

# ENDPOINT INEQUALITIES FOR BOCHNER-RIESZ MULTIPLIERS IN THE PLANE

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ABSTRACT. A weak-type inequality is proved for Bochner-Riesz means at the critical index, for functions in  $L^p(\mathbb{R}^2)$ ,  $1 \leq p < 4/3$ .

## 1. Introduction

For a Schwartz-function  $f \in \mathcal{S}(\mathbb{R}^2)$  let  $\widehat{f}(\xi) = \int f(y)e^{-i\langle y, \xi \rangle} dy$  denote the Fourier transform and define the Bochner-Riesz means by

$$S_R^\lambda f(x) = \frac{1}{(2\pi)^2} \int_{|\xi| \leq R} \left(1 - \frac{|\xi|^2}{R^2}\right)^\lambda \widehat{f}(\xi) e^{i\langle x, \xi \rangle} d\xi;$$

we set  $S^\lambda = S_1^\lambda$ . It is a classical theorem of Bochner that  $S^\lambda$  extends to a bounded operator on  $L^p(\mathbb{R}^2)$ ,  $1 \leq p \leq \infty$  if  $\lambda > 1/2$ . The theorem of Carleson and Sjölin [2] states that  $S^\lambda$  is bounded in  $L^p(\mathbb{R}^2)$  if  $0 < \lambda \leq \frac{1}{2}$  and  $\frac{4}{3+2\lambda} < p < \frac{4}{1-2\lambda}$ . It is well known that the  $L^p$  boundedness fails if  $p \leq \frac{4}{3+2\lambda}$  and C. Fefferman [11] showed that  $S^0$  is not bounded in  $L^p(\mathbb{R}^2)$  if  $p \neq 2$ .

In this paper we are concerned with endpoint estimates for the critical exponent  $p_0(\lambda) = \frac{4}{3+2\lambda}$ . In [4], [5] M. Christ proved that  $S^\lambda$  is of weak type  $(p_0(\lambda), p_0(\lambda))$  if  $1/6 < \lambda \leq 1/2$  (for related results see also [6], [15]). A combination of  $L^2$ -variants of Calderón-Zygmund theory (as used first by Fefferman [10]) and the  $L^p \rightarrow L^2$  restriction theorem for the Fourier transform (valid for  $p \leq 6/5 = p_0(1/6)$ ) is essential in Christ's analysis; this accounts for the restriction  $\lambda > 1/6$ . It had been an open problem whether the weak type inequality for the critical index  $\lambda(p) = 2(1/p - 1/2) - 1/2$  is true for  $6/5 \leq p < 4/3$  (although for radial functions this was proved by Chanillo and Muckenhoupt [3]).

**Theorem 1.1.** *Suppose that  $0 < \lambda \leq 1/2$ . Then for all  $\alpha > 0$  there is the weak-type inequality*

$$|\{x \in \mathbb{R}^2 : |S^\lambda f(x)| > \alpha\}| \leq C \frac{\|f\|_{p_0}^{p_0}}{\alpha^{p_0}}, \quad p_0 = \frac{4}{3+2\lambda},$$

where  $C$  does not depend on  $f$  or  $\alpha$ .

By scaling the same estimate holds for  $S_R^\lambda$ , uniformly in  $R$ , and a standard argument gives that  $\lim_{R \rightarrow \infty} S_R^\lambda f = f$  in the topology of the weak type space  $L^{p_0, \infty}$  provided that  $f \in L^{p_0}(\mathbb{R}^2)$ .

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We shall also prove an  $L^p$  endpoint version of the Carleson-Sjölin theorem. Define

$$(1.1) \quad m_{\lambda,\gamma}(\xi) = \frac{(1 - |\xi|^2)_+^\lambda}{(1 - \log(1 - |\xi|^2))^\gamma}.$$

**Theorem 1.2.** *Suppose that  $1 \leq p < 4/3$  and  $\lambda(p) = 2(\frac{1}{p} - \frac{1}{2}) - \frac{1}{2}$ . Then  $m_{\lambda(p),\gamma}$  is a Fourier multiplier of  $L^p(\mathbb{R}^2)$  if and only if  $\gamma > \frac{1}{p}$ .*

The necessity of the condition  $\gamma > 1/p$  was proved in [14], the sufficiency for  $p \leq 6/5$  in [15].

In what follows  $c$  and  $C$  will always be positive numbers which may assume different values in different formulas.

## 2. Strong type estimates

For an interval  $I$  on the real line denote by  $I^*$  the interval with same midpoint and double length. Suppose  $\mathfrak{I} = \{I_j\}_{j \geq 0}$  is a collection of intervals such that  $I_j \subset (1/4, 4)$  and  $2^{-j-3} \leq |I_j| \leq 2^{-j}$  and such that

$$I_j^* \cap I_{j'}^* = \emptyset \quad \text{if } j \neq j'.$$

For each  $j \geq 0$  let  $\psi_j$  be a  $C^2$ -function supported in  $I_j$  with bounds

$$\|\psi_j^{(\ell)}\|_\infty \leq 2^{j\ell}, \quad \ell = 0, 1, 2.$$

Let  $\eta \in C_0^\infty(\mathbb{R}^2)$  such  $\text{supp}(\eta) \subset \{\xi \in \mathbb{R}^2 : |\xi_1/\xi_2| \leq 10^{-1}, \xi_2 > 0\}$ .

Define the operator  $T_j$  by

$$(2.1) \quad \widehat{T_j f}(\xi) = \eta(\xi) \psi_j(|\xi|) \widehat{f}(\xi).$$

$T_j$  is a bounded operator on  $L^1$  with operator norm  $O(2^{j/2})$ , and Córdoba [8] showed that the  $L^{4/3}$  operator norm of  $T_j$  is  $O(j^{1/4})$ . We note that in order to prove results such as Theorem 1.2 for  $p > 1$  it is not sufficient to derive sharp  $L^p$  bounds for the individual operators  $T_j$ . Our main result is

**Theorem 2.1.** *Suppose that  $1 \leq p < 4/3$  and  $\lambda(p) = 2(\frac{1}{p} - \frac{1}{2}) - \frac{1}{2}$  and  $\mathfrak{I}, T_j$  are as above. Then there is the inequality*

$$(2.2) \quad \left\| \sum_j T_j f_j \right\|_p \leq C \left( \sum_j [2^{j\lambda(p)} \|f_j\|_p]^p \right)^{\frac{1}{p}}.$$

In particular if

$$(2.3) \quad m = \sum_j 2^{-j\lambda(p)} a_j \eta(\xi) \psi_j(|\xi|)$$

then  $m$  is a Fourier multiplier of  $L^p$  if  $\{a_j\} \in \ell^p$  (simply apply Theorem 2.1 with  $f_j = a_j 2^{-j\lambda(p)} f$ ). It is easy to see that the multiplier  $m_{\lambda,\gamma}$  in (1.1) is a finite sum of a smooth compactly supported function and rotates of multipliers of the form (2.3), with  $a_j = c j^{-\gamma}$ . Therefore Theorem 2.1 implies Theorem 1.2.

**Proof of Theorem 2.1.** By duality the inequality (2.2) is equivalent to

$$(2.4) \quad \left( \sum_j [2^{-j\lambda(q')} \|T_j f\|_q]^q \right)^{\frac{1}{q}} \leq C \|f\|_q, \quad q > 4.$$

As in [8] one decomposes each  $\psi_j(|\cdot|)$  into pieces which are essentially supported in rectangles of dimensions  $(c2^{-j/2}, c2^{-j})$ . To this end let  $\beta \in C_0^\infty(\mathbb{R})$  be supported in  $(-1, 1)$  such that  $\sum_{\nu=-\infty}^\infty \beta(s - \nu) = 1$  for all  $s \in \mathbb{R}$ . Then define  $T_j^\nu$  by

$$\widehat{T_j^\nu f}(\xi) = \beta(2^{j/2}\xi_1 - \nu) \widehat{T_j f}(\xi).$$

For  $n \leq j/2$  let

$$\mathfrak{Z}_j^n = \{(\nu, \nu') \in \mathbb{Z}^2 : 2^{j/2-n-1} < |\nu - \nu'| \leq 2^{j/2-n}\}.$$

Notice that  $T_j^\nu f T_j^{\nu'} f = 0$  if  $(\nu, \nu') \in \mathfrak{Z}_j^n$  and  $n < 0$ . Therefore

$$(2.5) \quad \begin{aligned} & \left( \sum_j [2^{-j\lambda(q')} \|T_j f\|_q]^q \right)^{\frac{1}{q}} \\ &= \left( \sum_j \left[ 2^{-2j\lambda(q')} \left\| \sum_{\nu} \sum_{\nu'} T_j^\nu f T_j^{\nu'} f \right\|_{\frac{q}{2}} \right]^{\frac{q}{2}} \right)^{\frac{1}{q}} \\ &\leq \sum_{n=0}^\infty \left( \sum_{j \geq 2n} \left[ 2^{-2j\lambda(q')} \left\| \sum_{(\nu, \nu') \in \mathfrak{Z}_j^n} T_j^\nu f T_j^{\nu'} f \right\|_{\frac{q}{2}} \right]^{\frac{q}{2}} \right)^{\frac{1}{q}}. \end{aligned}$$

We shall show that for  $q \geq 4$  the  $n^{\text{th}}$  term in (2.5) is bounded by  $C2^{-n(1/2-2/q)} \|f\|_q$  from which (2.4) immediately follows. This is contained in

**Proposition 2.2.** For  $f, g \in \mathcal{S}(\mathbb{R}^2)$  let

$$\mathcal{B}_j^n(f, g) = \sum_{(\nu, \nu') \in \mathfrak{Z}_j^n} T_j^\nu f T_j^{\nu'} g.$$

Then for  $q \geq 4$  there is the inequality

$$(2.6) \quad \left( \sum_{j \geq 2n} [2^{-2j\lambda(q')} \|\mathcal{B}_j^n(f, g)\|_{\frac{q}{2}}]^{\frac{q}{2}} \right)^{\frac{2}{q}} \leq C2^{-n(1-\frac{4}{q})} \|f\|_q \|g\|_q.$$

**Proof.** The inequality follows by complex interpolation for bilinear mappings from the cases  $q = 4$  and  $q = \infty$ . The correct interpretation of (2.6) for  $q = \infty$  is of course

$$\sup_j 2^{-j} \left\| \sum_{(\nu, \nu') \in \mathfrak{Z}_j^n} T_j^\nu f T_j^{\nu'} g \right\|_\infty \leq C2^{-n} \|f\|_\infty \|g\|_\infty.$$

But this is immediate since each operator  $T_j^\nu$  is bounded on  $L^\infty$  with norm independent of  $j$  and  $\nu$  and since the cardinality of  $\mathfrak{Z}_j^n$  is bounded by  $C2^{j/2} \times 2^{j/2-n} = C2^{j-n}$ .

We shall now prove the required estimate for  $q = 4$  which is

$$(2.7) \quad \left( \sum_{j \geq 2n} \|\mathcal{B}_j^n(f, g)\|_2^2 \right)^{1/2} \leq C \|f\|_4 \|g\|_4$$

uniformly in  $n$ .

We first use Plancherel's theorem and C. Fefferman's basic observation ([12], [8]) that for fixed  $j$  the sets  $\text{supp } (\widehat{T_j^\nu f}) + \text{supp } (\widehat{T_j^{\nu'} f})$  are essentially disjoint; that is each  $\xi \in \mathbb{R}^2$  is contained in at most  $M$  of these sets where  $M$  is independent of  $j$ . This yields the inequality

$$(2.8) \quad \sum_{j \geq 2n} \|\mathcal{B}_j^n(f, g)\|_2^2 \leq C \sum_{j \geq 2n} \sum_{(\nu, \nu') \in \mathfrak{Z}_j^n} \|T_j^\nu f T_j^{\nu'} g\|_2^2$$

It is crucial for this proof that a finer decomposition can be made depending on how far apart the supports of  $\widehat{T_j^\nu f}$  and  $\widehat{T_j^{\nu'} g}$  are, that is, depending on  $n$ . We define operators  $T_j^{\nu\mu}$  by

$$\widehat{T_j^{\nu\mu} f}(\xi) = \beta(2^{j-n}\xi_1 - \mu) \widehat{T_j^\nu f}(\xi)$$

so that  $\widehat{T_j^{\nu\mu} f}$  is supported in a rectangle of dimensions  $(C2^{-j+n}, C2^{-j})$ . Again one can check that for fixed  $j$  and fixed  $(\nu, \nu') \in \mathfrak{Z}_j^n$  each  $\xi \in \mathbb{R}^2$  is contained in at most  $M$  of the sets  $E_{jn\nu\nu'}^{\mu\mu'} = \text{supp } (\widehat{T_j^{\nu\mu} f}) + \text{supp } (\widehat{T_j^{\nu'\mu'} g})$  where  $M$  is independent of  $j, \nu, \nu'$ . Each  $E_{jn\nu\nu'}^{\mu\mu'}$  is contained in a rectangle of dimensions  $(C'2^{-j+n}, C'2^{-j})$ . For fixed  $j, \nu, \nu'$  there are no more than  $C''2^{(j-2n)}$  of these rectangles and they form an essentially disjoint cover of  $\text{supp } (\widehat{T_j^\nu f}) + \text{supp } (\widehat{T_j^{\nu'} g})$ , the latter set being contained in a rectangle of dimensions  $(C2^{-j/2}, C2^{-j/2-n})$ . The disjointness property and Plancherel's theorem imply that

$$(2.9) \quad \sum_{j \geq 2n} \|\mathcal{B}_j^n(f, g)\|_2^2 \leq C \sum_{j \geq 2n} \sum_{\mu, \mu'} \sum_{(\nu, \nu') \in \mathfrak{Z}_j^n} \|T_j^{\nu\mu} f T_j^{\nu'\mu'} g\|_2^2.$$

For any integer  $\kappa$  with  $|\kappa| \leq 2^n$  let

$$\mathfrak{W}_{jn}^\kappa = \{\mu \in \mathbb{Z} : |2^{n-j}\mu - 2^{-n}\kappa| \leq 2^{-n}\}.$$

Then observe that

$$(2.10) \quad T_j^{\nu\mu} f T_j^{\nu'\mu'} g = 0 \quad \text{if } (\nu, \nu') \in \mathfrak{Z}_j^n, \mu \in \mathfrak{W}_{jn}^\kappa, \mu' \in \mathfrak{W}_{jn}^{\kappa'}, |\kappa - \kappa'| \geq 8.$$

Indeed, if  $\mu \in \mathfrak{W}_{jn}^\kappa, \mu' \in \mathfrak{W}_{jn}^{\kappa'}, T_j^{\nu\mu} f T_j^{\nu'\mu'} g \neq 0$  then  $|2^{n-j}\mu - 2^{-j/2}\nu| \leq 2^{-j/2+1}$  and  $|2^{n-j}\mu' - 2^{-j/2}\nu'| \leq 2^{-j/2+1}$ . If  $(\nu, \nu') \in \mathfrak{Z}_j^n$  this implies that  $|2^{n-j}(\mu - \mu')| \leq 2^{-j/2+2} + 2^{-n} \leq 5 \cdot 2^{-n}$  and therefore  $|\kappa - \kappa'| \leq 7$ , hence (2.10). Moreover we note that for  $\mu \in \mathfrak{W}_{jn}^\kappa$  the support of  $\widehat{T_j^{\nu\mu} f}$  is essentially a rectangle with eccentricity  $2^{-n}$  such that the directions of its sides depend on  $\kappa$  but not on  $\mu$ .

By (2.9) and (2.10) we obtain that

$$\begin{aligned}
& \sum_{j \geq 2n} \|\mathcal{B}_j^n(f, g)\|_2^2 \\
& \leq C \sum_{j \geq 2n} \sum_{\kappa} \sum_{\substack{\kappa' \\ |\kappa' - \kappa| < 8}} \left\| \left( \sum_{\mu \in \mathfrak{W}_{jn}^\kappa} \sum_{\nu} |T_j^{\nu\mu} f|^2 \right)^{\frac{1}{2}} \left( \sum_{\mu' \in \mathfrak{W}_{jn}^{\kappa'}} \sum_{\nu'} |T_j^{\nu'\mu'} g|^2 \right)^{\frac{1}{2}} \right\|_2^2 \\
& \leq C' \sum_{j \geq 2n} \sum_{\kappa} \sum_{\substack{\kappa' \\ |\kappa' - \kappa| < 8}} \left\| \left( \sum_{\mu \in \mathfrak{W}_{jn}^\kappa} \sum_{\nu} |T_j^{\nu\mu} f|^2 \right)^{\frac{1}{2}} \right\|_4^2 \left\| \left( \sum_{\mu' \in \mathfrak{W}_{jn}^{\kappa'}} \sum_{\nu'} |T_j^{\nu'\mu'} g|^2 \right)^{\frac{1}{2}} \right\|_4^2 \\
& \leq C'' \left( \sum_{j \geq 2n} \sum_{\kappa} \left\| \left( \sum_{\mu \in \mathfrak{W}_{jn}^\kappa} \sum_{\nu} |T_j^{\nu\mu} f|^2 \right)^{\frac{1}{2}} \right\|_4^4 \right)^{\frac{1}{2}} \left( \sum_{j \geq 2n} \sum_{\kappa} \left\| \left( \sum_{\mu \in \mathfrak{W}_{jn}^\kappa} \sum_{\nu} |T_j^{\nu\mu} g|^2 \right)^{\frac{1}{2}} \right\|_4^4 \right)^{\frac{1}{2}}.
\end{aligned}$$

Therefore the desired estimate (2.7) follows from the case  $q = 4$  of the following lemma.

**Lemma 2.3.** *For  $q \geq 2$  there is the inequality*

$$(2.11) \quad \left( \sum_{j \geq 2n} \sum_{\kappa} \left\| \left( \sum_{\mu \in \mathfrak{W}_{jn}^\kappa} \sum_{\nu} |T_j^{\nu\mu} f|^2 \right)^{\frac{1}{2}} \right\|_q^q \right)^{\frac{1}{q}} \leq C \|f\|_q$$

where  $C$  does not depend on  $n$ .

**Proof.** It suffices to prove (2.11) for  $q = 2$  and  $q = \infty$ . Let  $h_j^{\nu\mu}$  be the Fourier multiplier defining  $T_j^{\nu\mu}$ .

For fixed  $\mu$  and  $j$  there are at most three  $\nu$  such that  $T_j^{\nu\mu} \neq 0$  and since the supports of the functions  $\psi_j$  are disjoint it follows that each  $\xi \in \mathbb{R}^2$  is contained in at most 6 of the sets  $\text{supp } h_j^{\nu\mu}$ . Moreover for fixed  $\mu$  and  $j$  there are at most two  $\kappa$  such that  $\mu \in \mathfrak{W}_{jn}^\kappa$ . Now (2.11) for  $q = 2$  is an immediate consequence of Plancherel's theorem.

In order to check the required estimate for  $q = \infty$  we consider for a fixed  $\mathbf{a} = \{a_{\nu\mu}\} \in \ell^2(\mathbb{Z}^2)$  the multiplier

$$m_{\mathbf{a}}^{j\kappa}(\xi) = \sum_{\mu \in \mathfrak{W}_{jn}^\kappa} \sum_{\nu} a_{\nu\mu} h_j^{\nu\mu}(\xi)$$

and denote by  $K_{\mathbf{a}}^{j\kappa}$  its inverse Fourier transform.

Let  $e_1^\kappa = (2^{-n}\kappa, \sqrt{1 - 2^{-2n}\kappa^2})$  and  $e_2^\kappa = (-\sqrt{1 - 2^{-2n}\kappa^2}, 2^{-n}\kappa)$  and let  $L_{jn}^\kappa$  be the symmetric linear transformation in  $\mathbb{R}^2$  with  $L_{jn}^\kappa e_1^\kappa = 2^j e_1^\kappa$ ,  $L_{jn}^\kappa e_2^\kappa = 2^j e_2^\kappa$ . Then  $h_j^{\nu\mu}(L_{jn}^\kappa \cdot)$  is supported in a cube  $Q_j^{\nu\mu}$  of sidelength 10 and for fixed  $j$  the cubes  $Q_j^{\nu\mu}$  have finite overlap, uniformly in  $j$ . Moreover it is easy to see that for  $\mu \in \mathfrak{W}_{jn}^\kappa$

$$\left\| \frac{\partial^\alpha}{\partial \xi^\alpha} [h_j^{\nu\mu}(L_{jn}^\kappa \cdot)] \right\|_\infty \leq C, \quad |\alpha| \leq 2.$$

Since the Sobolev-space  $L_2^2$  is a subspace of  $\widehat{L^1}$  we obtain that

$$\begin{aligned} \|K_a^{j\kappa}\|_1 &= \|2^{-2j+n} K_a^{j\kappa} ((L_{jn}^\kappa)^{-1}\cdot)\|_1 \\ &\leq C \sum_{|\alpha|\leq 2} \left\| \sum_{\mu,\nu} a_{\nu\mu} \frac{\partial^\alpha}{\partial \xi^\alpha} [h_j^{\nu\mu}(L_{jn}^\kappa\cdot)] \right\|_2 \\ &\leq C' \left( \sum_{\mu,\nu} |a_{\nu\mu}|^2 \right)^{\frac{1}{2}} \end{aligned}$$

where  $C'$  does not depend on  $j$ ,  $\kappa$  and  $\mathbf{a}$ . This implies

$$\begin{aligned} &\sup_{j\geq 2n} \sup_{\kappa} \left\| \left( \sum_{\mu\in\mathfrak{W}_{jn}^\kappa} \sum_{\nu} |T_j^{\nu\mu} f|^2 \right)^{\frac{1}{2}} \right\|_\infty \\ &= \sup_{j\geq 2n} \sup_{\kappa} \sup_{x\in\mathbb{R}^2} \sup_{\|\mathbf{a}\|_{\ell^2(\mathbb{Z}^2)}\leq 1} |K_a^{j\kappa} * f(x)| \\ &\leq \sup_{j\geq 2n} \sup_{\kappa} \sup_{\|\mathbf{a}\|_{\ell^2(\mathbb{Z}^2)}\leq 1} \|K_a^{j\kappa}\|_1 \|f\|_\infty \leq C \|f\|_\infty \end{aligned}$$

which is the desired estimate for  $q = \infty$ .  $\square$

*Remarks.*

(a) For  $q = \infty$  the inequality (2.11) is closely related to an estimate on square-functions with respect to an equally spaced decomposition, see *e.g.* [9], [13]; in fact it can be obtained from these estimates.

(b) A variant of the above proof can be used to obtain the known sharp  $L^4$  bound  $\|T_j\|_{L^4\rightarrow L^4} = O(j^{1/4})$  without making use of the sharp  $L^2$  bounds for Kakeya-maximal functions.

(c) The observation concerning the overlapping properties of  $\text{supp } T_j^{\nu\mu} + \text{supp } T_j^{\nu'\mu'}$  can be used to improve on some bounds for sectorial square-functions in Córdoba [9]. This has been observed by A. Carbery and the author.

(d) The decomposition in terms of the bilinear operators  $\mathcal{B}_j^n$  is related to a decomposition used by Carbery [1] in his work on weighted inequalities for the maximal Bochner-Riesz operator  $S_*^\lambda$ . The techniques above can be used to prove new weighted inequalities for  $S_*^\lambda$ .

### 3. Weak type estimates

Let  $\mathfrak{J}$  be a family of disjoint intervals as introduced in §2 and let  $T_j$  be as in (2.1). Define

$$T^\lambda f = \sum_{j\geq 0} 2^{-j\lambda} T_j f.$$

We shall prove the estimate

$$(3.1) \quad |\{x \in \mathbb{R}^2 : |T^{\lambda(p)} f(x)| > \alpha\}| \leq C \frac{\|f\|_p^p}{\alpha^p}, \quad p < \frac{4}{3}$$

where  $\lambda(p) = 2(1/p - 1/2) - 1/2$  and  $C$  does not depend on  $f$  or  $\alpha$ . Of course Theorem 1.1 is a consequence of (3.1).

As in [5] the proof is based on an interpolation. The argument uses Theorem 2.1 and known estimates previously obtained in the proof of weak-type (1,1) inequalities (see [4], [7], [15]).

Let  $f \in L^p(\mathbb{R}^2)$  where  $1 \leq p < \frac{4}{3}$  and let  $\alpha > 0$ . In order to estimate the quantity on the left hand side of (3.1) we apply the Calderón-Zygmund decomposition to  $|f|^p$  at height  $\alpha^p$ . We obtain a decomposition  $f = g + b$  where  $\|g\|_\infty \leq C\alpha$ ,  $\|g\|_p \leq C\|f\|_p$ ,  $b = \sum_Q b_Q$ ,  $\text{supp } b_Q \subset Q$ , the squares  $Q$  are pairwise disjoint,  $\|b_Q\|_p^p \leq C\alpha^p|Q|$ ,  $\sum_Q |Q| \leq C\alpha^{-p}\|f\|_p^p$ ; and as a consequence  $\alpha^{p-2}\|g\|_2^2 + \|b\|_p^p \leq C\|f\|_p^p$ .

Let  $l(Q)$  be the sidelength of  $Q$  and  $B_j = \sum_{Q:l(Q)=2^j} b_Q$  if  $j > 0$  and  $B_0 = \sum_{Q:l(Q) \leq 0} b_Q$ . Then

$$\{x \in \mathbb{R}^2 : |T^{\lambda(p)}f(x)| > \alpha\} \subset \Omega_1 \cup \Omega_2 \cup \Omega_3 \cup \Omega_4 \cup \Omega_5$$

where  $\Omega_1$  is the union of the double squares  $Q^*$  and

$$\begin{aligned} \Omega_2 &= \{x \in \mathbb{R}^2 : |T^{\lambda(p)}g(x)| > \frac{\alpha}{5}\} \\ \Omega_3 &= \left\{x \in \mathbb{R}^2 : \left| \sum_{s \geq 0} \sum_{j > s} 2^{-j\lambda(p)} T_j B_{j-s}(x) \right| > \frac{\alpha}{5} \right\} \\ \Omega_4 &= \left\{x \in \mathbb{R}^2 : \left| \sum_{j \geq 0} 2^{-j\lambda(p)} T_j B_0(x) \right| > \frac{\alpha}{5} \right\} \\ \Omega_5 &= \left\{x \in \mathbb{R}^2 \setminus \Omega_1 : \left| \sum_{\sigma > 0} \sum_{j \geq 0} 2^{-j\lambda(p)} T_j B_{j+\sigma}(x) \right| > \frac{\alpha}{5} \right\}. \end{aligned}$$

By the disjointness of the squares  $Q$  we have

$$|\Omega_1| \leq \sum_Q |Q^*| \leq C \frac{\|f\|_p^p}{\alpha^p}$$

and Chebyshev's inequality and the  $L^2$ -boundedness of  $T^\lambda$  imply

$$|\Omega_2| \leq C \frac{\|T^\lambda g\|_2^2}{\alpha^2} \leq C' \frac{\|g\|_2^2}{\alpha^2} \leq C'' \frac{\|f\|_p^p}{\alpha^p}.$$

Next we choose  $r$  such that  $p < r < 4/3$ . We shall show that the following estimates hold with  $\epsilon = \frac{1}{2}(\frac{r}{p} - 1)$ .

$$(3.2) \quad \left\| \sum_{j > s} 2^{-j\lambda(p)} T_j B_{j-s} \right\|_r^r \leq C 2^{-\epsilon s} \alpha^{r-p} \|b\|_p^p, \quad s \geq 0,$$

$$(3.3) \quad \|2^{-j\lambda(p)} T_j B_0\|_r^r \leq C 2^{-\epsilon j} \alpha^{r-p} \|b\|_p^p, \quad j \geq 0,$$

$$(3.4) \quad \left\| \sum_{j \geq 0} 2^{-j\lambda(p)} T_j B_{j+\sigma} \right\|_{L^p(\mathbb{R}^2 \setminus \Omega_1)}^p \leq C 2^{-\epsilon \sigma} \|b\|_p^p, \quad \sigma \geq 0.$$

From (3.2-4) it follows by applications of Minkowski's and Chebyshev's inequalities that

$$|\Omega_3| + |\Omega_4| + |\Omega_5| \leq C \frac{\|b\|_p^p}{\alpha^p} \leq C' \frac{\|f\|_p^p}{\alpha^p}.$$

In order to prove (3.2-4) we use analytic interpolation (*i.e.* the Phragmen-Lindelöf principle) similarly as in [5]. For  $\text{Re}(z) \in [0, 1]$  define

$$B_{j,z}(x) = |B_j(x)|^{p[(1-z)+z/r]} \text{sign}(B_j(x))$$

and

$$\gamma(z) = 2\left(1 - z + \frac{z}{r} - \frac{1}{2}\right) - \frac{1}{2}.$$

Since  $2^{-j\gamma(1+i\tau)}T_j$  is a bounded operator on  $L^1$  with norm independent of  $j$  we obtain

$$(3.5) \quad \left\| \sum_{j>s} 2^{-j\gamma(1+i\tau)} T_j B_{j-s,1+i\tau} \right\|_1 \leq C \sum_{j>s} \|B_{j-s,1+i\tau}\|_1 \leq C' \|b\|_p^p$$

$$(3.6) \quad \|2^{-j\gamma(1+i\tau)} T_j B_{0,1+i\tau}\|_1 \leq C \|B_0\|_p^p \leq C' \|b\|_p^p.$$

From estimates in [7] (or [15]) it follows that

$$(3.7) \quad \left\| \sum_{j>s} 2^{-j\gamma(1+i\tau)} T_j B_{j-s,1+i\tau} \right\|_2^2 \leq C 2^{-s/2} \alpha^p \|b\|_p^p$$

$$(3.8) \quad \|2^{-j\gamma(1+i\tau)} T_j B_{0,1+i\tau}\|_2^2 \leq C 2^{-j/2} \|b\|_p^p$$

and also that

$$(3.9) \quad \left\| \sum_{j \geq 0} 2^{-j\gamma(1+i\tau)} T_j B_{j+\sigma,1+i\tau} \right\|_{L^1(\mathbb{R}^2 \setminus \Omega_1)} \leq C 2^{-\sigma} \sum_{j \geq 0} \|B_{j+\sigma,1+i\tau}\|_1 \leq C' 2^{-\sigma} \|b\|_p^p.$$

Using the inequality  $\|F\|_r \leq C \|F\|_1^{\frac{2}{r}-1} \|F\|_2^{2-\frac{2}{r}}$  we get from (3.5), (3.7) and from (3.6), (3.8) that

$$(3.10) \quad \left\| \sum_{j>s} 2^{-j\gamma(1+i\tau)} T_j B_{j-s,1+i\tau} \right\|_r^r \leq C 2^{-s \frac{r-1}{2}} \alpha^{p(r-1)} \|b\|_p^p$$

$$(3.11) \quad \|2^{-j\gamma(1+i\tau)} T_j B_{0,1+i\tau}\|_r^r \leq C 2^{-j \frac{r-1}{2}} \alpha^{p(r-1)} \|b\|_p^p.$$

Now by Theorem 2.1 it follows that

$$(3.12) \quad \left\| \sum_{j>s} 2^{-j\gamma(i\tau)} T_j B_{j-s,i\tau} \right\|_r^r \leq C \sum_{j>s} \|B_{j-s,i\tau}\|_r^r \leq C' \|b\|_p^p$$

$$(3.13) \quad \|2^{-j\gamma(i\tau)} T_j B_{0,i\tau}\|_r^r \leq C \|B_{0,i\tau}\|_r^r \leq C' \|b\|_p^p$$

$$(3.14) \quad \left\| \sum_{j \geq 0} 2^{-j\gamma(i\tau)} T_j B_{j+\sigma,i\tau} \right\|_r^r \leq C \sum_{j \geq 0} \|B_{j+\sigma,i\tau}\|_r^r \leq C' \|b\|_p^p.$$

Now let  $h$  be arbitrary function in  $L^{p'}$ ,  $p' = p/(p-1)$ , with  $\|h\|_{p'} \leq 1$  and define

$$h_z(x) = |h(x)|^{z p' / r'} \text{sign}(h(x)).$$



Moreover let  $g$  be an arbitrary function in  $L^{r'}$  with  $\|g\|_{r'} \leq 1$ . We then apply the Phragmen-Lindelöf principle to the functions

$$\begin{aligned} z \mapsto W_{1,s}(z) &= \int \sum_{j>s} 2^{-j\gamma(z)} T_j B_{j-s,z}(x) g(x) dx \\ z \mapsto W_{2,j}(z) &= \int 2^{-j\gamma(z)} T_j B_{0,z}(x) g(x) dx \\ z \mapsto W_{3,\sigma}(z) &= \int \sum_{j \geq 0} 2^{-j\gamma(z)} T_j B_{j+\sigma,z}(x) h_z(x) dx \end{aligned}$$

and estimate these functions at  $z = \theta$  chosen such that  $1/p = (1 - \theta) + \theta/r$ . From (3.10), (3.12), from (3.11), (3.13) and from (3.9), (3.14) it follows that

$$\begin{aligned} |W_{1,s}(\theta)| &\leq C \alpha^{r-p} 2^{-\frac{s}{2}(\frac{r}{p}-1)} \|b\|_p^p \\ |W_{2,j}(\theta)| &\leq C \alpha^{r-p} 2^{-\frac{j}{2}(\frac{r}{p}-1)} \|b\|_p^p \\ |W_{3,\sigma}(\theta)| &\leq C 2^{-\sigma(\frac{r}{p}-1)} \|b\|_p^p \end{aligned}$$

and an application of the converse of Hölder's inequality yields (3.2), (3.3) and (3.4).

*Remark.* Endpoint versions for more general classes of multiplier transformations have been formulated in [15]. By combining arguments in this and the present paper one can prove similar results for radial Fourier multipliers of  $L^p(\mathbb{R}^2)$ , for the full range  $1 \leq p < 4/3$ .

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