

Qualifying Exam  
Logic  
August 31, 1995

Instructions: If you signed up for model theory, do two E and two M problems. If you signed up for recursion theory, do two E and two R problems. If you signed up for set theory, do two E and two S problems. If you think a problem has been stated incorrectly, mention this to the proctor and indicate your interpretation in your solution. In such cases, do not interpret the problem in such a way that it becomes trivial.

E1. Let  $\mathbb{R}$  be the set of real numbers. Prove that there is a function  $f : \mathbb{R} \rightarrow \mathbb{R}$  such that  $f$  maps every perfect subset of  $\mathbb{R}$  *onto*  $\mathbb{R}$ . We say  $P \subseteq \mathbb{R}$  is perfect iff it is closed, non-empty, and has no isolated points (for example, the Cantor set, or any interval).

E2. Let  $\mathcal{L}$  be the language consisting of  $=$ , two binary functions,  $+$ ,  $*$ , and one unary function,  $f$ . Let  $\mathfrak{A}$  be the structure whose domain of discourse is the set of real numbers, where  $+$ ,  $*$  are interpreted as the usual addition and multiplication, and  $f$  is interpreted as the *sin* function. Prove that the theory of  $\mathfrak{A}$  is undecidable.

E3. Let  $\mathcal{L}$  be any language in predicate logic *without* equality, consisting of finitely many constant, function, and predicate symbols. A *clause* of  $\mathcal{L}$  is a logical sentence of the form  $\forall x_1 \dots x_n (\phi_1 \vee \dots \vee \phi_k)$ , where each  $\phi_i$  is either an atomic formula or the negation of an atomic formula. For example,  $\forall xy (p(x, f(g(y))) \vee \neg p(g(x), y))$  is a clause. Prove that it is decidable whether a clause of  $\mathcal{L}$  is logically valid.

M1. Let  $L$  and  $L'$  be first order languages such that  $L' \subseteq L$ . Let  $T$  be a theory in  $L$ . Suppose that for any two models  $M, N$  for  $L$  whose  $L'$ -reducts  $M'$  and  $N'$  are isomorphic,  $M$  is a model of  $T$  if and only if  $N$  is a model of  $T$ . Prove that  $T$  is equivalent to a theory in  $L'$ .

M2. Let  $T$  be a model complete theory in a countable language. Suppose  $R$  is a binary relation in the language of  $T$ , and let  $K$  be the class of all models  $M$  of  $T$  such that  $M$  is well ordered by  $R^M$ . We say that  $N$  is an *end extension* of  $M$  if  $N$  is a proper extension of  $M$  and  $N \models R(a, b)$  for all  $a \in M$  and  $b \in N - M$ .

Suppose that  $K$  is nonempty and each  $M \in K$  has an end extension  $N \in K$ . Prove that for each uncountable cardinal  $\kappa$  there exists  $M \in K$  such that  $R^M$  has order type  $\kappa$ .

M3. Let  $J$  be an uncountable set, let  $A$  be the set of all finite subsets of  $J$ , and let  $M = \langle A, R \rangle$  where  $R$  is the subset relation on  $A$ . Let  $N = \langle B, S \rangle$  be a countably indexed ultrapower of  $M$  such that the natural embedding  $d : M \prec N$  is proper. Prove that:

- a) For each  $b \in B$  the set  $E_b = \{a \in A : S(d(a), b)\}$  is at most countable.
- b) For each countable subset  $C \subset B$ , there exists  $b \in B$  such that  $S(c, b)$  for all  $c \in C$ .

R1. Let  $f : \omega \rightarrow \omega$  be a recursive function, and let  $S = \{e \mid \varphi_e = \varphi_{f(e)}\}$ . Show that if  $S$  is recursive then it contains an index for every partial recursive function.

R2. Let  $\mathcal{A}$  be a uniformly recursively enumerable collection of recursively enumerable sets. Assume that  $\mathcal{A}$  contains all finite sets. Show that there is a uniform enumeration of  $\mathcal{A}$  without repetitions.

R3. Let  $A$  be a simple set. Prove that  $A$  is Turing complete iff there is a function  $f \leq_T A$  such that for all  $e$ ,  $W_e \subseteq \bar{A}$  implies  $|W_e| \leq f(e)$ .

S1. Let  $M$  be a countable transitive model for  $ZFC + GCH$ , and let  $I$  be an infinite set in  $M$ . Let  $\mathbb{P}$  be the partial order of finite partial functions from  $I$  to  $I$ , and let  $G$  be  $\mathbb{P}$ -generic over  $M$ . Prove that  $M[G] \models GCH$ . *Note:  $I$  need not be countable in  $M$ .*

S2. Let  $\mathbb{Q}$  be the set of rational numbers. Call  $S \subset \mathbb{Q}$  *small* iff  $S \cap (-\infty, x)$  is finite for all  $x \in \mathbb{Q}$ . Assume Martin's Axiom, and let  $\mathcal{F}$  be a family of fewer than  $2^{\aleph_0}$  small sets. Prove that there is a small set  $T \subset \mathbb{Q}$  such that  $S \setminus T$  is finite for all  $S \in \mathcal{F}$ .

S3. Assume  $\alpha < \beta$ ,  $R(\alpha) \prec R(\beta)$ , and  $\alpha$  is regular. Prove that for some  $\delta < \alpha$ ,  $R(\delta) \prec R(\alpha)$ . Here,  $R(\alpha) = V(\alpha)$  is the set of sets of rank less than  $\alpha$ , and  $\prec$  means "elementary submodel".

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E1. List all the perfect sets as  $\{P_\alpha : \alpha < \mathfrak{c}\}$ , and list all reals as  $\{r_\alpha : \alpha < \mathfrak{c}\}$ . Let  $\phi, \psi : \mathfrak{c} \rightarrow \mathfrak{c}$  so that the map  $\xi \mapsto (\phi(\xi), \psi(\xi))$  maps  $\mathfrak{c}$  onto  $\mathfrak{c} \times \mathfrak{c}$ . Choose  $x_\xi \in P_{\phi(\xi)} \setminus \{x_\eta : \eta < \xi\}$ , and let  $f(x_\xi) = r_{\psi(\xi)}$ .

E2. In  $\mathfrak{A}$ , one may define  $\pi$  as the first positive  $x$  such that  $\sin(x) = 0$ , and then define  $y$  to be an integer iff  $\sin(\pi y) = 0$ . Then, just use the fact that the theory of the integers with  $+, *$  is undecidable.

E3. The only way a clause can be logically valid is if one of the  $\phi_i$  is the negation of some other  $\phi_j$ .

M1. Let  $T'$  be the set of all consequences of  $T$  in  $L'$ . Let  $M$  be a model of  $T'$ . Let  $S'$  be the set of all sentences in  $L'$  true in  $M$ . Then  $S' \cup T$  is finitely satisfiable. By the compactness theorem  $S' \cup T$  has a model  $N$ . The  $L'$ -reducts of  $M$  and  $N$  are elementarily equivalent. Then  $M$  and  $N$  have elementary extensions  $M_1$  and  $N_1$  whose  $L'$ -reducts are isomorphic.  $N_1$  is a model of  $T$ , so by hypothesis  $M_1$  and hence  $M$  is a model of  $T$ . Therefore  $T'$  is a theory in  $L'$  which is equivalent to  $T$ .

M2. Since  $T$  is model complete, whenever  $M \subseteq N$  and  $M, N \in K$ ,  $N$  is an elementary extension of  $M$ . By the elementary chain theorem, the union of a chain of end elementary extensions of  $M$  is again an elementary extension and thus a model of  $T$ . Since each extension is an end extension, this union is also well ordered by  $R$  and hence belongs to  $K$ . Given a cardinal  $\kappa$ , by transfinite recursion we may form a sequence of models  $M_\alpha \in K, \alpha \leq \kappa$  such that  $M_\alpha = \bigcup_{\beta < \alpha} M_\beta$  for limit ordinals  $\alpha$ , and  $M_\alpha$  is an end extension of  $M_\beta$ . By the downward Lowenheim-Skolem theorem we may also take  $M_\alpha$  to be of cardinality  $\omega \cup |\alpha|$ . Then  $M_\kappa \in K$  has order type  $\kappa$ .

M3. a)  $N = \Pi_U M$  for some nonprincipal ultrafilter  $U$  over  $\omega$ . Each  $b \in B$  has the form  $b = \langle b_n : n \in \omega \rangle_U$ , and each  $b_n$  belongs to  $A$  and hence

is finite. If  $a \in E_b$ , then  $\{n \in \omega : a \subseteq b_n\} \in U$ . Therefore  $E_b$  is contained in the countable set  $\bigcup_n P(b_n)$ . b) For each  $n \in \omega$  the model  $M$  satisfies the sentence  $\phi_n$  which says that for each  $C \subset A$  of size  $\leq n$  there exists  $a \in A$  such that  $S(c, a)$  for all  $c \in C$ . By Łos' theorem,  $N \models \phi_n$  for each  $n$ . Then b) follows because  $N$  is  $\omega_1$ -saturated.

R1. Else find a recursive function  $g$  without fixed points by setting  $g(e) = f(e)$  if  $e$  is not a fixed point and equal to an index for a function not represented in  $S$  otherwise.

R2. A variation on Friedberg's theorem that this holds for the class of all r.e. sets (see, e.g., Odifreddi's book, page 230).

R3. Left-to-right: Since  $A$  is complete,  $A$  can compute whether  $W_e \subseteq \bar{A}$ . If so then, by simplicity of  $A$ ,  $W_e$  must be finite, and  $A$  can compute the size of  $W_e$  by the completeness of  $A$ . Right-to-left: Mimic Martin's proof that every effectively simple set is Turing complete.

S1. In  $M$ , let  $\kappa = |I|$ . Note that  $\kappa$  becomes countable in  $M[G]$ . In  $M[G]$ , let  $\lambda$  be any infinite cardinal; so  $\lambda = \omega$  or  $\lambda > \kappa$ . Then  $2^\lambda = \lambda^+$  holds in  $M[G]$  because in  $M$ , there are only  $\lambda^+$  nice  $\mathbb{P}$ -names for subsets of  $\lambda$ .

S2. Let  $\mathcal{F} = \{S_\alpha : \alpha < \kappa\}$ . Let  $\mathbb{P}$  be the set of all pairs  $p = (f_p, b_p)$  such that  $f_p$  is a finite partial function from  $\kappa$  to  $\omega$  and  $b_p \in \mathbb{Q}$ . Let  $T(p)$  be  $\bigcup\{S_\alpha \cap (f_p(\alpha), \infty) : \alpha \in \text{dom}(f_p)\}$ . Think of  $T(p)$  as an approximation to  $T$  and  $b_p$  as a promise that no further rationals below  $b_p$  will be added to  $T$ . So, define  $p \leq q$  iff  $f_p$  extends  $f_q$ ,  $b_p \geq b_q$ , and  $(-\infty, b_q) \cap T(p) = (-\infty, b_q) \cap T(q)$ . Let  $T = \bigcup\{T(p) : p \in G\}$ , where  $G$  meets enough dense sets.

S3. Using  $R(\alpha) \prec R(\beta)$ ,  $\alpha$  is strongly inaccessible. Hence, by a Löwenheim-Skolem-Tarski argument, there is a  $\delta < \alpha$  such that  $R(\delta) \prec R(\alpha)$ .