A fake Schottky group in $Mod(S)$

and Christopher J. Leininger Autumn Kent

Abstract. We use the classical construction of Schottky groups in hyperbolic geometry to produce non-Schottky subgroups of the mapping class group.

1. Introduction

In hyperbolic geometry, a Schottky group is a free convex cocompact Kleinian group, classically constructed as follows. Pick four pairwise disjoint closed balls B_1^- , B_2^- , B_1^+ , B_2^+ in S_{∞}^{n-1} , the ideal boundary of hyperbolic *n*-space. Suppose there are isometries f_1 and f_2 so that

$$
f_i(B_i^-) = \overline{S_{\infty}^{n-1} - B_i^+}.
$$

Then $\langle f_1, f_2 \rangle$ is a Schottky group isomorphic to the free group F_2 of rank two.

Now let S be a closed surface of genus $g \geq 2$ and let $Mod(S) = \pi_0(\text{Homeo}^+(S))$ be its mapping class group. By way of analogy with the theory of Kleinian groups, B. Farb and L. Mosher defined [FM] a notion of convex cocompactness for subgroups of $Mod(S)$. In this setting, a *Schottky group* is a free convex cocompact subgroup of $Mod(S)$. In [**KL1, KL2**], we extended Farb and Mosher's analogy, providing several characterizations of convex cocompactness borrowed from the Kleinian setting (see also Hamenstädt $[H]$). The analogy is an imperfect one, see [KL3] and the references there, and we point out some new imperfections here.

THEOREM 1.1. There exist pseudo-Anosov elements f_1 and f_2 in $Mod(S)$ and pairwise disjoint closed balls $B_1^-, B_2^-, B_1^+, B_2^+$ in $\mathbb{P}\mathcal{ML}(S)$ for which

$$
f_i(B_i^-) = \overline{\mathbb{P}\mathcal{ML}(S) - B_i^+}
$$

and yet $\langle f_1, f_2 \rangle \cong F_2$ is not a Schottky group.

The construction is based on work of N. Ivanov, and it is clear from his work in [I] that he was aware of this construction (see also McCarthy [Mc]). The group $G = \langle f_1, f_2 \rangle$ contains reducible elements and so fails to be convex cocompact. It is worth noting that there are sufficiently high powers of the f_i that generate a Schottky group, as proven by Farb and Mosher $[FM]$, see also $[KL1, H]$.

¹⁹⁹¹ Mathematics Subject Classification. Primary 20F65 ; Secondary 30F60, 57M07, 57M50. Key words and phrases. Schottky group, mapping class group.

The first author was supported in part by an NSF postdoctoral fellowship.

The second author was supported in part by NSF Grant DMS-0603881.

Part of the analogy between Kleinian groups and mapping class groups was developed by J. McCarthy and A. Papadopoulos [MP], who constructed a limit set Λ_G and domain of discontinuity $\Delta_G \subset \mathbb{P}\mathcal{ML}(S) - \Lambda_G$ for any subgroup $G <$ Mod(S), see Section 4. Unlike in the Kleinian setting, $\Delta_G \neq \mathbb{PML}(S) - \Lambda_G$ in general. While examples illustrate the necessity of taking an open set strictly smaller than $\mathbb{P}\mathcal{ML}(S) - \Lambda_G$ as a domain of discontinuity, it is not clear that Δ_G is an optimal choice. In [KL1], we asked whether or not Δ_G is the largest open set on which G acts properly discontinuously—see Question 3 there. Here, we answer this in the negative.

There is an obvious open set on which our group $G = \langle f_1, f_2 \rangle$ acts properly discontinuously and cocompactly, namely

$$
\Omega = \bigcup_{g \in G} g \cdot \Theta
$$

where Θ is the closure of the complement of our four balls. To see that Ω is open, note that Θ is contained in the interior $\mathcal U$ of

$$
f_1(\Theta) \cup f_1^{-1}(\Theta) \cup f_2(\Theta) \cup f_2^{-1}(\Theta)
$$

and that

$$
\Omega = \bigcup_{g \in G} g \cdot \mathcal{U}
$$

If the f_i are chosen carefully, the set Ω will contain Δ_G properly, and we have the following theorem.

THEOREM 1.2. There are irreducible subgroups $G < Mod(S)$ for which Δ_G is not the largest open set on which G acts properly discontinuously.

Asymmetry of the construction provides another domain Ω' on which G acts properly discontinuously, and we will also show that G does not act properly discontinuously on the union $\Omega \cup \Omega'$.

Though Δ_G is not a maximal domain of discontinuity, we show in Section 5 that, for the groups in Theorem 1.2, it is nonetheless the intersection of all such maximal domains.

2. Surface dynamics

If X is a subset of $\mathbb{P}\mathcal{ML}(S)$, we let

$$
Z\mathcal{X} = \{ [\nu] \in \mathbb{P}\mathcal{ML}(S) \, | \, i(\nu, \mu) = 0 \text{ for some } [\mu] \in \mathcal{X} \}
$$

be the zero locus of X. If $\mathcal{X} = \{[x]\}\$ we sometimes write Zx for $Z\mathcal{X}$.

If f is pseudo-Anosov, then it acts with *north–south* dynamics on $\mathbb{P}ML(S)$, meaning that it has unique attracting and repelling fixed points $[\mu_f^+]$ and $[\mu_f^-]$, respectively—all other points are attracted to $[\mu_f^+]$ under iteration of \hat{f} . In fact, for any neighborhood U of $[\mu_f^+]$ and any compact set $K \subset \mathbb{PML}(S) - \{[\mu_f^-\}]\$, there is a natural number N so that

$$
(2.1)\t\t fn(K) \subset U
$$

for any $n \geq N$.

Ivanov proves that there is a similar situation for most pure reducible elements (see the Appendix of $|I|$). In particular, suppose α is a nonseparating simple closed curve in S preserved by a mapping class ϕ that is pseudo-Anosov when restricted

to $S-\alpha$. Let $[\mu_{\phi}^+]$ and $[\mu_{\phi}^-]$ be the stable and unstable laminations for ϕ in $S-\alpha$ considered laminations on S, and note that

$$
Z\mu_{\phi}^-=\big\{[s\mu_{\phi}^-+(1-s)\alpha]\in\mathbb{P}\mathcal{ML}(S)\,|\,s\in[0,1]\big\}.
$$

If $K \subset \mathbb{P}\mathcal{ML}(S) - Z\mu_{\phi}^-$ is a compact set and U a neighborhood of $[\mu_{\phi}^+]$, then there is an $N > 0$ such that for all $n \geq N$ we have

(2.2) φ n (K) ⊂ U.

Given a mapping class g of either type above, let $\lambda(g)$ denote the *expansion* factor of g, the number such that

$$
g(\mu_g^+) = \lambda(g)\mu_g^+.
$$

3. The construction

Let α be a nonseparating curve fixed by a mapping class ϕ that is pseudo-Anosov on $S - \alpha$, and let $\left[\mu_{\phi}^{+}\right]$ and $\left[\mu_{\phi}^{-}\right]$ be as in the previous section.

Let $\mathbb{S}_{\phi} \subset \mathbb{P}\mathcal{ML}(S)$ be a bicollared (6g–8)–dimensional sphere dividing $\mathbb{P}\mathcal{ML}(S)$ into two closed balls \mathcal{A}_{ϕ} and \mathcal{B}_{ϕ} containing $Z\mu_{\phi}^{-}$ and $[\mu_{\phi}^{+}]$, respectively.

According to (2.2), there is an $N > 0$ so that for all $n \geq N$ we have

$$
\phi^n(\mathcal{B}_{\phi}) \subset \text{int}(\mathcal{B}_{\phi}).
$$

So we choose an $n \ge N$, let $h = \phi^n$, $B_h^- = \mathcal{A}_{\phi}$, and $B_h^+ = h(\mathcal{B}_{\phi})$. Recall H. Masur's theorem [Ma] that the set

$$
\{([\mu_\psi^+], [\mu_\psi^-]) \, | \, \psi \in \text{Mod}(S) \text{ pseudo-Anosov} \}
$$

is dense in $\mathbb{P}\mathcal{ML}(S)\times\mathbb{P}\mathcal{ML}(S)$. So we choose a pseudo-Anosov ψ whose fixed points $[\mu^+_{\psi}]$ and $[\mu^-_{\psi}]$ lie in $\mathbb{PML}(S) - (B_h^- \cup B_h^+)$. We let $\mathbb{S}_{\psi} \subset \mathbb{PML}(S) - (B_h^- \cup B_h^+)$ be a bicollared $(6g - 8)$ –sphere which bounds two balls: $\mathcal{A}_{\psi} \subset \mathbb{P}\mathcal{ML}(S) - (B_h^- \cup B_h^+)$ containing $[\mu_{\psi}^-]$ and \mathcal{B}_{ψ} containing $[\mu_{\psi}^+]$. As $\mathbb{P}\mathcal{ML}(S)-(B_h^-\cup B_h^+)$ is a neighborhood of $[\mu^+_{\psi}],$ (2.1) provides an $M > 0$ such that for all $m \geq M$, we have $\psi^m(\mathcal{B}_{\psi}) \subset$ $\mathbb{P}\mathcal{ML}(S) - (B_h^- \cup B_h^+)$. Arguing as in [I], we may choose m so that $\psi^m h$ is pseudo-Anosov, and we do so. We let $f = \psi^m$, $B_f^- = \mathcal{A}_{\psi}$, and $B_f^+ = f(\mathcal{B}_{\psi})$.

We now have elements f, h , and pairwise disjoint closed balls

$$
B_h^-,B_h^+,B_f^-,B_f^+\,
$$

with

$$
h(B_h^-) = \overline{\mathbb{P}\mathcal{ML}(S) - B_h^+} \text{ and } f(B_f^-) = \overline{\mathbb{P}\mathcal{ML}(S) - B_f^+}.
$$

See Figure 1.

Let $G = \langle f, h \rangle$, set

$$
\Theta = \mathbb{P}\mathcal{ML}(S) - \Big(B_h^- \cup B_h^+ \cup B_f^- \cup B_f^+\Big).
$$

and let

$$
\Omega = \bigcup_{g \in G} g \cdot \Theta.
$$

The group G acts on Ω properly discontinuously and cocompactly with fundamental domain Θ , and the usual ping–pong argument implies that $G \cong F_2$.

A slight modification now provides the desired example.

Figure 1

We let $f_1 = fh$ and $f_2 = f$, both pseudo-Anosov by construction. Of course, $G = \langle f_1, f_2 \rangle$, and we need only find balls B_1^{\pm} and B_2^{\pm} with

(3.1)
$$
f_i(B_i^-) = \overline{\mathbb{P}\mathcal{ML}(S) - B_i^+}.
$$

Set $B_1^- = B_h^-$ and $B_1^+ = f(B_h^+)$. The ball B_2^- is constructed as a regular neighborhood of $B_f^-\cup B_h^+\cup \delta$ in $\mathbb{P}\widetilde{\mathcal{ML}}(S)-(B_h^-\cup B_f^+)$, where δ is an arc in Θ from $B_f^$ to B_h^+ . The ball B_2^+ is defined to be $\overline{\mathbb{P}ML(S) - f(B_2^-)}$. See Figure 2. One can now check (3.1).

4. Proper discontinuity

Let $G = \langle h, f \rangle$ be the group constructed in the previous section, and let ∂G be the Gromov boundary of G . By the work in $[MP]$, the limit set

 $\Lambda_G = \{ [\mu_g^+] \in \mathbb{P}\mathcal{ML}(S) \mid g \in G \text{ is pseudo-Anosov} \}$

is the unique minimal closed G–invariant subset of $\mathbb{P}\mathcal{ML}(S)$. In [KL2] we showed that one may choose h and f as above so that G has the following property.

PROPERTY 4.1. There exists a continuous G-equivariant homeomorphism

$$
\mathfrak{I}\colon \partial G\to \Lambda_G.
$$

Moreover, for each $x \in \partial G$ which is a fixed point of a conjugate ^gh of h, $\mathfrak{I}(x)$ is the stable or unstable lamination of that conjugate ${}^{g}h$ (respecting the dynamics).

FIGURE 2

Otherwise $\mathfrak{I}(x)$ is a uniquely ergodic filling lamination. In particular, every element $g \in G$ not conjugate to a power of h is pseudo-Anosov.

We henceforth assume that G satisfies Property 4.1. The domain of discontinuity is defined to be

$$
\Delta_G = \mathbb{P}\mathcal{ML}(S) - Z\Lambda_G.
$$

This is an open set on which G acts properly discontinuously $[MP]$, which justifies the name.

We may describe the zero locus $Z\Lambda_G$ for G explicitly. For each conjugate gh of h, we have the attracting and repelling fixed points x_{gh}^{\pm} in ∂G . By Property 4.1, the map $\ensuremath{\mathfrak{I}}$ sends these to the stable and unstable laminations

$$
\Im(x_{\mathfrak{q}_h}^{\pm}) = [\mu_{\mathfrak{q}_h}^{\pm}] = g[\mu_h^{\pm}].
$$

For any such point $g[\mu_h^{\pm}] \in \Lambda_G$, the set $Zg\mu_h^{\pm} = gZ\mu_h^{\pm}$ is a 1-simplex in $Z\Lambda_G$. Since $\Im(x)$ is uniquely ergodic and filling for every other point $x \in \partial G$, it follows that $Z\Lambda_G$ is the union of Λ_G and all of these intervals.

The intervals $Z\mu_h^-$ and $Z\mu_h^+$ intersect each other at α , and so the union

$$
\mathbb{J}_h=Z\mu_h^-\cup Z\mu_h^+
$$

is an interval joining μ_h^- to μ_h^+ . All in all, we have

(4.1)
$$
Z\Lambda_G = \Lambda_G \cup \bigcup_{g \in G} g J_h
$$

We impose one further restriction on h and f —more precisely, on the balls B_f^{\pm} . Since the fixed points of f do not meet the interval \mathbb{J}_h , we may replace f with a power so that the balls B_f^{\pm} are disjoint from this interval. This implies that

$$
\operatorname{int} Z\mu_h^+ = Z\mu_h^+ \cap \bigcup_{n \in \mathbb{Z}} h^n \Theta
$$

and so $Z\mu_h^+$ intersects the h^n translates of Θ , and no other G–translates. As $Z\mu_h^$ does not intersect Ω , these are the only G–translates of Θ that \mathbb{J}_h intersects. Write $\Sigma_h^{\pm} = \partial B_h^{\pm}$ and $\Sigma_f^{\pm} = \partial B_f^{\pm}$.

We claim that

$$
\Sigma_f^+ \cap Z\Lambda_G = \emptyset.
$$

To see this, note that if Σ_f^+ nontrivially intersected $Z\Lambda_G$, it would do so in some $g\mathbb{J}_h$, by (4.1); and then g must be a power of h, since Σ_f^+ lies in Θ . But $h\mathbb{J}_h = \mathbb{J}_h$, and so Σ_f^+ would intersect \mathbb{J}_h , contrary to our choice of f. The claim follows.

Now, Theorem 1.2 will follow from

THEOREM 4.2. The set Δ_G is properly contained in Ω . In fact,

$$
\Omega = \mathbb{P}\mathcal{ML}(S) - \left(\Lambda_G \cup \bigcup_{g \in G} gZ\mu_h^-\right).
$$

First note that $\Delta_G \neq \Omega$ as $\Sigma_h^- \subset \Theta \subset \Omega$ nontrivially intersects $\mathbb{J}_h \subset Z\Lambda_G =$ $\mathbb{P}\mathcal{ML}(S) - \Delta_G.$

To prove the containment, we must gather some information about the complement of Ω . Let $\mathfrak{X} = \mathbb{P}ML(S) - \Omega$.

LEMMA 4.3. There is a continuous G -equivariant map

$$
\mathfrak{K}\colon\thinspace \mathfrak{X}\to \partial G.
$$

PROOF. The spheres Σ_h^{\pm} and Σ_f^{\pm} are bicollared with collars $N(\Sigma_h^{\pm})$ and $N(\Sigma_f^{\pm})$. We assume as we may that

$$
h(N(\Sigma_h^-))=N(\Sigma_h^+)
$$
 and $f(N(\Sigma_f^-))=N(\Sigma_f^+)$

and that all of the G–translates of these collars are pairwise disjoint.

Let G be the Cayley graph of G and identify $\partial G = \partial \mathcal{G}$. We define a continuous G–equivariant map

$$
\mathfrak{K}_0\colon\thinspace\Omega\to\mathcal{G}
$$

by identifying $\mathcal G$ with the tree dual to the hypersurface

$$
\bigcup_{g \in G} g\left(\Sigma_h^-\right) \cup \bigcup_{g \in G} g\left(\Sigma_f^-\right)
$$

in Ω and projecting in the usual manner, see [Sh].

The map \mathfrak{K}_0 extends continuously to a G-equivariant map

$$
\mathfrak{K}\colon \mathbb{P}\mathcal{ML}(S) \to \overline{\mathcal{G}} = \mathcal{G} \cup \partial G
$$

whose restriction to $\mathfrak X$ is the map we desire. The extension is described concretely as follows.

First note that given any point $[\eta] \in \mathfrak{X}$, there is a unique sequence of elements $x_1^{\epsilon_1}, x_2^{\epsilon_2}, x_3^{\epsilon_3}, \ldots$

where $x_i \in \{f, h\}$ and $\epsilon_i \in \{\pm 1\}$ with the property that $[\eta]$ is contained in the nested intersection

$$
\bigcap_{i=1}^{\infty} x_1^{\epsilon_1} \cdots x_i^{\epsilon_i} (B_{x_i}^{\epsilon_i}).
$$

where $B_h^{\pm 1} = B_h^{\pm}$ and $B_f^{\pm 1} = B_f^{\pm}$. Identifying ∂G with the set of infinite reduced words, our map is given there by

$$
\mathfrak{K}([\eta]) = x_1^{\epsilon_1} x_2^{\epsilon_2} x_3^{\epsilon_3} \cdots
$$

To see that R is continuous, let $\mathcal{U}_q \subset \overline{\mathcal{G}}$ be the open set consisting of all infinite reduced words in ∂G with prefix g together with the union of the open tails of the corresponding paths in G. Now if g ends in $x_0^{e_0}$ with $x_0 \in \{h, f\}$ and $\epsilon_0 \in {\pm 1}$, then

$$
\mathfrak{K}^{-1}(\mathcal{U}_g) = gx_0^{-\epsilon_0}(\text{int } B_{x_0}^{\epsilon_0})
$$

which is open. \Box

LEMMA 4.4. $\mathfrak K$ is a one-sided inverse to $\mathfrak I$. That is, $\mathfrak K \circ \mathfrak I = id_{\partial G}$.

PROOF. Since $\mathfrak X$ is a G–invariant closed set, it contains Λ_G , and so $\mathfrak{K} \circ \mathfrak{I}$ is welldefined. Next, suppose that x_f^+ is the attracting fixed point of f. Then $\mathfrak{I}(x_f^+) = [\mu_f^+]$ is the attracting fixed point in $\mathbb{PML}(S)$ of f, and hence $\mathfrak{K}(\mathfrak{I}(x_f^+)) = x_f^+$. The same is true for any conjugate of f, and hence $\mathfrak{K} \circ \mathfrak{I}$ is the identity on the set of attracting fixed points of conjugates of f. Being G–invariant, this set is dense in ∂G , and so, by continuity, $\mathfrak{K} \circ \mathfrak{I}$ is the identity on all of ∂G .

Theorem 4.2 follows easily from the following lemma.

LEMMA 4.5. For all $x \in \partial G$, we have $\mathfrak{K}^{-1}(x) \subset Z\mathfrak{I}(x)$. In fact, if x is the repelling fixed point x_{gh}^- of a conjugate ^gh of h, then $\mathfrak{K}^{-1}(x) = gZ\mu_h^-$. Otherwise, the set $\mathfrak{K}^{-1}(x)$ is a singleton contained in Λ_G .

PROOF OF THEOREM 4.2 ASSUMING LEMMA 4.5. By the first statement, $\mathfrak{X} \subset$ $Z\Lambda_G$ since

$$
Z\Lambda_G = \bigcup_{x \in \partial G} Z\mathfrak{I}(x).
$$

So $\Omega \supset \Delta_G$ as required. Again, the containment is proper as $Z\mu_h^+$ nontrivially intersects $Ω$.

The description of Ω follows from the second and third statements. \Box

We need the following general fact about sequences of laminations.

LEMMA 4.6. Suppose $\mathfrak{S} \subset \mathcal{ML}(S)$ is a compact set, $\{f_k\} \subset \text{Mod}(S)$ is an infinite sequence of distinct pseudo-Anosov mapping classes with

$$
\mu_{f_k}^\pm \to \mu^\pm
$$

in $\mathcal{ML}(S)$, and that $\{\nu_k\}_{k=1}^{\infty} \subset \mathfrak{S}$ and $\{t_k\}_{k=1}^{\infty} \subset \mathbb{R}_+$ are sequences with

$$
t_k f_k(\nu_k) \to \eta
$$

in $ML(S)$.

If there is an $r > 0$ such that

$$
i(\nu, \mu^{\pm}) > r
$$

for all $\nu \in \mathfrak{S}$, then $t_k \to 0$.

PROOF. Note that continuity of i and compactness of $\mathfrak S$ imply that there exist $K > 0$ and $R > 1$ such that for all $k \geq K$ and all $\nu \in \mathfrak{S}$

$$
\frac{1}{R} < i\left(\nu, \mu_{f_k}^{\pm}\right) < R.
$$

By the continuity of i we have

$$
\lim_{k \to \infty} i(t_k f_k(\nu_k), \mu_{f_k}^-) = i(\eta, \mu^-),
$$

and so, for sufficiently large k , we have

$$
i(\eta, \mu^{-}) - 1 < i\big(t_k f_k(\nu_k), \mu_{f_k}^{-}\big) < i(\eta, \mu^{-}) + 1.
$$

The central term of this inequality is also given by

i

$$
(t_k f_k(\nu_k), \mu_{f_k}^-) = i(t_k \nu_k, f_k^{-1}(\mu_{f_k}^-))
$$

= $t_k i(\nu_k, \lambda(f_k^{-1})\mu_{f_k}^-)$
= $t_k \lambda(f_k) i(\nu_k, \mu_{f_k}^-)$

where $\lambda(f_k)$ is the expansion factor of f_k , and so, for all sufficiently large k, we have

$$
\frac{i(\eta,\mu^-)-1}{R} < t_k\lambda(f_k) < R(i(\eta,\mu^-)+1).
$$

Since the f_k are all distinct, and their fixed points converge in $\mathbb{P}\mathcal{ML}(S)$, it follows that $\lambda(f_k) \to \infty$. So $t_k \to 0$ as required.

PROOF OF LEMMA 4.5. First assume that $x \in \partial G$ is the fixed point of a conjugate of h . By the G-equivariance of \mathfrak{K} , it suffices to consider the case of h itself. Then, we have $x = x_h^+$ or $x = x_h^-$. In this case, the sequences of balls nesting to $\mathfrak{K}^{-1}(x_h^+)$ and $\mathfrak{K}^{-1}(x_h^-)$ are given by

$$
{h^k(B_h^+)}_{k=1}^{\infty}
$$
 and ${h^{-k}(B_h^-)}_{k=1}^{\infty}$,

respectively.

From the discussion in Section 2, we already know that

$$
\mathfrak{K}^{-1}(x_h^+) = \bigcap_{k=1}^{\infty} h^k(B_h^+) = \{ [\mu_h^+] \} \subset Z\mathfrak{I}(x_h^+)
$$

and

$$
\mathfrak{K}^{-1}(x_h^-) = \bigcap_{k=1}^{\infty} h^{-k}(B_h^-) = Z\mu_h^- = Z\mathfrak{I}(x_h^-).
$$

If $g \in G$ is any other element not conjugate to a power of h, then, by Property 4.1, g is pseudo-Anosov, and the dynamical properties of pseudo-Anosov mapping classes discussed in Section 2 implies

$$
\mathfrak{K}^{-1}(x^{\pm}(g)) = \{ [\mu^{\pm}(g)] \} = Z\mathfrak{I}(x^{\pm}(g)).
$$

Therefore, to complete the proof of the lemma, we assume that $x \in \partial G$ is not a fixed point of any element of G.

We write x as an infinite reduced word

$$
x = x_1^{\epsilon_1} x_2^{\epsilon_2} x_3^{\epsilon_3} \cdots
$$

Since x is not the fixed point of any element of G, we can assume that $x_n = f$ and, say, $\epsilon_n = +1$ for infinitely many n (the case that $x_n = f$ and $\epsilon_n = -1$ for infinitely many n is similar). The G-equivariance of $\mathfrak K$ implies that we may also assume that $x_1 = f$ and $\epsilon_1 = 1$. Let $\{n_k\}_{k=1}^{\infty}$ be the increasing sequence of natural numbers for which $x_{n_k} = f$ and $\epsilon_{n_k} = +1$. Finally, set

$$
f_k = x_1^{\epsilon_1} x_2^{\epsilon_2} x_3^{\epsilon_3} \cdots x_{n_k}^{\epsilon_{n_k}} \in G.
$$

Then, we have $\mathfrak{K}^{-1}(x)$ expressed as the nested intersection

$$
\mathfrak{K}^{-1}(x) = \bigcap_{k=1}^{\infty} f_k(B_f^+).
$$

Any point $[\eta]$ in the frontier of $\mathfrak{K}^{-1}(x)$ is a limit of a sequence in the frontiers

$$
[\eta] = \lim_{k \to \infty} f_k([\nu_k])
$$

where

$$
[\nu_k] \in \text{Fr}(B_f^+) = \Sigma_f^+.
$$

We fix any such $[\eta] \in \text{Fr}(\mathfrak{K}^{-1}(x))$ and such a sequence $\{[\nu_k]\}.$

We pass to a further subsequence so that $\mu_{f_k}^{\pm} \to \mu^{\pm} \in \mathcal{ML}(S)$. Since $[\mu_{f_k}^{\pm}] \in \Lambda_G$ for all k, we also have $[\mu^{\pm}] \in \Lambda_G$. In fact, since $f_k = x_1^{\epsilon_1} \cdots x_{n_k}^{\epsilon_{n_k}}$ is cyclically reduced, the axes for f_k in G all go through the origin and limit to a geodesic $\gamma \subset \mathcal{G}$ through 1 with positive ray ending at x. Therefore, $x_{f_k}^+ \to x$ as $k \to \infty$, and by continuity of \mathfrak{I} , it follows that

$$
\mathfrak{I}(x) = [\mu^+] \in \Lambda_G.
$$

Moreover, the negative ray of γ ends at some point $y \in \partial G$ and is described by an infinite word

$$
y=y_1^{\delta_1}y_2^{\delta_2}y_3^{\delta_3}\cdots
$$

where $y_1^{\delta_1} \neq f$ since $x_1^{\epsilon_1} = f$ and γ is a geodesic. Therefore, again appealing to the continuity of $\mathfrak I$ we see that

$$
\mathfrak{I}(y) = [\mu^-] \in \Lambda_G \cap \mathbb{P} \mathcal{ML}(S) - B_f^+.
$$

By similar reasoning, for any $[\mu] \in \Lambda_G \cap B_f^+$, we have

$$
f_k([\mu]) \to [\mu^+] = \Im(x).
$$

In fact, it follows from [MP] , Lemma 2.7 that there is a μ (a fixed point of a pseudo-Anosov in G) and a sequence s_k tending to zero such that

$$
\lim_{k \to \infty} s_k f_k(\mu) = \mu^+ \in \mathcal{ML}(S).
$$

We now let $\mathfrak{S} \subset \mathcal{ML}(S)$ be the image of Σ_f^+ under some continuous section of $\mathcal{ML}(S) \to \mathbb{P}\mathcal{ML}(S)$. Since $\Sigma_f^+ \cap Z\Lambda_G = \emptyset$, there is an $r > 0$ such that

$$
i(\nu, \mu^{\pm}) > r
$$

for every $\nu \in \mathfrak{S}$.

We take the representatives ν_k of $[\nu_k]$ to lie in G. Then, according to Lemma 4.6, the sequence t_k for which

$$
\lim_{k \to \infty} t_k f_k(\nu_k) = \eta
$$

must tend to zero. So

$$
i(\eta, \mu^+) = \lim_{k \to \infty} i(t_k f_k(\nu_k), s_k f_k(\mu)) = \lim_{k \to \infty} t_k s_k i(\nu_k, \mu) = 0
$$

since s_k and t_k tend to zero and $i(\nu_k, \mu)$ is uniformly bounded by compactness of **S**. Since μ^+ is uniquely ergodic, we conclude that $[\eta] = [\mu^+] = \mathfrak{I}(x)$.

This means that the frontier of $\mathfrak{K}^{-1}(x)$ is precisely $\{\mathfrak{I}(x)\}\)$, and hence

$$
\mathfrak{K}^{-1}(x) = \{\mathfrak{I}(x)\} = Z\mathfrak{I}(x)
$$

as required. \square

5. Final comments

If we replace h with h^{-1} in our construction we obtain another G-invariant open set Ω' on which G acts properly discontinuously and cocompactly. By Lemma 4.5, we have descriptions

$$
\Omega = \mathbb{P}\mathcal{ML}(S) - \left(\Lambda_G \cup \bigcup_{g \in G} gZ\mu_h^-\right)
$$

and

$$
\Omega' = \mathbb{P}\mathcal{ML}(S) - \left(\Lambda_G \cup \bigcup_{g \in G} gZ\mu_h^+\right),\,
$$

and it follows that

$$
\Omega \cup \Omega' = \mathbb{P}\mathcal{ML}(S) - \left(\Lambda_G \cup \bigcup_{g \in G} G \cdot \alpha\right).
$$

The group G does not act properly discontinuously on $\Omega \cup \Omega'$, and in fact, we have the following.

PROPOSITION 5.1. If $U \subset \mathbb{P}\mathcal{ML}(S)$ is any open set on which G acts properly discontinuously, then $\mathcal{U} \subset \Omega$ or $\mathcal{U} \subset \Omega'$.

PROOF. Let $\mathcal{U} \subset \mathbb{P}\mathcal{ML}(S)$ be a G-invariant open set. We will show that if \mathcal{U} is not contained in either Ω or Ω' , then G does not act properly discontinuously on $\mathcal{U}.$

If $U \cap \Lambda_G \neq \emptyset$, then since G acts minimally on Λ_G and U is G–invariant, we must have $\Lambda_G \subset \mathcal{U}$. As G clearly fails to act properly discontinuously on U in this case, we assume that $\mathcal{U} \cap \Lambda_G = \emptyset$.

So if $\mathcal U$ fails to be contained in either Ω or Ω' , there are points $[\eta^+] \in \mathcal U \cap Z\mu_h^+$ and $[\eta^-] \in \mathcal{U} \cap Z\mu_h^-$. Moreover, $[\eta^{\pm}]$ is in the interior of $Z\mu_h^{\pm}$. Let Υ^{\pm} be small compact balls contained in U containing $[\eta^{\pm}]$. Since $[\eta^{\pm}] \in \Omega$, we may assume that $\Upsilon^+ \subset \Omega$. Moreover, *G*-invariance of *U* allows us to pick $[\eta^+]$ and Υ^+ to lie in B_h^- .

After passing to a subsequence, we can assume that the sequence of sets $\{h^{-k_j}(\Upsilon^+)\}_{j=1}^\infty$ converges in the Hausdorff topology. Moreover, we have

$$
\lim_{j \to \infty} h^{-k_j}(\Upsilon^+) \subset \bigcap_{k=1}^{\infty} h^{-k}(B_k^-) = Z\mu_h^-.
$$

Note that the Hausdorff limit must be connected since Υ^+ is. This limit contains α as the pointwise limit of $h^{-k}[\eta^+]$, and $[\mu_h^-]$ as the pointwise limit of any other point of Υ^+ under h^{-k} . Therefore,

$$
\lim_{j \to \infty} h^{-k_j}(\Upsilon^+) = Z\mu_h^-.
$$

Now, consider the compact set $\Upsilon = \Upsilon^+ \cup \Upsilon^-$. Since $\text{int}(\Upsilon^-)$ is a neighborhood of $[\eta^-]$, we have

$$
h^{-k_j}(\Upsilon) \cap \Upsilon \supset h^{-k_j}(\Upsilon^+) \cap \mathrm{int}(\Upsilon^-) \neq \emptyset
$$

for all sufficiently large j. So G does not act properly discontinuously on \mathcal{U} .

From this we deduce that Ω and Ω' are the only maximal open sets on which G acts properly discontinuously. By our descriptions of Ω and Ω' we also have

$$
\Delta_G = \Omega \cap \Omega'.
$$

It follows that Δ_G can be described purely in terms of the action of G on $\mathbb{P}ML(S)$, without referring to geometric structures on the surface.

Though Δ_G may not be a *maximal* open set on which G acts nicely, it remains a canonically defined one, and we pose the following question.

QUESTION 5.2. If G is an irreducible subgroup of $Mod(S)$, is Δ_G is the intersection of all maximal open sets on which G acts properly discontinuously?

References

- [FM] B. Farb and L. Mosher, Convex cocompact subgroups of mapping class groups, Geom. Topol. 6 (2002), 91–152 (electronic).
U. Hamenstädt, *Word hyperbolic*
- [H] U. Hamenstädt, Word hyperbolic extensions of surface groups, Preprint, arXiv:math.GT/0505244.
- [I] N. Ivanov, Subgroups of Teichmüller modular groups, Translated from the Russian by E. J. F. Primrose and revised by the author. Translations of Mathematical Monographs, 115. American Mathematical Society, Providence, RI, 1992. xii+127 pp.
- [KL1] R. Kent. IV and C. Leininger Shadows of mapping class groups: capturing convex cocompactness, to appear in GAFA.
- [KL2] R. Kent. IV and C. Leininger Uniform convergence in the mapping class group, Ergodic Theory Dynamical Systems 28 (2008), 1177–1195.
- [KL3] R. Kent. IV and C. Leininger Subgroups of the mapping class group from the geometrical viewpoint, In the tradition of Ahlfors–Bers IV, Contemp. Math., 432, Amer. Math. Soc., Providence, RI, 2007.
- [Ma] H. Masur, *Dense geodesics in moduli space*, Riemann surfaces and related topics: Proceedings of the 1978 Stony Brook Conference (State Univ. New York, Stony Brook, N. Y., 1978), pp. 417–438, Ann. of Math. Stud., 97, Princeton Univ. Press, Princeton, N. J., 1981.
- [Mc] J. McCarthy, A "Tits-alternative" for subgroups of surface mapping class groups, Trans. Amer. Math. Soc. 291 (1985), no. 2, 583–612.
- [MP] J. McCarthy and A. Papadopoulos, Dynamics on Thurston's sphere of projective measured foliations, Comment. Math. Helv. 64 (1989), no. 1, 133–166.
- [Mo] L. Mosher A hyperbolic-by-hyperbolic hyperbolic group, Proc. Amer. Math. Soc. 125 (1997), no. 12, 3447–3455.
- [Sh] P. Shalen Representations of 3-manifold groups, Handbook of geometric topology, 955–1044, North-Holland, Amsterdam, 2002.

Department of Mathematics, Brown University, Providence, RI 02912 E-mail address: rkent@math.brown.edu

Department of Mathematics, University of Illinois, Urbana, IL 61801 E-mail address: clein@math.uiuc.edu