

Singularities and Intersection Spaces: Theory and Application

Markus Banagl

University of Heidelberg

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Core topic of this talk

New perspective on Poincaré duality for singular spaces

X^n : compact, oriented pseudomanifold, singular set Σ , only strata of even codimension.

L^2 -cohomology (Cheeger):

- ▶ $X - \Sigma$ equipped with conical Riemannian metric.
- ▶ $\Omega_{(2)}^*(X - \Sigma) = \{\omega \mid \int \omega \wedge *\omega < \infty, \int d\omega \wedge *d\omega < \infty\}$.
- ▶ $H_{(2)}^*(X) := H^*(\Omega_{(2)}^*(X - \Sigma), d)$.
- ▶ $H_{(2)}^i(X) \otimes H_{(2)}^{n-i}(X) \rightarrow \mathbb{R}$ nondegenerate.
- ▶ $\Omega_{(2)}^*(X - \Sigma)$ is no DGA w.r.t. \wedge .

Intersection homology (Goresky, MacPherson)

- ▶ Perversity \bar{p} : $\bar{p}(2) = 0$, $\bar{p}(k) \leq \bar{p}(k+1) \leq \bar{p}(k) + 1$.
- ▶ $IC_*^{\bar{p}}(X) \subset C_*(X)$: The larger the codim. k of a stratum, the more a chain is allowed to deviate from transversality.
- ▶ $IH_*^{\bar{p}}(X) := H_*(IC_*^{\bar{p}}(X), \partial)$.
- ▶ $\bar{p} + \bar{q} = (0, 1, 2, \dots)$: $IH_i^{\bar{p}}(X; \mathbb{Q}) \otimes IH_{n-i}^{\bar{q}}(X; \mathbb{Q}) \rightarrow \mathbb{Q}$ nondegenerate.
- ▶ $IC_{\bar{p}}^*(X)$ has no \bar{p} -internal product.

Chain level \rightarrow Space level

- ▶ E_* homology theory (Eilenberg-Steenrod.)
- ▶ Burdick, Conner, Floyd:
 $E_* = \text{homology of a chain functor} \Rightarrow E_*$ trivial.
- ▶ Exple: Bordism does not come from a chain functor.

Chain level \rightarrow Space level

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 $E_* = \text{homology of a chain functor} \Rightarrow E_*$ trivial.
- ▶ Exple: Bordism does not come from a chain functor.
- ▶ X^n compact, oriented pseudomfd., perversity \bar{p} .
- ▶ **Program:**

$$\begin{array}{ccccc} X & \rightsquigarrow & I^{\bar{p}}X & \rightsquigarrow & HI_*^{\bar{p}}(X) := H_*(I^{\bar{p}}X) \\ \text{Space} & & \text{Space} & & \end{array}$$

- ▶ Poincaré Duality: $\tilde{H}_i(I^{\bar{p}}X; \mathbb{Q}) \otimes \tilde{H}_{n-i}(I^{\bar{q}}X; \mathbb{Q}) \rightarrow \mathbb{Q}$
nondegenerate.

Call the $I^{\bar{p}}X$ *intersection spaces* of X . (IX for $\bar{p} = \text{middle}$.)

Construction of Intersection Spaces

$$X^n = M^n \cup_{\partial M=L} \text{cone}(L).$$

- ▶ **Def.** A *stage k Moore approximation* of a CW-complex L is a CW-complex $L_{<k}$ with a map $f : L_{<k} \rightarrow L$ such that $f_* : H_r(L_{<k}) \cong H_r(L)$ for $r < k$ and $H_r(L_{<k}) = 0$ for $r \geq k$.
- ▶ P. Hilton: Exists if L is simply connected.
- ▶ Set $k = n - 1 - \bar{p}(n)$.
- ▶ $I^{\bar{p}}X := \text{cone}(L_{<k} \xrightarrow{f} L = \partial M \hookrightarrow M)$.
- ▶ Attempt fiberwise Moore approximation for nonisolated singularities (\rightarrow obstructions).

Present Existence and Duality Results

- ▶ **Thm.** (-) $I^{\bar{P}}X$ with duality exists for X with isolated singularities and simply connected links.
- ▶ **Thm.** (-) $I^{\bar{P}}X$ with duality exists for depth 1 nonisolated singularities with trivializable link bundle and simply conn. links.
Exple. Buoncristiano-Rourke-Sanderson's *framified sets* (Stone stratification, all block bundles trivialized).
- ▶ **Thm.** (Florian Gaisendrees) $I^{\bar{P}}X$ with duality exists for depth 1 twisted link bundles, spherical singular sets, simply conn. links without odd-dimensional homology, and cellular action of structure group.
- ▶ **Thm.** (-) $HI_{\bar{P}}^*(X; \mathbb{R})$ exists for depth 1 flat link bundles with structure group acting isometrically.

Internal Algebraic Structure

- ▶ Trivially have DGA $(C^*(I^{\bar{p}}X), d, \cup)$.
- ▶ For every \bar{p} : $HI_{\bar{p}}^i(X) \otimes HI_{\bar{p}}^j(X) \rightarrow HI_{\bar{p}}^{i+j}(X)$.
- ▶ Consequently: $HI^* \not\cong IH^*$!
- ▶ Squaring operations $Sq^i : HI_{\bar{p}}^j(X; \mathbb{Z}/2) \rightarrow HI_{\bar{p}}^{j+i}(X; \mathbb{Z}/2)$.

Theorem

For $\dim X = n \equiv 0(4)$, the intersection forms on $HI_{n/2}(X)$ and $IH_{n/2}(X)$ agree in the Witt-group $W(\mathbb{Q})$ of the rationals (symmetr. nondegenerate bilinear forms).

Generalized homology theories and intersection spaces

$$E \text{ spectrum} \rightsquigarrow EI_*^{\bar{P}}(X) := E_*(I^{\bar{P}}X).$$

Theorem (J. F. Adams)

Let E be a ring spectrum and M be a closed, E^* -oriented manifold with orientation class $[M]_E \in E^n(M \times M, M \times M - \Delta)$. Then

$$E_i(M) \cong E^{n-i}(M), \quad x \mapsto [M]_E/x.$$

Theorem (M. Spiegel)

Let K be complex K -theory and X a compact, K^* -oriented pseudomanifold with isolated singularities. If $H_*(\text{Links})$ is torsion-free, then

$$KI_i^{\bar{P}}(X) \cong KI_q^{n-i}(X) \quad (\textit{integrally}).$$

Fails for $\text{Tors } H_*(\text{Links}) \neq 0$, and for KO .

De Rham Description

- ▶ $X \supset \Sigma$ (2 strata, oriented).
- ▶ Assumptions: link bundle $L^m \rightarrow E \rightarrow \Sigma$ flat, L Riemannian, structure group acts isometrically.
- ▶ Flat link bundles arise in:
 - ▶ Foliated stratified spaces (M. Saralegi-Aranguren, R. A. Wolak),
 - ▶ Reductive Borel-Serre compactifications of locally symmetric spaces (nilmanifold fibrations).
- ▶ Codifferential $d^* : \Omega^k(L) \rightarrow \Omega^{k-1}(L)$.
- ▶ Cotruncation
$$\tau_{\geq k} \Omega^*(L) = \cdots \rightarrow 0 \rightarrow \ker d^* \rightarrow \Omega^{k+1}(L) \rightarrow \Omega^{k+2}(L) \rightarrow \cdots$$

De Rham Description

- ▶ Use fiberwise cotruncation.
- ▶ $U \subset \Sigma$ open, small, $U \xleftarrow{\pi_1} U \times L \xrightarrow{\pi_2} L$, $k = m - \bar{p}(m + 1)$.

Definition

$\Omega_{\bar{p}}^*(X) \subset \Omega^*(X - \Sigma)$: Near the end of $X - \Sigma$, ω looks locally in the boundary direction like

$$\sum \pi_1^* \eta_i \wedge \pi_2^* \gamma_i,$$

$\eta_i \in \Omega^*(U)$, $\gamma_i \in \tau_{\geq k} \Omega^*(L)$.

- ▶ Invariantly defined by flatness, isometric action.
- ▶ Set $Hl_{\bar{p}, dR}^*(X) = H^*(\Omega_{\bar{p}}^*(X))$.

De Rham Description and DGA structure

Theorem (-)

1. For $\Sigma = \text{pt}$, $HI_{\bar{p},dR}^*(X) \cong \widetilde{HI}_{\bar{p}}^*(X; \mathbb{R})$.
2. Poincaré Duality:

$$HI_{\bar{p},dR}^i(X) \times HI_{\bar{q},dR}^{n-i}(X) \rightarrow \mathbb{R}, (\omega, \eta) \mapsto \int_{X-\Sigma} \omega \wedge \eta,$$

is nondegenerate.

3. $(\Omega_{\bar{p}}^*(X), d, \wedge)$ is a DGA.

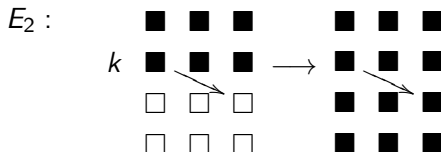
For 3, observe that $(\tau_{\geq k} \Omega^*(L), \wedge)$ is a subalgebra of $(\Omega^*(L), \wedge)$, but $\tau_{\leq k} \Omega^*(L)$ is not.

Application: Leray-Serre Spectral Sequence of Flat Bundles

$F \rightarrow E \rightarrow B$ flat, F Riemannian and orientable, structure group acts isometrically.

$\Omega_{\text{MS}}^* E \subset \Omega^* E$: multiplicatively structured forms as above, H^* -isom.

$\text{ft}_{\geq k} \Omega_{\text{MS}}^* E \subset \Omega_{\text{MS}}^* E$: fiberwise cotruncated forms as above.



Theorem (-)

The Leray-Serre spectral sequence with \mathbb{R} coefficients of a flat, smooth, isometrically structured fiber bundle of smooth, closed manifolds collapses at E_2 .

Flatness alone does **not** suffice! (Counterexamples, flat sphere bundles with nontrivial Euler class, \rightarrow Milnor).

Milnor: On the Existence of a Connection with Curvature Zero

As a consequence to the previous theorem, we obtain:

Corollary (Milnor 1958)

The sphere bundle with structure group $SO(n)$ over a smooth, closed manifold B induced by any homomorphism $\pi_1(B) \rightarrow SO(n)$ has trivial Euler class with real coefficients.

Though these results are of a topological nature, Milnor's proof relies on Chern-Weil theory.

Application: Equivariant Cohomology

Theorem (-)

- ▶ M be an oriented, closed, Riemannian manifold,
- ▶ G a discrete group, whose $K(G, 1)$ may be taken to be a closed, smooth manifold,
- ▶ isometric action of G on M ,
- ▶ Then:

$$H_G^k(M; \mathbb{R}) \cong \bigoplus_{p+q=k} H^p(G; \mathbf{H}^q(M; \mathbb{R})).$$

Expls. $G = \mathbb{Z}^n$, π_1 of closed manifolds with non-positive sectional curvature, surfaces other than $\mathbb{R}P^2$, infinite π_1 of irreducible, closed, orientable 3-manifolds, torsionfree discrete subgroups of almost connected Lie groups, certain groups arising from Gromov's hyperbolization technique.

Rem. Action need not be proper, M is usually not a G -CW-complex.

Analytic Description

An analytic description of HI^* remains to be found. Shall indicate a partial result.

- ▶ $X^n = M^n \cup_{\partial M} \text{cone}(\partial M)$.
- ▶ x a boundary-defining function on M , h a metric on ∂M .
- ▶ A Riemannian metric g on the interior N of M is a *scattering metric* if near ∂M it has the form

$$g = \frac{dx^2}{x^4} + \frac{h}{x^2}.$$

- ▶ $L^2\mathcal{H}^*(N, g) :=$ Hodge cohomology space of L^2 -harmonic forms on N .

Analytic Description

Theorem (Melrose, Hausel-Hunsicker-Mazzeo)

If g is a scattering metric, then there are natural isomorphisms

$$L^2\mathcal{H}^k(N, g) \longrightarrow \begin{cases} H^k(M, \partial M), & k < n/2, \\ \operatorname{Im}(H^k(M, \partial M) \rightarrow H^k(M)), & k = n/2, \\ H^k(M), & k > n/2. \end{cases}$$

Proposition (-, Hunsicker)

If N is endowed with a scattering metric g and the restriction map $H^{n/2}(M) \rightarrow H^{n/2}(\partial M)$ is zero (a “Witt-type” condition), then

$$HI^*(X) \cong L^2\mathcal{H}^*(N, g).$$

Algebraic Geometry

- ▶ Consider the Calabi-Yau quintic

$$V_s = \{z_0^5 + \dots + z_4^5 - 5(1+s)z_0 \cdots z_4 = 0\} \subset \mathbb{P}^4,$$

depending on a complex structure parameter s .

- ▶ V_s is smooth for small $s \neq 0$.
- ▶ $V = V_0$ has 125 isolated singularities.



i	$\text{rk } H_i(V_s)$	$\text{rk } H_i(V)$	$\text{rk } IH_i(V)$
2	1	1	25
3	204	103	2
4	1	25	25

- ▶ The table shows that neither ordinary homology nor intersection homology are stable under the smoothing of V .
- ▶ But:

$$\text{rk } Hl_2(V) = 1, \quad \text{rk } Hl_3(V) = 204, \quad \text{rk } Hl_4(V) = 1.$$

The Stability Theorem

Theorem (-, L. Maxim)

- ▶ V a complex algebraic projective hypersurface, $n = \dim_{\mathbb{C}} \neq 2$, one isolated singularity.
- ▶ V_s a nearby smooth deformation of V .
- ▶ Then:
 1. For all $i < 2n$, $i \neq n$, $H^i(V_s; \mathbb{Q}) \cong HI^i(V; \mathbb{Q})$.
 2. There is an isomorphism

$$H^n(V_s; \mathbb{Q}) \cong HI^n(V; \mathbb{Q})$$

iff the monodromy operator acting on H^ of the Milnor fiber is trivial.*

3. Regardless of monodromy,

$$\max\{\mathrm{rk} \, IH^n(V), \mathrm{rk} \, H^n(V_{\mathrm{reg}}), \mathrm{rk} \, H^n(V)\} \leq \mathrm{rk} \, HI^n(V) \leq \mathrm{rk} \, H^n(V_s)$$

and these bounds are sharp.

Mixed Hodge Structure

At least if $H_{n-1}(L; \mathbb{Z})$ is torsionfree, there is a map $IV \rightarrow V_s$ such that

$$\begin{array}{ccccc} V_{\text{reg}} & \longrightarrow & IV & \xrightarrow{\text{canon}} & V \\ & \searrow & \vdots & & \nearrow \\ & & V_s & & \end{array} \quad \begin{array}{l} \\ \\ \text{specialization} \end{array}$$

commutes. Induces the stability. \Rightarrow **Ring-isomorphism.**

Theorem (-, L. Maxim)

For trivial local monodromy, $H^(V; \mathbb{Q})$ can be endowed with mixed Hodge structures, so that $IV \rightarrow V$ induces homomorphisms of mixed Hodge structures $H^*(V; \mathbb{Q}) \rightarrow H^*(V; \mathbb{Q})$.*

Universality of Monodromy Condition

- ▶ \mathcal{C} a collection of pseudomanifolds containing all manifolds and cones on closed manifolds, closed under taking boundary.
- ▶ $\mathcal{H} : \mathcal{C} \rightarrow \mathbb{R}\text{-MOD}_*$ any deformation stable homology theory satisfying

$$\dim \mathcal{H}_i(\text{cone } X) \leq \dim \mathcal{H}_i(X), \quad X \in \mathcal{C} \text{ a closed manifold.}$$

- ▶ Then: If $X \in \mathcal{C}$ is a complex algebraic projective hypersurface of dimension at least 2 with one isolated singularity, then the monodromy operator of the singularity of X is trivial.

String theory

- ▶ World sheet \rightarrow target space $= M^4 \times X^6$.
- ▶ X should be a Calabi-Yau space. But which one?
- ▶ **Conifold Transition** is a means of navigating between Calabi-Yau mfd.
- ▶ “It appears that all Calabi-Yau vacua may be connected by conifold transitions.”
[J. Polchinski]

Definition

A *conifold* is (topologically) a compact oriented 6-dim. pseudomfd. S , that has only isolated singularities with link $S^2 \times S^3$.

Conifold Transition

1. *Deformation of the complex structure:*

- X_ϵ CY 3-fold, whose complex structure depends on a small complex parameter ϵ .
- For small $\epsilon \neq 0$: X_ϵ smooth.
- $\epsilon \rightarrow 0$: singular conifold S .
- All singularities are nodes; links $\cong S^2 \times S^3$.
- Topologically: S^3 -shaped cycles in X_ϵ are collapsed.

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2. *Small resolution:*

- $Y \rightarrow S$ replaces each node in S by $\mathbb{C}P^1$.
- Y is a Calabi-Yau mfd.

Conifold Transition:

$$X_\epsilon \rightsquigarrow S \rightsquigarrow Y.$$

Massless D-Branes.

- ▶ Z : 3-cycle in X_ϵ , which collapses to a node in S .
- ▶ In type IIB string theory: exists a charged 3-Brane that wraps around Z .
- ▶ $\text{Mass (3-Brane)} \propto \text{Vol}(Z)$.
- ▶ \Rightarrow 3-Brane becomes **massless** in S .

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- ▶ \mathbb{CP}^1 : 2-cycle in Y , which collapses to a node in S .
- ▶ In type IIA string theory: exists a charged 2-Brane that wraps around \mathbb{CP}^1 .
- ▶ $\text{Mass (2-Brane)} \propto \text{Vol}(\mathbb{CP}^1)$.
- ▶ \Rightarrow 2-Brane becomes **massless** in S .

Cohomology and massless states

Rule: Cohomology classes on X are manifested in 4 dimensions as massless particles.

- ▶ ω differential form on $T = M^4 \times X$.
- ▶ Necessary condition for ω to be physically realistic:

$$d^*d\omega = 0 \text{ ("Maxwell equation"),}$$

$$d^*\omega = 0 \text{ ("Lorentz gauge condition").}$$

- ▶ In particular, $\Delta_T\omega = 0$, $\Delta_T = dd^* + d^*d$ Hodge-de Rham Laplace operator on T .
- ▶ Decomposition

$$\Delta_T = \Delta_M + \Delta_X.$$

Cohomology and massless states

- ▶ Wave equation

$$(\Delta_M + \Delta_X)\omega = 0.$$

- ▶ Interpretation: Δ_X is a kind of “mass”-operator for 4-dimensional fields, whose eigenvalues are masses in $4D$.
- ▶ (Klein-Gordon equation $(\square_M + m^2)\omega = 0$ for a free particle.)
- ▶ For the zero-modes of Δ_X (the harmonic forms on X), one sees in the 4-dim. reduction massless fields.

Physical Requirements for Cohomology Theories

- ▶ IIA string theory: $\mathcal{H}_{\text{IIA}}^*(-)$
 - ▶ Should contain the aforementioned massless 2-Branes as cycles,
 - ▶ Poincaré Duality.
- ▶ IIB string theory: $\mathcal{H}_{\text{IIB}}^*(-)$
 - ▶ Should contain the aforementioned massless 3-Branes as cycles,
 - ▶ Poincaré Duality.

Theorem (-)

$\mathcal{H}_{\text{IIA}}^*(-) = IH^*$ and $\mathcal{H}_{\text{IIB}}^*(-) = HI^*$ are solutions.

Mirror Symmetry

- ▶ Mirror-map: Calabi-Yau $S \mapsto$ Calabi-Yau S° .
- ▶ IIB string theory on $\mathbb{R}^4 \times S \leftrightarrow$ IIA string theory on $\mathbb{R}^4 \times S^\circ$.
- ▶ For nonsingular S, S° ,

$$b_3(S^\circ) = (b_2 + b_4)(S) + 2, \quad b_3(S) = (b_2 + b_4)(S^\circ) + 2.$$

- ▶ Conjecture [Morrison]: The mirror of a conifold transition is again a conifold transition.

Theorem

If a singular Calabi-Yau 3-fold S arises in the course of a conifold transition $X \rightsquigarrow S \rightsquigarrow Y$ and if its mirror S° sits in the reverse conifold transition $Y^\circ \rightsquigarrow S^\circ \rightsquigarrow X^\circ$, then

$$\begin{aligned} \mathrm{rk} \, IH_3(S) &= \mathrm{rk} \, Hl_2(S^\circ) + \mathrm{rk} \, Hl_4(S^\circ) + 2, \\ \mathrm{rk} \, IH_3(S^\circ) &= \mathrm{rk} \, Hl_2(S) + \mathrm{rk} \, Hl_4(S) + 2, \\ \mathrm{rk} \, Hl_3(S) &= \mathrm{rk} \, IH_2(S^\circ) + \mathrm{rk} \, IH_4(S^\circ) + 2, \text{ and} \\ \mathrm{rk} \, Hl_3(S^\circ) &= \mathrm{rk} \, IH_2(S) + \mathrm{rk} \, IH_4(S) + 2. \end{aligned}$$