

Surface Groups are Frequently Faithful

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Abstract

We show the set of faithful representations of a closed orientable hyperbolic surface group is dense in both irreducible components of the $\mathrm{PSL}_2(\mathbb{K})$ representation variety, where $\mathbb{K} = \mathbb{C}$ or \mathbb{R} , answering a question of W. Goldman. We also prove the existence of faithful representations into $\mathrm{PU}(2, 1)$ with certain nonintegral Toledo invariants.

1 Introduction

Let π be the fundamental group of a closed oriented surface Σ of genus $g \geq 2$. For $\mathbb{K} = \mathbb{C}$ or \mathbb{R} , the set $\mathrm{Hom}(\pi, \mathrm{PSL}_2(\mathbb{K}))$ is naturally a \mathbb{K} -algebraic set, called the $\mathrm{PSL}_2(\mathbb{K})$ *representation variety* of π . The representation variety inherits a topology from its ambient affine space; call this the *classical topology*. We prove the following

Theorem 1. *For $\mathbb{K} = \mathbb{C}$ or \mathbb{R} , the set of faithful representations is dense in $\mathrm{Hom}(\pi, \mathrm{PSL}_2(\mathbb{K}))$ equipped with its classical topology.*

This answers a question of W. Goldman [1, 3].

Given a representation $\phi: \pi \rightarrow \mathrm{PSL}_2(\mathbb{K})$, there is an associated flat principal $\mathrm{PSL}_2(\mathbb{K})$ -bundle over Σ , obtained by forming the quotient

$$\tilde{\Sigma} \times \mathrm{PSL}_2(\mathbb{K}) / (x \cdot \gamma, g) = (x, \phi(\gamma)g),$$

see [9]. The only obstruction to building a cross-section of this bundle is a class $o_2(\phi)$ in $H^2(\pi; \pi_1(\mathrm{PSL}_2(\mathbb{K}))) \cong \pi_1(\mathrm{PSL}_2(\mathbb{K}))$. When $\mathbb{K} = \mathbb{R}$, $o_2(\phi)$ is the *Euler number* $e(\phi)$ —so called as it is the Euler number of the associated $\mathbb{R}P^1$ -bundle over Σ —and when $\mathbb{K} = \mathbb{C}$, $o_2(\phi)$ is the *second Stiefel-Whitney class* $w_2(\phi)$ —as it is the second Stiefel-Whitney class of the associated \mathbb{H}^3 -bundle over Σ . The second Stiefel-Whitney class of a real representation is the Euler number modulo two. If one works with $\mathrm{SL}_n(\mathbb{R})$ rather than $\mathrm{PSL}_2(\mathbb{K})$, an analogous discussion produces obstruction classes identical to the Euler and second Stiefel-Whitney classes of the associated \mathbb{R}^n -bundles over Σ when $n = 2$ and $n > 2$ respectively—see [3] for a more detailed discussion.

In [3], Goldman proves that the topological components of $\mathrm{Hom}(\pi, \mathrm{PSL}_2(\mathbb{C}))$ are $w_2^{-1}(i)$ for $i = 0, 1$ and those of $\mathrm{Hom}(\pi, \mathrm{PSL}_2(\mathbb{R}))$ are $e^{-1}(n)$ for $2 - 2g \leq n \leq$

$2g-2$. Furthermore, each representation variety has two irreducible components, corresponding to the two possible Stiefel-Whitney classes [1, 3].

We prove that in each of these irreducible components, the set of faithful representations is a countable intersection of open dense sets, which by the Baire Category Theorem is dense. To do this, we use the fact that Fuchsian representations have even Euler numbers and the following

Theorem 2. *For any nontrivial $w \in \pi$, there is a representation $\phi: \pi \rightarrow \mathrm{PSL}_2(\mathbb{R})$ such that $e(\phi) = 3 - 2g$ and $\phi(w)$ is nontrivial.*

In section 4 we discuss the effect upon the obstruction classes when a representation is altered by choosing a new embedding of the entry field of its image. In the final section we use our techniques to prove a result about representations into $\mathrm{PU}(2, 1)$.

2 Proof of Theorem 2

Proof. Fix a hyperbolic structure on Σ . If α is a geodesic in Σ , let $\ell(\alpha)$ denote its length with respect to the chosen hyperbolic structure.

Let ω be a closed geodesic in Σ . Since the closed geodesics are in 1-1 correspondence with the conjugacy classes in $\pi_1(\Sigma, p)$ for any choice of basepoint p , it is enough to show that for such a geodesic, there is some basepoint p and a representation of $\pi_1(\Sigma, p)$ sending some element w in the conjugacy class corresponding to ω to a nontrivial isometry of \mathbb{H}^2 .

Choose a simple closed geodesic γ on Σ with $\ell(\gamma) > 2\ell(\omega)$, $\gamma \cap \omega \neq \emptyset$, and so that γ cuts Σ into a surface Σ_a of genus $g - 1$ and a punctured torus Σ_b . This can be done by applying high powers of a pseudo-Anosov homeomorphism to any simple closed curve cutting off a punctured torus. Let $p \in \gamma$, and let $A = \pi_1(\Sigma_a, p)$ and $B = \pi_1(\Sigma_b, p)$. Then $\pi_1(\Sigma, p) = A *_C B$, where C is the subgroup generated by $[\gamma]$, the homotopy class of γ relative to p . Given an element h in $\pi_1(\Sigma, p)$, let $\langle\langle h \rangle\rangle$ denote its normal closure in $\pi_1(\Sigma, p)$.

Lemma 1. *There exists $w \in \pi_1(\Sigma, p)$ in the free homotopy class of ω such that*

$$w = a_0 b_0 \cdots a_{n-1} b_{n-1},$$

where, for each i , $b_i \in B - \langle\langle [\gamma] \rangle\rangle$ and $a_i \in A - \langle\langle [\gamma]^k \rangle\rangle$ for all $k > 1$ and is not conjugate to a power of $[\gamma]$.

Proof. Orient γ , and write ω as a concatenation of oriented geodesic arcs,

$$\omega = \alpha_0 \beta_0 \cdots \alpha_{n-1} \beta_{n-1},$$

where α_i is contained in Σ_a and β_i is contained in Σ_b for all i .

For each i let ϵ_i be the arc of γ from p to the terminal point of β_i in the positive direction, and let δ_i be the shortest arc of γ from the terminal point of β_i to its initial point. For any arc α , let $\bar{\alpha}$ denote α with the opposite orientation.



Now for $0 \leq i \leq n-1$, define

$$a_i = [\epsilon_{i-1} \cdot \alpha_i \cdot \overline{\delta_i} \cdot \overline{\epsilon_i}] \quad \text{and} \quad b_i = [\epsilon_i \cdot \delta_i \cdot \beta_i \cdot \overline{\epsilon_i}],$$

where all indices are taken modulo n . Then transparently, the word $w = a_0 b_0 \cdots a_{n-1} b_{n-1}$ is in the free homotopy class of ω .

Note that a_i is freely homotopic to the closed curve $\alpha_i \cdot \overline{\delta_i} \cdot \overline{\epsilon_i} \cdot \epsilon_{i-1}$ with length less than $\ell(\omega) + \frac{1}{2}\ell(\gamma) + \ell(\gamma) < 2\ell(\gamma)$. This curve is essential and not homotopic into γ , since transverse geodesics intersect minimally, and so a_i is not conjugate to a power of $[\gamma]$. Similarly, note that b_i is freely homotopic to $\delta_i \cdot \beta_i$. This curve has length less than $\frac{1}{2}\ell(\gamma) + \ell(\omega) < \ell(\gamma)$ and is essential for the same reason as above.

The lemma follows immediately from the following (compare the proofs of Lemma 1 and Theorem 6 of [6]):

Claim. If $x \in \langle\langle [\gamma]^k \rangle\rangle$, then $\ell(x) \geq |k|\ell(\gamma)$.

By Theorem 1 of [8], the cover $\tilde{\Sigma}$ corresponding to $\langle\langle [\gamma]^k \rangle\rangle$ is planar. Let x have the shortest geodesic representative among all nontrivial elements of $\langle\langle [\gamma]^k \rangle\rangle$. Then every lift of x to $\tilde{\Sigma}$ has a simple geodesic representative, for otherwise one could find a shorter element in $\pi_1(\tilde{\Sigma}) = \langle\langle [\gamma]^k \rangle\rangle$. Suppose the geodesic representatives of two lifts \tilde{x}_1 and \tilde{x}_2 intersect transversely. By the planarity of $\tilde{\Sigma}$, the number of points of intersection is even. Choose two such points, and let α be the shortest of the arcs of \tilde{x}_1 and β the shortest of the arcs of \tilde{x}_2 in the complement of these two points. Then $\alpha \cdot \beta$ has a shorter geodesic representative than \tilde{x}_1 , a contradiction. This shows that x is some power of a simple closed curve in Σ . But by Corollary 4.2 of [5], the only such powers in $\langle\langle [\gamma]^k \rangle\rangle$ are of the form $[\gamma]^{ik}$, $|i| \geq 1$. \square

We now define a family of representations $\phi_t: A *_C B \rightarrow \mathrm{PSL}_2(\mathbb{R})$, $t \in \mathbb{R}$, with Euler number $3 - 2g$, using a construction of Goldman [3]. Let

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \mathrm{PSL}_2(\mathbb{R})$$

denote the projective class of

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{R}).$$

Pick two nonparallel simple closed curves on Σ_b intersecting in a single point, and let x and y represent them in B . Then $B = \langle x, y \rangle$, and we define a solvable representation $\phi_B: B \rightarrow \mathrm{PSL}_2(\mathbb{R})$ by

$$\phi_B(x) = \begin{bmatrix} \alpha & 0 \\ 0 & \alpha^{-1} \end{bmatrix} \quad \phi_B(y) = \begin{bmatrix} \beta & 1 \\ 0 & \beta^{-1} \end{bmatrix},$$

where $\alpha \neq \pm 1$ and $\beta \notin \{\alpha^r \mid r \in \mathbb{Q}\}$. We record for future reference that

$$\phi_B([\gamma]) = \phi_B([x, y]) = \begin{bmatrix} 1 & \beta(\alpha^2 - 1) \\ 0 & 1 \end{bmatrix}$$

is parabolic.

Choose a Fuchsian representation $\phi_A: A \rightarrow \mathrm{PSL}_2(\mathbb{R})$ so that $\phi_A([\gamma]) = \phi_B([\gamma])$. Note that since ϕ_A is discrete and faithful, and $\phi_A([\gamma])$ fixes ∞ , for no element $a \in A - C$ can $\phi_A(a)$ fix ∞ . Therefore, for every $a \in A - C$, $\phi_A(a)$ has a nonzero 2, 1 entry.

To complete the construction, for $t \in \mathbb{R}$ define $\lambda_t = \begin{bmatrix} 1 & t \\ 0 & 1 \end{bmatrix}$, and note that since λ_t commutes with elements of $\phi_B(C)$, the representations ϕ_A and $\lambda_t \phi_B \lambda_t^{-1}$ agree on C . The universal property of free products with amalgamation yields a representation $\phi_t: \pi \rightarrow \mathrm{PSL}_2(\mathbb{R})$ given by

$$\phi_t|_A = \phi_A \quad \text{and} \quad \phi_t|_B = \lambda_t \phi_B \lambda_t^{-1}.$$

The Euler number of each ϕ_t is $3 - 2g$, just as in the proof of Lemma 8.2 of [3].

The following lemma, which uses techniques of the proof of Proposition 1.3 of [12], gives a criterion for an element to survive ϕ_t .

Lemma 2. *Suppose $w \in A *_C B$ is of the form*

$$w = a_1 b_1 a_2 b_2 \cdots a_l b_l, \quad a_i \in A, \quad b_i \in B \text{ for } 1 \leq i \leq l,$$

where for each i , $\phi_0(a_i)$ has a nonzero 2, 1 entry and $\phi_0(b_i)$ is hyperbolic. If t is transcendental over the entry field of $\phi_0(\pi)$, then $\phi_t(w)$ is not the identity.

Proof. Let t be transcendental over the entry field of $\phi_0(\pi)$. The lemma follows from the following

Claim. The entries of $\phi_t(w)$ are polynomials in t , where the degree of the 2, 2 entry is l , the degree of the 1, 2 entry is at most l , and the other entries have degree at most $l - 1$.

We prove the claim by induction on l . Suppose $l = 1$. Let $\begin{bmatrix} a & b \\ c & d \end{bmatrix} = \phi_A(a_1)$ and $\begin{bmatrix} u & v \\ 0 & u^{-1} \end{bmatrix} = \phi_B(b_1)$. Then

$$\begin{aligned} \phi_t(w) &= \phi_A(a_1) \lambda_t \phi_B(b_1) \lambda_t^{-1} \\ &= \begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} 1 & t \\ 0 & 1 \end{bmatrix} \begin{bmatrix} u & v \\ 0 & u^{-1} \end{bmatrix} \begin{bmatrix} 1 & -t \\ 0 & 1 \end{bmatrix} \\ &= \begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} u & v + t(u^{-1} - u) \\ 0 & u^{-1} \end{bmatrix} \\ &= \begin{bmatrix} au & av + bu^{-1} + at(u^{-1} - u) \\ cu & cv + du^{-1} + ct(u^{-1} - u) \end{bmatrix}. \end{aligned}$$

Since by assumption $c \neq 0$ and $u \neq \pm 1$ (because $\phi_B(b_1)$ is hyperbolic), the claim visibly holds.

Now suppose $l > 1$. Write

$$\begin{aligned} \phi_t(w) &= (\phi_A(a_1) \lambda_t \phi_B(b_1) \lambda_t^{-1}) \cdot (\phi_A(a_2) \cdots \lambda_t \phi_B(b_l) \lambda_t^{-1}) \\ &= \begin{bmatrix} p_{1,1}(t) & p_{1,2}(t) \\ p_{2,1}(t) & p_{2,2}(t) \end{bmatrix} \begin{bmatrix} q_{1,1}(t) & q_{1,2}(t) \\ q_{2,1}(t) & q_{2,2}(t) \end{bmatrix} \\ &= \begin{bmatrix} p_{1,1}(t)q_{1,1}(t) + p_{1,2}(t)q_{2,1}(t) & p_{1,1}(t)q_{1,2}(t) + p_{1,2}(t)q_{2,2}(t) \\ p_{2,1}(t)q_{1,1}(t) + p_{2,2}(t)q_{2,1}(t) & p_{2,1}(t)q_{1,2}(t) + p_{2,2}(t)q_{2,2}(t) \end{bmatrix}. \end{aligned}$$

By the base case, $p_{1,1}$ and $p_{2,1}$ are both constant in t , and $p_{1,2}$ and $p_{2,2}$ are at most linear in t (where $p_{2,2}$ has a nonzero t -coefficient). Then by the inductive hypothesis, $p_{2,2}q_{2,2}$ has degree l and $p_{2,1}q_{1,2}$ has degree at most $l-1$, and hence the 2, 2 entry of $\phi(w)$ has degree l in t . The argument for the other entries is similar. \square

It is easy to see that the set of elements of B taken by ϕ_B to parabolics is precisely the commutator subgroup of B , which is contained in $\langle\langle[\gamma]\rangle\rangle$. Therefore, by Lemma 1, there is a word w in the conjugacy class corresponding to ω satisfying the hypotheses of Lemma 2. This proves the theorem. \square

3 Proof of Theorem 1

Proof. Write

$$\mathrm{Hom}(\pi, \mathrm{PSL}_2(\mathbb{C})) = X_0 \cup X_1,$$

where X_i is the irreducible component consisting of representations with Stiefel-Whitney class i for $i = 0, 1$. Similarly write

$$\mathrm{Hom}(\pi, \mathrm{PSL}_2(\mathbb{R})) = Y_0 \cup Y_1,$$

where Y_i is the irreducible component consisting of representations with Euler number equal to i modulo 2 for $i = 0, 1$. Note that $Y_i \subset X_i$ under the natural inclusion $\mathrm{Hom}(\pi, \mathrm{PSL}_2(\mathbb{R})) \subset \mathrm{Hom}(\pi, \mathrm{PSL}_2(\mathbb{C}))$. For an element w of π , let X_w (respectively, Y_w) be the algebraic subset of X_1 (Y_1) consisting of representations killing w .

By Theorem 2, if $w \in \pi$ is nontrivial then $X_w \subset X_1$ and $Y_w \subset Y_1$ are proper algebraic subsets. It is a standard fact about irreducible complex varieties (see page 124 of [11]) that the complement of any proper subvariety is dense in the classical topology. Therefore $X_1 - X_w$ is an open dense subset of X_1 .

For arbitrary irreducible real algebraic varieties it is not true that the complements of proper subvarieties are dense in the classical topology. However it is true given the additional hypothesis that smooth points are dense (see the discussion below), and this follows from Proposition 3.7 of [2]:

Theorem (Goldman). *The set of smooth points of $\mathrm{Hom}(\pi, \mathrm{PSL}_2(\mathbb{R}))$ is dense in $\mathrm{Hom}(\pi, \mathrm{PSL}_2(\mathbb{R}))$.*

So $Y_1 - Y_w$ is an open dense set of Y_1 . But the set of faithful representations in Y_1 is precisely

$$\bigcap_{1 \neq w \in \pi_1 \Sigma} Y_1 - Y_w$$

and similarly for faithful representations in X_1 . This is an intersection of open dense subsets, which by the Baire Category Theorem is dense.

This proves that the set of faithful representations is dense in the irreducible component of each representation variety corresponding to a nonzero Stiefel-Whitney class. In the other component this is immediately evident by the above argument, since Fuchsian representations, for instance, are faithful with Stiefel-Whitney class 0. \square

Here is an example that shows that the complement of a proper subvariety of an irreducible real algebraic variety is not always dense. The two-variable polynomial

$$p(x, y) = y^2 - x^2(x - 1)$$

is irreducible, and so the set

$$V(p) = \{(x, y) \in \mathbb{R}^2 \mid p(x, y) = 0\}$$

is an irreducible real algebraic variety. The points $(0, 0)$ and $(1, 0)$ are the only elements of $V(p)$ with y -coordinate equal to 0, and if $(x, y) \in V(p)$ with $y \neq 0$, then $y^2 > 0$, which implies that $x > 1$. Hence $(0, 0)$ is an isolated point of $V(p)$ in the classical topology, even though $V(p)$ is one dimensional. It is also a proper subvariety, which, by the above, is not approached by points in its complement.

In this example, the origin is not a smooth point of the variety. The following fact shows that the situation at smooth points is analogous to the complex case. We give a proof, adapted from the proof of the complex case in [11].

Lemma 3. *Let $X \subset \mathbb{R}^n$ be a real algebraic variety of dimension k , and let $x \in X$ be a smooth point. Let $Y \subset X$ be a subvariety of dimension $l < k$ with $x \in Y$. Then x is approached by a sequence in $X - Y$.*

Proof. The proof is by induction on k .

If $k = 1$, then $l = 0$. Hence Y consists of a finite collection of points. Since x is a smooth point of X , there is a chart $\phi: (0, 1) \rightarrow X$ around x . Now pick any sequence in $(0, 1)$ that approaches $\phi^{-1}(x)$ and misses $\phi^{-1}(Y)$.

Now suppose $k > 1$. For each irreducible component Y_i of Y , let $y_i \in Y_i - \{x\}$, and choose an affine hyperplane A so that $x \in A$, $y_i \notin A$ for all i , and A is transverse to X at x . Then $A \cap X$ is $(k - 1)$ -dimensional and no irreducible component of Y is contained in $A \cap X$. So for each i ,

$$\dim Y_i \cap A \leq l - 1 < k - 1.$$

Furthermore, x is a smooth point of $X \cap A$ since the tangent space at x is of the proper dimension, and the claim follows by induction. \square

Now for a variety X in which smooth points are dense, any point x in a proper subvariety Y is approached by smooth points of X , each of which is either in $X - Y$ or approached by a sequence in $X - Y$. A diagonal argument gives a sequence in $X - Y$ approaching x .

4 Embeddings of Entry Fields

Given a representation $\phi: \pi \rightarrow \mathrm{PSL}_2(\mathbb{K})$ with image Γ , a presentation

$$\pi = \langle x_1, \dots, x_{2g} \mid [x_1, x_2] \cdots [x_{2g-1}, x_{2g}] = 1 \rangle,$$

and lifts $\tilde{\phi}(x_1), \dots, \tilde{\phi}(x_{2g})$ to the universal covering $p: \widetilde{\mathrm{PSL}}_2(\mathbb{K}) \rightarrow \mathrm{PSL}_2(\mathbb{K})$, we have

$$o_2(\phi) = [\tilde{\phi}(x_1), \tilde{\phi}(x_2)] \cdots [\tilde{\phi}(x_{2g-1}), \tilde{\phi}(x_{2g})] \in \ker p \cong \pi_1(\mathrm{PSL}_2(\mathbb{K})),$$

see [9].

We may choose a new embedding σ of the entry field of Γ to obtain a new representation ϕ^σ with image Γ^σ . Computing the Stiefel-Whitney class of ϕ^σ , we have

$$\begin{aligned} w_2(\phi^\sigma) &= [\tilde{\phi}^\sigma(x_1), \tilde{\phi}^\sigma(x_2)] \cdots [\tilde{\phi}^\sigma(x_{2g-1}), \tilde{\phi}^\sigma(x_{2g})] \\ &= (\pm I)^\sigma \\ &= \pm I, \end{aligned}$$

since \mathbb{Q} has a unique complex embedding. We therefore have

Remark. $w_2(\phi^\sigma) = w_2(\phi)$.

This implies that the Euler numbers of two such real representations have the same parity. They may not be equal, as the following example, from [7] pp. 161-162 (originally in [10]), shows.

Consider the Saccheri quadrilateral pictured, where the angle at A is $\pi/3$, embedded in the upper half plane so that C is at i and the side DC lies along the geodesic between 0 and ∞ .

The Fuchsian subgroup F of the group generated by reflections in the sides of this quadrilateral has presentation

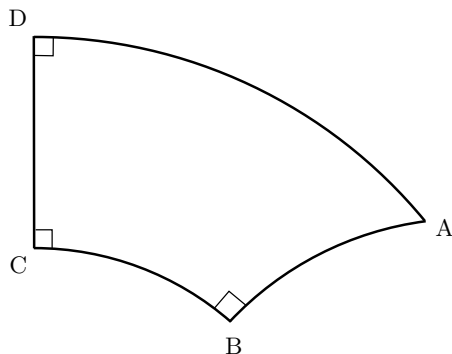
$$\langle x, y, z \mid x^2 = y^2 = z^2 = (xyz)^3 = 1 \rangle,$$

where x , y , and z are rotations by π around B, C, and D, respectively. It surjects $\mathbb{Z}_3 \rtimes (\mathbb{Z}_2 \oplus \mathbb{Z}_2)$ (where $\mathbb{Z}_2 \oplus \mathbb{Z}_2$ acts on \mathbb{Z}_3 by $(1, 0) \cdot 1 = (0, 1) \cdot 1 = 2$) by

$$x \mapsto (1, (1, 0)) \quad y \mapsto (0, (0, 1)) \quad z \mapsto (0, (1, 1)).$$

The kernel of this map, Γ_0 , is torsion-free with coarea 4π and so it is the deck group of a genus 2 surface.

Let L be the length of the side BC, $c = \cosh^2 L$. As in [7], the invariant trace field of F is $\mathbb{Q}(c)$. Note that Γ_0 is contained in the commutator subgroup



of F , and so its trace field is contained in $\mathbb{Q}(c)$. The element $g_0 = (yx)^2$ is in Γ_0 . Conjugate Γ_0 so that $g = \gamma g_0 \gamma^{-1}$ is $\begin{pmatrix} \lambda & 0 \\ 0 & \lambda^{-1} \end{pmatrix}$, where $\lambda = 2c - 1 - 2\sqrt{c^2 - c}$. We may conjugate the result to obtain a group Γ whose entries lie in $\mathbb{Q}(c, \lambda)$ —see the discussion on page 115 of [7]—and so the entries of Γ lie in $\mathbb{Q}(c, \sqrt{c^2 - c})$.

Choose L so that $c = \sqrt{2}$. Then the entries of Γ lie in $\mathbb{Q}(\sqrt{2 - \sqrt{2}})$. Let σ be the embedding given by $\sigma(\sqrt{2 - \sqrt{2}}) = \sqrt{2 + \sqrt{2}}$. Consider the element $h_0 = (yz)^2$ of Γ_0 , which has trace $1 + \frac{c}{c-1}$. Note that $\sigma(\sqrt{2}) = -\sqrt{2}$ and so the trace of h^σ (where $h = \gamma h_0 \gamma^{-1}$) is $1 + \frac{\sqrt{2}}{\sqrt{2}+1} < 2$.

Now, by the main theorem of [1], any representation of the genus 2 surface group with Euler number ± 2 is Fuchsian. Since Γ^σ contains the elliptic element h^σ , the associated representation must have Euler number 0.

5 Other Lie Groups

It is natural to ask to what extent these techniques prove informative about representations of surface groups into other Lie groups. One example is the following

Theorem 3. *For $g \geq 3$ and any even k , $2 < k \leq 2g - 2$, there are faithful representations $\pi \rightarrow \text{PU}(2, 1)$ with Toledo invariants $\pm(k - 4/3)$.*

Theorem 3 follows from

Theorem 4. *For $g \geq 3$ and any finite collection of nonidentity elements $\{w_j\} \subset \pi$, there is a representation $\phi: \pi \rightarrow \text{PU}(2, 1)$ with Toledo invariant $2g - 2 - 4/3$ such that $\phi(w_j)$ is nontrivial for all j .*

Proof. We recall the construction of Proposition 5.1 of [4]. Let γ be a simple closed geodesic on Σ which cuts off a punctured torus, and let $A = \pi_1(\Sigma_a, p)$ and $B = \pi_1(\Sigma_b, p)$ be the fundamental groups of the punctured genus $g - 1$ surface and torus, respectively, cut off by γ , where p is a point on γ . Then A and B are free and $\pi_1(\Sigma, p) = A *_C B$, as before. Choose generators x_1, \dots, x_{2g-2} for A

represented by simple closed curves on Σ_a so that $[x_1, x_2] \cdots [x_{2g-3}, x_{2g-2}] = [\gamma]$ and generators x_{2g-1}, x_{2g} for B represented by simple closed curves on Σ_b so that $[x_{2g-1}, x_{2g}] = [\gamma]^{-1}$. This determines an isomorphism $\pi \rightarrow A *_C B$.

Now let Q_a be the regular $4(g-1)$ -gon in $\mathbb{H}_{\mathbb{C}}^1 \subset \mathbb{H}_{\mathbb{C}}^2$ with vertex angles $\pi/(6g-6)$, and let Q_b be the regular quadrilateral in \mathbb{H}^2 with vertex angles $\pi/6$. Define $\phi_a: A \rightarrow \text{SU}(1, 1) \subset \text{PU}(2, 1)$ by sending the x_i to the appropriate transvections pairing sides of Q_a so that the quotient of $\mathbb{H}_{\mathbb{C}}^1$ by $\phi_a(A)$ is a genus $g-1$ surface with one cone point of order 3. Then $\phi_a([\gamma])$ is an elliptic of order 3 fixing some vertex v of Q_a . As in [4], this rotates by $2\pi/3$ in $\mathbb{H}_{\mathbb{C}}^1$ and by $4\pi/3$ in the normal complex geodesic. Similarly define $\phi_b: B \rightarrow \text{SU}(1, 1)$ so that the quotient is a punctured torus with one cone point of order 3, then we may assume without loss of generality that $\phi_b([\gamma]) = \phi_a([\gamma])$. Let $\theta \in \text{PU}(2, 1)$ fix v and interchange $\mathbb{H}_{\mathbb{C}}^1$ with its normal complex geodesic at that point; then $\theta\phi_b([\gamma])\theta^{-1} = \phi_b([\gamma])^{-1}$. We thus obtain a representation $\phi: \pi \rightarrow \text{PU}(2, 1)$ given by $\phi|_A = \phi_a$ and $\phi|_B = \theta\phi_b\theta^{-1}$. This representation has Toledo invariant $2g-2-4/3$ (see [4]).

Given a nontrivial element $w \in \pi$, Lemma 1 allows us to choose γ so that $w = a_1 b_1 \dots a_m b_m$ where the $\phi_a(a_i)$ and $\phi_b(b_i)$ are hyperbolic. In fact, it is easy to see that γ may be chosen so that the above holds for any finite collection of elements $\{w_j\}$.

Half the side length of the regular n -gon in $\mathbb{H}_{\mathbb{C}}^1$ with vertex angles $2\pi/3n$ is l_n given by $\cosh(l_n) = \cos(\frac{\pi}{n})/\sin(\frac{\pi}{3n})$. The largest ball around a vertex of the polygon Q_a which is either disjoint from or identical to each of its translates under $\phi_a(A)$ has radius $r_a = l_{4(g-1)} \geq l_8$. The largest such ball around any vertex of Q_b has radius $r_b = l_4$. Let $\Pi_a: \mathbb{H}_{\mathbb{C}}^2 \rightarrow \mathbb{H}_{\mathbb{C}}^1$ and $\Pi_b: \mathbb{H}_{\mathbb{C}}^2 \rightarrow \theta(\mathbb{H}_{\mathbb{C}}^1)$ be the orthogonal projections. An elementary argument, similar to the proof of Proposition 3.1 of [4], shows that $S_a = \Pi_a^{-1}(\mathbb{H}_{\mathbb{C}}^1 - B(r_a, v))$ does not intersect $S_b = \Pi_b^{-1}(\theta(\mathbb{H}_{\mathbb{C}}^1 - B(r_b, v)))$ —this is where the hypothesis that $g \geq 3$ is used, as $\Pi_a^{-1}(\mathbb{H}_{\mathbb{C}}^1 - B(l_4, v))$ and $\Pi_b^{-1}(\theta(\mathbb{H}_{\mathbb{C}}^1 - B(l_4, v)))$ do indeed intersect. Therefore any hyperbolic element of $\phi_a(A)$ takes S_b inside S_a and vice-versa. By a ping-pong argument, $\phi(w)$ is a nontrivial isometry of $\mathbb{H}_{\mathbb{C}}^2$. \square

Proof of Theorem 3. By the main theorem of [13], there is a unique topological component T of the $\text{PU}(2, 1)$ representation variety of π consisting of representations with Toledo invariant $2g-2-4/3$. Let W_1, \dots, W_n be the irreducible components that contain smooth points in T . Suppose that for each i there is an element $w_i \neq 1$ such that all representations in W_i kill w_i . Theorem 4 produces a representation in which all of the w_i survive; furthermore, this representation is a smooth point of T (this follows from [2], Proposition 3.7), a contradiction. Hence there is some irreducible component W for which each $X_w = \{\rho \in W \mid \rho(w) = 1\}$ is a proper subvariety, and a representation $\rho \in W$ with Toledo invariant $2g-2-4/3$ which is a smooth point of W . Let U be an open neighborhood of ρ in W consisting entirely of smooth points. Then for each $w \neq 1$, $X_w \cap U$ is nowhere dense in U by Lemma 3. The union of such sets cannot be all of U , and so faithful representations exist in U .

The more general statement follows from the fact that, given a surface Σ of

genus $g \geq 3$ and a finite set of nontrivial elements in $\pi_1(\Sigma)$, there is a degree one map from Σ to a surface of genus $g - 1$ so that each element of the finite set survives the induced map between fundamental groups. \square

Remark. A stronger theorem concerning $\mathrm{PU}(2, 1)$ has been announced by N. Gusevskii.

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