

# On relatively analytic and Borel subsets

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## Abstract

Define  $\mathfrak{z}$  to be the smallest cardinality of a function  $f : X \rightarrow Y$  with  $X, Y \subseteq 2^\omega$  such that there is no Borel function  $g \supseteq f$ . In this paper we prove that it is relatively consistent with ZFC to have  $\mathfrak{b} < \mathfrak{z}$  where  $\mathfrak{b}$  is, as usual, smallest cardinality of an unbounded family in  $\omega^\omega$ . This answers a question raised by Zapletal.

We also show that it is relatively consistent with ZFC that there exists  $X \subseteq 2^\omega$  such that the Borel order of  $X$  is bounded but there exists a relatively analytic subset of  $X$  which is not relatively coanalytic. This answers a question of Mauldin.

The following is an equivalent definition of  $\mathfrak{z}$ :

$$\mathfrak{z} = \min\{|X| : X \subseteq 2^\omega, \exists Y \subseteq X \text{ } Y \text{ is not Borel in } X\}$$

For one direction we can use for each  $Y \subseteq X$  its characteristic function  $f : X \rightarrow 2$ . For the other direction use that a function is Borel iff the inverse image of each basic open set is Borel.

The following answers a question raised by Zapletal [6] see appendix A.

**Theorem 1** *It is relatively consistent with ZFC that  $\mathfrak{b} < \mathfrak{z}$ .*

Define  $p \in \mathbb{P}(A)$  for  $A \subseteq 2^\omega$  iff  $p$  is a finite set of consistent sentences of the form:

1. “ $x \in \bigcap_{m < \omega} U_{nm}$ ” where  $x \in A$ ,  $n \in \omega$ , or
2. “ $x \notin U_{nm}$ ” where  $x \in 2^\omega$ ,  $n, m \in \omega$ , or
3. “ $[s] \subseteq U_{nm}$ ” where  $s \in 2^{<\omega}$ ,  $n, m \in \omega$ .

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By consistent we simply mean the following:

- $p$  cannot contain both “ $x \in \bigcap_{m < \omega} U_{nm}$ ” and “ $x \notin U_{nk}$ ” for some  $x, n, k$ , and
- $p$  cannot contain both “ $x \notin U_{nm}$ ” and “ $[x \upharpoonright k] \subseteq U_{nm}$ ” for some  $x, n, m, k$ .

The ordering on  $\mathbb{P}(A)$  is given by inclusion:  $p \leq q$  iff  $p \supseteq q$ . Note that the set  $A$  enters into the picture only in sentence of type (1).

This partial order is from Miller [2] where there are versions for all countable Borel orders (this is for  $\Sigma_3^0$ ). It can be looked on as a generalization of almost disjoint forcing of Jensen and Solovay. I learned about describing almost disjoint forcing as sets of sentences from Jack Silver.

Now suppose that  $G$  is  $\mathbb{P}(A)$ -generic over  $V$ . Define

$$U_{nm}^G = \cup\{[s] : “[s] \subseteq U_{nm}” \in G\} \text{ and } W_n^G = \bigcap_{m < \omega} U_{nm}^G$$

**Lemma 2** For any  $x \in V \cap 2^\omega$

1.  $x \notin U_{nm}^G$  iff “ $x \notin U_{nm}$ ”  $\in G$
2.  $x \in W_n^G$  iff “ $x \in \bigcap_{m < \omega} U_{nm}$ ”  $\in G$
3.  $x \in A$  iff  $x \in \bigcup_{n < \omega} W_n^G$

Proof

To prove (1) working in  $V$ , fix  $x \in 2^\omega$  and  $n, m < \omega$ . The following set is dense:

$$D_{x,n,m} = \{p \in \mathbb{P}(A) : \exists k \text{ “}[x \upharpoonright k] \subseteq U_{nm}” \in p \text{ or “} x \notin U_{nm}” \in p\}$$

To see this note that if “ $x \notin U_{nm}$ ” is not in  $p$  we can always find  $k$  large enough so that  $p \cup \{“[x \upharpoonright k] \subseteq U_{nm}”\}$  is a consistent set of sentences. Now suppose  $x \in U_{nm}^G$ , then for some  $k$  we have that “ $[x \upharpoonright k] \subseteq U_{nm}$ ”  $\in G$  and hence by consistency, “ $x \notin U_{nm}$ ”  $\notin G$ . On the other hand, if “ $x \notin U_{nm}$ ”  $\notin G$ , then since  $D_{x,n,m}$  is dense for some  $k$  we have that “ $[x \upharpoonright k] \subseteq U_{nm}$ ”  $\in G$  and hence  $x \in U_{nm}^G$ .

To prove (2) note that the following set is dense:

$$D_{x,n} = \{p \in \mathbb{P}(A) : \exists k \text{ “} x \notin U_{nk}” \in p \text{ or “} x \in \bigcap_{m < \omega} U_{nm}” \in p\}$$

To see this note that if “ $x \in \bigcap_{m < \omega} U_{nm}$ ”  $\notin p$ , then for large  $k$  (so that  $U_{nk}$  is not mentioned in  $p$ ), the sentences  $p \cup \{“x \notin U_{nk}”\}$  are consistent.

To prove (3) note that if  $x \in A$  then the following is dense:

$$D_x = \{p \in \mathbb{P}(A) : \exists n “x \in \bigcap_{m < \omega} U_{nm}” \in p\}$$

and we can only assert “ $x \in \bigcap_{m < \omega} U_{nm}$ ” for  $x \in A$ .

QED

Note that it follows from the Lemma that  $A \cap V = (\bigcup_{n < \omega} W_n^G) \cap V$  and so that  $A$  is a  $\Sigma_3^0$  relative to the ground model reals.

**Lemma 3**  $\mathbb{P}(A)$  is ccc.

Proof

This is a standard  $\Delta$ -systems argument. Suppose two conditions  $p$  and  $q$  agree on all sentences of the form:

$$“[s] \subseteq U_{nm}”$$

and also they agree on all sentences of the form:

$$“x \in \bigcap_{m < \omega} U_{nm}” \text{ or } “x \notin U_{nm}”$$

whenever  $x$  is mentioned in both  $p$  and  $q$ . Then  $p \cup q$  is consistent.

QED

Next we must prove that  $\mathbb{P}(A)$  does not add a dominating real.

Working in  $V$ , for  $Y \subseteq 2^\omega$  countable define  $p \in \mathbb{P}(A)_Y$  iff  $p \in \mathbb{P}(A)$  and

$$\forall x, n, k \ (“x \notin U_{nk}” \in p \text{ or } “x \in \bigcap_{m < \omega} U_{nm}” \in p) \rightarrow x \in Y.$$

Or in other words,  $\mathbb{P}(A)_Y$  are the conditions in  $\mathbb{P}(A)$  which only mention elements of  $Y$ .

**Lemma 4** Suppose  $p \in \mathbb{P}(A)$  and  $q \in \mathbb{P}(A)_Y$ . Then  $p$  and  $q$  are compatible iff  $r$  and  $q$  are compatible where

$$r = p \setminus \{“x \in \bigcap_{m < \omega} U_{nm}” : x \notin Y, n < \omega\}$$

Proof

Incompatibility cannot arise between sentences of type (1) and (3). That is, any pair of the form:

$$"[s] \subseteq U_{nm} ", \quad "x \in \bigcap_{m < \omega} U_{nm} "$$

is consistent. It follows that the  $"x \in \bigcap_{m < \omega} U_{nm} " \in p$  for which  $x \notin Y$  cannot conflict with the sentences of  $q$  since by definition  $q$  cannot mention any  $x$  which is not in  $Y$ .

QED

Define.  $T = (p, (t_i, n_i, m_i : i < N))$  is a  $Y$ -template iff

1.  $p \in \mathbb{P}(A)_Y$ ,  $t_i \in 2^{<\omega}$ ,  $n_i, m_i, N \in \omega$ ,
2. if  $"y \in \bigcap_{m < \omega} U_{n_i m_i} " \in p$ , then  $y \notin [t_i]$ , and
3. if  $"[s] \subseteq U_{n_i m_i} " \in p$ , then  $[s] \cap [t_i] = \emptyset$ .

Define. For  $\vec{x} = (x_i : i < N) \in \prod_{i < N} [t_i]$

$$p(\vec{x}) = p \cup \{ "x_i \notin U_{n_i m_i} " : i < N \}$$

Note that by the definition of  $Y$ -template that  $p(\vec{x}) \in \mathbb{P}(A)$ , i.e., is consistent, for every  $\vec{x} \in \prod_{i < N} [t_i]$ .

**Lemma 5** *Suppose that  $|\vdash \tau \in \omega$ , there exists  $\Sigma \subseteq \mathbb{P}(A)_Y$  a maximal antichain deciding  $\tau$ , and  $(p, (t_i, n_i, m_i : i < N))$  is a  $Y$ -template. Then there exists  $k < \omega$  so that for every  $\vec{x} \in \prod_{i < N} [t_i]$  there exists  $q \in \mathbb{P}(A)_Y$  such that  $p(\vec{x}) \cup q \in \mathbb{P}(A)$  and  $q \vdash \tau < k$ .*

Proof

For  $q \in \mathbb{P}(A)_Y$  define

$$U_q = \{ \vec{x} \in \prod_{i < N} [t_i] : p(\vec{x}) \cup q \in \mathbb{P}(A) \}$$

Note that  $U_q$  is open. To see this, suppose  $\vec{x} \in U_q$  so that  $p(\vec{x}) \cup q \in \mathbb{P}(A)$ . Note that although some  $x_i$  might be in  $Y$  it can't be that  $"x_i \notin U_{n_i m_i} " \in p(\vec{x})$  and  $"x_i \in \bigcap_{m < \omega} U_{n_i m_i} " \in q$ , because they are compatible. Hence, there must be a sufficiently small neighborhood of  $x_i$  say  $t'_i = x_i \upharpoonright k_i \supseteq t_i$  with the properties that

1. if “ $z \in \bigcap_{m < \omega} U_{n_i, m}$ ”  $\in p \cup q$ , then  $z \notin [t'_i]$ , and
2. if “ $[s] \subseteq U_{n_i, m_i}$ ”  $\in p \cup q$ , then  $[s] \cap [t'_i] = \emptyset$ .

Hence,  $\vec{x} \in \prod_{i < N} [t'_i] \subseteq U_q$ .

Now since  $\Sigma \subseteq \mathbb{P}(A)_Y$  is a maximal antichain we know that

$$\cup \{U_q : q \in \Sigma\} = \prod_{i < N} [t_i]$$

So by compactness since each  $U_q$  is open, there exists a finite  $F \subseteq \Sigma$  such that

$$\cup \{U_q : q \in F\} = \prod_{i < N} [t_i]$$

and since each  $q \in \Sigma$  decides  $\tau$ , the Lemma follows.

QED

In order to prove the full result we must show that the iteration does not add a dominating real. To do this we prove the following stronger property (see Bartoszynski and Judah [1] definition 6.4.4):

**Lemma 6** *The poset  $\mathbb{P}(A)$  is really  $\sqsubseteq^{\text{bounded}}$ -good, i.e., for every name  $\tau$  for an element of  $\omega^\omega$  there exists  $g \in \omega^\omega$  such that for any  $x \in \omega^\omega$  if there exists  $p \in \mathbb{P}(A)$  such that  $p \Vdash \forall^\infty n x(n) < \tau(n)$ , then  $\forall^\infty n x(n) < g(n)$ .*

Proof

Suppose that  $\Vdash \tau \in \omega^\omega$ . Using ccc get  $Y \subseteq 2^\omega$  countable so that for every  $n < \omega$  there exists a maximal antichain  $\Sigma \subseteq \mathbb{P}(A)_Y$  which decides  $\tau(n)$ . List all  $Y$ -templates as  $(T_n : n < \omega)$ . By Lemma 5 there exists  $g \in \omega^\omega$  with the property that for every  $l < \omega$  and  $n < l$  if

$$T_n = (p, (t_i, n_i, m_i : i < N))$$

then for every  $\vec{x} \in \prod_{i < N} [t_i]$  there exists  $q \in \mathbb{P}(A)_Y$  such that  $p(\vec{x}) \cup q \in \mathbb{P}(A)$  and  $q \Vdash \tau(l) < g(l)$ . (To get  $g(l)$  apply Lemma 5 to  $\tau = \tau(l)$  and each of the templates  $(T_n : n < l)$  and then take  $g(l)$  to be the maximum of all the  $k$ 's.)

Now suppose that  $p_0 \Vdash \forall l > l_0 x(l) < \tau(l)$  and

$$p_0 = p \cup \{z_i \in \bigcap_{m < \omega} U_{n'_i, m} : i < N'\} \cup \{x_i \notin U_{n_i, m_i} : i < N\}$$

where  $p \in \mathbb{P}(A)_Y$  and  $z_i, x_i \notin Y$ .

Take  $t_i$  sufficiently long so that  $t_i \subseteq x_i$  and

$$T = (p, (t_i, n_i, m_i : i < N))$$

is a  $Y$ -template. Assume that  $l_0$  is sufficiently large so that  $T = T_k$  for some  $k < l_0$ . By our construction for each  $l > l_0$ , there exists  $q \in \mathbb{P}(A)_Y$  such that  $p(\vec{x}) \cup q \in \mathbb{P}(A)$  and  $q \Vdash \tau(l) < g(l)$ . But by Lemma 4 this means that  $p_0 \cup q \in \mathbb{P}(A)$  and hence  $x(l) < g(l)$ .

QED

The above proof is similar to that of Lemma 6.5.8 [1].

Now we prove Theorem 1. Starting with a model of CH we iterate with finite support  $\omega_2$  times

$$\mathbb{P}_{\alpha+1} = \mathbb{P}_\alpha * \mathring{\mathbb{P}}(\mathring{A}_\alpha)$$

where we dovetail to list all  $A \subseteq 2^\omega$  of size  $\omega_1$  in the final model. Since the finite support iteration of really  $\square^{\text{bounded}}$ -good ccc forcing adds no dominating real (see Bartoszynski and Judah [1] Theorem 6.5.4), we have that in the resulting model  $\mathfrak{b} = \omega_1$ . On the other hand by Lemma 2 we have that  $\mathfrak{z} = \omega_2$ .

QED

Define (see Zapletal [6] Appendix A)

$$\mathfrak{sn} = \min\{|X| : X \subseteq \mathcal{T}, \forall A \Sigma_1^1 \ X \cap A \neq X \cap WF\}$$

where  $\mathcal{T}$  is the set of  $\omega$ -trees and  $WF$  is the set of well-founded trees. An equivalent definition is:

$$\mathfrak{sn} = \min\{|X| : X \subseteq 2^\omega \exists A \Sigma_1^1 \ \forall B \Pi_1^1 \ X \cap A \neq X \cap B\}$$

The equivalence is easy to show because the set of well-founded trees is a universal  $\Pi_1^1$  set. It is not hard to see that  $\mathfrak{z} \leq \mathfrak{sn}$ . So we have the relative consistency of  $\mathfrak{b} < \mathfrak{sn}$ .

The following proposition is mostly due to Rothberger [5]. It implies that we must go up to at least the third level of the Borel hierarchy to get the consistency of  $\mathfrak{b} < \mathfrak{sn}$ . It shows why  $\mathbb{P}(A)$  which makes  $A \cap V$  a  $\Sigma_3^0$  relative to the ground model reals cannot be improved to a forcing which makes it a relative  $\Sigma_2^0$ .

**Proposition 7** *For  $\kappa$  an infinite cardinal the following are equivalent:*

1.  $\mathfrak{b} > \kappa$
2. For all  $X \subseteq 2^\omega$  with  $|X| \leq \kappa$  and for all  $\Sigma_1^1$  sets  $A \subseteq 2^\omega$  there exists a  $\Sigma_2^0$  set  $B \subseteq 2^\omega$  such that  $X \cap A = X \cap B$ .
3. For all  $X \subseteq 2^\omega$  with  $|X| \leq \kappa$  and for all  $\Sigma_2^0$  sets  $A \subseteq 2^\omega$  there exists a  $\Pi_2^0$  set  $B \subseteq 2^\omega$  such that  $X \cap A = X \cap B$ .
4. For all  $X \subseteq 2^\omega$  with  $|X| \leq \kappa$  and for all countable  $A \subseteq X$  there exists a  $\Pi_2^0$  set  $B \subseteq 2^\omega$  such that  $A = X \cap B$ .

Proof

(2)  $\rightarrow$  (3) and (3)  $\rightarrow$  (4) are trivial.

To see (1)  $\rightarrow$  (2) let

$$A = \{x \in 2^\omega : \exists y \in \omega^\omega (x, y) \in C\}$$

where  $C \subseteq 2^\omega \times \omega^\omega$  is closed. Suppose that  $A \cap X = \{x_\alpha : \alpha < \kappa\}$ . Choose  $y_\alpha \in \omega^\omega$  so that  $(x_\alpha, y_\alpha) \in C$  for each  $\alpha < \kappa$ . Since  $\mathfrak{b} > \kappa$  we can choose  $z_n \in \omega^\omega$  for  $n < \omega$  so that for all  $\alpha < \kappa$  there exists  $n < \omega$  with  $y_\alpha \leq z_n$  (pointwise). Define

$$C_n = \{(x, y) \in C : y \leq z_n\}$$

$C_n$  is compact and therefore so is its projection:

$$A_n = \{x \in 2^\omega : \exists y (x, y) \in C_n\}$$

But  $A \cap X = (\bigcup_{n < \omega} A_n) \cap X$ .

To see (4)  $\rightarrow$  (1) let  $X \subseteq \omega^\omega$  with  $|X| = \kappa$ . Now since  $\omega^\omega$  is homeomorphic to  $[\omega]^\omega$  and  $[\omega]^\omega \subseteq P(\omega) \simeq 2^\omega$  by applying (4) we can find a  $\Pi_2^0$  set  $G \subseteq P(\omega)$  such that

$$G \cap (X \cup [\omega]^{<\omega}) = [\omega]^{<\omega}$$

But note that  $F = P(\omega) \setminus G$  is a  $\sigma$ -compact set which is disjoint from  $[\omega]^{<\omega}$ , i.e. a subset of  $[\omega]^\omega \simeq \omega^\omega$  and covers  $X$ . But is easy to show that for any  $\sigma$ -compact subset  $F$  of  $\omega^\omega$  there exists  $f \in \omega^\omega$  such that  $g \leq^* f$  for all  $g \in F$ . QED

Remark. One way to get the consistency of  $\mathfrak{b} < \mathfrak{z} < \mathfrak{sn}$  is as follows: Start with a ground model of  $2^\omega = \omega_1$ ,  $2^{\omega_1} = \omega_2$ , and  $2^{\omega_2} = \omega_{17}$ . Do a finite support iteration of  $\mathbb{P}(A_\alpha)$  for  $\alpha < \omega_3$ , so that for limit ordinals  $\alpha$  we take

$A_\alpha = A$  (the universal  $\Sigma_1^1$ -set) and for successor ordinals  $\alpha$  we take  $|A_\alpha| = \omega_1$  as in the above proof. In the final model we will have  $\mathfrak{b} = \omega_1$  since it is an iteration of really  $\sqsubseteq^{\text{bounded}}$ -good ccc partial orders. Also we will have  $\mathfrak{z} \leq \omega_2$  because  $2^{\omega_2} = \omega_{17}$  and  $2^\omega = \omega_3$ . We also have  $\mathfrak{z} \geq \omega_2$  because of dovetailing over all  $A$  of size  $\omega_1$ . And we will have  $\mathfrak{sn} = \omega_3 = \mathfrak{c}$  because we have cofinally often used the universal  $\Sigma_1^1$ -set.

The following Theorem answers a question of Dan Mauldin (see [4] problem 7.8 and [3] p.212).

**Theorem 8** *It is relatively consistent with ZFC that there exist a separable metric space  $X$  such that the Borel order of  $X$  is bounded, but not every relatively analytic subset of  $X$  is Borel in  $X$ .*

Proof

We use almost exactly the same partial order but with one crucial difference. Instead of using arbitrary subsets  $A \subseteq 2^\omega$  we let  $B \subseteq 2^\omega$  be a fixed universal  $\Pi_3^0$  set. The partial order  $\mathbb{P}(B)$  is Borel, ccc, and adds a generic  $\Sigma_3^0$  set whose intersection with the ground model is the same as  $B$ 's with the ground model.

Define. A partially ordered set  $\mathbb{P}$  is very Souslin iff

1.  $\mathbb{P}$  is ccc,
2.  $\mathbb{P}, \leq, \{(p, q) \in \mathbb{P}^2 : p, q \text{ incompatible}\}$  are  $\Sigma_1^1$ , and
3.  $\{\Sigma \in \mathbb{P}^\omega : \Sigma \text{ enumerates a maximal antichain}\}$  is  $\Sigma_1^1$ .

We will need the following Lemma:

**Lemma 9** (Zapletal [6] see Appendix C, Lemmas C.0.14 and C.0.17) *Suppose  $\mathbb{P}$  is a very Souslin real partial order and  $\mathbb{P}^{\omega_2}$  the countable support iteration of  $\mathbb{P}$ . Then*

$$V^{\mathbb{P}^{\omega_2}} \models \mathfrak{sn} = \omega_1.$$

Lemma 9 does not require large cardinals (LC) as many of Zapletal's results do. It does mean that partial order  $\mathbb{P}(A)$  is not very Souslin even when  $A$  is taken to be analytic. However, if we change  $A$  to make it Borel, then it is very Souslin:



**Lemma 10** For  $B$  Borel the partial order  $\mathbb{P}(B)$  is very Souslin.

Proof

The following sets are Borel:

1.  $\mathbb{P}(B)$
2.  $\{(p, q) \in \mathbb{P}(B) \times \mathbb{P}(B) : p \subseteq q\}$
3.  $\{(p, q) \in \mathbb{P}(B) \times \mathbb{P}(B) : p \text{ and } q \text{ are incompatible}\}$
4.  $\{(p, Y) : Y \in [2^\omega]^\omega \text{ and } p \in \mathbb{P}(B)_Y\}$
5.  $\{((T_n : n < \omega), Y) : Y \in [2^\omega]^\omega \text{ and } \{T_n : n < \omega\} = \text{all } Y\text{-templates}\}$

Next we verify that being a maximal antichain in  $\mathbb{P}(B)$  is  $\Sigma_1^1$ .

**Claim.**  $\Sigma \subseteq \mathbb{P}(B)$  is a maximal antichain iff

1.  $\Sigma$  is an antichain and
2. there exists  $Y \subseteq 2^\omega$  countable and  $(T_n : n < \omega)$  such that
  - $\Sigma \subseteq \mathbb{P}(B)_Y$  and
  - $(T_n : n < \omega)$  enumerates the set of all  $Y$ -templates

and for all  $n$  if  $T_n = (p, (t_i, n_i, m_i : i < N))$ , then there exists  $K$ ,  $(t_i^j : j < K)$ , and  $(q_j : j < K)$  such that

- (a)  $\prod_{i < N} [t_i] = \cup_{j < K} \prod_{i < N} [t_i^j]$
- (b)  $q_j \in \Sigma$
- (c)  $q_j \cup p \in \mathbb{P}(B)$
- (d) “ $y \in \cap_{m < \omega} U_{n_i, m}$ ”  $\in q_j \rightarrow y \notin [t_i^j]$
- (e) “ $[s] \subseteq U_{n_i, m_i}$ ”  $\in q_j \rightarrow [t_i^j] \cap [s] = \emptyset$

Proof

Condition (2) is just a detailed restatement of Lemma 5 and its proof. It guarantees by Lemma 4 that every  $p \in \mathbb{P}(B)$  is compatible with some  $q \in \Sigma$ .

This proves the claim and the lemma easily follows.

QED

Hence by Zapletal's Lemma 9 if we iterated  $\mathbb{P}(B)$  with countable support  $\omega_2$  times then in the resulting model  $\mathfrak{sn} = \omega_1$ . Hence there is some  $X \subseteq 2^\omega$  of size  $\omega_1$  with a relatively analytic set which is not relatively coanalytic. (Actually the proof of Lemma 9 shows that the ground model reals would do for such an  $X$ ). But note that every  $\Pi_3^0$  set occurs as a cross section of our universal  $\Pi_3^0$ -set  $B$  and by Lemma 2 becomes  $\Sigma_3^0$  with respect to the ground model. Hence it is easy to see that for every  $X \subseteq 2^\omega$  of size  $\omega_1$  for every  $\Sigma_3^0$   $B$  there exists a  $\Pi_3^0$   $C$  such that  $X \cap B = X \cap C$ . This proves Theorem 8.

QED

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## Appendix

(Not intended for publication, electronic version only.)

Our first proof of  $\mathfrak{b} < \mathfrak{sn}$  used large cardinals and the following Lemma:

**Lemma 11** (*Zapletal [6] Thm 5.4.12*) (LC) *Suppose  $\mathbb{P}$  is a real, proper, universally Baire forcing such that*

$$V^{\mathbb{P}} \models V \cap \omega^\omega \text{ is unbounded in } \leq^*$$

*Then*

$$V^{\mathbb{P}^{\omega_2}} \models V \cap \omega^\omega \text{ is unbounded in } \leq^*$$

*where  $\mathbb{P}^{\omega_2}$  stands for the  $\omega_2$  iteration with countable support of  $\mathbb{P}$ .*

The hypothesis (LC) stands for large cardinals, for example, unboundedly many measurable Woodin cardinals would be enough. In other words for a nice enough forcing, not adding a dominating real is preserved by the iteration. It is easy to get a two step iteration so that neither step adds a dominating real but the two steps do. For example, force  $\omega_1$ -Cohen reals followed by the Hechler partial order of the ground model.

Fix  $A \subseteq 2^\omega$  a universal  $\Sigma_1^1$  set, i.e., it is lightface  $\Sigma_1^1$  and every boldface  $\Sigma_1^1$  occurs as a cross section via some effective homeomorphism of  $2^\omega \times 2^\omega$  and  $2^\omega$ . In this case the partial order  $\mathbb{P}(A)$  is  $\Sigma_1^1$ , ccc, and determined by a real - so it satisfies the hypothesis of the Lemma.