

The *tensor product* is another way to multiply vectors, in addition to the dot and cross products. The tensor product of vectors  $\mathbf{a}$  and  $\mathbf{b}$  is denoted  $\mathbf{a} \otimes \mathbf{b}$  in mathematics but simply  $\mathbf{ab}$  with no special product symbol in mechanics. The result of the tensor product of  $\mathbf{a}$  and  $\mathbf{b}$  is not a scalar, like the dot product, nor a (pseudo)-vector like the cross-product. It is a new object called a *tensor of second order*  $\mathbf{ab}$  that is defined indirectly through the following dot products between the tensor  $\mathbf{ab}$  and *any* vector  $\mathbf{v}$ :

$$(\mathbf{ab}) \cdot \mathbf{v} \equiv \mathbf{a}(\mathbf{b} \cdot \mathbf{v}), \quad \mathbf{v} \cdot (\mathbf{ab}) \equiv (\mathbf{v} \cdot \mathbf{a})\mathbf{b}, \quad \forall \mathbf{v}. \quad (1)$$

The right hand sides of these equations are readily understood. These definitions clearly imply that  $\mathbf{ab} \neq \mathbf{ba}$ , the tensor product does not commute. However,

$$\mathbf{v} \cdot (\mathbf{ab}) = (\mathbf{ba}) \cdot \mathbf{v} \equiv (\mathbf{ab})^T \cdot \mathbf{v}, \quad \forall \mathbf{v}. \quad (2)$$

The product  $\mathbf{ba}$  is the *transpose* of  $\mathbf{ab}$ , denoted with a ‘ $T$ ’ superscript:  $(\mathbf{ab})^T \equiv \mathbf{ba}$ . We also deduce the following distributive properties, from (1):

$$(\mathbf{ab}) \cdot (\alpha \mathbf{u} + \beta \mathbf{v}) = \alpha(\mathbf{ab}) \cdot \mathbf{u} + \beta(\mathbf{ab}) \cdot \mathbf{v}, \quad (3)$$

which hold for any scalars  $\alpha, \beta$  and vectors  $\mathbf{u}, \mathbf{v}$ .

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A general *tensor of 2nd order* can be defined similarly as an object  $\mathbf{T}$  such that  $\mathbf{T} \cdot \mathbf{v}$  is a vector and

$$\mathbf{T} \cdot (\alpha \mathbf{u} + \beta \mathbf{v}) = \alpha \mathbf{T} \cdot \mathbf{u} + \beta \mathbf{T} \cdot \mathbf{v}, \quad \forall \alpha, \beta, \mathbf{u}, \mathbf{v}. \quad (4)$$

Thus  $\mathbf{T} \cdot \mathbf{v}$  is a *linear transformation* of  $\mathbf{v}$  and  $\mathbf{T}$  is a *linear operator*.

The linearity property (4) allows us to figure out the effect of  $\mathbf{T}$  on any vector  $\mathbf{v}$  once we know its effect on a set of basis vectors. Therefore  $\mathbf{T}$  is fully determined once we know, or specify, the three vectors  $\mathbf{t}_j \equiv \mathbf{T} \cdot \mathbf{e}_j$ ,  $j = 1, 2, 3$ . Each of these vectors  $\mathbf{t}_j$  has three components,  $T_{ij}$  such that

$$\mathbf{T} \cdot \mathbf{e}_j \equiv \mathbf{t}_j \equiv \sum_{i=1}^3 T_{ij} \mathbf{e}_i \equiv T_{ij} \mathbf{e}_i, \quad (5)$$

(where the last expression uses **Einstein’s summation convention** that repeated indices, here  $i$ , imply a sum over all values of that index), and

$$\boxed{T_{ij} = \mathbf{e}_i \cdot (\mathbf{T} \cdot \mathbf{e}_j)} \quad (6)$$

if the basis  $\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3$  is orthonormal. These  $T_{ij}$ ’s are the 9 components of the tensor  $\mathbf{T}$  with respect to the basis  $\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3$ . They fully define  $\mathbf{T}$ . Indeed using the summation convention and the linearity property (4), for any vector  $\mathbf{v} = v_j \mathbf{e}_j$  (sum over  $j$ ) we get

$$\mathbf{T} \cdot \mathbf{v} = \mathbf{T} \cdot (v_j \mathbf{e}_j) = v_j \mathbf{T} \cdot \mathbf{e}_j = T_{ij} v_j \mathbf{e}_i. \quad (7)$$

(the last term is a double sum over  $i$  and  $j$ !). This expression means that the inner product of the tensor  $\mathbf{T}$ , whose matrix components are  $T_{ij}$ ,  $i, j = 1, 2, 3$ , and the vector  $\mathbf{v}$ , whose vector components are  $v_j$ ,  $j = 1, 2, 3$ , is the vector  $\mathbf{w} = w_i \mathbf{e}_i$  (sum over  $i$ ) whose components  $w_i \equiv T_{ij} v_j$  (sum over  $j$ ) are the matrix-vector product of the matrix of  $\mathbf{T}$  with the vector components of  $\mathbf{v}$ .

The sum and product of tensors  $\mathbf{T}$  and  $\mathbf{S}$  are defined by  $(\mathbf{T} + \mathbf{S}) \cdot \mathbf{v} \equiv \mathbf{T} \cdot \mathbf{v} + \mathbf{S} \cdot \mathbf{v}$  and  $(\mathbf{T} \cdot \mathbf{S}) \cdot \mathbf{v} \equiv \mathbf{T} \cdot (\mathbf{S} \cdot \mathbf{v})$ . Note that the dot product of two second order tensors is a second order tensor and that product does not commute  $\mathbf{T} \cdot \mathbf{S} \neq \mathbf{S} \cdot \mathbf{T}$ .

The tensor  $\mathbf{T}$  can be expressed as the following linear combination of the 9 tensor products  $\mathbf{e}_i \mathbf{e}_j$ ,  $i, j = 1, 2, 3$  between the basis vectors (double sums over  $i$  and  $j$ !)

$$\boxed{\mathbf{T} = T_{ij} \mathbf{e}_i \mathbf{e}_j.} \quad (8)$$

To check this we need to verify (6), which can be rewritten as  $\mathbf{e}_k \cdot (\mathbf{T} \cdot \mathbf{e}_l) = T_{kl}$ . Expression (8) gives

$$\mathbf{e}_k \cdot \mathbf{T} \cdot \mathbf{e}_l = \mathbf{e}_k \cdot (T_{ij} \mathbf{e}_i \mathbf{e}_j) \cdot \mathbf{e}_l = T_{ij} (\mathbf{e}_k \cdot \mathbf{e}_i) (\mathbf{e}_j \cdot \mathbf{e}_l) = T_{ij} \delta_{ki} \delta_{jl} = T_{kl}.$$

This check also shows that the tensor expansion formula (8) and (6), hold only for an orthonormal basis  $\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3$  although the tensor  $\mathbf{T}$  itself, and the number of its components, do not depend on the properties of any particular basis.

The 9 components  $T_{ij}$  form the 3-by-3 matrix of components of the tensor  $\mathbf{T}$  with respect to the basis  $\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3$ . Likewise the tensor product  $\mathbf{ab}$  can be represented in terms of that basis as

$$\mathbf{ab} = a_i b_j \mathbf{e}_i \mathbf{e}_j. \quad (9)$$

(double sum over  $i, j$ ) where  $\mathbf{a} = a_i \mathbf{e}_i$  and  $\mathbf{b} = b_j \mathbf{e}_j$ . More explicitly, the components of the tensor  $\mathbf{ab}$  in the orthogonal basis  $\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3$ , consist of a 3-by-3 matrix obtained through the “row-by-column” product of column  $(a_1, a_2, a_3)$  with the row  $(b_1, b_2, b_3)$

$$\mathbf{ab} \equiv \begin{bmatrix} a_1 \\ a_2 \\ a_3 \end{bmatrix} [b_1 \ b_2 \ b_3] = \begin{bmatrix} a_1 b_1 & a_1 b_2 & a_1 b_3 \\ a_2 b_1 & a_2 b_2 & a_2 b_3 \\ a_3 b_1 & a_3 b_2 & a_3 b_3 \end{bmatrix}. \quad (10)$$

In particular,  $\mathbf{e}_1 \mathbf{e}_1$ ,  $\mathbf{e}_1 \mathbf{e}_2$ , and  $\mathbf{e}_1 \mathbf{e}_1 + \mathbf{e}_2 \mathbf{e}_2 + \mathbf{e}_3 \mathbf{e}_3$  for instance, have components

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \quad \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \quad \text{and} \quad \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}, \quad (11)$$

in the orthogonal basis  $\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3$ , respectively. This last tensor is the *identity tensor*

$$\mathbf{I} = \delta_{ij} \mathbf{e}_i \mathbf{e}_j = \mathbf{e}_i \mathbf{e}_i \equiv \mathbf{e}_1 \mathbf{e}_1 + \mathbf{e}_2 \mathbf{e}_2 + \mathbf{e}_3 \mathbf{e}_3. \quad (12)$$

This is the only tensor such that  $\mathbf{I} \cdot \mathbf{v} = \mathbf{v} \cdot \mathbf{I} = \mathbf{v}$ ,  $\forall \mathbf{v}$ .

The transpose of tensor  $\mathbf{T}$ , denoted  $\mathbf{T}^T$ , is defined through the double dot product with any vectors  $\mathbf{u}$  and  $\mathbf{v}$

$$\mathbf{u} \cdot (\mathbf{T} \cdot \mathbf{v}) \equiv \mathbf{v} \cdot (\mathbf{T}^T \cdot \mathbf{u}), \quad \forall \mathbf{u}, \mathbf{v}. \quad (13)$$

The transpose of  $\mathbf{T} = T_{ij} \mathbf{e}_i \mathbf{e}_j$  can be written explicitly as

$$\mathbf{T}^T = T_{ij} \mathbf{e}_j \mathbf{e}_i = T_{ji} \mathbf{e}_i \mathbf{e}_j. \quad (14)$$

In either case, if  $T_{ij}$  are the  $(i, j)$  components of  $\mathbf{T}$ , then the  $(i, j)$  components of  $\mathbf{T}^T$  are  $T_{ji}$ . A tensor is *symmetric* if it equals its transpose, *i.e.* if  $\mathbf{T} = \mathbf{T}^T$  (*e.g.*  $\mathbf{I}$  is symmetric). It is *antisymmetric* if it is equal to minus its transpose, *i.e.* if  $\mathbf{T} = -\mathbf{T}^T$ . Any tensor can be decomposed into a symmetric part and an antisymmetric part

$$\mathbf{T} = \frac{1}{2}(\mathbf{T} + \mathbf{T}^T) + \frac{1}{2}(\mathbf{T} - \mathbf{T}^T).$$

One antisymmetric tensor of particular interest is the antisymmetric part of the tensor product  $\mathbf{ab}$ :

$$\mathbf{ab} = \frac{1}{2}(\mathbf{ab} + \mathbf{ba}) + \frac{1}{2}(\mathbf{ab} - \mathbf{ba}). \quad (15)$$

It is left as an (easy) exercise to verify that

$$(\mathbf{ab} - \mathbf{ba}) \cdot \mathbf{c} = \mathbf{c} \times (\mathbf{a} \times \mathbf{b}), \quad \forall \mathbf{c}. \quad (16)$$

This relationship leads to a generalization of the cross-product  $\mathbf{a} \times \mathbf{b}$  in terms of the antisymmetric part of the tensor product,  $\mathbf{ab} - \mathbf{ba}$ , for dimensions higher than 3.

Similarly, the cross product with a rotation vector  $\boldsymbol{\omega}$ , as in  $\mathbf{v} = \boldsymbol{\omega} \times \mathbf{r}$ , is a linear transformation of  $\mathbf{r}$  into  $\mathbf{v}$ . Indeed  $\boldsymbol{\omega} \times (\alpha \mathbf{a} + \beta \mathbf{b}) = \alpha(\boldsymbol{\omega} \times \mathbf{a}) + \beta(\boldsymbol{\omega} \times \mathbf{b})$ , hence it must be a tensor  $\boldsymbol{\Omega}$  such that

$$\boldsymbol{\Omega} \cdot \mathbf{a} = \boldsymbol{\omega} \times \mathbf{a}, \quad \forall \mathbf{a}. \quad (17)$$

We can express its components with respect to an orthonormal basis  $\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3$  using the alternating tensor  $\epsilon_{ijk} \equiv (\mathbf{e}_i \times \mathbf{e}_j) \cdot \mathbf{e}_k$ . The  $i$  component of  $\mathbf{v} = \boldsymbol{\omega} \times \mathbf{a}$  can be written using the summation convention

$$v_i = \epsilon_{ijk} \omega_j a_k \equiv \Omega_{ik} a_k$$

where we define  $\Omega_{ik} = \epsilon_{ijk} \omega_j = -\epsilon_{ikj} \omega_j$ , (because an odd permutation of the indices changes the sign of  $\epsilon_{ijk}$ ). Renaming indices, the  $(i, j)$  component of the tensor  $\boldsymbol{\Omega}$  is

$$\Omega_{ij} = -\epsilon_{ijk} \omega_k \quad (18)$$

▷ Show that  $\Omega_{ij} = -\Omega_{ji}$ , so  $\boldsymbol{\Omega} = -\boldsymbol{\Omega}^T$  is antisymmetric.

▷ Show that  $\epsilon_{ijk} \epsilon_{ilm} = \delta_{jl} \delta_{km} - \delta_{jm} \delta_{kl}$ .

▷ Show that  $\omega_k = -\frac{1}{2} \epsilon_{kij} \Omega_{ij}$ .

### Transformation theory of tensors

In Cartesian coordinates, the basis vectors are orthogonal and constant. We can easily “hide” them and focus on the components as the latter determine everything following well-known formulas for dot product, cross-product, etc. The tensor product of the vectors with Cartesian components  $a_i$  and  $b_j$  gives the tensor  $a_i b_j$ ,  $\forall i, j = 1, 2, 3$ . Vectors have 3 components denoted using one free index, such as  $a_i$  for  $i = 1, 2, 3$ . These components transform like the coordinates under orthogonal transformation of the axes (*i.e.* rotations and reflections). If  $x'_i = Q_{ki} x_k$  (sum over  $k$ ) corresponds to a change of orthonormal basis, where  $Q_{ki} = \mathbf{e}_k \cdot \mathbf{e}'_i$  is the matrix of direction cosines, then the components of the vector  $\mathbf{a} = a_i \mathbf{e}_i = a'_i \mathbf{e}'_i$  are related as  $a'_i = Q_{ki} a_k$ . Likewise  $b'_j = Q_{lj} b_l$  for the vector  $\mathbf{b} = b_j \mathbf{e}_j = b'_j \mathbf{e}'_j$  and the components of the tensor product in the new basis are  $a'_i b'_j = Q_{ki} Q_{lj} a_k b_l$  (double sum over  $k$  and  $l$ ). Therefore in the indicial notation, a *tensor of second order* has 2 free indices (9 components), *e.g.*  $T_{ij}$ , that transform according to the rule  $T'_{ij} = Q_{ki} Q_{lj} T_{kl}$ . Tensors are usually denoted with a capital letter. Scalars and vectors can be called tensor of 0th and 1st order, respectively. This approach directly leads to an extension to tensor of third, fourth and higher order. A tensor of order  $n$ , has  $n$  free indices and  $3^n$  components (in 3D space) that transform in a systematic way. For instance,  $C_{ijk}$  is a third order tensor iff its components in the  $x'$  basis are  $C'_{ijk} = Q_{li} Q_{mj} Q_{nk} C_{lmn}$ . In summary, if  $x'_i = Q_{ki} x_k$  then tensor components must obey the transformation rules

$$a_i \rightarrow a'_i = Q_{ki} a_k, \quad T_{ij} \rightarrow T'_{ij} = Q_{ki} Q_{lj} T_{kl}, \quad C_{ijk} \rightarrow C'_{ijk} = Q_{li} Q_{mj} Q_{nk} C_{lmn}. \quad (19)$$

This systematic and automatic generalization is useful in the continuum theory of elastic materials, for instance, where the stress tensor  $T_{ij}$  is related to the deformation tensor  $E_{kl}$  through a fourth order tensor in general:  $T_{ij} = C_{ijkl}E_{kl}$  (double sums over  $k, l$ ).

### Exercises and Applications

Assume that the basis  $\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3$  is orthogonal and right-handed. Coordinates are expressed in that basis unless otherwise noted. The summation convention is used over repeated roman indices (*e.g.*  $i, j$ , but not  $\alpha$ ).

1. What are the matrix representations of  $\mathbf{e}_2\mathbf{e}_1$ ,  $\mathbf{e}_3\mathbf{e}_2$  and  $\mathbf{e}_1\mathbf{e}_3$ ?
2. If  $\mathbf{a} = a_i\mathbf{e}_i$  and  $\mathbf{b} = b_j\mathbf{e}_j$ , calculate  $(\mathbf{ab}) \cdot \mathbf{e}_3$ ,  $\mathbf{e}_2 \cdot (\mathbf{ab})$  and  $(\mathbf{ab})^T \cdot \mathbf{e}_1$ .
3. What are the transposes and the symmetric parts of  $\mathbf{e}_1\mathbf{e}_2$  and  $\mathbf{e}_1\mathbf{e}_3 + \mathbf{e}_2\mathbf{e}_2$ ?
4. Verify (16).
5. The angular momentum of  $N$  rigidly connected particles of mass  $m_\alpha$ ,  $\alpha = 1, \dots, N$ , rotating about the origin is  $\mathbf{L} = \sum_{\alpha=1}^N m_\alpha(\mathbf{r}_\alpha \times (\boldsymbol{\omega} \times \mathbf{r}_\alpha))$ , where  $\mathbf{r}_\alpha$  is the position vector of particles  $\alpha$  and  $\boldsymbol{\omega}$  is the rotation vector of the rigid system of particles. Write  $\mathbf{L}$  as the dot product of a tensor with the rotation vector  $\boldsymbol{\omega}$ . That tensor is the *tensor of inertia*,  $\mathcal{I}$ , find its antisymmetric part.
6. Any vector  $\mathbf{a} = \mathbf{a}_\parallel + \mathbf{a}_\perp$  where  $\mathbf{a}_\parallel$  is parallel and  $\mathbf{a}_\perp$  perpendicular to a given normalized (*i.e.* unit) vector  $\mathbf{n}$ . Then  $\mathbf{a}_\parallel \equiv \mathbf{n}(\mathbf{n} \cdot \mathbf{a}) = (\mathbf{nn}) \cdot \mathbf{a}$ ,  $\forall \mathbf{a}$ . Therefore the parallel projection tensor  $\mathbf{P}_\parallel \equiv \mathbf{nn}$ . Show that the perpendicular projection tensor is  $\mathbf{P}_\perp = \mathbf{I} - \mathbf{nn}$ . Sketch, sketch, sketch!!! don't just stick with algebra, visualize.
7. Show that the tensor that expresses reflection about the plane perpendicular to  $\mathbf{n}$  is  $\mathbf{H} = \mathbf{I} - 2\mathbf{nn}$ . This is called a Householder tensor. Its generalizations to  $N$  dimensions is an important tool in linear algebra to obtain the  $QR$  decomposition of a matrix and other similar operations. Sketch and visualize!
8. Show that right-hand rotation by an angle  $\varphi$  about  $\mathbf{n}$  of any vector  $\mathbf{a}$  is given by

$$R(\mathbf{a}) = \cos \varphi \mathbf{a}_\perp + \sin \varphi (\mathbf{n} \times \mathbf{a}) + \mathbf{a}_\parallel.$$

Sketch and visualize! Using (16) and earlier exercises, find the tensor  $\mathbf{R}_\varphi$  that expresses this rotation. Express the components  $R_{ij}$  of  $\mathbf{R}_\varphi$  with respect to the orthogonal basis  $\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3$  using the alternating (Levi-Civita) tensor  $\epsilon_{ijk}$ . [Hint: To find  $\mathbf{R}_\varphi$  in a coordinate-free form, use (16):  $\mathbf{a} \times \mathbf{n} = (\hat{\mathbf{x}}\hat{\mathbf{y}} - \hat{\mathbf{y}}\hat{\mathbf{x}}) \cdot \mathbf{a}$ , where  $\hat{\mathbf{x}}, \hat{\mathbf{y}}$  are *any* (!) vectors such that  $\hat{\mathbf{x}} \times \hat{\mathbf{y}} = \mathbf{n}$  (hence  $\hat{\mathbf{x}}, \hat{\mathbf{y}}, \mathbf{n}$  form a right handed orthogonal basis)].

9. What are the components of the vector  $\mathbf{b}$  obtained by right-hand rotation of the vector (1,2,3) by an angle  $\pi/3$  about the direction (4,1,2)?
10. Show that the matrix forms of the tensor transformation rules  $a'_i = Q_{ji}a_j$  and  $T'_{ij} = Q_{ki}Q_{lj}T_{kl}$  are  $\mathbf{a}' = Q^T \mathbf{a}$  and  $\mathbf{T}' = Q^T \mathbf{T} Q$ , where  $Q$  is the matrix of components  $Q_{ij}$ .
11. Physical applications of tensors include: the tensor of inertia, the stress tensor, the deformation tensor, etc.

In Arfken & Weber, Try 2.6.2, 2.6.4, 2.9.3—2.9.13.