# MA542 Lecture Notes - Galoris Theory

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#### **1** Field Extension

**Recall** A field E is a commutative ring with 1 s.t.  $1 \neq 0$  and every nonzero element of E is a unit.

**Example.**  $\mathbb{C}, \mathbb{R}, \mathbb{Q}, \mathbb{Z}/p\mathbb{Z}$ 

A subfield of E is a subring that contains 1 and is closed under multiplicative inverses. So

- 1.  $0 \in F$
- 2.  $a \in F \Rightarrow -a \in F$
- 3.  $a, b \in F \Rightarrow a + b, ab \in F$
- 4.  $1 \in F$
- 5.  $a \in F$ ,  $a \neq 0 \Rightarrow a^{-1} \in F$

Note: A subfield is a field.

**Definition** (Extension). Suppose F is a field. An extension of F is a field E which contains F as a subfield. We write E/F is an extension. (Let E/F be a field extension)

**Example.**  $\mathbb{C}/\mathbb{Q}$  is an extension.

**Basic problem:** Given a field F what are its extensions?

**Definition.** Suppose E/F is an extension. A subring of E/F is a subring of E which contains F. A subfield of E/F is a subfield of E that contains F.

**Definition** (Diagram). If K is a subfield of E/F, we draw

 $\begin{array}{c}
E \\
K \\
F
\end{array}$ 

e.g.  $\mathbb{C}/\mathbb{Q}$  contains  $\mathbb{R}$  as a subfield. Because  $\mathbb{C} \longrightarrow \mathbb{R} \longrightarrow \mathbb{Q}$ .

**Definition.** Suppose E/F is an extension, and  $F[S] = \{u_1, ..., u_r\} \subseteq E$ , then

$$F[S] = F[u_1, ..., u_r]$$
  
= set of *F*-linear combinations of elements of the form  $u_1^{i_1} \cdots u_r^{i_r}$ , where  $i_j \ge 0$   
$$F(S) = \left\{ \frac{v}{w} \mid w, v \in F[S], w \ne 0 \right\}$$

**Recall:**  $F[\lambda]$ -polynomials with coefficients in F

Clearly F[S] is the smallest subring of E/F that contains S. And F(S) is the smallest subfield containing S. We say F[S] is the subring of E/F generated by S and F(S) is the subfield generated by S.

**Example.** Consider E/F and let  $u \in E$ . Then

$$F[u] = \left\{ a_0 + a_1 u + a_2 u^2 + \dots + a_k u^k | a_i \in F, k \in \mathbb{N} \right\}$$
$$= \left\{ f(u) | f(\lambda) \in F[\lambda] \right\}$$
$$F(u) = \left\{ \frac{f(u)}{g(u)} \mid f, g \in F[\lambda], \ g(u) \neq 0 \right\}$$

**Example.** Consider  $\mathbb{C}/\mathbb{Q}$ , let  $u = \sqrt{2}$ .

$$\mathbb{Q}[\sqrt{2}] = \left\{ a_0 + a_1\sqrt{2} + a_2(\sqrt{2})^2 + \dots + a_k(\sqrt{2})^k \mid a_i \in \mathbb{Q} \right\}$$
$$= \left\{ a'_0 + a'_1\sqrt{2} \mid a'_0, a'_1 \in \mathbb{Q} \right\}$$
$$\mathbb{Q}(\sqrt{2}) = \left\{ \frac{a_0 + a_1\sqrt{2}}{b_0 + b_1\sqrt{2}} \mid a_0, a_1, b_0, b_1 \in \mathbb{Q}, \ b_0 + b_1\sqrt{2} \neq 0 \right\}$$
$$= \left\{ c_0 + c_1\sqrt{2} \mid c_0, c_1 \in \mathbb{Q} \right\}$$
$$= \mathbb{Q}[\sqrt{2}]$$

**Question:** When is F(u) = F[u]?

**Definition.** Suppose E/F is an extension and  $f(\lambda) \in F[\lambda]$ . A root of  $f(\lambda)$  in E is an element  $u \in E$  s.t. f(u) = 0.

**Definition.** We say that u is algebraic over F if it is the root of some nonzero polynomial in  $F[\lambda]$ . Otherwise we say u is transcendental over F.

**Example.** Consider  $\mathbb{C}/\mathbb{Q}$ . Let  $u = \sqrt[3]{5}$ , then u is a root of  $\lambda^3 = 5$ . So u is algebraic over  $\mathbb{Q}$ .

**Definition** (Minimal Polynomial). Suppose E/F is an extension. Let  $u \in E$  be an element that is algebraic over F. Let

$$I = \{f(\lambda) \in F[\lambda] \mid f(u) = 0\}$$

Then I is an ideal over the ring of polynomials and  $I \neq \{0\}$  since u is algebraic over F. So  $I = (m(\lambda))$ since  $F[\lambda]$  is a PID where  $m(\lambda)$  is a monic polynomial of degree  $\geq 1$ .  $m(\lambda)$  is called the minimal polynomial of u over F (Note m(u) = 0 by definition)

Note, if  $f(\lambda) \in F[\lambda]$ , then f(u) = 0 if and only if  $m(\lambda) | f(\lambda)$ . So  $m(\lambda)$  is the monic polynomial of smallest degree  $\geq 1$  over F which has u as a root.

**Definition.** Suppose E/F is an extension and  $u \in E$  is algebraic over F. The degree of u over F is denoted by  $\deg_F(u)$ , which is the degree of the minimal polynomial  $m(\lambda)$ .

**Proposition.** Suppose E/F is an extension and  $u \in E$  is algebraic over F. Then the minimal polynomial of u over F is the unique irreducible polynomial over F which has u as a root.

*Proof.* Let  $m(\lambda)$  be the minimal polynomial of u over F. Suppose for contradiction

$$m(\lambda) = g(\lambda)h(\lambda)$$

where  $g(\lambda), h(\lambda) \in F[\lambda]$  has smaller degree than  $m(\lambda)$ . Plug in u, then we have

$$0 = m(u) = h(u)g(u)$$

Since  $g(u), h(u) \in E$  which is a field. So one of g(u) or h(u) is zero. Without loss of generality, g(u) = 0. But this contradicts that  $m(\lambda)$  is the smallest degree polynomial with u as a root. Therefore  $m(\lambda)$  is irreducible.

Now we show the uniqueness of  $m(\lambda)$ . Suppose p is another monic irreducible polynomial over F that has root u. Then we know  $m(\lambda) \mid p(\lambda)$  since it has u as a root which implies  $p(\lambda) \in (m(\lambda))$ . Since  $p(\lambda)$  is irreducible, it follows that either  $m(\lambda) = 1$  or  $p(\lambda)$ . Since the degree of  $m(\lambda)$  is at least 1. So  $m(\lambda) = p(\lambda)$ .

**Example.** Consider  $u = \sqrt[3]{5}$  in  $\mathbb{C}/\mathbb{Q}$ . Then  $\sqrt[3]{5}$  is root of  $\lambda^3 - 5 \in \mathbb{Q}[\lambda]$ . Using Eisenstein's criterion which is for  $f(x) = a_n x^n + a_{n-1} x^{n-1} + \cdots + a_0$ , where  $a_i$  are integers, if

- 1. *p* divides each  $a_i$  for  $i \neq n$
- 2. p does not divide  $a_n$  and  $p^2$  does not divide  $a_0$
- then f(x) is irreducible over  $\mathbb{Q}$ .

**Definition.** Suppose E/F is an extension. Then E is a vector space over F. The vector space addition is the usual addition in E. The scalar multiplication is given by multiplication of elements of E by elements of F. The **degree** of E/F is the dimension of E as a vector space. Denote it by [E : F].

If [E : F] is infinite, we call the extension E/F infinite and write  $[E : F] = \infty$ . If [E : F] is finite, we called E/F finite and write  $[E : F] < \infty$ .

**Example.**  $\mathbb{C}/\mathbb{Q}$  is infinite extensions and  $\mathbb{R}/\mathbb{Q}$  as well. So they have infinity degrees.

For  $\mathbb{C}/\mathbb{R}$ ,  $[\mathbb{C}:\mathbb{R}] = 2$  because  $\mathbb{C} = \{a + bi \mid a, b \in \mathbb{R}\}$ . The corresponding diagram is:

 $\mathbb{C}$   $2 \mid$   $\mathbb{R}$ 

Note: If [E:F] = 1, then E = F.

**Proposition.** Suppose that E/F is a field extension and  $u \in E$  that is algebraic over F. Then

- 1. F(u) = F[u]
- 2.  $\{1, u, ..., u^{n-1}\}$  is an F-basis (as a vector space over F) for F(u) where  $n = \deg_F(u)$ . (The degree of u in terms of the minimal polynomial.)
- 3. F(u)/F is a finite extension with  $[F(u) : F] = \deg_F(u)$ .

*Proof.* Let  $m(\lambda)$  be the minimal polynomial of u over F, say  $m(\lambda) = \lambda^n + a_{n-1}\lambda^{n-1} + \cdots + a_0, a_i \in F$ .  $n = \deg_F(u).$ 

 We must show that ∀x ∈ F[u] \ {0} ⇒ x<sup>-1</sup> ∈ F[u]. So x = f(u) ≠ 0 for some f(λ) ∈ F[λ], m(λ) ∤ f(λ) since f(u) ≠ 0. Also m(λ) is irreducible over F. Hence gcd(f(λ), m(λ)) = 1. So ∃s(λ), t(λ) ∈ F[λ] such that s(λ)m(λ)+t(λ)f(λ) = 1. Plug in u, we get s(u)m(u)+t(u)f(u) = 1. So we have t(u) = x<sup>-1</sup> ∈ F[u].

Note: This shows how to find  $x^{-1}$ .

- 2. Since F(u) = F[u], every element of F(u) is a linear combination of 1, u, u<sup>2</sup>, .... But m(u) = 0 which implies u<sup>n</sup> + a<sub>n-1</sub>u<sup>n-1</sup> + ··· + a<sub>0</sub> = 0. So u<sup>n</sup> is a linear combination of {1, u, ..., u<sup>n-1</sup>}. Hence by induction, u<sup>k</sup> is a linear combination of {1, u, ..., u<sup>n-1</sup>} for k ≥ n. Thus {1, u, ..., u<sup>n-1</sup>} spans F(u). This is an independent set over F since u is not a root of non-zero polynomial over F of degree less than n − 1.
- 3. Follows from above. Think of this picture:

$$\begin{array}{c}
E \\
| \\
F(u) \\
\deg_F(u) \\
F
\end{array}$$

**Example.**  $u = \sqrt[3]{5}$  in  $\mathbb{C}/\mathbb{Q}$ . u has minimal polynomial  $m(\lambda) = \lambda^3 - 5 \in \mathbb{Q}[\lambda]$ . Thus  $\deg_{\mathbb{Q}}(\sqrt[3]{5}) = 3$ .  $\mathbb{Q}[\sqrt[3]{5}] = \mathbb{Q}(\sqrt[3]{5})$  and basis for  $\mathbb{Q}(\sqrt[3]{5})/\mathbb{Q}$  is  $\{1, \sqrt[3]{5}, (\sqrt[3]{5})^2\}$ . i.e. every element of  $\mathbb{Q}(\sqrt[3]{5})$  can be written as  $a_0 + a_1\sqrt[3]{5} + a_2(\sqrt[3]{5})^2$ ,  $a_0, a_1, a_2 \in \mathbb{Q}$ . Also  $[\mathbb{Q}(\sqrt[3]{5}) : \mathbb{Q}] = 3$ .

ex: Find  $(1 + 2\sqrt[3]{5} + 7(\sqrt[3]{5})^2)^{-1}$ . (Write it in the form of  $a_0 + a_1\sqrt[3]{5} + a_2(\sqrt[3]{5})^2$ )

**Proposition** (Characterization of algebraic elements). Suppose E/F and  $u \in E$ , then TFAE

1. u is algebraic over F.

2. F(u) = F[u]

3. F(u)/F is finite. (The field extension is finite. No need of F being finite.)

*Proof.* We have  $1 \ge 2$ ,  $1 \ge 3$  from before. Now we proof  $2 \ge 1$  and  $3 \ge 1$ .

'2)  $\Rightarrow$  1)': Suppose F(u) = F[u], then F[u] is closed under inverses. Assume  $u \neq 0$ . (If u = 0, the minimal polynomial for u is  $m(\lambda) = \lambda$ )

So  $u^{-1} = f(u) \in F[u]$ . Then we have  $u \cdot f(u) = 1$ . Let  $g(\lambda) = \lambda f(\lambda) - 1$ . Then  $g(\lambda) \in F[\lambda]$  with  $g(u) = u \cdot f(u) - 1 = 0$ . Hence u is algebraic over F.

'3)  $\Rightarrow$  1)': Suppose F(u)/F is finite. Let n = [F(u) : F], then  $\{1, u, u^2, ..., u^{n-1}, u^n\}$  has n + 1 elements and so is dependent. i.e.  $0 = a_0 + a_1 u + \cdots + a_n u^n$  where  $a_i \in F$  not all zero. So u is the root of the polynomial  $a_0 + a_1 \lambda + \cdots + a_n \lambda^n$ .

**Example.** We can show that  $\pi$  is transcendental, so  $\mathbb{Q}(\pi) \neq \mathbb{Q}[\pi]$  and  $\mathbb{Q}(\pi)/\mathbb{Q}$  is infinite.

**Proposition** (Multiplicativity of degree). Suppose K/E/F is a tower of extensions. Then

 $[K:F] < \infty \Longleftrightarrow [K:E] < \infty, [E:F] < \infty$ 

Moreover, in this case we have

$$[K:F] = [K:E] \cdot [E:F]$$

And

$$K \\ | [K : E] \\ E \\ | [E : F] \\ F$$

So [K : E] | [K : F] and [E : F] | [K : F].

*Proof.* ' $\Rightarrow$ ': If  $[K : F] < \infty$ , so K is a finite dimension vector space over F. But  $F \subseteq E$ , so any spanning set over F for K is also a spanning set over E for K. So [K : E] is finite. Also since  $E \subseteq K$ , then E is

a subspace of K over F and any subspace of a finite dimensional vector space is finite dimensional. So  $[E:F] < \infty$ .

' $\Leftarrow$ ': Suppose K/E, E/F are finite extensions. Let  $\{u_1, ..., u_n\}$  be a basis of K/E. Let  $\{v_1, ..., v_s\}$  be a basis of E/F. We will show that  $\{u_iv_j\}$ , where i = 1, ..., n; j = 1, ..., s, is a basis for K/F. This will show K/F is finite and  $[K : F] = [K : E] \cdot [E : F] = n \cdot s$ .

Generation: Let  $x \in K$  then  $x = \sum_{i=1}^{n} e_i u_i$ ,  $e_i \in E$ . Since we can write  $e_i = \sum_{i=1}^{s} a_{ij} v_j$ ,  $a_{ij} \in F$ , we have  $x = \sum_{i=1}^{n} \sum_{j=1}^{s} a_{ij} (v_j u_i)$ .

Independence: Suppose  $\sum_{i=1}^{n} \sum_{j=1}^{s} a_{ij}(v_j u_i) = 0$ . Want to show that  $a_{ij} = 0$  for all i, j. Then we have  $\sum_{i=1}^{n} (\sum_{j=1}^{s} a_{ij}v_j)u_i = 0$ . Since  $u_i$  are basis, so  $\sum_{j=1}^{s} a_{ij}v_j = 0$  for all i. By the independence of  $v_j$ , we get  $a_{ij} = 0$ . Therefore it is independent.

**Corollary 1.** Suppose K/F is finite. Let  $u \in K$ . Then u is algebraic over F and  $\deg_F(u) \mid [K : F]$ .

*Proof.* By theorem from last time, F(u)/F is finite since K/F is finite. So u is algebraic. By the multiplicativity of degree, we get [K : F] = [K : F(u)][F(u) : F] and  $\deg_F(u) = [F(u) : F]$ . So we have  $\deg_F(u) \mid [K : F]$ .

Note: If [F(u) : F] = 1, then  $u \in F$ .

**Example.**  $\mathbb{Q}(\sqrt[3]{5})/\mathbb{Q}$  is an extension of degree 3. Let  $u = 1 + \sqrt[3]{5} - (\sqrt[3]{5})^2$ . Then u is algebraic over  $\mathbb{Q}$  and  $\deg_{\mathbb{Q}}(u)$  is 1 or 3. Since u is not inside  $\mathbb{Q}$  (u has a unique expression as  $a_0 \cdot 1 + a_1 \cdot \sqrt[3]{5} + a_2(\sqrt[3]{5})^2$ ), so  $\deg_{\mathbb{Q}}(u)$  can only be 3.

From the diagram, we see  $\mathbb{Q}(u) = \mathbb{Q}(\sqrt[3]{5})$ .

**Proposition.** Suppose  $u_1, ..., u_k$  are elements of some extension of F. Suppose  $u_i$  is algebraic over F with degree  $n_i$ , i = 1, ..., k. Then  $F(u_1, ..., u_k)/F$  is finite and  $[F(u_1, ..., u_k) : F] \le n_1 n_2 \cdots n_k$ . Moreover if  $n_1, ..., n_k$  are pairwise relatively prime, then  $[F(u_1, ..., u_k) : F] = n_1 n_2 \cdots n_k$ .

*Proof.* Case k = 2. ( $k \ge 2$  follows similarly)

Suppose  $u_1, u_2$  are algebraic with  $\deg_F(u_1) = n_1$  and  $\deg_F(u_2) = n_2$ . Then we have the following diagram



Notice that  $F(u_1, u_2) = \{v/w \mid v, w \in F(u_1), w \neq 0\}$ . But  $u_2$  is a root of a polynomial over F of degree  $n_2$ . Thus (using the same polynomial)  $u_2$  is a root of a polynomial with coefficients in  $F(u_1)$  of deg  $n_2$ . Hence  $u_2$  is algebraic over  $F(u_1)$  with  $deg_{F(u_1)}(u_2) \leq n_2$ . (Minimal polynomial divides this polynomial!) Thus  $F(u_1, u_2)/F(u_1)$  is finite of degree  $\leq n_2$ . So  $F(u_1, u_2)/F$  is finite and  $[F(u_1, u_2)] = F \leq n_1 n_2$ 



Now suppose  $gcd(n_1, n_2) = 1$ . Since  $n_1 | [F(u_1, u_2) : F]$  and  $n_2 | [F(u_1, u_2) : F]$ . So  $gcd(n_1, n_2) = 1$  implies  $n_1n_2 | [F(u_1, u_2) : F]$ . Since  $[F(u_1, u_2) : F] \le n_1n_2$ , we must have equality.  $\Box$ 

**Exercise.** Suppose  $u_1, ..., u_k$  are as in the proposition. Show that the elements of the form  $u_1^{l_1} \cdots u_k^{l_k}$  with  $0 \le l_i \le n_i - 1$  generate  $F(u_1, ..., u_k)$  over F. Hence  $F[u_1, ..., u_k] = F(u_1, ..., u_k)$ .

**Definition.** Suppose  $u_1, ..., u_k$  are elements of an extension E of F, then  $F(u_1, ..., u_k)$  is called the subfield of E/F generated by  $u_1, ..., u_k$ . Also we can say the "extension of F generated by  $u_1, ..., u_k$ ".

**Example.** Let  $K = \mathbb{Q}(\sqrt[3]{5}, \sqrt{2})$  an extension of  $\mathbb{Q}$  which is generated by  $\sqrt[3]{5}, \sqrt{2}$ . Then we have



Since 2, 3 are relatively prime, we have  $[\mathbb{Q}(\sqrt[3]{5},\sqrt{2}):\mathbb{Q}] = 6$ . The generating set is given by

$$\left\{1, \sqrt[3]{5}, (\sqrt[3]{5})^2, \sqrt{2}, \sqrt[3]{5}\sqrt{2}, (\sqrt[3]{5})^2\sqrt{2}\right\}$$

This is actually a basis since the degree of the extension is 6.

**Definition.** Let p be a prime,  $\mathbb{F}_p = \mathbb{Z}/(p) = \{\overline{0}, \overline{1}, ..., \overline{p-1}\}$  Since p is a prime,  $\mathbb{F}_p$  is a field. By abuse of notation, we will drop the bars,  $\mathbb{F}_p = \{0, 1, ..., p-1\}$ .

**Example.**  $\mathbb{F}_3 = \{0, 1, 2\}$ . Let  $f(\lambda) = \lambda^2 - 2 \in \mathbb{F}_3[\lambda]$ . Check that this polynomial has no roots. f(0) = -2 = 1, f(1) = -1 = 2, f(2) = 2. So  $f(\lambda)$  is irreducible over  $\mathbb{F}_3$ . (Since f is of degree 2 and has no roots.)

How to build an extension of  $\mathbb{F}_3$  in which  $\lambda^2 - 2$  ha a root?

In general, suppose  $f(\lambda) \in F[\lambda]$  has degree  $\geq 1$  over  $\mathbb{F}$ . Can we construct an extension of F in which  $f(\lambda)$  has a root? When we do this, we can assume that f is monic and irreducible. The field will be F(r) where r is this root in some extension of F.

**Proposition** (Existense & Uniqueness of F(r)). Suppose  $p(\lambda)$  is an irreducible monic polynomial over F.

- 1. Suppose E/F is an extension such that E = F(r) where r is a root of  $p(\lambda)$ . Then  $E \simeq F[\lambda]/(p(\lambda))$ . (Field extession). In fact,  $f(r) \in E = F(r)$  corresponds to  $f(\lambda) + (p(\lambda)) \in F[\lambda]/(p(\lambda))$ .
- 2. Let  $E = F[\lambda]/(p(\lambda))$  then E/F is an extension such that E = F(r) for some root r of  $p(\lambda)$  in E.
- **Proof.** 1. Suppose E/F is an extension, so that E = F(r) where r is root of  $p(\lambda)$ . Since  $p(\lambda)$  is irreducible, we know it is the minimal polynomial of r. Hence  $\{f(\lambda) \in F[\lambda] \mid f(r) = 0\} = (p(\lambda))$ . Define  $\varphi : F[\lambda] \to E$ , such that  $\varphi(f(\lambda)) = f(r)$ . Check that this is a ring homomorphism. Note  $\text{Ker}(\varphi) = (p(\lambda))$ . Note  $\varphi$  is surjective since  $\text{Im}(\varphi) = F[r] = F(r) = E$ . (Because r is algebraic) By the first isomorphic theorem, we have  $E \simeq F[\lambda]/(p(\lambda))$  with  $f(r) \longleftrightarrow f(\lambda) + (p(\lambda))$ .
  - 2. Let  $E = F[\lambda]/(p(\lambda))$ . Then the elements of E can be written uniquely as

$$a_0 + a_1\lambda + \dots + a_{n-1}\lambda^{n-1} + (p(\lambda))$$

where  $n = \deg(p(\lambda))$ ,  $a_i \in F$ . Identify  $a \in F$  with  $a + (p(\lambda))$  (Isomorphism). Then F is a subfield of E so E/F is an extension. Let  $r = \lambda + (p(\lambda))$ . We can write the above form as following:

$$a_{0} + a_{1}\lambda + \dots + a_{n-1}\lambda^{n-1} + (p(\lambda))$$
  
=  $(a_{0} + (p(\lambda))) + (a_{1} + (p(\lambda)))(\lambda + (p(\lambda))) + \dots + (a_{n-1} + (p(\lambda)))(\lambda + (p(\lambda)))^{n-1}$   
=  $a_{0} + a_{1}r + \dots + a_{n-1}r^{n-1}$ 

So we have E = F[r] and since E is a field, we have E = F(r).

Claim r is a root of  $p(\lambda)$ .  $p(r) = p(\lambda + (p(\lambda))) = p(\lambda) + (p(\lambda)) = 0 + (p(\lambda)) = 0 \in F$ . So r is a root of  $p(\lambda)$ .

**Example.**  $F = \mathbb{F}_3$  and  $p(\lambda) = \lambda^2 - 2 \in \mathbb{F}_3[\lambda]$  (irreducible).

 $E = F(\lambda)/(\lambda^2 - 2) = \{a_0 + a_1\lambda + (p(\lambda)) \mid a_0, a_1 \in \mathbb{F}_3\} = \{a_0 + a_1r \mid a_0, a_1 \in \mathbb{F}_3\}, \text{ where } r \text{ is a root of } p(\lambda) \text{ in } E, \text{ i.e. } r^2 - 2 = 0.$ Sample calculation:  $(1 + 2r)(1 + 2r) = 1 + 4r + 4r^2 = 1 + r + r^2 = 1 + r + 2 = 3 + r = r$ 

The number of elements of E is 9. The degree of the extension E/F is 2 since  $\deg(p(\lambda)) = 2$ .

**Example.** Let  $F = \mathbb{F}_5$  and  $p(\lambda) = \lambda^3 + \lambda + 1$ . Claim that  $p(\lambda)$  is irreducible over  $\mathbb{F}_5$ . Let E = F(r) where r is root of  $p(\lambda)$ . Then elements of E are uniquely written as  $a_0 + a_1r + a_2r^2$ . So [E : F] = 3. Sample calculation: Use the fact that  $r^3 + r + 1 = 0$ ,  $r^3 = -r - 1 = 4r + 4$ .

$$(1+r^2)^2 = 1 + 2r^2 + r^4 = 1 + 2r^2 + r(4r+4) = 1 + 2r^2 + 4r^2 + 4r = 1 + 4r + r^2.$$

**Definition.** An extension E/F is said to be simple is E = F(r) for some  $r \in E$ .

Summary. Element of E are uniquely written as

$$a_0 + a_1 r + \dots + a_{n-1} r^{n-1}$$

where n is the degree of the minimal polynomial  $p(\lambda)$  and to compute in E, use fact that p(r) = 0.

**Definition.** Suppose F is a field. The **order** of F is the number of elements in F and denoted as |F|.

Thus we have  $|F| < \infty$  or  $|F| = \infty$ .

### 2 Field Automorphism

**Definition.** Suppose R, R' are rings with identity. A homomorphism  $\varphi : R \to R'$  is a map that satisfies

1.  $\varphi(a+b) = \varphi(a) + \varphi(b)$ 

2. 
$$\varphi(ab) = \varphi(a)\varphi(b)$$

3. 
$$\varphi(1) = 1$$

Isomorphism is a bijective homomorphism.

Note.  $\varphi : R \to R'$  is injective iff  $\text{Ker}(\varphi) = \{0\}$ .

**Definition.** An automorphism is an isomorphism  $\varphi : R \to R$ .

**Example.** Let  $\varphi : \mathbb{C} \to \mathbb{C}$ , s.t.  $\varphi(a + bi) = a - bi$ . Here  $\varphi$  is an automorphism.

Note. The only automorphism of  $\mathbb{R}$  is the identity. But there are infinity many automorphism of  $\mathbb{C}$ .

**Lemma.** Suppose  $\varphi: F \to F'$  is a homomorphism of fields, then  $\varphi$  is injective.

*Proof.* Recall that  $\text{Ker}(\varphi)$  is an ideal. Also the only ideals of a field are  $\{0\}$  or F. Since  $\varphi(1) = 1$  which is not inside the kernel of  $\varphi$ , this means  $\text{Ker}(\varphi)$  cannot be F. So  $\text{Ker}(\varphi)$  is zero and this implies  $\varphi$  is injective.

**Definition.** Suppose  $\varphi: F \to F'$  is a homomorphism of fields. Define  $\tilde{\varphi}: F[\lambda] \to F'[\lambda]$  by

$$\tilde{\varphi}(a_0 + a_1\lambda + \dots + a_n\lambda^n) = \varphi(a_0) + \varphi(a_1)\lambda + \dots + \varphi(a_n)\lambda^n$$

Claim that  $\tilde{\varphi}$  is a ring homomorphism. We say  $\tilde{\varphi}$  is induced by  $\varphi$  or  $\varphi$  induces  $\tilde{\varphi}$ . For  $f(\lambda) \in F[\lambda]$ , we define  $({}^{\varphi}f)(\lambda) \in F'[\lambda]$ , where  $({}^{\varphi}f)(\lambda) = \tilde{\varphi}(f(\lambda))$ .

**Example.** Let  $\varphi : \mathbb{C} \to \mathbb{C}$  be complex conjugation and  $f(\lambda) = (2+i)\lambda^3 + (1+i)\lambda + 6$ . So  $(\varphi f)(\lambda) = (2-i)\lambda^3 + (1-i)\lambda + 6$ .

**Definition.** Suppose E/F and E'/F' are extensions. Suppose  $\varphi : F \to F'$  and  $\sigma : E \to E'$  are field homomorphism. We say that  $\sigma$  extends  $\varphi$  if  $\sigma \mid_F = \varphi$ . (Restrict  $\sigma$  to F, i.e.  $\sigma(a) = \varphi(a)$  for  $a \in F$ )

**Lemma.** Suppose E/F and E'/F' are extensions and  $\varphi : F \to F'$  and  $\sigma : E \to E'$  are field homomorphisms and  $\sigma$  extends  $\varphi$ . Suppose  $f(\lambda) \in F[\lambda]$  and r is a root of  $f(\lambda)$  in E. Then  $\sigma(r)$  is a root of  $({}^{\varphi}f)(\lambda) \in F'[\lambda]$ .

*Proof.* Let  $f(\lambda) = a_0 + a_1\lambda + \cdots + a_n\lambda^n$ , where  $a_i \in F$ . Then  $0 = f(r) = a_0 + a_1r + \cdots + a_nr^n$ . Apply  $\sigma$  to both sides. Then we get

$$0 = \varphi(a_0) + \varphi(a_1)\sigma(r) + \dots + \varphi(a_n)(\sigma(r))^n$$

Therefore,  $\sigma(r)$  is a root of  $(\varphi f)(\lambda) \in F'[\lambda]$ .

**Theorem 1** (Extension Theorem for simple extensions). Suppose E = F(r), where r is algebraic over F. Let  $p(\lambda)$  be the minimal polynomial of r. Let E'/F' be another extension and  $\varphi : F \to F'$  is a homomorphism. Let  $p'(\lambda) = (\varphi p)(\lambda)$ .

1. Suppose r' is a root of  $p'(\lambda)$  in E'. Then there exist an unique homomorphism  $\sigma : E \to E'$  that extends  $\varphi : F \to F'$  and maps r to r'.

Moreover, if  $\varphi$  is an isomorphism and E' = F'(r'). Then  $\sigma$  is also an isomorphism.

2. The number of extensions of  $\varphi$  to homomorphisms from E to E' is the number of roots of  $p'(\lambda)$  in E'.

*Proof.* Proof of 2) from 1): Since any homomorphism  $\sigma : E \to E'$  maps r to a root of  $p'(\lambda)$  and by 1), there is only one that does this for each root r' of  $p'(\lambda)$ .

Proof of 1). Uniqueness: Suppose  $\sigma_1$ ,  $\sigma_2$  map r to r' and they extend  $\varphi$ . Then if  $a \in F$ ,  $\sigma_1(r) = \varphi(a) = \sigma_2(r)$ . Also  $\sigma_1(r) = \sigma_2(r) = r'$ . Hence, since E = F(r) = F[r], we have  $\sigma_1(x) = \sigma_2(x)$  for any  $x \in E$ . So  $\sigma_1 = \sigma_2$ .

Existence: We can assume  $\varphi$  is surjective. (Else replace F' with  $\text{Im}(\varphi)$ .) So  $\varphi$  is an isomorphism. Also assume E' = F'(r'). (Else replace E' by F'(r').) Since E = F(r),  $E \simeq F[\lambda]/(p(\lambda))$ . Also since

 $\varphi$  is an isomorphism,  $p'(\lambda)$  is irreducible over F'. (Think about it.) Now since E' = F'(r'), we have  $E' \simeq F'[\lambda]/(p'(\lambda))$ .

Recall we have  $\tilde{\varphi}: F[\lambda] \to F'[\lambda]$ . But  $\tilde{\varphi}(p(\lambda)) = p'(\lambda)$  by definition of  $p'(\lambda)$ . So  $\tilde{\varphi}$  induces:

$$\begin{split} \tilde{\tilde{\varphi}} &: F[\lambda]/(p(\lambda)) &\longrightarrow F'[\lambda]/(p'(\lambda)) \\ \text{where } f(\lambda) + (p(\lambda)) &\longmapsto f'(\lambda) + (p'(\lambda)) \end{split}$$

Note, if  $\varphi : F \to F'$  is an isomorphism, then  $\tilde{\varphi} : F[\lambda] \to F'[\lambda]$  is an isomorphism, then  $\tilde{\tilde{\varphi}} : F[\lambda]/(p(\lambda)) \to F'[\lambda]/(p'(\lambda))$  is an isomorphism. So we have

$$E \simeq F[\lambda]/(p(\lambda)) \xrightarrow{\tilde{\varphi}} F'[\lambda]/(p'(\lambda)) \simeq E'$$

So  $\sigma$  is composition of these isomorphisms.

To see  $\sigma$  extends  $\varphi$ . Let  $a \in F$ . Then  $a \mapsto a + (p(\lambda)) \mapsto \varphi(a) + (p'(\lambda)) \mapsto \varphi(a)$ . So  $\sigma(a) = \varphi(a)$ . Next,  $r \mapsto \lambda + (p(\lambda)) \mapsto \lambda + (p'(\lambda)) \mapsto r'$ . So  $\sigma(r) = r'$ .

**Definition.** Suppose F is a field, then let Aut(F) be the group of automorphisms which group operation composition of maps. It is called the automorphism group of F. The identity is

$$\epsilon_F: F \longrightarrow F$$
$$a \longmapsto a$$

**Example.** Aut $(\mathbb{R}) = \{\epsilon_{\mathbb{R}}\}$ . Aut $(\mathbb{C})$  is infinite.

**Definition.** Any subgroup of Aut(F) is called an automorphism group.

**Definition.** Suppose E/F is an extension. An automorphism of E/F is an automorphism  $\sigma$  of E which extends  $\epsilon_F$ , i.e.  $\sigma \mid_F = \epsilon_F$ .

**Definition.** Let  $\operatorname{Gal}(E/F)$  = set of automorphisms of E/F. Then  $\operatorname{Gal}(E/F)$  is a subgoup of  $\operatorname{Aut}(E)$  and it is called the Galois group of E/F.

**Example.** Gal( $\mathbb{C}/\mathbb{R}$ ) = { $\epsilon_{\mathbb{C}}$ ,  $\sigma$ }, where  $\sigma$  is the complex conjugation automorphism.

Notes on  $\operatorname{Gal}(E/F)$ 

1. Suppose E/F is finite. Suppose  $\sigma : E \to E$  is a homomorphism extending  $\epsilon : F \to F$ . Claim that  $\sigma$  is an isomorphism.

*Proof.*  $\sigma$  is injective since all homomorphisms of fields are. Also  $\sigma$  is surjective since  $\sigma$  is an injective linear map from *E* to *E* as vector spaces over *F*. By Rank-Nullity theorem (since *E* is finite dimensional over *F*),  $\sigma$  is surjective.

So any homomorphism of E to E extending  $\epsilon_F$  is an isomorphism of E. So  $\operatorname{Gal}(E/F)$  is the set of all homomorphisms from E to E extending  $\epsilon_F$ .

2. (Roots are permuted) Suppose  $f(\lambda) \in F[\lambda]$  and  $\sigma \in Gal(E/F)$ . If r is a root of  $f(\lambda)$ , i.e. f(r) = 0, then  $\sigma(r)$  is also a root of  $f(\lambda)$ . This is because  $\sigma(r)$  is a root of  $({}^{\sigma}f)(\lambda)$  and  $({}^{\sigma}f)(\lambda) = f(\lambda)$ . (Since  $\sigma \mid_F = \epsilon_F$  which is an identity map of F) So  $\sigma$  permutes the roots of f.

**Proposition.** E = F(r) where r is algebraic over F. Let  $p(\lambda)$  be the minimal polynomial of r over F. Let  $r_1, ..., r_l$  be distinct roots of  $p(\lambda)$  in E.

- 1. For i = 1, ..., l, there exists unique  $\sigma_i \in \text{Gal}(E/F)$ , such that  $\sigma_i(r) = r_i$ . WIOG, assume  $r_1 = r$ , then  $\sigma_1 = \epsilon_E$ . Also, if  $x \in E = F(r)$ , then  $x = a_0 + a_1r + \cdots + a_{n-1}r^{n-1}$ . Then  $\sigma_1(x) = a_0 + a_1r_i + \cdots + a_{n-1}r_i^{n-1}$ .
- 2.  $Gal(E/F) = \{\sigma_1, ..., \sigma_l\}$
- 3.  $|\operatorname{Gal}(E/F)| = l \le [E:F]$
- *Proof.* 1. Use part 1 of the extension theorem for simple extensions with E = E' and F = F' and  $\varphi = \epsilon_F$ .
  - 2. Use part 2 of the extension theorem for simple extensions. The number of extensions of  $\epsilon_F$  is the number of distinct roots of  $p(\lambda)$ .
  - 3. Let  $n = \deg(p(\lambda))$ . Then [E : F] = n. Since  $p(\lambda)$  has at most n distinct roots, so  $l \le n$ . (in any extension!)

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**Example.** Suppose  $E = \mathbb{Q}(\sqrt{2})$ . The minimal polynomial of  $\sqrt{2}$  is  $p(\lambda) = \lambda^2 - 2$  and it has  $\sqrt{2}, -\sqrt{2}$  as roots. Thus  $\operatorname{Gal}(E/\mathbb{Q}) = \{\sigma_1, \sigma_2\}$ , where  $\sigma_1 = \epsilon_E$  and  $\sigma_2(\sqrt{2}) = -\sqrt{2}$ . In this case,  $[E : \mathbb{Q}] = |C_2|(E/\mathbb{Q})| = 2$ .

In this case,  $[E : \mathbb{Q}] = |\operatorname{Gal}(E/Q)| = 2.$ 

#### 2.1 *n*th roots of unity

Suppose  $G = \{x \in \mathbb{C} \mid x^n = 1\}$ . This is a cyclic group of order n with generator

$$e^{\frac{2\pi i}{n}} = \cos(\frac{2\pi}{n}) + i\sin(\frac{2\pi}{n})$$

Note. If  $z^n = 1$ , then z is a root of  $\lambda^n - 1$ . However

$$\lambda^n - 1 = (\lambda - 1)(\lambda - z_2) \cdots (\lambda - \lambda_{z_n})$$

**Example.** Let  $E = \mathbb{Q}(\sqrt[3]{5})$ . Then the minimal polynomial is  $p(\lambda) = \lambda^3 - 5$  over  $\mathbb{Q}$ . Let  $z = e^{\frac{2\pi i}{3}} = \cos(\frac{2\pi}{3}) + i\sin(\frac{2\pi}{3}) = -\frac{1}{2} + \frac{\sqrt{3}}{2}i$ . Then  $\bar{z} = z^2 = -\frac{1}{2} - \frac{\sqrt{3}}{2}i$ . Now  $\sqrt[3]{5}$ ,  $z\sqrt[3]{5}$ ,  $z^2\sqrt[3]{5}$  are roots of  $p(\lambda)$  in  $\mathbb{C}$ . Note  $z\sqrt[3]{5}$ ,  $z^2\sqrt[3]{5} \notin E = \mathbb{Q}(\sqrt[3]{5})$ . So  $\sqrt[3]{5}$  is the only root of  $p(\lambda)$  in E. So  $\operatorname{Gal}(E/Q) = \{\epsilon_E\}$  since there is only one distinct root to  $p(\lambda)$  in E.

In this case, we have  $|\operatorname{Gal}(E/\mathbb{Q})| = 1$  while  $[E : \mathbb{Q}] = 3$ . We didn't include enough roots of  $p(\lambda)$  to make E. We should have studied  $\mathbb{Q}(\sqrt[3]{5}, z\sqrt[3]{5}, z^2\sqrt[3]{5})$  instead.

**Definition** (splitting field). Suppose  $f(\lambda) \in F[\lambda]$ .  $f(\lambda)$  is monic of degree  $\geq 1$  and suppose E/F is an extension. We say E is a splitting field for  $f(\lambda)$  over F if

1. 
$$f(\lambda) = (\lambda - r_1) \cdots (\lambda - r_n)$$
, where  $r_i \in E, i = 1, ..., n$ .

2. 
$$E = F(r_1, ..., r_n)$$
.

Also say E/F is splitting field for  $f(\lambda)$ .

Note. Suppose  $f(\lambda) \in F[\lambda]$  monic, degree  $\geq 1$ .

- 1. If E is a splitting field for  $f(\lambda)$ , then E/F is finite.
- 2. Suppose E/K/F and E is a splitting field for  $f(\lambda)$  over F, then E is a splitting field for  $f(\lambda)$  over K.
- 3. To find a splitting field for  $f(\lambda)$  over F, first find some field L (an extension of F) such that

$$f(\lambda) = (\lambda - r_1) \cdots (\lambda - r_n)$$

where  $r_i \in L$  and let  $E = F(r_1, ..., r_n)$ .

**Example.** Suppose  $f(\lambda) = \lambda^2 - 2 \in \mathbb{Q}[\lambda]$ . Then  $f(\lambda) = (\lambda - \sqrt{2})(\lambda + \sqrt{2})$ , where  $\sqrt{2}, -\sqrt{2} \in \mathbb{R}$ .  $E = \mathbb{Q}(\sqrt{2}, -\sqrt{2}) = \mathbb{Q}(\sqrt{2})$  is a splitting field for  $\lambda^2 - 2$  over  $\mathbb{Q}$ .

**Example.** Let  $f(\lambda) = \lambda^3 - 5 \in \mathbb{Q}[\lambda]$ . Then  $f(\lambda) = (\lambda - \sqrt[3]{5})(\lambda - z\sqrt[3]{5})(\lambda - z^2\sqrt[3]{5})$ , where  $z = e^{2\pi i/3}$ . (Here we let  $L = \mathbb{C}$ .) So  $E = \mathbb{Q}(\sqrt[3]{5}, z\sqrt[3]{5}, z^2\sqrt[3]{5}) = \mathbb{Q}(\sqrt[3]{5}, z)$  is a splitting field for  $f(\lambda)$  over  $\mathbb{Q}$ .

**Theorem 2** (Existence of splitting fields). Suppose  $f(\lambda) \in F[\lambda]$  is monic and degree  $\geq 1$ . Then there exists a splitting field of  $f(\lambda)$  over F.

*Proof.* It is sufficient to show that there exists an extension L of F s.t.  $f(\lambda) = (\lambda - r_1) \cdots (\lambda - r_n)$ where  $r_i \in L$ . We know that there exists an extension  $K_1$  of F in which  $f(\lambda)$  has a root. (We know it for irreducible polynomials of degree  $\geq 1$ , so just apply our constructor to an irreducible factor of  $f(\lambda)$ . A root of irreducible factor is also a root of  $f(\lambda)$ .) Then  $f(\lambda) = (\lambda - r_1)g(\lambda)$ , where  $r_1 \in K_1$ ,  $g(\lambda) \in K_1[\lambda]$ . If the degree of  $f(\lambda) = 1$ , then we are done. Otherwise, we can find a root of  $r_2$  of  $g(\lambda)$ in some extension  $K_2$  of  $K_1$ . Repeat this process.

**Definition** (Root terminology). Suppose  $f(\lambda)$  is monic with degree  $\geq 1$  over F. Suppose  $f(\lambda) = (\lambda - r_1) \cdots (\lambda - r_n)$ , where  $r_i$  are elements of some extension L of F. If  $r_i$  are distinct, then we say  $f(\lambda)$  has distinct roots in L. If we list only distinct roots  $r_1, ..., r_l$ , they are called the distinct roots of  $f(\lambda)$  in L.

Challenge: Find irreducible polynomials with multiple distinct roots.

**Theorem 3** (Extension theorm for splitting fields). Suppose  $\varphi : F \to F'$  is an isomorphism. Let  $f(\lambda)$  be a monic polynomial of degree  $n \ge 1$  over F. Let  $f'(\lambda) = (\varphi f)(\lambda)$ . (Apply  $\varphi$  to coefficients of f.) Let E be a splitting field for  $f(\lambda)$  over F. Let E' be a splitting field for  $f'(\lambda)$  over F'.

- 1. There exists an extension of  $\varphi$  to an isomorphism  $E \to E'$ .
- 2. The number of extension of  $\varphi$  to an isomorphism  $E \to E'$  is  $\leq [E : F]$ .
- *3.* If  $f'(\lambda)$  has distinct roots in E', then equality holds.

*Proof.* By induction on k = [E : F]. Base case, k = 1. Then E = F. Therefore,  $f(\lambda)$  is a product of degree one factors over F. Thus, the image polynomial  $f'(\lambda)$  is a product of degree one factors over F'. Then E' = F' and 1. holds. (Since  $\varphi : E \to E'$  is the extension.) Then 2. 3. holds as well.

Suppose now k > 1 and Theorem holds for smaller k. Then  $E \neq F$ . Hence there exists a root r of  $f(\lambda)$  in E not in F. So there exists an irreducible factor  $p(\lambda)$  of  $f(\lambda)$  which has r as a root in E not in F with  $\deg(p(\lambda)) \ge 2$ . (Since r is not in F.)

Now we have  $f(\lambda) = g(\lambda)p(\lambda)$  and p(r) = 0,  $g(\lambda) \in F[\lambda]$ . Consider the induce polynomial. Since  $\varphi$  is an isomorphism,  $f'(\lambda) = p'(\lambda)g'(\lambda)$  and  $p'(\varphi(r)) = 0$ . Also  $\deg(p'(\lambda)) = \deg(p(\lambda)) \ge 2$ , and  $p'(\lambda)$  is irreducible over F' as well.

Suppose  $s_1, ..., s_l$  are distinct roots of  $p'(\lambda)$  in E'. Note  $l \leq m$ , where  $m = \deg(p'(\lambda))$ . Then we have the following relationship.



By extension theorem for simple extensions, there exists an unique extension  $\sigma_i$  of  $\varphi$  to a homomorphism of F(r) into E' so that  $\sigma_i(r) = s_i$ . Then  $\sigma_i$  restricted on  $F(s_i)$  is an isomorphism of F(r) onto  $F'(s_i)$ . By multiplicativity of degree, we get the degrees in the picture. But  $m \ge 2$ , so k/m < k. By induction,  $\sigma_i$  extendes to an Isomorphism of E to E'. This proves 1.

To prove 2, define  $e(\varphi)$  as the number of extensions of  $\varphi$  to an isomorphism E to E'. Define  $e(\sigma_i)$  as the number of extensions of  $\sigma_i$  to an isomorphism E to E'.

Now any extension of  $\varphi$  to an isomorphism of E to E' must map r to some  $s_i$ . Hence it must extend some  $\sigma_i$ . So we have

$$e(\varphi) = \sum_{i=1}^{l} e(\sigma_i)$$

By induction,  $e(\sigma_i) \leq [E:F(r)] = k/m$ . Plug this into the formula, we have

$$e(\varphi) \le \sum_{i=1}^{l} \frac{k}{m} = l \cdot \frac{k}{m} \le m \cdot \frac{k}{m} = k$$

This proves 2.

If the roots are distinct, then l = m. Again by induction, we have  $e(\sigma_i) = k/m$ . So

$$e(\varphi) = \sum_{i=1}^{m} \frac{k}{m} = k$$

**Corollary 2** (Uniqueness of splitting fields). Suppose  $f(\lambda)$  is monic polynomial of deg  $\geq 1$  over F. Suppose E/F and E'/F are splitting extensions of  $f(\lambda)$ . Then there exists an isomorphism from E to E' which extends  $\epsilon_F : F \to F$ . And thus we have E = E'.

**Corollary 3.** Suppose  $f(\lambda)$  monic of deg  $\geq 1$  over F. Let E/F be the splitting extension of  $f(\lambda)$ . Then

$$|\operatorname{Gal}(E/F)| \le [E:F]$$

also if  $f(\lambda)$  has distinct roots in E, then  $|\operatorname{Gal}(E/F)| = [E:F]$ .

**Definition** (Notation for elements of Gal(E/F)).

1. Suppose E/F is an extension  $E = F(u_1, ..., u_k)$ . Then an element  $\sigma \in \text{Gal}(E/F)$  is denoted by  $\sigma(u_i) = v_i$ .

	$u_1$	$\longrightarrow$	$v_1$
σ.	$u_2$	$\longrightarrow$	$v_2$
	• • •	• • •	•••
	$u_k$	$\longrightarrow$	$v_k$

- 2. Suppose E/F is a splitting field of  $f(\lambda) \in F[\lambda]$ . Let  $r_1, ..., r_l$  are distinct roots of  $f(\lambda)$  in E.  $E = F(r_1, ..., r_l)$ . Then each  $\sigma \in \text{Gal}(E/F)$  has form
  - $\sigma: \begin{array}{cccc} r_1 & \longrightarrow & r_{\pi(1)} \\ r_2 & \longrightarrow & r_{\pi(2)} \\ \cdots & \cdots & \cdots \\ r_l & \longrightarrow & r_{\pi(l)} \end{array}$

where  $\pi$  is a permutation. ( $\pi \in S_l$ ,  $S_l$  is the permutation group on l objects.) Thus we have a map  $\operatorname{Gal}(E/F) \to S_l$  that is an injective homomorphism. (It might not be surjective.) It is injective since only the identity in  $\operatorname{Gal}(E/F)$  is the identity permutation on roots. i.e. Think of  $\operatorname{Gal}(E/F)$  as a subgroup of  $S_l$ . Write  $\sigma = \pi$ . (Since each  $\sigma$  maps to a unique permutation.)

**Example.** Suppose E is a splitting field of  $f(\lambda) = \lambda^3 - 5$  over  $\mathbb{Q}$ . Then  $E = \mathbb{Q}(\sqrt[3]{5}, z\sqrt[3]{5}, z\sqrt[3]{5}) = \mathbb{Q}(\sqrt[3]{5}, z)$ , where  $z = e^{2\pi i/3}$ .

Now  $\sqrt[3]{5}$  has degree 3 over  $\mathbb{Q}$ . And z has degree 2 over  $\mathbb{Q}$ . (It is  $(\lambda + \frac{1}{2})^2 + \frac{3}{4}$ ) Since 2, 3 are reletively prime, we have  $[E : \mathbb{Q}] = 6$ .

So  $|\operatorname{Gal}(E/\mathbb{Q})| = 6$ . Label the roots  $r_1 = \sqrt[3]{5}$ ,  $r_2 = z\sqrt[3]{5}$ ,  $r_3 = z^2\sqrt[3]{5}$ . So  $\operatorname{Gal}(E/\mathbb{Q}) \leq S_3$ . So  $\operatorname{Gal}(E/\mathbb{Q}) = S_3$ , since both have order 6.

For example,  $\sigma = (123) \in \operatorname{Gal}(E/\mathbb{Q}) = S_3$ . So

What about  $\sigma(z)$ ?

$$\sigma(z) = \sigma\left(\frac{z\sqrt[3]{5}}{\sqrt[3]{5}}\right) = \frac{\sigma(z\sqrt[3]{5})}{\sigma(\sqrt[3]{5})} = \frac{z^2\sqrt[3]{5}}{z\sqrt[3]{5}} = z$$

**Definition.** Suppose  $f(\lambda) \in F[\lambda]$ .

$$f(\lambda) = a_n \lambda^n + a_{n-1} \lambda^{n-1} + \dots + a_1 \lambda + a_0$$

Define the derivative of  $f(\lambda)$  to be

$$f'(\lambda) = na_n\lambda^{n-1} + \dots + a_1$$

where  $na_n = a_n + a_n + \cdots + a_n$ . Note: roduct rule, sum rule works too.

**Example.**  $f(\lambda) = \lambda^3 + 2\lambda^2 + \lambda + 1 \in \mathbb{F}_3[\lambda]$ . Then  $f'(\lambda) = 3\lambda^2 + 4\lambda + 1 = 0 + 1\lambda + 1 = \lambda + 1$ .

**Example.**  $f(\lambda) = 2\lambda^9 + \lambda^3 + 2 \in \mathbb{F}_3[\lambda]$ . Then  $f'(\lambda) = 18\lambda^8 + 3\lambda^2 = 0$ .

**Proposition.** Suppose  $f(\lambda)$  is monic of deg  $\geq 1$  over F. Suppose  $f(\lambda)$  and  $f'(\lambda)$  are relatively prime in  $F[\lambda]$ . Let E be the splitting field of  $f(\lambda)$  over F. Then  $f(\lambda)$  has distinct roots in E.

*Proof.* Since  $f(\lambda)$  and  $f'(\lambda)$  are relatively prime, we have  $gcd(f(\lambda), f'(\lambda)) = 1$ . Then we prove by contradiction.

Now  $f(\lambda) = (\lambda - r_1)(\lambda - r_2) \cdots (\lambda - r_n)$  where  $r_i \in E$ . Suppose for contradiction,  $r_1 = r_2$ . (i.e.  $f(\lambda)$  does not have distinct roots.) So  $f(\lambda) = (\lambda - r_1)^2 g(\lambda)$ . However,  $f'(\lambda) = 2(\lambda - r_1)g(\lambda) + (\lambda - r_1)^2 g'(\lambda)$ . Since  $f(\lambda)$  and  $f'(\lambda)$  has  $r_1$  as a root, then both of them can be divided by the minimal polynomial of  $r_1$ . Contradiction!

Note. The converse is also true. If  $f(\lambda)$  has distinct roots in E, then  $f(\lambda)$  and  $f'(\lambda)$  are relatively prime.

**Example.** Suppose  $f(\lambda) = \lambda^{p^n} - \lambda \in \mathbb{F}_p[\lambda]$ , where  $n \ge 1$ . Then  $f'(\lambda) = p^n \lambda^{p^n-1} - 1 = -1$ . So  $gcd(f(\lambda), f'(\lambda)) = 1$ . So  $f(\lambda)$  has distinct roots in the splitting field.

## **3** Finite Fields

**Proposition.** Suppose E is a finite field.

- 1. Then *E* is a finite extension of  $\mathbb{F}_p \triangleq \mathbb{Z}/p$  for some prime *p*.
- 2. Hence  $|E| = p^n$  where  $n = [E : \mathbb{F}_p]$ .

*Proof.* 1. Define  $\varphi : \mathbb{Z} \to E$ , s.t.  $\varphi(m) = m \cdot 1_E$  for  $m \in \mathbb{Z}$ . So  $\varphi$  is a homomorphism of rings. Let  $F = \{m \cdot 1_E \mid m \in \mathbb{Z}\} = \operatorname{Im}(\varphi)$ . Then F is a subring of E. Now  $\operatorname{Ker}(\varphi)$  is an ideal of  $\mathbb{Z}$ . Since  $\mathbb{Z}$  is infinite and E is finite. Then  $\operatorname{Ker}(\varphi) \neq (0)$ . Hence  $\operatorname{Ker}(\varphi) = (p)$  (ideal in a PID), where p is a positive integer. By definition, p is the characteristic of E. By a previous homework, p is a prime. Recap that  $\varphi$  is a homomorphism of  $\mathbb{Z}$  onto F with kernel (p). So  $F \simeq \mathbb{Z}/(p) = \mathbb{F}_p$  as a ring. Then identify F with  $\mathbb{F}_p$ . Therefore E contains  $\mathbb{F}_p$  as a subring but  $\mathbb{F}_p$  is a field. So E contains  $\mathbb{F}_p$  as subfield. Therefore E is an extension of  $\mathbb{F}_p$  by definition. Since E is finite, E is a finite dimensional vector space over  $\mathbb{F}_p$ . So  $E/\mathbb{F}_p$  is finite.

2. Part 2 follows from part 1. Since E is a finite extension of  $\mathbb{F}_p$ , this means there is a basis  $u_1, ..., u_n$  with all elements in E written uniquely as

$$a_1u_1 + a_2u_2 + \dots + a_nu_n$$

where  $a_i \in \mathbb{F}_p$ . So there are  $p^n$  choices for the  $a_i$ s. (p choices for each  $a_i$ .)

**Proposition.** Suppose E is a finite field of order  $p^n$  for p a prime and  $n \ge 1$ . Then  $u^{p^n} = u, \forall u \in E$ .

*Proof.* Let  $E^{\times} = \{u \in E \mid u \neq 0\}$ . Then  $E^{\times}$  is a group under multiplication. Also  $|E^{\times}| = p^n - 1$ . Hence by Langrange's Theorem, we know  $u^{p^n-1} = 1$ . So  $u \cdot u^{p^n-1} = u$ . So  $u^{p^n} = u$ . This also trivially holds for zero since  $0^{p^n} = 0$ .

**Corollary 4** (Fermat's Little Theorem). If  $u \in \mathbb{F}_p$ , then  $u^p = u$ .

**Corollary 5** (Freshman's Dream). Suppose that E is a field of characteristic p where p is a prime. Then for  $v, u \in E$ , we have

$$(u+v)^p = u^p + v^p$$

And hence

$$(u+v)^{p^n} = u^{p^n} + v^{p^n}$$

for  $n \geq 1$ .

**Exercise.** Suppose char(E) = 3 and  $u, v \in E$ . Then  $(u + v)^3 = u^3 + 3u^2v + 3uv^2 + v^3 = u^3 + v^3$ .

**Theorem 4** (Classification of finite fields). Suppose that p is prime and  $n \ge 1$ . Then  $\exists$  a unique field of order  $p^n$  up to isomorphism, namely the splitting field of  $\lambda^{p^n} - \lambda$  over  $\mathbb{F}_p$ .

*Proof.* Uniqueness: Suppose E is a field of order  $p^n$ . By the first proposition, E is an extension of  $\mathbb{F}_p$  of degree n. Let  $f(\lambda) = \lambda^{p^n} - \lambda$ . We want to show that E is the splitting field of  $f(\lambda)$  over  $\mathbb{F}_p$ . By the second proposition, every element of E is a root of  $f(\lambda)$ . But  $|E| = p^n$  and  $\deg(f(\lambda)) = p^n$ . Hence  $f(\lambda) = \prod_{u \in E} (\lambda - u)$  in  $E[\lambda]$ . Certainly E is generated over  $\mathbb{F}_p$  by the roots of  $f(\lambda)$ . So E is the splitting field of  $f(\lambda)$  over  $\mathbb{F}_p$ .

Existence: Suppose E is the splitting field of  $f(\lambda)$  over  $\mathbb{F}_p$  where  $f(\lambda) = \lambda^{p^n} - \lambda$ . We want to show that  $|E| = p^n$ . Let  $K = \{u \in E \mid u^{p^n} = u\}$  = set of all roots of  $f(\lambda)$  in E. We'll show that K = Eand  $|K| = p^n$ . By Fermat's Little theorem,  $a^p = a$  for all  $a \in \mathbb{F}_p$ . Therefore  $a^{p^n} = a, \forall a \in \mathbb{F}_p$ . So  $a \in K$  and so  $\mathbb{F}_p \subseteq K$ . Use Freshman's Dream to get that K is a subfield of E.  $(E/K/\mathbb{F}_p)$ . Since Kcontains the roots of  $f(\lambda)$  and E is generated by the roots, therefore K = E. Finally, since  $f'(\lambda) = -1$ , so  $gcd(f(\lambda), f'(\lambda)) = 1$ . So  $f(\lambda)$  has distinct roots in E. So  $|K| = p^n$ . Then  $|E| = p^n$ .

**Definition.** The unique field of order  $p^n$  is called the Galois field of order  $p^n$  and is denoted by  $\mathbb{F}_{p^n}$ .

**Example.** The Galois field of order 81 is the splitting field of  $f(\lambda) = \lambda^{81} - \lambda$  over  $\mathbb{F}_3$ . So  $\mathbb{F}_{3^4} = \mathbb{F}_{81} = \{\text{all roots of } \lambda^{81} - \lambda\}.$ 

For computations, construct  $\mathbb{F}_{81}$ .  $\mathbb{F}_3[\lambda]/(q(\lambda)) \simeq \{a_0 + a_1r + a_2r^2 + a_3r^3 \mid a_i \in \mathbb{F}_3\}$  where  $q(\lambda)$  is irreducible of degree 4.

There are 18 such polynomials.  $\lambda^4 + \lambda + 2 = q(\lambda)$ .

From now on, assume char(E) = 0. i.e.  $n \cdot 1_E = 0$  if and only if n = 0. Thus for nonzero u, nu = 0 if and only if n = 0.

**Lemma.** Suppose  $p(\lambda)$  is a monic irreducible polynomial of degree  $\geq 1$  over F. Then  $p(\lambda)$  has distinct roots in its splitting field.

*Proof.* Suppose for contradiction that  $p(\lambda)$  does not have distinct roots in its splitting field. As was proved last time,  $p(\lambda)$  and  $p'(\lambda)$  (the derivative) are not relatively prime. Let  $d(\lambda) = \text{gcd}(p(\lambda), p'(\lambda))$  in  $F[\lambda]$ . So  $\text{deg}(d(\lambda)) \ge 1$ . Since then  $d(\lambda)$  must be a factor of  $p(\lambda)$  and  $p(\lambda)$  is irreducible, we must have  $d(\lambda) = p(\lambda)$ . So  $p(\lambda) | p'(\lambda)$ . But  $p'(\lambda)$  is either 0 or has degree less than  $\text{deg}(p(\lambda))$ . So  $p'(\lambda) = 0$ .

But if  $p(\lambda) = \lambda^n + \dots + a_1\lambda + a_0$ , then  $p'(\lambda) = n\lambda^{n-1} + \dots + a_1$ . Since char(F) = 0, so  $p'(\lambda) \neq 0$ . Contradiction.

**Definition** (Normal extension). Suppose E/F is an extension. We say E/F is a normal extension if E is the splitting field of some monic polynomial of degree  $\geq 1$  over F.

**Note.** Any normal extension is finite, since splitting field are finite.

**Proposition.** Suppose E/F is normal. Then  $\exists$  a monic polynomial  $f(\lambda)$  over F of deg  $\geq 1$ , s.t. E is the splitting field of  $f(\lambda)$  over F and  $f(\lambda)$  has distinct roots in E. Hence

$$|\operatorname{Gal}(E/F)| = [E:F]$$

*Proof.* By definition, E is the splitting field of some  $h(\lambda) \in F[\lambda]$ . Then  $h(\lambda) = p_1(\lambda)^{l_1} \cdots p_k(\lambda)^{l_k}$ , where  $p_i(\lambda)$  are distinct monic irreducible polynomials over F. Let  $f(\lambda) = p_1(\lambda) \cdots p_n(\lambda)$ . Then  $f(\lambda)$ and  $h(\lambda)$  have the same distinct roots. Then E is the splitting field of  $f(\lambda)$  over F. Since char(E) = 0, we proved that each  $p_i(\lambda)$  has distinct root in E. Also if  $i \neq j$ , then  $p_i(\lambda)$  and  $p_j(\lambda)$  cannot have a common root in E. (Since then both  $p_i$  and  $p_j$  would be the minimal polynomials of that root which means  $p_i \mid p_i$  and  $p_j \mid p_i$ , i.e.  $p_i = p_j$ .) Therefore,  $f(\lambda)$  has distinct roots in E.

Last statement follows from the extension theorem for splitting field.

**Definition.** Suppose  $G \leq \operatorname{Aut}(E)$  (G is a subgroup of automorphisms of the field E). We define  $\operatorname{Inv}(G) = \{a \in E \mid \sigma(a) = a, \sigma \in G\}.$ 

**Lemma** (Exercise). Inv(G) is a subfield of E called the subfield of G-invariants in E.

**Example.** Let  $E = \mathbb{C}$ ,  $G = \{\epsilon, \sigma\}$ . Then if  $a \in E = \mathbb{C}$ ,  $a \in \text{Inv}(G) \Leftrightarrow \sigma(a) = a \Leftrightarrow a \in \mathbb{R}$ . So  $\text{Inv}(G) = \mathbb{R}$ . Note,  $\mathbb{C}/\mathbb{R}$  has degree 2. Also it is the splitting field of  $\lambda^2 + 1$ . So it is a normal extension.

Now we are curious about the correspondence between groups and extensions. Suppose E is a field.

- 1. If F is a subfield of E s.t. E/F is a normal extension. Can we have Gal(E/F) being a finite subgroup of Aut(E) and |Gal(E/F)| = [E : F]?
- 2. If G is a finite subgroup of Aut(E) and F = Inv(G). Can we have E/F being a normal extension and [E : F] = |G|?
- 3. Does this establish a one to one correspondence between

subfields F of E such that E/F is normal  $\longleftrightarrow$  finite subgroups of Aut(E)

**Lemma** (Artin's Lemma). Suppose E is a field,  $G \leq Aut(E)$  that is finite. Let F = Inv(G). Then E/F is a finite extension and  $[E : F] \leq |G|$ .

*Proof.* We will show that any set of more than m = |G| elements in E is linearly dependent over F. This will prove both statements.

Suppose  $G = \{\sigma_1, ..., \sigma_m\}$  and  $\sigma_1 = \epsilon_E$ . Let  $u_1, ..., u_n$  be elements of E where n > m. We will show that they are dependent. Consider a system of equations

$$A\vec{x} = \vec{0}$$

where

$$A = \begin{bmatrix} \sigma_1(u_1) & \cdots & \sigma_1(u_n) \\ \cdots & \cdots & \cdots \\ \sigma_m(u_1) & \cdots & \sigma_m(u_n) \end{bmatrix}_{m \times n}$$

This is a system with n unknowns and m equations, so there is always a nontrivial solution in  $E^n$ . Our goal is to find a solution in  $F^n$ . Then since  $\sigma_1 = \epsilon_E$ , the first equation will give

$$x_1u_1 + x_2u_2 + \dots + x_nu_n = 0$$

Note if  $\overline{s} = \begin{bmatrix} s_1 & \cdots & s_n \end{bmatrix}^T$  is a solution of  $A\vec{x} = \vec{0}$ . Then  $\forall i$ , we have  $\sigma_i(\overline{s}) = \begin{bmatrix} \sigma_i(s_1) & \cdots & \sigma_i(s_n) \end{bmatrix}^T$  is a solution to  $\sigma_i(A)\vec{x} = \vec{0}$  where  $\sigma_i(A) = (\sigma_i(a_{jk}))$ . But  $\sigma_i(A)$  is obtained from A by permuting the rows. Therefore both the above to linear systems has the same solution. So  $\sigma_i(\overline{s})$  is also a solution of  $A\vec{x} = \vec{0}$ .

Now choose solution  $\overline{s} = \begin{bmatrix} s_1 & \cdots & s_n \end{bmatrix}^T$  with fewest nonzero entries. WLOG, assume  $s_1 \neq 0$ . Replace  $\overline{s}$  with  $\overline{s}/s_1$  to get  $s_1 = 1$ . Now we have  $\overline{s} = \begin{bmatrix} 1 & s_2 & \cdots & s_n \end{bmatrix}^T$ . Assume for contradiction  $\overline{s} \notin F^n$ . Therefore  $s_i \notin F$  for some i and WLOG  $s_2 \notin F$ . Since F = Inv(G) so  $\exists \sigma_j \in G$  such that  $\sigma_i(s_2) \neq s_2$ . But  $\sigma_i(\overline{s})$  is also a solution and so is  $\overline{s} - \sigma_i(\overline{s})$ . Then we have

$$\overline{s} - \sigma_j(\overline{s}) = \begin{bmatrix} 1\\s_2\\\vdots\\s_n \end{bmatrix} - \begin{bmatrix} 1\\\sigma_j(s_2)\\\vdots\\\vdots\\\vdots \end{bmatrix} = \begin{bmatrix} 0\\s'_2\\\vdots\\\vdots\\\vdots \end{bmatrix}$$

where  $s'_2 \neq 0$ . This is a nontrivial solution with fewer zero extries! Contradiction!

**Remarks.** 1. If we have E/K/F and E/F is normal. Then E/K is normal.

2. If E/K/F, then  $\operatorname{Gal}(E/K) \leq_{\operatorname{subgroup}} \operatorname{Gal}(E/F)$ .

**Theorem 5** (Characterization of normal extensions). Suppose E/F, then TFAE

- 1. E/F is normal
- 2. F = Inv(G) for some finite group  $G \leq Aut(E)$ .
- 3. E/F is a finite extension with the following property:

If  $p(\lambda)$  is any monic irreducible polynomial over F which has a root in E. Then  $p(\lambda)$  is a product of degree one factors in  $E[\lambda]$ .

Moreover, in this case, we have

$$Inv(Gal(E/F)) = F \tag{1}$$

*Proof.* 1 $\Rightarrow$ 2: Suppose E/F is normal. Let  $G = \operatorname{Gal}(E/F)$ . Let  $F' = \operatorname{Inv}(G)$ . Then we have E/F'/F. By remark 1, we have E/F' is normal since E/F is normal. Let  $G' = \operatorname{Gal}(E/F')$ . Then by remark 2, we have  $G' \leq_{\operatorname{subgroup}} G$ . Since E/F and E/F' are normal, we have |G| = [E : F] and |G'| = [E : F'].

Now if  $\sigma \in G$ , then  $\sigma$  fixes elements of F' since F' = Inv(G). So we see  $G \leq G'$ . Then we have G = G'. Thus |G| = |G'| and we get [E : F] = [E : F']. This means F = F'. Then we have F = Inv(G). So 2. and Inv(Gal(E/F)) = F follow.

2⇒3: Suppose F = Inv(G) for some finite  $G \le Aut(E)$ . By Artin's Lemma, E/F is finite.

Suppose  $p(\lambda)$  is monic irreducible polynomial over F with a root r in E. Then  $p(\lambda)$  is the minimal polynomial of r over f. We want to show  $p(\lambda)$  splits as product of degree 1 factors in  $E[\lambda]$ . This is enough to show that  $\exists f(\lambda) \in F[\lambda]$  that splits in  $E[\lambda]$  and has r as a root. Since then  $p(\lambda) | f(\lambda)$  and therefore if  $f(\lambda)$  splits as product of degree 1 polynomials in  $E[\lambda]$ , so does  $p(\lambda)$ .

Let  $f(\lambda) = \prod_{\sigma \in G} (\lambda - \sigma(r)) \in E[\lambda]$ . We want to show that  $f(\lambda) \in F[\lambda]$ .

Now take  $\tau \in G$ .  $({}^{\tau}f)(\lambda) = \prod_{\sigma \in G} (\lambda - (\tau \sigma)(r)) = \prod_{\delta \in G} (\lambda - \delta(r)) = f(\lambda)$ . Now we have  $f(\lambda) \in F[\lambda]$ . So  $f(\lambda)$  is a polynomial with r as a root and coefficients in F.  $f(\lambda)$  splits in  $E[\lambda]$  by definition and therefore so does  $p(\lambda)$ .

 $3\Rightarrow1$ : Suppose any monic irreducible polynomial  $p(\lambda)$  with a root in E splits as a product of degree 1 factors in  $E[\lambda]$ , We want to show that it is a normal extension, namely there is a polynomial  $f(\lambda)$  such that E is the splitting field of  $f(\lambda)$  over F.

Since E/F is finite. Then we have  $u_1, ..., u_k \in E$  such that  $E = F(u_1, ..., u_k)$  and  $u_i$  is algebraic over F. Let  $p_i(\lambda)$  be the minimal polynomial of  $u_i$  over F. By assumption, each  $p_i(\lambda)$  splits in  $E[\lambda]$ . Let  $f(\lambda) = p_1(\lambda) \cdots p_k(\lambda)$ . Then  $f(\lambda)$  splits as a product of degree 1 polynomials in  $E[\lambda]$ . And E is generated by the roots of  $f(\lambda)$ . So E is the splitting field of  $f(\lambda)$ . Thus E/F is normal.

**Example.** Suppose  $E = \mathbb{Q}(\sqrt[3]{5})$ . Is it normal?

Solution:  $p(\lambda) = \lambda^3 - 5$  is the minimal polynomial of  $\sqrt[3]{5}$ . But it does not split as product of degree 1 factors in  $E[\lambda]$ . So it is not normal.

Also since  $|\operatorname{Gal}(E/\mathbb{Q})| = 1$  and  $E/\mathbb{Q}$  has degree 3. So it is not normal.

**Theorem 6** (General Galois Correspondence). Suppose E is a field.

- 1. (Fields to groups) Suppose F is a subfield of E such that E/F is normal. Let G = Gal(E/F). Then G is a finite group. Morever |G| = [E : F] and Inv(G) = F.
- 2. (Groups to fields) Suppose  $G \leq \operatorname{Aut}(E)$  such that G is finite. Let  $F = \operatorname{Inv}(G)$ . Then E/F is a normal extension. Morever [E:F] = |G| and  $\operatorname{Gal}(E/F) = G$ .

*Proof.* 1. Done by previous theorem.

2. By previous theorem, we have E/F is normal. Note,  $G \leq \operatorname{Gal}(E/F)$ . Since it is normal,  $|\operatorname{Gal}(E/F)| = [E : F]$ . By Artin's lemma, we have  $[E : F] \leq |G|$ . So  $|\operatorname{Gal}(E/F)| \leq |G| \leq |\operatorname{Gal}(E/F)|$ . Thus we have  $G = \operatorname{Gal}(E/F)$  and  $[E : F] = |\operatorname{Gal}(E/F)| = |G|$ .

**Theorem 7** (The Galois correspondence for normal extensions). Suppose E/F normal and G = Gal(E/F). Let

- subfield (E/F) the set of all subfields of E/F
- subgp(G) the set of all subgroups of G.

There exists a correspondence between the above two.

- If  $K \in \text{subfield}(E/F)$ , then let H = Gal(E/K). So  $H \in \text{subgp}(G)$ .
- If  $H \in \text{subgp}(G)$ , then let K = Inv(H). So  $K \in \text{subfield}(E/F)$ .

In this case, we write

$$H \longleftrightarrow K \quad or \quad K \longleftrightarrow H$$

We say H corresponds to K or vice sersa.

Exercise: If E/F is not normal, what will happen? *H* is still a subgroup of *G* and *K* is still a subfield of *E*, namely E/K/F is an extension.

**Lemma.** Suppose E/F is normal.  $G = \operatorname{Gal}(E/F)$  and  $K \longleftrightarrow H$ . If  $\sigma \in G$ , then  $\sigma(K) \longleftrightarrow \sigma H \sigma^{-1}$ . Note  $\sigma(K) = \{\sigma(u) \mid u \in K\}$ .

*Proof.* Since  $K \leftrightarrow H$ , then K = Inv(H). Also,  $\sigma(K) \leftrightarrow \sigma H \sigma^{-1}$  is equivalent to  $\sigma(K) = \text{Inv}(\sigma H \sigma^{-1})$ . This is what we are going to show. If  $v \in E$ , then

$$v \in \operatorname{Inv}(\sigma H \sigma^{-1}) \iff \sigma \tau \sigma^{-1}(v) = v, \quad \forall \tau \in H$$
$$\iff \tau(\sigma^{-1}(v)) = \sigma^{-1}(v)$$
$$\iff \sigma^{-1}(v) \in \operatorname{Inv}(H) = K$$
$$\iff v \in \sigma(K)$$

So  $\operatorname{Inv}(\sigma H \sigma^{-1}) = \sigma(K)$ , i.e.  $\sigma(K) \longleftrightarrow \sigma H \sigma^{-1}$ .

**Theorem 8** (Fundamental Theorem of Galois Theory). Suppose E/F is normal. G = Gal(E/F). The bijective correspondence  $H \longleftrightarrow K$  (between subgroups and subfields) has the following properties;

- 1. If  $K \leftrightarrow H$ , then [E : K] = |H| and [K : F] = [G : H] (Think about E/K/F. [G : H] is the index of H in G.)
- 2. If  $K_1 \leftrightarrow H_1$  and  $K_2 \leftrightarrow H_2$ , then  $K_1 \subseteq_{subgp} K_2 \iff H_2 \subseteq_{subgp} H_1$ . Thus the correspondence is inclusion reversing and  $K_1 \cap K_2 \leftrightarrow \langle H_1, H_2 \rangle$ .
- *3. If*  $K \leftrightarrow H$ *, then*

$$K/F$$
 is normal  $\iff H \trianglelefteq G$  (H is a normal subgp of G)

In that case,  $\operatorname{Gal}(K/F) \simeq G/H$ .

- *Proof.* 1. Since E/F is normal, then E/K is normal. Then we have  $[E : K] = |\operatorname{Gal}(E/K)| = |H|$ . For the second part, [E : F] = [E : K][K : F]. Since [E : F] = |G|. So |G| = |H|[K : F] which implies [K : F] = |G|/|H|. By Langrange's theorem from group theory, [G : H] = |G|/|H|. Thus we've showed [K : F] = [G : H].
  - 2. We have  $K_i = \text{Inv}(H_i)$  and  $H_i = \text{Gal}(E/K_i)$ . If  $K_1 \subseteq K_2$ , then  $H_2 \subseteq H_1$ . If  $H_2 \subseteq H_1$ , then  $K_1 \subseteq K_2$ . The second statement is for exercise.
  - 3. Recall  $H \leq G$  iff  $\sigma H \sigma^{-1} = H$  for all  $\sigma \in G$ .

' $\Rightarrow$ ': Suppose K/F is normal, we will show that  $\sigma(K) = K$  for all  $\sigma \in G$  and by lemma, this will show that  $\sigma H \sigma^{-1} = H$  (since  $\sigma H \sigma^{-1} \longleftrightarrow \sigma(K)$ )

Since K/F is normal, let  $u \in K$  such that  $p(\lambda) \in F[\lambda]$  and  $p(\lambda)$  has u as a root. Then  $p(\lambda)$  splits as a product of degree 1 factors in  $K[\lambda]$  so all roots of  $p(\lambda)$  lies in K. Thus  $\sigma(u) \in K$  for any  $\sigma \in G$ . (Recall that  ${}^{\sigma}p(\lambda) = p(\lambda)$  should has  $\sigma(u)$  as a root. Since we know all roots of  $p(\lambda)$  is in K, thus  $\sigma(u) \in K$ .) Thus  $\sigma(K) \subseteq K$  holds. Also for  $\sigma^{-1} \in G$ , we have  $\sigma^{-1}(K) \subseteq K$  which implies  $K \subseteq \sigma(K)$ . So  $K = \sigma(K)$ .

' $\Leftarrow$ ': Suppose  $H \leq G$ . Then  $\sigma H \sigma^{-1} = H$  for any  $\sigma \in G$ . Thus by lemma,  $\sigma(K) = K$  for any  $\sigma \in G$ . For  $\sigma \in G$ , we have  $\sigma|_K \in \operatorname{Gal}(K/F)$ . Let  $\overline{G} = \{\sigma|_K \mid \sigma \in G\}$ , then  $\overline{G} \leq_{\operatorname{subgp}} \operatorname{Gal}(K/F)$ . But since  $\operatorname{Inv}(G) = F$ , then we have  $\operatorname{Inv}(\overline{G}) = F$ . By Characterization of normal extensions, we have K/F is normal.

To show the last statuent, we need a homomorphism  $\varphi : G = \operatorname{Gal}(E/F) \longrightarrow \operatorname{Gal}(K/F)$  such that  $\sigma \longmapsto \sigma|_K$ . Ker $(\varphi) = \{\sigma \in G \mid \sigma(k) = k, \forall k \in K\} = \operatorname{Gal}(E/K) = H$ . Also we need to show the surjectivity of  $\varphi$ . By Langrange's theorem, we know  $|\operatorname{Im}(\varphi)| = |G|/|H| = |\operatorname{Gal}(K/F)|$ . So  $\varphi$  has to be surjective. Then by the first isomorphic theorem, we have  $\operatorname{Gal}(K/F) \simeq G/H$ .

**Example.** Suppose  $f(\lambda) = \lambda^4 + 1 \in \mathbb{Q}[\lambda]$ .

1. Write out the splitting field.

Since  $\lambda^8 - 1 = (\lambda^4 + 1)(\lambda^4 - 1)$ . Then we see the roots of  $\lambda^4 - 1$  are just  $1, z^2, z^4, z^6$ . And the roots of  $\lambda^4 + 1$  are  $z, z^3, z^5, z^7$ . So the splitting field E is  $\mathbb{Q}(z, z^3, z^5, z^7) = \mathbb{Q}(z_8)$ .

2. What is  $[E : \mathbb{Q}]$ ?

Note  $z + z^7 = \sqrt{2}$  and  $z + z^3 = \sqrt{2}i$ . So  $\mathbb{Q}(\sqrt{2})$  and  $\mathbb{Q}(i)$  is a subfield of E. By multiplicativity of degree, we have  $[\mathbb{Q}(\sqrt{2}) : \mathbb{Q}] = 2$ , or 4. It cannot be 2 since  $i \notin \mathbb{Q}(\sqrt{2})$ . Thus  $[E : \mathbb{Q}] = 4$ .

3. Write G as a permutation group.  $G = \operatorname{Gal}(\mathbb{Z}/\mathbb{Q})$ 

Note  $\lambda^4 + 1$  is therefore the minimal polynomial of z. Label roots as  $r_1 = z_8, r_2 = z_8^3, r_3 = z_8^5, r_4 = z_8^7$ . By extension theorem for simple extensions,  $\exists \sigma \in G$ , s.t.  $\sigma(r_1) = z_8^3$ .

Then 
$$\sigma(r_2) = \sigma(z_8^3) = \sigma(z_8)^3 = (z_8^3)^3 = z_8^9 = r_1; \ \sigma(r_3) = \sigma(z_8^5) = \sigma(z_8)^5 = (z_8^3)^5 = z_8^{15} = z_8^7 = r_4; \ \sigma(r_4) = \sigma(z_8^7) = \sigma(z_8)^7 = (z_8^3)^7 = z_8^{21} = z_8^5 = r_3.$$
 Thus  $\sigma = (12)(34)$ .  
Also there exists another  $\tau \in G$  such that  $\tau(r_1) = r_3$ . Then similarly, we get  $\tau(r_1) = r_3, \tau(r_2) = r_1, \tau(r_3) = r_1, \tau(r_4) = r_2$ . Thus  $\tau = (13)(24)$ .  
 $\sigma\tau = (14)(23) \in G$ .

So  $G = \{\epsilon, \sigma, \tau, \sigma\tau\}$ . It's the Klein 4 group.

4. Find all subgroups of G and their corresponding subfields. Since  $K_4$  is abelian, so all subgroups are normal. Thus all corresponding subfields are normal extension.



 $\operatorname{Inv}(\langle \sigma \rangle) :. \sigma(r_1 + r_2) = r_2 + r_1 = \sqrt{2}i.$  Claim  $\operatorname{Inv}(\langle \sigma \rangle) = \mathbb{Q}(\sqrt{2}i)$  by comparing degrees.

Inv $(\langle \tau \rangle)$  :.  $r_1 + r_3 = 0$ . No extra information from this. Since  $\tau(r_1) = r_3 = z_8^5 = -r_1$  So  $\tau(r_1^2) = r_1^2 - i$ . Claim Inv $(\langle \tau \rangle) = \mathbb{Q}(i)$  by comparing degrees.

Inv $(\langle \sigma \tau \rangle)$ :.  $\sqrt{2} = r_1 + r_4 \in \text{Inv}(\langle \sigma \tau \rangle)$ . Claim Inv $(\langle \sigma \tau \rangle) = \mathbb{Q}(\sqrt{2})$  by comparing degrees.

In general, the splitting field of  $x^n - 1$  is called a cyclotomic field.

- Roots are called nth roots of unity.
- If n is prime, then  $\frac{\lambda^n 1}{\lambda 1}$  is the minimal polynomial of  $z_n = e^{2\pi i/n}$ . In our example, n is not a prime and also the minimal polynomial for  $z_8$  is  $\lambda^4 + 1$ .
- In general, minimal polynomial of  $z_n = e^{2\pi i/n}$  is  $\prod_{\gcd(i,n)=1} (\lambda z_n^i)$ . So  $[\mathbb{Q}(z_n) : \mathbb{Q}] = \varphi(n)$ (The Euler function. Number of *i* that coprime to *n*).