

# Cost functions

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FRG workshop, Madison, May 2009

## 0.1 What cost functions are good for

- Cost functions are a great tool for analyzing certain classes of  $\Delta_2^0$  sets.
- Mostly, these classes are lowness properties such as being  $K$ -trivial, or strongly jump traceable.

*Cost functions help a lot to understand the following results* (I will shortly explain the notions involved).

- Each  $K$ -trivial set is Turing below a *c.e.*  $K$ -trivial set (Nies).
- Each null  $\Sigma_3^0$  class of ML-random sets has a simple Turing lower bound. Moreover, this lower bound is obtained via an injury-free construction (Hirschfeldt, Miller).
- *Each* strongly jump traceable c.e. set is Turing below *each*  $\omega$ -c.e. ML-random set (Greenberg, Nies).

## 1 Introduction to cost functions

### 1.1 Definition of cost functions

**Definition 1.** A cost function is a computable function

$$c : \mathbb{N} \times \mathbb{N} \rightarrow \{x \in \mathbb{Q} : x \geq 0\}.$$

We view  $c(x, s)$  as the cost of changing  $A(x)$  at stage  $s$ .

### 1.2 Obeying a cost function

Recall that  $A$  is  $\Delta_2^0$  iff  $A \leq_T \emptyset'$  iff  $A(x) = \lim_s A_s(x)$  for a computable approximation  $(A_s)_{s \in \mathbb{N}}$  (Limit Lemma).

**Definition 2.** The computable approximation  $(A_s)_{s \in \mathbb{N}}$  obeys a cost function  $c$  if  $\infty > \sum_{x,s} c(x, s) \llbracket x < s \ \& \ x \text{ is least s.t. } A_{s-1}(x) \neq A_s(x) \rrbracket$ . We write  $A \models c$  ( $A$  obeys  $c$ ) if some computable approximation of  $A$  obeys  $c$ .

Usually we use this to construct some auxiliary object of finite “weight”, such as a bounded request set (aka Kraft-Chaitin set), or a Solovay test.

### 1.3 Basic existence theorem

For a cost function  $c : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{Q}$ , let  $c(x) = \sup_s c(x, s)$ .

We say that  $c$  has the *limit condition* if  $\lim_x c(x) = 0$ .

**Theorem 3** (Various authors). *If a cost function  $c$  satisfies the limit condition, then some (promptly) simple set  $A$  obeys  $c$ .*

**Proof.** Let  $W_e$  be the  $e$ -th c.e. set. If  $W_e$  is infinite we want some  $x \in W_e$  to enter  $A$ . We define a computable enumeration  $(A_s)_{s \in \mathbb{N}}$  as follows. Let  $A_0 = \emptyset$ . For  $s > 0$ ,

$$\begin{array}{l|l}
 A_s = A_{s-1} \cup \{x : \exists e & \\
 W_{e,s} \cap A_{s-1} = \emptyset & \text{We haven't met } e\text{-th simplicity requirement.} \\
 x \in W_{e,s} & \text{We can meet it via } x. \\
 x \geq 2e & \text{We make } A \text{ co-infinite.} \\
 c(x, s) \leq 2^{-e} & \text{We ensure that } A \text{ obeys } c.
 \end{array}$$

### 1.4 An infinite number of visits to $K$ -Mart

Here is a real-life analog of the foregoing construction.

- We want to buy a shirt of each color  $e$  at  $K$ -Mart, provided that there is a sufficient number of shipments of that color from China.
- For the shirt of color  $e$  we can spend at most  $2^{-e}$ .
- Eventually, a sufficiently cheap shirt of color  $e$  will arrive, unless that color is discontinued.
- We will be able to buy all shirts that are not discontinued.
- We will spend at most  $\sum_e 2^{-e} = 2$  dollars in total.

## 2 Cost functions and $K$ -triviality

### 2.1 Machines and $K$

- All strings are binary. A *machine* is a partial recursive function  $M$  from strings to strings.
- $M$  is called *prefix free* if its domain is an antichain under the prefix relation of strings.
- There is a universal prefix-free machine  $\mathbb{U}$ .
- The prefix free version  $K(y)$  of descriptive string complexity (a.k.a. Kolmogorov complexity) is the length of a shortest  $\mathbb{U}$ -description of  $y$ :

$$K(y) = \min\{|\sigma| : \mathbb{U}(\sigma) = y\}.$$

- Also,  $K_s(y) = \min\{|\sigma| : \mathbb{U}(\sigma) = y \text{ in } s \text{ steps}\}$ .

## 2.2 Definition of $K$ -triviality

- For a string  $y$ , up to constants,  $K(|y|) \leq K(y)$ , since we can compute  $|y|$  from  $y$  (here we write numbers in binary).
- A set  $A$  is  $K$ -trivial if, for some  $b \in \mathbb{N}$

$$\forall n \ K(A \upharpoonright_n) \leq K(n) + b,$$

namely, the  $K$  complexity of all initial segments is minimal.

This is *opposite* to ML-randomness:

- $Z$  is ML-random if  $\exists d \forall n \ K(Z \upharpoonright_n) \geq n - d$  (Schnorr's Theorem). That is, all complexities  $K(Z \upharpoonright_n)$  are near the upper bound  $n + K(n)$ ;
- $Z$  is  $K$ -trivial if each  $K(Z \upharpoonright_n)$  has the minimal possible value  $K(n) \leq^+ 2 \log n$  (all within constants).

## 2.3 The cost function for $K$ -triviality

**Definition 4.** The standard cost function  $c_{\mathcal{K}}$  is given by

$$c_{\mathcal{K}}(x, s) = \sum_{x < w \leq s} 2^{-K_s(w)}.$$

We could also use  $c(x, s) = \text{Prob}[\{\sigma : \mathbb{U}_s(\sigma) \geq x\}]$ , the chance that the universal machine prints a string  $\geq x$  within  $s$  steps.

**Lemma 5.**  $c_{\mathcal{K}}$  satisfies the limit condition.

**Proof.** Given  $\epsilon \in \mathbb{N}$ , since  $\sum_w 2^{-K(w)} \leq 1$ , there is an  $x_0$  such that  $\sum_{w \geq x_0} 2^{-K(w)} < 2^{-\epsilon}$ . Hence  $c_{\mathcal{K}}(x, s) < 2^{-\epsilon}$  for all  $x \geq x_0$  and all  $s$ .

## 2.4 Cost function characterization of the $K$ -trivials

**Theorem 6** (Nies 05).  $A$  is  $K$ -trivial  $\Leftrightarrow$   
some computable approximation of  $A$  obeys  $c_{\mathcal{K}}$ .

' $\Leftarrow$ ' is not too hard.

' $\Rightarrow$ ' is also not too hard for c.e. sets. For  $\Delta_2^0$  sets, in contrast, ' $\Rightarrow$ ' needs a non-uniform method known as the *golden run*.

**Corollary 7.** For each  $K$ -trivial set  $A$ , there is a c.e.  $K$ -trivial set  $D \geq_T A$ .

$D$  is the *change set*  $\{\langle x, i \rangle : A(x) \text{ changes at least } i \text{ times}\}$ . One verifies that  $D$  obeys  $c_{\mathcal{K}}$  as well.

Actually this works for any cost function in place of  $c_{\mathcal{K}}$ !

## 2.5 The Machine Existence Theorem

We use this tool:

- A c.e. set  $L \subseteq \mathbb{N} \times \{0, 1\}^*$  is a *bounded request set* if

$$1 \geq \sum_{r,y} 2^{-r} \llbracket \langle r, y \rangle \in L \rrbracket.$$

- From a bounded request set  $L$ , one can (effectively) obtain a prefix free machine  $M$  such that

$$\forall r, y [\langle r, y \rangle \in L \Leftrightarrow \exists w (|w| = r \ \& \ M(w) = y)].$$

## 2.6 Cost function criterion for $K$ -triviality

**Lemma 8.** *Suppose a computable approximation  $(A_s)_{s \in \mathbb{N}}$  of a set  $A$  obeys the standard cost function  $c_{\mathcal{K}}(x, s) = \sum_{x < w \leq s} 2^{-K_s(w)}$ . Then  $A$  is  $K$ -trivial.*

**Proof.** We use the Machine Existence Theorem to implicitly build a prefix-free machine showing that  $A$  is  $K$ -trivial.

We may assume the total cost of  $A$ -changes is at most 1. We build a bounded request set. At stage  $s$  we enumerate the request

$$\langle K_s(w) + 1, A_s \upharpoonright_w \rangle$$

whenever  $w \leq s$  and

$$(a) K_s(w) < K_{s-1}(w), \text{ or } (b) K_s(w) < \infty \ \& \ A_{s-1} \upharpoonright_w \neq A_s \upharpoonright_w.$$

In either case, the implicitly built prefix-free machine provides a description of  $A_s \upharpoonright_w$  of length  $K_s(w)$ .

The total weight for (a) is at most  $\Omega/2$ . The total for (b) is at most  $1/2$ .

## 3 Basic properties of cost functions

We introduce monotonicity and give some examples.

We obtain some simple closure properties for the class of sets obeying a cost function

**Definition 9.** *A cost function  $c(x, s)$  is called **monotonic** if it is nonincreasing in  $x$  and nondecreasing in  $s$ . That is,  $c(x + 1, s) \leq c(x, s) \leq c(x, s + 1)$  for all  $x, s$ .*

**Exercise 10.** *Show that  $c_{\mathcal{K}}$  is monotonic.*

**Exercise 11.** *There is a computable enumeration  $(A_s)_{s \in \mathbb{N}}$  of  $\mathbb{N}$  in the order  $0, 1, 2, \dots$  (i.e., each  $A_s$  is an initial segment of  $\mathbb{N}$ ) such that  $(A_s)_{s \in \mathbb{N}}$  does not obey  $c_{\mathcal{K}}$ .*

**Exercise 12.** *Prove the converse of the Existence Theorem 3 for a monotonic cost function  $c$ : if an incomputable  $\Delta_2^0$  set  $A$  obeys  $c$ , then  $c$  satisfies the limit condition.*

### 3.1 The class $\{A: A \models c\}$

We say that  $Y$  is  $\omega$ -c.e. if  $Y(x) = \lim_s Y_s(x)$  with a computably bounded number of changes. Equivalently,  $Y \leq_{\text{wt}} \emptyset'$ . Let  $V_e$  be the  $e$ -th  $\omega$ -c.e. set (given by an index of a wtt reduction to  $\emptyset'$ ).

In the following let  $c$  be a monotonic cost function.

**Exercise 13.** (i) The index set  $\{e: V_e \models c\}$  is  $\Sigma_3^0$ .

(ii) If  $\forall x \exists s [c(x, s) > 0]$ , then  $A \models c$  implies that  $A$  is  $\omega$ -c.e.

For  $X \subseteq \mathbb{N}$  let  $2X$  denote  $\{2x: x \in X\}$ . Recall that  $A \oplus B = 2A \cup (2B + 1)$ .

**Exercise 14.**  $A \models c \ \& \ B \models c$  implies  $A \oplus B \models c$ .

### 3.2 Changing early is good

**Proposition 15** (Nies). Let  $c$  be a monotonic cost function. Suppose  $A \leq_{\text{ibT}} B$  and  $B \models c$ . Then  $A \models c$ .

The argument is fairly typical. We change  $A(x)$  as early as possible because earlier changes are cheaper.

For a computable approximation  $(E_s)$ , let  $\text{TC}((E_s), c)$  denote the total cost of changes. Let  $A = \Gamma^B$  where  $\Gamma$  is a Turing reduction with use bounded by the identity. We define a computable increasing sequence of stage  $(s(i))_{i \in \mathbb{N}}$  by  $s(0) = 0$  and

$$s(i+1) = \mu s > s(i) [\Gamma^B \upharpoonright_{s(i)} [s] \downarrow].$$

We define  $A_{s(k)}(x)$  for each  $k \in \mathbb{N}$ . Then we let  $A_s(x) = A_{s(k)}(x)$  where  $k$  is maximal such that  $s(k) \leq s$ .

Suppose  $s(i) \leq x < s(i+1)$ .

- Let  $A_{s(k)}(x) = v$  for  $k < i$  where  $v = \Gamma^B(x)[s(i+2)]$ .
- For  $k \geq i$ , let  $A_{s(k)}(x) = \Gamma^B(x)[s(k+2)]$ .

(Note that these values are defined.)

Clearly  $\lim_s A_s(x) = A(x)$ . We show

$$\text{TC}((A_s), c) \leq \text{TC}((B_t), c).$$

Suppose that  $A_{s(k)}(x) \neq A_{s(k)-1}(x)$ . Since the reduction is ibT, there is  $y \leq x$  such that  $B_t(y) \neq B_{t-1}(y)$  for some  $t$ ,  $s(k+1) < t \leq s(k+2)$ . Then  $c(x, s(k)) \leq c(y, t)$ .

## 4 Cost functions, Kučera's Theorem, Diamond Classes

- We consider pairs of sets  $A, Y$  such that  $A$  is c.e.,  $Y$  is ML-random, and  $A \leq_T Y$ .
- If  $Y \not\leq_T \emptyset'$ , then it is hard for  $A$  to get anything out of  $Y$ : the set  $A$  must be  $K$ -trivial (Hirschfeldt, Nies, Stephan 2007).

## 4.1 Kučera's Theorem

**Theorem 16** (Kučera 1986). *Let  $Y$  be  $\Delta_2^0$  and ML-random. Then there is a (promptly) simple set  $A \leq_T Y$ . Moreover, the use is bounded by the identity.*

Kučera actually proved this for any  $\Delta_2^0$  set computing a d.n.c. function. The recursion theorem is needed in the more general case.

To prove the theorem, we need a test concept that is equivalent to ML-tests.

- A Solovay test  $\mathcal{G}$  is given by an effective enumeration of strings  $\sigma_0, \sigma_1, \dots$ , such that

$$\sum_i 2^{-|\sigma_i|} < \infty.$$

- $Y$  passes  $\mathcal{G}$  if  $\sigma_i \not\leq Y$  for almost all  $i$ .

We want to meet the requirements

$$S_e : |W_e| = \infty \Rightarrow A \cap W_e \neq \emptyset.$$

*Construction.* At stage  $s$ , if  $S_e$  is not satisfied yet, see if there is an  $x$ ,  $2e \leq x < s$ , such that

$$x \in W_{e,s} - W_{e,s-1} \ \& \ \forall t_{x < t < s} Y_t \upharpoonright_e = Y_s \upharpoonright_e.$$

If so, put  $x$  into  $A$ . Put the string  $\sigma = Y_s \upharpoonright_e$  into  $\mathcal{G}$ . Declare  $S_e$  satisfied.

- Clearly  $A$  is (promptly) simple.
- To see that  $A \leq_T Y$ , choose  $s_0$  such that  $\sigma \not\leq Y$  for any  $\sigma$  enumerated into  $\mathcal{G}$  after stage  $s_0$ . Given an input  $x \geq s_0$ , using  $Y$  as an oracle, compute  $t > x$  such that  $Y_t \upharpoonright_x = Y \upharpoonright_x$ . Then  $x \in A \leftrightarrow x \in A_t$ . For if we put  $x$  into  $A$  at a stage  $s > t$  for the sake of  $S_e$  then  $x > e$ , so we list  $\sigma$  in  $\mathcal{G}$  where  $\sigma = Y_s \upharpoonright_e = Y \upharpoonright_e$ ; this contradicts the fact that  $\sigma \not\leq Y$ .

## 4.2 A proof of Kučera's Theorem using a cost function

Let  $c_Y(x, s) = 2^{-x}$  for each  $x \geq s$ . If  $x < s$ , and  $e < x$  is least such that  $Y_{s-1}(e) \neq Y_s(e)$ , let

$$c_Y(x, s) = \max(c_Y(x, s-1), 2^{-e}).$$

Since  $Y$  is  $\Delta_2^0$ , the cost function  $c_Y$  satisfies the limit condition.

**Fact 17** (Greenberg and Nies). *If the  $\Delta_2^0$  set  $A$  obeys  $c_Y$ , then  $A \leq_T Y$  with use function bounded by the identity.*

We build the Solovay test as follows. When  $A_{s-1}(x) \neq A_s(x)$  and  $c_Y(x, s) = 2^{-e}$ , we list the string  $Y_s \upharpoonright_e$  in  $\mathcal{G}$ . Since  $A$  obeys  $c_Y$ ,  $\mathcal{G}$  is indeed a Solovay test. Now as before one shows  $A \leq_T Y$  with use bounded by the identity.

Some promptly simple  $A$  obeys  $c_Y$ . So  $A \leq_T Y$ .

### 4.3 The arithmetical hierarchy for classes

- A  $\Pi_1^0$  class is of the form  $\{X : \forall y T(X \upharpoonright_y)\}$
- A  $\Sigma_2^0$  class is of the form  $\{X : \exists y_1 \forall y_2 V(y_1, X \upharpoonright_{y_2})\}$
- A  $\Pi_2^0$  class is of the form  $\{X : \forall y_1 \exists y_2 S(y_1, X \upharpoonright_{y_2})\}$ ,
- a  $\Sigma_3^0$  class is of the form  $\{X : \exists y_1 \forall y_2 \exists y_3 R(y_1, y_2, X \upharpoonright_{y_3})\}$ , where  $T, V, S$  and  $R$  are computable relations.

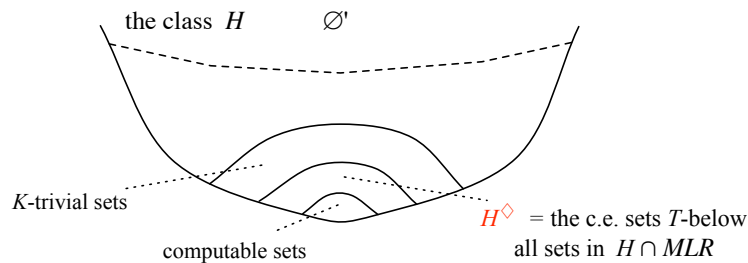
Examples:

- $\Pi_1^0$  means the complement of a c.e. open class.
- The class  $\text{MLR}$  is  $\Sigma_2^0$ .
- The class of c.e. sets is  $\Sigma_3^0$ .
- The class of computable sets is  $\Sigma_3^0$ .
- The class of cofinite sets is  $\Sigma_2^0$  and not  $\Pi_2^0$ .

### 4.4 Diamond Classes

For a null class  $\mathcal{H} \subseteq 2^{\mathbb{N}}$ , we define

$\mathcal{H}^\diamond =$  the c.e. sets  $A$  Turing below each ML-random set in  $\mathcal{H}$ .



- The larger  $\mathcal{H}$  is, the smaller is  $\mathcal{H}^\diamond$ .
- $\mathcal{H}^\diamond$  induces an ideal in the c.e. Turing degrees.
- If some ML-random set  $Y \not\leq_T \emptyset'$  is in  $\mathcal{H}$ , then  $\mathcal{H}^\diamond \subseteq K$ -trivial.

## 4.5 An existence Theorem

**Theorem 18** (Hirschfeldt/Miller). *For each null  $\Sigma_3^0$  class  $\mathcal{H}$ , there is a promptly simple set in  $\mathcal{H}^\diamond$ .*

For instance, there is a promptly simple set in  $(\omega\text{-c.e.})^\diamond$ .

- The theorem is proved by defining an appropriate cost function  $c_{\mathcal{H}}$  with the limit condition.
- Whenever a c.e. set  $A$  obeys  $c_{\mathcal{H}}$ , then  $A$  is in  $\mathcal{H}^\diamond$ .
- Now recall that some promptly set  $A$  obeys  $c_{\mathcal{H}}$ .

This implies that a ML-random set  $Y$  that is not weakly 2-random bounds an incomputable c.e. set: for  $\mathcal{H}$  choose a null  $\Pi_2^0$  class containing  $Y$ .

Kučera's Theorem is the special case where  $\mathcal{H} = \{Y\}$  for ML-random  $\Delta_2^0$  set  $Y$ . Note that this  $\mathcal{H}$  is  $\Pi_2^0$ .

## 4.6 The cost function $c_{\mathcal{H}}$

We may at first assume that  $\mathcal{H}$  is a  $\Pi_2^0$  class. That is,  $\mathcal{H} = \bigcap_x V_x$  where  $V_x$  is c.e. open uniformly in  $x$ , and  $V_x \supseteq V_{x+1}$ . Let

$$c_{\mathcal{H}}(x, s) = \lambda V_{x,s}.$$

We want to show that  $A \models c_{\mathcal{H}} \Rightarrow A \in \mathcal{H}^\diamond$ .

- Let  $Y \in \mathcal{H} \cap \text{MLR}$ . Intuitively, we enumerate a Turing functional  $\Gamma$  such that  $A = \Gamma^Y$ . At stage  $t$  we define  $\Gamma^Y(x) = A_t(x)$  for all  $Y$  in  $V_{x,t}$ . When  $A_s(x) \neq A_{s-1}(x)$  for  $s > t$ , we have to remove all those oracles by declaring them non-random.
- Thus, we enumerate the Solovay test  $\mathcal{G}$  as follows: when  $A_s(x) \neq A_{s-1}(x)$ , we enumerate  $V_{x,s}$  into  $\mathcal{G}$  (more precisely, we enumerate all strings  $\sigma$  of length  $s$  such that  $[\sigma] \subseteq V_{x,s}$ ).

Extending this to a  $\Sigma_3^0$  class  $\mathcal{H}$  is left as an exercise.

## 4.7 Adaptive cost functions

A cost function construction can only be regarded as *injury-free* if the underlying cost function is *non-adaptive*, that is, the cost at a stage  $s$  does not depend on  $A_{s-1}$ . The usual construction of a low simple set has the lowness requirements

$$L_e: \exists^\infty s J^A(e)[s-1] \downarrow \Rightarrow J^A(e) \downarrow.$$

The following adaptive cost function encodes the restraint imposed by  $L_e$ : if  $J^A(e)$  newly converges at stage  $s-1$ , then define

$$c(x, s) = \max\{c(x, s-1), 2^{-e}\}$$

for each  $x < \text{use } J^A(e)[s-1]$ . If  $A$  is enumerated in such a way that the total cost of changes is finite, then  $L_e$  is injured only finitely often, so that  $A$  is low.

In contrast, a cost function  $c$  given in advance cannot be used to simulate restraints.



## 4.8 Some cost functions

Cost function	Definition	Purpose	Ref.
$c_{\mathcal{K}}(x, s)$	$\sum_{x < w \leq s} 2^{-K_s(w)}$	characterize the $K$ -trivials	Def. 4
$c_Y(x, s)$	$= \max(c_Y(x, s-1), 2^{-e})$ where $Y_{s-1}(e) \neq Y_s(e)$	build a set below a $\Delta_2^0$ set $Y \in \text{MLR}$	Fact 17
$c_{\mathcal{H}}(x, s)$	$\lambda V_{x,s}$ , where $V_x$ is uniformly $\Sigma_1^0$ and $\mathcal{H} = \bigcap_x V_x$ is null	build a lower bound for $\mathcal{H} \cap \text{MLR}$	page 8
$c_{\mathbb{U},A}(x, s)$	$\sum_{\sigma} 2^{- \sigma } \llbracket \mathbb{U}^A(\sigma)[s-1] \downarrow \ \& \ x < \text{use } \mathbb{U}^A(\sigma)[s-1] \rrbracket$	build a set that is low for $K$	book

Recall that  $c(x) = \sup_s c(x, s)$ .

**Exercise 19.** For the cost functions  $c = c_{\mathcal{K}}$  and  $c = c_Y$  ( $Y \in \Delta_2^0$ ), describe  $c(x)$  by giving a simple expression.

## 5 Calculus of cost functions

### 5.1 Analogy with model theory

- A cost function  $c$  describes a class of  $\Delta_2^0$  sets: those sets with an approximation obeying the cost function.
- For instance, the standard cost function  $c_{\mathcal{K}}$  describes the  $K$ -trivial sets.
- This is somewhat similar to a sentence in some formal language describing a class of structures.
- “ $A$  obeys  $c$ ” means that  $A$  is a *model* of  $c$ .
- The *limit condition* behaves like *consistency*.  
Here we need to disregard the computable sets.
- If a cost function  $c$  has a model, it satisfies the limit condition. This is soundness.
- If  $c$  satisfies the limit condition, it has a model. This is like the completeness theorem.

### 5.2 The lower semilattice of cost functions

We introduce some relations and operations on *monotonic* cost functions. This corresponds to the Lindenbaum algebra on sentences.

For a cost function  $c(x, s)$ , recall that

$$c(x) = \sup_s c(x, s).$$

We may assume  $c(x)$  is finite for each  $x$  (otherwise only computable sets obey  $c$ ).

### 5.3 Implication of cost functions

For cost functions  $c, d$  we write  $c \longrightarrow d$  if  $A \models c$  implies  $A \models d$  for each  $\Delta_2^0$  set  $A$ . This is equivalent to  $d(x) = O(c(x))$ :

**Theorem 20** (Nies 2009). *Let  $c, d$  be cost functions. Suppose  $c$  satisfies the limit condition. Then*

$$c \longrightarrow d \Leftrightarrow \exists N \forall x [Nc(x) > d(x)].$$

*In particular, whether  $A \models c$  only depends on the function  $c(x)$ .*

“ $\Leftarrow$ ” needs a “changing early” construction similar to Prop.15. For “ $\Rightarrow$ ” we assume that the right hand side fails. We build a counterexample: a  $\Delta_2^0$  set  $A$  such that  $A \models c$  but  $A \not\models d$ .

Not sure whether  $A$  can be made c.e.

### 5.4 Relating $c_Y$ and $c_{\mathcal{K}}$

Let the  $\Delta_2^0$  set  $Y$  be ML-random. Recall that  $c_Y$  is the cost function for being  $\leq_T Y$ . (Note that  $c_Y$  actually depends on a computable approximation of  $Y$ .)

**Corollary 21.** *Let  $Y <_T \emptyset'$  be ML-random. Then  $c_Y \longrightarrow c_{\mathcal{K}}$ , and therefore  $c_{\mathcal{K}}(x) = O(c_Y(x))$ .*

**Proof.** Suppose  $A \models c_Y$ .

Let  $D \geq_T A$  be the change set of the given approximation of  $A$  as in Cor. 7. Then  $D \models c_Y$  and therefore  $D \leq_T Y$ .

Since  $D$  is c.e. and  $Y <_T \emptyset'$ ,  $D$  is a base for ML-randomness by a result of Hirschfeldt, Nies, and Stephan. Therefore  $D$ , and hence  $A$ , is  $K$ -trivial. Thus  $A \models c_{\mathcal{K}}$ .

### 5.5 Conjunction of cost functions

The conjunction is simply the sum.

**Theorem 22** (Nies 2009). *Let  $c, d$  be cost functions. Then*

$$A \models c \ \& \ A \models d \Leftrightarrow A \models c + d.$$

“ $\Leftarrow$ ” is trivial.

“ $\Rightarrow$ ” needs some work because we have to find a computable approximation of  $A$  that obeys both  $c$  and  $d$ .

## 6 Benign cost functions and strong jump traceability

### 6.1 Strongly jump traceable sets

- An *order function* is a function  $h : \mathbb{N} \rightarrow \mathbb{N}$  that is computable, nondecreasing, and unbounded.
- A *c.e. trace with bound  $h$*  is a uniformly c.e. sequence  $(T_x)_{x \in \mathbb{N}}$  such that  $|T_x| \leq h(x)$  for each  $x$ .
- Let  $J^A(e)$  be the value of the  $A$ -jump at  $e$ , namely,  $J^A(e) \simeq \Phi_e^A(e)$ .
- The set  $A$  is called *strongly jump traceable* if for *each* order function  $h$ , there is a c.e. trace  $(T_x)_{x \in \mathbb{N}}$  with bound  $h$  such that, whenever  $J^A(x)$  is defined, we have

$$J^A(x) \in T_x$$

(Figueira, Nies, Stephan, 2004).

- *SJT* will denote the class of c.e. strongly jump traceable sets.

(To define *jump traceability*, one merely requires that the tracing works for *some* bound  $h$ .)

### 6.2 SJT is a proper subclass of the c.e. $K$ -trivial sets

**Theorem 23** (Cholak, Downey, Greenberg 2006). *The c.e. strongly jump traceable sets form a proper subideal of the  $K$ -trivial sets.*

It is currently unknown what happens within the  $\Delta_2^0$  sets.

### 6.3 Comparing $K$ -trivial and *SJT*

*Within the c.e. sets:*

- Both classes are closed downward under  $\leq_T$ .
- Both classes are closed under  $\oplus$ .
- The c.e.  $K$ -trivials have a  $\Sigma_3^0$  index set;  
the (c.e.) SJTs have a  $\Pi_4^0$ -complete index set (Selwyn Ng).

*Outside the c.e. sets:*

- Each  $K$ -trivial is Turing-below a c.e.  $K$ -trivial.
- Currently we merely know that each strongly jump traceable set is *low* (Downey and Greenberg).

### 6.4 Benign cost functions

Let  $c(x, s)$  be a monotonic cost function, that is, nonincreasing in  $x$ , and nondecreasing in  $s$ . For  $\delta \in \mathbb{Q}^+$ , a  $\delta$ -*collection* is a set of pairwise disjoint intervals  $[x, s)$  such that  $c(x, s) \geq \delta$ .

The limit condition is equivalent to  $\forall \delta \exists x \forall s [c(x, s) < \delta]$ . This is equivalent to: *each  $\delta$ -collection is finite.*

**Definition 24.** *We say that the monotonic cost function  $c$  is benign if the cardinality of any  $\delta$ -collection is bounded computably in  $\delta$ .*

The standard cost function  $c_K$  is benign via the bound  $\delta \rightarrow 1/\delta$ .

## 6.5 Characterizing SJT via cost functions

- Cholak, Downey and Greenberg showed that SJT strictly implies  $K$ -trivial for c.e. sets.
- Greenberg and Nies reproved and extended this, using the language of cost functions.

**Theorem 25** (Greenberg and Nies, to appear). *Let  $A$  be c.e. Then  $A$  is strongly jump traceable  $\Leftrightarrow$*

*$A$  obeys each benign cost function.*

- In particular,  $A$  is  $K$ -trivial.
- A single benign cost function doesn't do it, because  $SJT$  has  $\Pi_4^0$  complete index set by a result of Selwyn Ng, while obeying a single cost function is  $\Sigma_3^0$ .
- We also prove directly that each benign cost function is obeyed by some c.e. set that is not strongly jump traceable.
- This gives a further proof that  $SJT$  is a proper subclass of the  $K$ -trivials.

For " $\Leftarrow$ " we have to define the right benign cost function to ensure tracing of  $J^A$  at order  $h$ .

The harder direction is " $\Rightarrow$ ". It uses the "box promotion method" of Cholak, Downey and Greenberg.

## 6.6 A lowness property and its dual highness property

- Recall that  $Z$  is *low* if  $Z' \leq_T \emptyset'$ , and  $Z$  is *high* if  $\emptyset'' \leq_T Z'$ .
- These classes are "too big": we have

$$(low)^\diamond = (high)^\diamond = \text{computable}.$$

(For instance,  $(high)^\diamond = \text{computable}$  because there is a minimal pair of high ML-random sets.)

- So we will try somewhat smaller classes, replacing  $\leq_T$  by the stronger truth-table reducibility  $\leq_{tt}$ .

**Definition 26** (Mohrherr 1986). *A set  $Z$  is superlow if  $Z' \leq_{tt} \emptyset'$ .  $Z$  is superhigh if  $\emptyset'' \leq_{tt} Z'$ .*

A random set can be superlow (low basis theorem). It can also be superhigh but Turing incomplete (Kuřera coding).

## 6.7 SJT is contained in the two diamond classes

- *Superlow* is a countable  $\Sigma_3^0$  class. *Superhigh* is contained in a null  $\Sigma_3^0$  class (Simpson).
- So via the Hirschfeldt/Miller cost function  $c_{\mathcal{H}}$  introduced to prove Theorem 18 we already know there is a promptly set in each of the corresponding diamond classes.
- Now we make such a cost function benign.

**Theorem 27** (Greenberg, Nies/ Nies). *Let  $\mathcal{H}$  be either superlowness or superhighness. Then  $SJT \subseteq \mathcal{H}^\diamond$ .*

For the proofs they build appropriate benign cost functions.

The superlow case we have done already: Each superlow set is  $\omega$ -c.e., and if  $Y$  is  $\omega$ -c.e. then  $c_Y$  is clearly benign.

For superhigh, Nies builds a c.f.  $c_\Gamma$  for each tt-reduction  $\Gamma$ . It deals with the ML-random sets  $Y$  such that  $\emptyset'' = \Gamma(Y')$ .

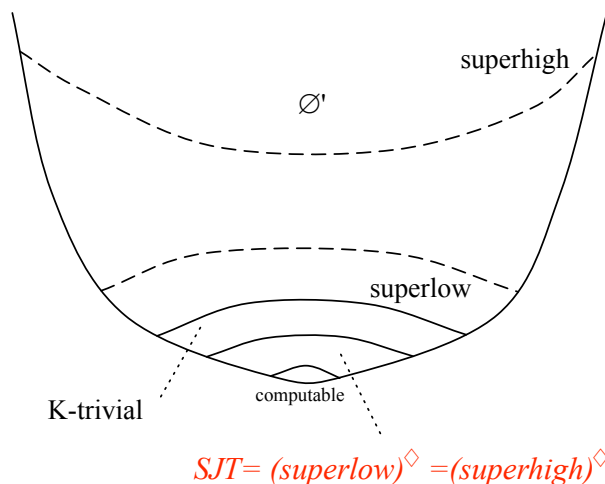
## 6.8 Conversely, these diamond classes are contained in SJT

The converse inclusion holds as well. Putting all together, we have

**Theorem 28** (Greenberg, Hirschfeldt, Nies).  $SJT = (\omega\text{-c.e.})^\diamond = \text{superlow}^\diamond = \text{superhigh}^\diamond$ .

To prove the remaining inclusions we use a “golden run” construction with infinitely many levels.

## 6.9 Diagram: *SJT* means computed by many oracles



## 6.10 Corollaries to the characterizations of $SJT$

Often new characterizations give new views of the class. We obtain:

- a new proof of the Cholak, Downey and Greenberg result that  $SJT$  induces an ideal in the c.e. Turing degrees (because every diamond class does that).
- a cost function construction (hence, injury-free) of a promptly simple set in  $SJT$  via the Hirschfeldt/Miller cost function  $c_{\mathcal{H}}$  where  $\mathcal{H} = \omega$ -c.e., say. (Recall that if  $A$  obeys  $c_{\mathcal{H}}$ , then  $A \in \mathcal{H}^{\diamond} \subseteq SJT$ .)

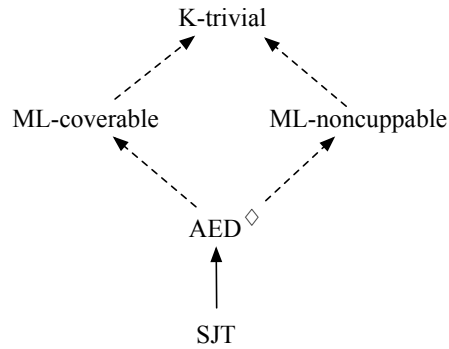
## 6.11 Open questions on classes between $SJT$ and $K$ -trivial

- No natural classes are currently known to lie properly between  $SJT$  and  $K$ -trivial
- A good candidate is  $(AED)^{\diamond}$ . Here AED is the class of almost everywhere dominating sets  $Z$  of Dobrinen and Simpson: for almost all sets  $X$ , each function  $f \leq_T X$  is dominated by a function  $g \leq_T Z$ . For the highness properties, there are proper implications

$$\text{Turing-complete} \Rightarrow \text{AED} \Rightarrow \text{superhigh.}$$

- For the corresponding diamond classes, Greenberg and Nies proved that  $SJT$  is properly contained in  $(AED)^{\diamond}$ .
- However,  $(AED)^{\diamond}$  may coincide with  $K$ -trivial.
- This would imply that the classes  $ML$ -coverable and  $ML$ -noncuppable also coincide with  $K$ -trivial.

## 6.12 Classes of c.e. sets between $SJT$ and $K$ -trivial



(The dashed arrows may be coincidences.)

- $A$  is  $ML$ -coverable if  $A \leq_T Y$  for some  $ML$ -random  $Y \not\leq_T \emptyset'$ .
- $A$  is  $ML$ -noncuppable if  $\emptyset' \leq_T A \oplus Y$  for  $ML$ -random  $Y$  implies  $\emptyset' \leq_T Y$ .