

# Set Theory HW 1

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OK

- (1)  $A$  is a  $\mathcal{D}$ -finite, infinite set.  
 $A_n = \{x: \mathbb{N} \rightarrow A \mid x \text{ is 1-1}\}$   
For  $X \subseteq \omega \setminus \{0\}$ ,  $A_X = \bigcup_{n \in X} A_n$

(a)  $A_n$  is  $\mathcal{D}$ -finite:

Suppose not. Let  $f: \omega \xrightarrow{1-1} A_n$ . Let  $g: \omega \rightarrow A$  be defined by  $g(m) = (f(k))(r)$  where  $m = kn + r$  with  $0 \leq r < n$ .

Since there are only finitely many  $n$ -tuples over a finite set and since  $f$  is 1-1, we get  $\forall n \exists m > n (\forall i \leq n (g(i) \neq g(m)))$

Thus, if we let  $h: \omega \rightarrow A$ ,  $h(0) = g(0)$ ,  $h(n+1) = g(m)$  where ' $m$ ' is the least no. such that  $g(m) \notin \{h(0), \dots, h(n)\}$ ,

then  $h$  is 1-1, contradicting that  $A$  is  $\mathcal{D}$ -finite.

(b)  $A_X$  is  $\mathcal{D}$ -finite:

Suppose not. Let  $f: \omega \xrightarrow{1-1} A_X$ . Let  $g: \omega \rightarrow A$  be an enumeration of elements of  $A$  occurring in the tuples  $f(0), f(1), f(2), \dots$  in that order. Again,  $g_m(g)$  is infinite since any 1-1 sequence over a finite set of size  $n$  has length  $\leq n \Rightarrow$  there are only finitely many such sequences. Let  $h: \omega \rightarrow A$  be defined as in (a). Then  $h: \omega \xrightarrow{1-1} A \Rightarrow A$  is not  $\mathcal{D}$ -finite  $\Rightarrow \perp$ .

$$(c) \forall m, n \in \omega \setminus \{0\} \left[ \exists f: A_m \xrightarrow{1-1} A_n \Leftrightarrow m \leq n \right]$$

( $\Leftarrow$ ) By induction on  $m$ , we show  $\exists f: A_m \xrightarrow{1-1} A_{m+1}$

Fix  $m+1$  elements of  $A$  say  $\{b_0, b_1, \dots, b_m\}$ .

Let  $f((a_0, \dots, a_{m-1})) = (a_0, a_1, \dots, a_{m-1}, b)$  where  $b$  is

the least  $i$  for which  $b_i \neq a_j$  for  $j=0, 1, \dots, m-1$ .

Then,  $f$  is 1-1

( $\Rightarrow$ ) Suppose  $g: A_m \xrightarrow{1-1} A_n$

and suppose  $m > n$ .

Then, by ( $\Leftarrow$ ) above get a 1-1 but not onto map

$f: A_n \rightarrow A_m$ . But then  $g \circ f$  is 1-1, not onto map on  $A_m \Rightarrow A_m$  is not  $\mathcal{D}$ -finite, a contradiction

(d)  $X \subseteq Y \subseteq \omega \setminus \{0\}$

$\exists f: A_Y \xrightarrow{1-1} A_X \Leftrightarrow X=Y$ .

( $\Leftarrow$ ) Trivial.

( $\Rightarrow$ ) Suppose  $X \subsetneq Y$ . Let  $g: A_X \xrightarrow{1-1} A_Y$  be the identity map

Then  $g$  is not onto.  
If  $f: A_Y \xrightarrow{1-1} A_X$  then  $g \circ f: A_Y \xrightarrow{1-1} A_Y$  is not onto  
 $\Rightarrow A_Y$  is not  $\mathcal{D}$ -finite: contradiction.

(e) Fix a bijection  $h: \mathbb{Q} \leftrightarrow \omega$  between rationals &  $\omega$ .

For a real  $r$ , let  $X_r = \{h(x) : x \in \mathbb{Q} \text{ and } h(x) < r\}$ .

Then,  $r_1 < r_2 \Rightarrow X_{r_1} \subsetneq X_{r_2}$  as rationals are dense in  $\mathbb{R}$ .

Thus,  $\{A_{X_r} : r \in \mathbb{R}\}$  is a collection of continuum many  $\mathcal{D}$ -finite, infinite sets with pairwise distinct cardinal types (i.e. there's no bijection between any two of them)

(2) (a)  $(L, \leq)$  is a linear ordering and  $\forall X \subseteq L$  (either  $X \cong L$  or  $L \setminus X \cong L$ )  
 under the restriction of  $\leq$   
 $\Rightarrow (L, \leq)$  is either a well ordering  
 or a reverse well ordering.

Assume  $L$  is infinite.

Case (1)  $L$  has neither greatest nor least member.

subcase (1a)  $<$  is not dense on  $L$

Pick  $a < b$  in  $L$  such that  $(a, b) = \emptyset$ .

Let  $X = (-\infty, a]$ ,  $L \setminus X = [b, \infty)$

Then  $X \not\cong L$ ,  $L \setminus X \not\cong L$  contradiction.

subcase (1b)  $<$  is dense on  $L$ .

Pick  $a < b < c < d$ . Put  $X = [a, b) \cup (c, d)$ .

Then  $X \not\cong L$  and  $L \setminus X \not\cong L$ .

Thus,  $L$  must have a greatest or least element (say it has a greatest element)

Let  $\kappa = \aleph(L)$  so that  $\kappa$  doesn't inject into  $L$ .

By induction on  $\alpha < \kappa$ , construct  $\{x_\alpha : \alpha < \kappa\}$  as follows:

$x_0 = \leftarrow$  least member of  $L$ .

Put  $X = \{x_0\}$ . Then  $L \setminus X \cong L \Rightarrow x_0$  has a  $\leq$ -successor in  $L$ .

Call it  $x_1$ . Suppose  $\{x_\alpha : \alpha < \gamma\}$  has been constructed such that

$(X_\gamma, \leq) \cong \aleph$ . Then, if  $X_\gamma \cong L$  we'll be done. Otherwise  $L \setminus X_\gamma \cong L$  in which case we set  $x_\gamma$  to be the  $\leq$ -least

element in  $L \setminus X_\gamma$ . The construction terminates at some  $\alpha < \kappa$  since  $\kappa$  cannot be injected into  $L$ . This shows that

$(L, \leq)$  is a well ordering.

The case when  $(L, \leq)$  has a largest element will imply that  $\leq$  is a reverse well order on  $L$  in an analogous way.

$$2(b) \quad \forall \alpha \left[ \forall X \subseteq \alpha \left( X \cong \alpha \text{ or } \alpha \setminus X \cong \alpha \right) \Leftrightarrow \exists \beta \left( \alpha = \omega^\beta \right) \right]$$

( $\Rightarrow$ ) Use Cantor normal form of  $\alpha$ , say

$$\alpha = \omega^{\beta_1} l_1 + \omega^{\beta_2} l_2 + \dots + \omega^{\beta_n} l_n$$

where  $\beta_1 > \beta_2 > \dots > \beta_n$  and  $l_1, \dots, l_n \in \omega \setminus \{0\}$ .

If  $n \geq 2$ , considering  $X = \omega^{\beta_1} l_1$ , we get  $X \not\cong \alpha$  &  $\alpha \setminus X \not\cong \alpha$ .

So  $n=1$  and  $\alpha = \omega^{\beta_1} l_1$ . If  $l_1 \geq 2$ , then  $X = \omega^{\beta_1} \cdot (l_1 - 1)$

we get  $X \not\cong \alpha$  and  $\alpha \setminus X \not\cong \alpha$ . So  $l_1 = 1$  and  $\alpha$  must be an ordinal power of  $\omega$ .

( $\Leftarrow$ ) By induction on  $\beta$ .

At  $\beta = 0, 1$ : Trivial.

$$\text{At } \beta + 1 : \alpha = \omega^{\beta+1} = \omega^\beta \cdot \omega = \underbrace{\omega^\beta + \omega^\beta + \dots}_{\omega \text{ terms}} = \sup_{n \in \omega} \omega^{\beta, n}$$

Let  $X \subseteq \omega^{\beta+1}$ . Let  $X_n = X \cap \left[ \omega^{\beta, (n+1)} \setminus \omega^{\beta, n} \right]$

Then  $X_n \subseteq \alpha_n$  &  $\alpha_n \cong \omega^\beta$

$\Rightarrow$  One of  $X_n$ ,  $\alpha_n \setminus X_n$  has order type  $\omega^\beta$

Let  $A = \{n \in \omega : X_n \cong \omega^\beta\}$

$B = \{n \in \omega : \alpha_n \setminus X_n \cong \omega^\beta\}$

$A \cup B = \omega \Rightarrow$  one of them is infinite, say  $A$  (WLOG)

Then, for each  $n$ ,  $\omega^{\beta, n}$  embeds into  $X \Rightarrow X \cong \omega^{\beta+1}$

At limits :  $\alpha = \omega^\gamma = \sup_{\beta < \gamma} \omega^\beta$ ,  $\gamma$  is a limit ordinal.

Let  $X \subseteq \omega^\gamma$ .  $X_\beta = X \cap \omega^\beta$ ,  $\beta < \gamma$ . Then, one of  $X_\beta$ ,  $\omega^\beta \setminus X_\beta$  is order isomorphic to  $\omega^\beta$ .

Put  $A = \{ \beta < \lambda : X_\beta \cong \omega^\beta \}$

$B = \{ \beta < \lambda : \omega^\beta \setminus X_\beta \cong \omega^\beta \}$

One of  $A, B$  is cofinal (unbounded) in  $\lambda$ , say  $A$  (WLOG).

Claim:  $X \cong \omega^\lambda$

If not,  $X$  embeds into  $\omega^\beta$  for some  $\beta < \lambda$ .

$\Rightarrow$  If  $\beta' > \beta$  &  $\beta' < \lambda$ , then  $\beta' \notin A$  (otherwise  $X$  has a copy of  $\omega^{\beta'}$ .) But then  $A$  is bounded in  $\lambda$ : contradiction.