

# AMENABLE GROUPS AND RANDOMNESS

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# The Setting

- ▶  $A$  – a countable alphabet.
- ▶  $G$  – a computable group.
- ▶  $A^G$  – the set of functions from  $G$  to  $A$ .

Place the product topology on  $A^G$ .

## Question:

Can we transfer the theory of algorithmic randomness, particularly prefix-free complexity to  $A^G$ ?

# The Uninteresting Part

- ▶ Fix an isomorphism between  $G$  and  $\mathbb{N}$ .
- ▶ This gives a homeomorphism between  $A^G$  and  $A^{\mathbb{N}}$ .
- ▶ Transfer the theory via this homeomorphism.
- ▶ Use this to define 1-randomness.

Uninteresting because this ignores the group structure of  $G$ . But where exactly does the groups structure come in?

Let's postpone the answer to this question until after we look at initial segment complexity.

# Approximation Sequences

Call  $(F_i)_{i \in \mathbb{N}}$  an *approximation sequence* to  $G$  if

- ▶ Each  $F_i$  is a finite subset of  $G$ .
- ▶ Each element of  $G$  is contained in all but finitely many  $F_i$ .

Example

- ▶ An approximation sequence to  $\mathbb{Z}$  is given by  $F_i = [-i, \dots, i]$ .
- ▶ If  $G$  is finitely generated, we can take

$$F_n = \{g \in G : g = s_1 \dots s_n \text{ where each } s_i \\ \text{is a generator or its inverse or } 1_G\}.$$

If  $x \in A^G$  and  $(F_i)_{i \in \mathbb{N}}$  is an approximation sequence to  $G$ , then we will think of the “initial segments” of  $x$  as being  $x \upharpoonright_{F_0}, x \upharpoonright_{F_1}, \dots$

## Initial Segment Complexity for Elements of $A^G$

- ▶ If  $x \upharpoonright_{F_n}$  is an initial segment of  $x$ , then what is its prefix free complexity?
- ▶ If  $\sigma \in A^{F_n}$ , then we can regard  $\sigma$  as a finite subset of  $G \times A$ .  $K(\sigma)$  can be defined to be the complexity of this finite subset.
- ▶ Note that given a description of  $\sigma$  we can uniformly compute the domain of  $\sigma$  as well as the values of  $\sigma(g)$  for each  $g$  in the domain.

# Dimension

We will use initial segment complexity to look at analogues of effective Hausdorff dimension and effective packing dimension for elements of  $A^{\mathbb{G}}$ .

## Definition

- ▶  $\dim(x) := \liminf_{n \rightarrow \infty} \frac{K(x \upharpoonright_{F_n})}{|F_n|}$
- ▶  $\text{Dim}(x) := \limsup_{n \rightarrow \infty} \frac{K(x \upharpoonright_{F_n})}{|F_n|}$

Note that this definition is dependent on the approximation sequence picked, but we will see that in certain cases we can remove this dependence.

# Group Actions as Dynamical Systems

- ▶ Let  $X$  be a set and let  $T : X \rightarrow X$  be an automorphism of  $X$ .
- ▶ We can regard this as  $\mathbb{Z}$  acting on  $X$  with the action defined by

$$a(n, x) = T^n(x).$$

We will use  $\cdot$  to denote the left-shift action of  $G$  on  $A^G$ . If  $g \in G$  and  $x \in A^G$ , then  $g \cdot x$  is the element of  $A^G$  is defined by

$$(g \cdot x)(h) = x(g^{-1}h).$$

# Invariance of Dimension

- ▶ Given a description of  $x \upharpoonright_{F_n}$  and  $g$ , how much more information do we need to determine  $(g \cdot x) \upharpoonright_{F_n}$ ?
- ▶ If  $h \in gF_n$  then we already know  $(g \cdot x)(h)$ .

$$[\text{Because } (g \cdot x)(h) = x(g^{-1}h).]$$

$$\text{Hence } K((g \cdot x) \upharpoonright_{F_n}) \leq K(x \upharpoonright_{F_n}) + K(g) + \lceil \log(A) \rceil |F_n \setminus gF_n|$$

$$\liminf_{n \rightarrow \infty} \frac{K((g \cdot x) \upharpoonright_{F_n})}{|F_n|} \leq \liminf_{n \rightarrow \infty} \frac{K(x \upharpoonright_{F_n})}{|F_n|} + \lceil \log(A) \rceil \liminf_{n \rightarrow \infty} \frac{|F_n \setminus gF_n|}{|F_n|}$$

If this last term tends to 0 then  $\dim(g \cdot x) \leq \dim(x)$ .



## Definition

An approximation sequence  $(F_i)_{i \in \mathbb{N}}$  to  $G$  is called a *Følner sequence* if for all  $g \in G$ ,

$$\lim_n \frac{|gF_n \Delta F_n|}{|F_n|} = 0.$$

If we define dimension using Følner sequences, then for all  $g \in G$  and all  $x \in A^G$

- ▶  $\dim(g \cdot x) = \dim(x)$
- ▶  $\text{Dim}(g \cdot x) = \text{Dim}(x)$

When does a group have a Følner sequence?

# Amenable Groups

## Theorem

*A countable group  $G$  has a Følner sequence if and only if it is amenable.*

## Definition

A group  $G$  is *amenable* if there exists a finitely additive measure  $\mu$  on the powerset of  $G$  such that  $\mu(G) = 1$  and for all  $g \in G$  and  $E \subseteq G$ ,  $\mu(gE) = \mu(E)$ .

## Theorem (Tarski)

*A group  $G$  is paradoxical if and only if it is not amenable.*

# Examples of Amenable Groups

- ▶ All abelian groups are amenable.
- ▶ All finitely generated groups of polynomial growth are amenable.
- ▶ Subgroups of amenable groups are amenable.
- ▶ If  $N$  is a normal subgroup of  $G$  and each of  $N$ ,  $G/N$  are amenable then so is  $G$

# Topological Entropy

- ▶ Let  $X$  be a closed subset of  $A^G$  that is also closed under the left shift action i.e.  $g \in G$  and  $x \in X$  implies  $g \cdot x \in X$ .
- ▶ As the mappings  $x \mapsto g \cdot x$  are continuous, we can consider  $X$  and the left shift as a topological dynamical system.
- ▶ Let  $X \upharpoonright_{F_n} = \{x \upharpoonright_{F_n} : x \in X\}$

## Definition

The *topological entropy* of  $X$  is denoted  $\text{ent}_T(X)$  and defined to be

$$\lim_{n \rightarrow \infty} \frac{\log |X \upharpoonright_{F_n}|}{|F_n|}.$$

# Topological Entropy and Dimension

## Theorem

*Let  $G$  be a computable amenable group and let  $X$  be a computable subshift of  $A^G$ . If for all  $x \in X$ ,  $\dim(x) \leq s$ , then  $\text{ent}_{\mathcal{T}}(X) \leq s$ .*

This implies that

$$\text{ent}_{\mathcal{T}}(X) = \inf_{Z \in 2^{\mathbb{N}}} \sup\{\dim^Z(x) : x \in X\}.$$

Hence  $\text{ent}_{\mathcal{T}}(X)$  is equal to the Hausdorff dimension of  $X$  (by Lutz, Mayordomo and Hitchcock).

- ▶ Case  $G$  is  $\mathbb{N}$  is due to Furstenberg.
- ▶ Case  $G$  is  $\mathbb{N}^d$  or  $\mathbb{Z}^d$  is due to Simpson (2014).
- ▶ Case  $G$  is an amenable group is new. (Dimension must be defined using an appropriate Følner sequence.)

# Ornstein and Weiss's Work

- ▶ Used to prove Shannon-McMillan-Brieman theorem for a subclass of amenable groups.
- ▶ After Lindenstrauss adapted their techniques to give a new proof for all countable amenable groups.
- ▶ Need to restrict to bi-invariant Følner sequences that are tempered

$$\left| \bigcup_{i \leq n} F_i^{-1} F_{n+1} \right| \leq b |F_{n+1}|$$

# Ergodic Group Actions

Let  $(X, \mathcal{X})$  be a measurable space and  $\mu$  a probability measure on this space. A group action  $a : G \times X \rightarrow X$  is *measure preserving* if

- ▶ For each  $g \in G$ ,  $x \mapsto a(g, x)$  is measurable.
- ▶ For each  $g \in G$  and  $E \in \mathcal{X}$ ,  $\mu(a(g, E)) = \mu E$ .

A measure preserving group action is *ergodic* if for all  $E \in \mathcal{X}$  and all  $g \in G$   $a(g, E) \subseteq E$  implies that  $\mu E = 0$  or  $\mu E = 1$ .

## Question

If  $a : G \times X$  is an ergodic action for  $(X, \mathcal{X})$ , and  $E \in \mathcal{X}$  is it true that for  $\mu$  almost all  $x \in X$ ,

$$\lim_{n \rightarrow \infty} \frac{|\{g \in F_n : a(g, x) \in E\}|}{|F_n|} = \mu E?$$

i.e. Does Birkhoff's ergodic theorem hold.

# Lindenstrass Theorem

- ▶ (Lindenstrass 1999) Birkhoff's ergodic theorem holds if  $G$  is an amenable group.
- ▶ Provided we use tempered bi-invariant Følner sequences.
- ▶ (Moriakov 2017) Has effectivised this proof and shown that it holds for all 1-random points.
- ▶ Lindenstrass also generalised the Shannon-McMillan-Breiman Theorem to amenable groups.



## Definition

Let  $P$  be a discrete probability measure on the countable set  $\{c_1, c_2, \dots\}$ . The *Shannon entropy* of  $P$  is defined by

$$H(P) = \sum_{i=1}^{\infty} -P(c_i) \log P(c_i).$$

“The expected length of an optimal prefix-free code.”

# Kolmogorov-Sinai Entropy

Let's return to the space  $A^G$  and the left shift action of  $G$  on  $A^G$ .

Let  $\mu$  be a measure on  $A^G$  such that left shift action is ergodic.

- ▶ If  $\sigma \in A^{F_n}$ , denote by  $[\![\sigma]\!]$  the set  $\{x \in A^G : x \upharpoonright_{F_n} = \sigma\}$ .
- ▶ Define  $H_n = \sum_{\sigma \in A^{F_n}} \mu[\![\sigma]\!] \cdot \log \frac{1}{\mu[\![\sigma]\!]}$ .
- ▶ The Kolmogorov-Sinai entropy of  $\mu$  is defined to be

$$h(\mu) = \lim_{n \rightarrow \infty} \frac{H_n}{|F_n|}.$$

## Theorem (Lindenstrass (1999))

Let  $\mu$  be an ergodic measure for the left-shift action on  $A^G$ . Let  $h$  be the Kolmogorov-Sinai entropy of  $(A^G, \cdot, \mu)$ . Let  $(F_n)$  be a tempered Følner sequence for  $G$ . Then for  $\mu$ -almost all  $x \in A^G$ .

$$\lim_n \frac{-\log \mu[x \upharpoonright_{F_n}]}{|F_n|} = h.$$

This is a simplified version of Lindenstrass's result.

# Effective Version

By ergodicity, there are  $h_u$  and  $h_l$  such that for  $\mu$  almost all  $x \in A^G$ ,

$$\dim(x) = h_l \quad \text{and} \quad \text{Dim}(x) = h_u.$$

## Theorem (Shannon-McMillan-Breiman effective version )

*Let  $G$  be a computable group and let  $\mu$  be a computable ergodic measure for the left-shift action on  $A^G$ . Let  $h$  be the Kolmogorov-Sinai entropy of  $(A^G, \cdot, \mu)$ . If dimension is defined using a tempered Følner sequence, then*

*If  $x$  is  $\mu$  1-random,  $\dim(x) = \text{Dim}(x) = h$ .*

The case that  $G$  is  $\mathbb{N}$  was proved by V'yugin (1998), Hoyrup (2013).

# Entropy as an Isomorphism Invariant

- ▶ Let  $A$  be an alphabet of size  $n$ . Call the system  $(A^G, \mu)$  where  $\mu$  is the product of uniform measures on  $A$ , the *full  $n$  shift over  $G$* .
- ▶ Kolmogorov-Sinai entropy originates in the proof that the full 2 shift over  $\mathbb{Z}$  is not isomorphic to the full 3 shift over  $\mathbb{Z}$ .
- ▶ In fact there is no factor map from the full 2 shift over  $\mathbb{Z}$  to the full 3 shift over  $\mathbb{Z}$ .
- ▶ Reason: factor maps must be decreasing in entropy.

## Theorem (Ornstein-Weiss)

*If  $G$  is infinite and amenable then the Kolmogorov-Sinai entropy classifies Bernoulli shifts over  $G$  up to isomorphism*

# Entropy for Non-Amenable Groups

- ▶ Bowen - Entropy for Free groups and then generalised to Sofic groups.
- ▶ Seward - Rokhlin entropy.

Future directions analyse these entropies from the perspective of algorithmic randomness.