

## Roots and Irreducibility

All of the facts listed below are proved in any abstract algebra book, e.g. Dummit and Foote.

*Assumptions.* Suppose that  $F$  is a field.

### Roots

**Definition 1.** Suppose that  $f(\lambda) \in F[\lambda]$ . A *root* of  $f(\lambda)$  in  $F$  is an element  $c \in F$  so that  $f(c) = 0$ .

**Proposition 2 (The factor theorem).** Suppose that  $f(\lambda) \in F[\lambda]$  and  $c \in F$ . Then,

$$c \text{ is a root of } f(\lambda) \iff \lambda - c \mid f(\lambda).$$

To find the roots of a polynomial  $f(\lambda)$  is a polynomial over  $\mathbb{Q}$ , one can first multiply the polynomial by the least common multiple of the denominators of the coefficients in order to get a polynomial over  $\mathbb{Z}$  which has the same roots. To handle such polynomials, the following proposition is useful.

**Proposition 3 (Finding roots in  $\mathbb{Q}$ ).** Suppose that

$$f(\lambda) = a_n \lambda^n + \cdots + a_1 \lambda + a_0 \in \mathbb{Z}[\lambda],$$

where  $n \geq 1$  and  $a_n \neq 0$ . Suppose that  $c = \frac{r}{s} \in \mathbb{Q}$ , where  $r, s \in \mathbb{Z}$ ,  $s > 0$  and  $\gcd(r, s) = 1$ . If  $c$  is a root of  $f(\lambda)$ , then  $r \mid a_0$  and  $s \mid a_n$ .

**Example 4.** Suppose that  $f(\lambda) = 2\lambda^3 + \lambda + 1 \in \mathbb{Z}[\lambda]$ . Suppose that  $c = \frac{r}{s} \in \mathbb{Q}$ , where  $r, s \in \mathbb{Z}$ ,  $s > 0$  and  $\gcd(r, s) = 1$ . (Any rational number can be written in this form.) If  $c$  is a root of  $f(\lambda)$ , then by Proposition 3, we have  $r \mid 1$  and  $s \mid 2$ . Thus,  $r = \pm 1$  and  $s = 1$  or  $2$ . So  $c = \pm 1$  or  $\pm \frac{1}{2}$ . However, none of these are roots of  $f(\lambda)$ . Hence,  $f(\lambda)$  has no roots in  $\mathbb{Q}$ .

### Irreducibility

**Definition 5.** Suppose that  $f(\lambda)$  is a polynomial of degree  $n \geq 1$  in  $F[\lambda]$ . We say that  $f(\lambda)$  is *reducible* in  $F[\lambda]$  if there exist polynomials  $g(\lambda)$  and  $h(\lambda)$  of smaller degree than  $n$  in  $F[\lambda]$  so that

$$f(\lambda) = g(\lambda)h(\lambda).$$

Otherwise, we say that  $f(\lambda)$  is *irreducible* over  $F$ .

**Example 6.** Any polynomial of degree 1 over  $F$  is irreducible over  $F$ . If  $F = \mathbb{C}$ , degree 1 polynomials are the only irreducible polynomials over  $F$  (by the Factor Theorem and the Fundamental Theorem of Algebra).

The following fact follows easily from the Factor Theorem:

**Proposition 7.** Suppose that  $f(\lambda)$  is a polynomial of degree 2 or 3 over  $F$ . Then,

$$f(\lambda) \text{ is irreducible over } F \iff f(\lambda) \text{ has no roots in } F.$$

**Example 8.** Suppose that  $f(\lambda) = 2\lambda^3 + \lambda + 1 \in \mathbb{Z}[\lambda]$ . We saw in Example 4 that  $f(\lambda)$  has no roots in  $\mathbb{Q}$ . Hence, since  $f(\lambda)$  has degree 3, it follows from Proposition 7 that  $f(\lambda)$  is irreducible over  $\mathbb{Q}$ .

To show that a polynomial  $f(\lambda)$  over  $\mathbb{Q}$  is irreducible, one can first multiply the polynomial by the least common multiple of the denominators of the coefficients in order to get a polynomial over  $\mathbb{Z}$ . (This does not change affect irreducibility.) To handle such polynomials, the following proposition is useful.

**Proposition 9 (Eisenstein's criterion for polynomials over  $\mathbb{Z}$ ).** Suppose that

$$f(\lambda) = a_n \lambda^n + a_{n-1} \lambda^{n-1} \cdots + a_1 \lambda + a_0 \in \mathbb{Z}[\lambda],$$

where  $n \geq 1$  and  $a_n \neq 0$ . Suppose that there exists a prime integer  $p$  so that

$$\begin{aligned} p &| a_i \quad \text{for } 0 \leq i \leq n-1, \\ p &\nmid a_n \quad \text{and } p^2 \nmid a_0. \end{aligned}$$

Then,  $f(\lambda)$  is irreducible over  $\mathbb{Q}$ .

**Example 10.** Let  $f(\lambda) = \lambda^{22} - 28\lambda + 50 \in \mathbb{Z}[\lambda]$ . By Eisenstein's criterion with  $p = 2$ ,  $f(\lambda)$  is irreducible over  $\mathbb{Q}$ .

**Example 11.** Let

$$f(\lambda) = \lambda^{p-1} + \lambda^{p-2} + \cdots + \lambda + 1 \in \mathbb{Z}[\lambda],$$

where  $p$  is a positive prime. ( $f(\lambda)$  is called the *cyclotomic* polynomial of degree  $p$ .) Let  $g(\lambda) = f(\lambda + 1)$ . One can show that  $g(\lambda)$  satisfies the hypotheses of Eisenstein's criterion (using the fact that  $f(\lambda) = \frac{\lambda^p - 1}{\lambda - 1}$  and hence  $g(\lambda) = \frac{(\lambda + 1)^p - 1}{\lambda}$ ). Thus,  $g(\lambda)$  is irreducible over  $\mathbb{Q}$ , and so  $f(\lambda)$  is irreducible over  $\mathbb{Q}$ .

### The characteristic of a field

Suppose that  $F$  is a field.

If  $n \in \mathbb{Z}$  and  $a \in F$ , we define

$$na = \begin{cases} \underbrace{a + \cdots + a}_{n \text{ factors}} & \text{if } n > 0 \\ 0 & \text{if } n = 0 \\ \underbrace{(-a) + \cdots + (-a)}_{-n \text{ factors}} & \text{if } n < 0. \end{cases}$$

Then,

$$\begin{aligned} (m+n)a &= ma + na, & n(ma) &= (nm)a, \\ n(a+b) &= na + nb & \text{and } n(ab) &= (na)b = a(nb) \end{aligned} \tag{1}$$

for  $m, n \in \mathbb{Z}$  and  $a, b \in \mathbb{Z}$ .

It follows easily from (1) that the set  $\{n \in \mathbb{Z} : n1 = 0\}$  is an ideal of  $\mathbb{Z}$ . Hence, since  $\mathbb{Z}$  is a pid, there exists a unique integer  $p \geq 0$  so that

$$\{n \in \mathbb{Z} : n1 = 0\} = (p). \tag{2}$$

$p$  is called the *characteristic* of  $F$ , and we write  $\text{char}(F) = p$ .

There are two possibilities:

- (i)  $p = 0$ . This means that the only integer  $n$  so that  $n1 = 0$  is  $n = 0$ .
- (ii)  $p > 0$ . This means that there exists a nonzero integer  $n$  so that  $n1 = 0$ . In that case  $p$  is the smallest integer  $\geq 1$  so that

$$p1 = 0$$

in  $F$ . It is easy to show (see problem #6(a) on this assignment) that  $p$  is a prime in this case.

**Notes:** Let  $p = \text{char}(F)$ .

- (i) Suppose that  $p = 0$ . Then, if  $n \in \mathbb{Z}$ , we have by (2) that

$$n1 = 0 \iff n = 0.$$

Moreover, if  $a \neq 0$  in  $F$  and  $n \in \mathbb{Z}$ , then  $na = 0 \iff n(a1) = 0 \iff a(n1) = 0 \iff n1 = 0$  (since  $F$  is a field)  $\iff n = 0$ . Hence, if  $a \neq 0$  in  $F$  and  $n \in \mathbb{Z}$ ,

$$na = 0 \iff n = 0.$$

- (ii) Suppose that  $p > 0$ . Then, if  $n \in \mathbb{Z}$ , we have by (2) that

$$n1 = 0 \iff p \mid n.$$

Moreover (arguing as in (i)) if  $a \neq 0$  in  $F$  and  $n \in \mathbb{Z}$ ,

$$na = 0 \iff p \mid n.$$