem, that the Milnor fiber of the Whitney umbrella at the origin is homotopy equivalent to the 2-sphere S^2, see, e.g., [11, Example 10.1.21].

Denote by B_ε the ball at the origin in $\mathbb{C}^3$ of radius $\epsilon > 0$. Define

$$G_t = \{x^2 y^2 + x^2 z^2 + y^2 z^2 - x^2 y^2 z^2 = t\} \cap B_\epsilon$$

Then for $0 < |t| \ll \varepsilon \ll 1$, G_t is homeomorphic to the Milnor fiber of D_Q at $S_{i,j,k}$. Notice that there exists a proper degree 8 map

$$\Phi : G_t \to \{xy + xz + yz - xyz = t\} \cap B_{\varepsilon^2}, (x,y,z) \mapsto (x^2, y^2, z^2).$$

Denote $\{xy + xz + yz - xyz = t\} \cap B_{\varepsilon^2}$ by G_t'. Then the map Φ is generically 8-to-1, and ramifies along the disjoint union

$$\big(G_t' \cap \{x = y = 0\}\big) \sqcup \big(G_t' \cap \{x = z = 0\}\big) \sqcup \big(G_t' \cap \{y = z = 0\}\big).$$

Over the ramification locus, the map Φ is 2-to-1. Since $G_t' \cap \{x = y = 0\}$ is a punctured disc, its Euler characteristic is zero. Thus we have

(26) $$\chi(G_t) = 8\chi(G_t').$$

Notice that the hypersurface $xy + xz + yz - xyz = 0$ has an isolated singularity at the origin in $\mathbb{C}^3$, so the corresponding Milnor fiber G'_t is homotopy equivalent to a bouquet of 2-spheres. The number of the 2-spheres in this bouquet is the Milnor number, which can easily be computed (by using the Jacobian ideal) to be 1. Therefore $G'_t \simeq S^2$, so $\chi(G'_t) = 2$, By equation (26), we get that $\chi(G_t) = 16$, and hence the Euler characteristic of the reduced cohomology of G_t is 15. $\qquad \square$

We can now complete the proof of Theorem 4.1.

Proof of Theorem 4.1 (2). We will apply Theorem 4.2 with $V = D_Q$ and $W = D'$, together with the Whitney stratification of Proposition 4.6 and the Milnor fiber calculations of Proposition 4.7.

By our construction, each stratum $S_{i,j,k}$ consists of a single point and each $S^1_{i,j}$ consists of 4 points. Each $S^0_{i,j}$ is equal to $D_{\infty,i} \cap D_{\infty,j}$ with $n + 2$ points removed: 4 points from $S^1_{i,j}$ and $n - 2$ points from $S_{i,j,k}$ ($k \neq i, j$). Since D' is chosen to be general, it does not intersect any of the zero-dimensional strata $S^1_{i,j}$ and $S_{i,j,k}$. The intersection $D_{\infty,i} \cap D_{\infty,j}$ is the preimage of a general line in $\mathbb{P}^3$ under the blowup map $\pi : Y_n \to \mathbb{P}^3$. Since as a divisor of Y_n,

$$D' \equiv 2nD_H - 2E_1 - \cdots - 2E_n$$

the divisor D' intersects the line $D_{\infty,i} \cap D_{\infty,j}$ transversally at $2n$ points. Thus, each $S^0_{i,j} \setminus D'$ is equal to a line $\mathbb{P}^1$ with $3n + 2$ points removed, and hence

$$(27) \qquad \chi(S^0_{i,j} \setminus D') = -3n.$$

Since each $S^1_{i,j}$ consists of 4 points and $S_{i,j,k}$ consists of one point, and since none of them is contained in D', we have

$$(28) \qquad \chi(S^1_{i,j} \setminus D') = 4 \text{ and } \chi(S_{i,j,k} \setminus D') = 1.$$

By plugging (27), (28), and the calculations of Proposition 4.7 into equation (13), we conclude that

$$\chi(D') - \chi(D_Q) = -\sum_{i<j} \chi(S^0_{i,j} \setminus D') + \sum_{i<j} \chi(S^1_{i,j} \setminus D') + \sum_{i<j<k} 15\chi(S_{i,j,k} \setminus D')$$

$$= 3n\binom{n}{2} + 4\binom{n}{2} + 15\binom{n}{3}$$

$$= 4n^3 - 7n^2 + 3n.$$

By using equation (22), we get that

$$(29) \qquad \chi(D_Q) = 4n^3 - 9n^2 + 9n,$$

thus proving assertion (2) of Theorem 4.1. $\qquad \square$

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Department of Mathematics, University of Wisconsin-Madison, 480 Lincoln Drive, Madison WI 53706-1388, USA.
  E-mail address: maxim@math.wisc.edu
  URL: https://www.math.wisc.edu/~maxim/

Department of Mathematics, University of Wisconsin-Madison, 480 Lincoln Drive, Madison WI 53706-1388, USA.
  E-mail address: jose@math.wisc.edu
  URL: http://www.math.wisc.edu/~jose/

Department of Mathematics, University of Wisconsin-Madison, 480 Lincoln Drive, Madison WI 53706-1388, USA.
  E-mail address: wang@math.wisc.edu
  URL: http://www.math.wisc.edu/~wang/